Hello Chapter Members,

It was great to get together with my fellow board members on February 16th. We made good progress selecting representation for the upcoming Leadership Academy. We also discussed potential officers and committee members for the 2017-18 year. It was also nice to see our members offering to step-up into new roles. It is this type of teamwork and dedication that has kept our chapter so productive over the years.

Please note some upcoming dates for major IIA events:

April 19-20 - Successful Audit Data Analytics, 16 CPE in San Ramon, CA (Chevron)

April 23-25 - Leadership Academy in Orlando, FL (Disney Yacht Club)

May 19 - Social Golf Scramble in Livermore, CA (Las Positas Golf Course)

In February I attended the program on Cybersecurity and found it was very informative. Cheryl Louie did a fantastic job organizing this event.

I hope everyone has a great St. Patrick’s day on March 17th!

Until next time,

John Driscoll
Chapter President
Northern California East Bay Chapter

Let’s celebrate the end of our year with a little FUN! Las Positas Golf Course in Livermore is the venue and no previous golf experience is necessary! Reserve Thursday May 18th! We will match you in a group of 4 for this 4 person scramble. Each of you will take turns hitting the ball and you use the best shot for scoring. You may surprise yourself on how well you hit the ball!! Or you may use your miniature golf experience on the putting!! Everyone can help the team score with the lowest amount of strokes for each hole. You will only be playing 9 holes so it will take no more than 2 hours to play.

Golf begins at 12:30 pm so please arrive by noon so you can get set up with everything you need and to check in. Enjoy the company of your group and network. There will be prizes awarded…Yes, YOU can win!

Happy Hour begins at 3:30pm and Dinner is at 4:00pm with an awards ceremony following. Be on the lookout for the registration.

You can start practicing if you want, but it’s not necessary to have FUN!

Cheryl Louie
VP Programs

Hello All,

We had a great turn-out on our third Seminar of this chapter year on “Communicating Audit Results”. There were many take-away from this seminar, such
as reviewing emails prior to sending and also whom it is going to. Tone to be included in all forms of communications. Many of the attendees informed me that they learned at least one new thing from the seminar.

The next seminar is scheduled if for April 19th-20th, it is a 2-day seminar on “Successful Audit Data Analytics”. Registration for the seminar is open. Speaker of this seminar is Jim Tarantino, he is a MISTI instructor and will incorporate how to integrate data analytics into an audit process. How to progress from basic analytics into a fully automated/repetitive mode.

Hope to see you there.

Mushtari Nagpurwala
VP Seminars

Academic Relations
Ahmad Ghafouri

I would like to thank John Pingatore and Michael Wells from Old Republic Title Company for joining me to present to the University of California, Berkeley's Freshman Sophomore Business Club on Internal Auditing, IIA, and NCEB-IIA on March 2nd. Also, our chapter attended the Meet the Firms event at San Francisco State University on February 21st. We are currently working with Diablo Valley College (Phi Beta Lambda) to schedule a presentation with them sometime this Spring.

I would like to thank the organizations that submitted their application for the NCEB-IIA chapter's NCEB-IIA chapter "Internship Stipend Program" [https://chapters.theiia.org/northern-california-east-bay/Pages/Academic-Relations.aspx](https://chapters.theiia.org/northern-california-east-bay/Pages/Academic-Relations.aspx). The NCEB-IIA Internship Review Committee will review the applications and submit it's recommendation to the NCEB-IIA Board in March.

Internal Auditor magazine, the world's leading publication covering the internal audit profession, is launching a scholarship program. IIA is offering six, $1,000 scholarships for undergraduate and graduate students who write the most informative and intuitive essays on internal audit subjects. Once the student winners are announced, IIA will publish the winning essay on [InternalAuditor.org](https://www.theiia.org). Please see [https://iaonline.theiia.org/scholarships?spMailingID=12632555&spUserID=NjI1NTAxMDAyMzAS1&spJobID=663862954&spReportId=NjYzODYyOTU0S0](https://iaonline.theiia.org/scholarships?spMailingID=12632555&spUserID=NjI1NTAxMDAyMzAS1&spJobID=663862954&spReportId=NjYzODYyOTU0S0) for more details.

Ahmad Ghafouri (AGhafouri@chevron.com),
Academic Relations Chair

**Employment Opportunity**

**UC Santa Cruz - Auditor 3/Principal Auditor**

Involves auditing for compliance with laws, policies, and good business practices, reporting findings and making recommendations to implement improvements. Evaluates the adequacy of internal controls and the effectiveness of policies and procedures; searches for value-added recommendations to improve the efficiency and contribution of the function under review.

Performs the full scope of auditing encompassing financial, compliance, information systems, and effectiveness reviews according to professional auditing standards. Normally receives general instructions on routine audit work; with detailed instruction on new or more complex audit assignments. May provide functional advice, training and / or guidance to less-experienced audit professionals.

Audit & Management Advisory Services (AMAS) is an independent and objective internal audit function reporting to the Regents, President, and Chancellor with information and assurance on the governance, risk management and internal control processes. AMAS provides a critical assessment, monitoring, and consultative role; assisting the chancellor and senior management in the discharge of their oversight, management, and operating responsibilities; and is an integral part of the University's shared governance structure.

For more information and to apply please visit:

[https://jobs.ucsc.edu/applicants/Central?quickFind=69594](https://jobs.ucsc.edu/applicants/Central?quickFind=69594)

[https://jobs.ucsc.edu/applicants/Central?quickFind=69599](https://jobs.ucsc.edu/applicants/Central?quickFind=69599)
Employment Opportunity II

Audit Manager
As an Audit Manager at E. & J. Gallo Winery, you will be responsible for providing strong leadership and oversight on a variety of internal audits and projects. You will employ your exceptional technical and auditing skills to research, formulate audit and project strategies, and oversee the execution of Gallo’s audit test plans. You will enjoy the challenge of helping your internal customers identify opportunities to move our business forward and will be fascinated by the behind the scenes of our exciting organization!

Why E. & J. Gallo Winery?
The E. & J. Gallo Winery was founded by two entrepreneurs—Ernest and Julio Gallo with a vision to democratize wine in the United States becoming the largest winery in the world. This spirit continues today, where Gallo offers opportunities where we value innovation, collaboration, and creative thinking.

What You Will Do
Project Management:
• Manage projects and identify opportunities to improve existing processes in all aspects of advisory projects throughout winery departments and affiliated global entities
• Provide leadership to audit teams while sharing auditing best practices
• Deliver key communication and presentations to our senior leadership teams

Technical Skills:
• Apply critical, analytical thinking and professional skepticism in crafting audit recommendations
• Oversee budgets, scope and required schedules for all engagements
• Conduct research, formulate audit and project strategies and oversee testing to assess the control environment or project conclusions.

What You Need
• Bachelor's degree in Finance, Business Administration, or Accounting plus 5 years of Audit or consulting experience to include supervisory or managerial experience.
• Professional certification in one or more of the following: CISA, CIA, CPA, or CMA.
• Experience creating project work plans

Qualified and interested individuals should apply today at:
https://career4.successfactors.com/sfcareer/jobreqcareer?jobId=24941&company=Gallo&username

Employment Opportunity III

Internal Auditor
Alameda Health System is filling an Internal Audit position to perform financial, operational, process improvement and risk management activities. Performs professional internal auditing work; involves conducting performance, financial and compliance audit projects; providing consulting services to the organization's management and staff; and providing input to development of the Annual Audit Plan.

Duties and Essential Job Functions:
Assists in identifying and evaluating the organization's risk areas and provides input to the development of the Annual Audit Plan.

Performs audit procedures, including identifying and defining issues, developing criteria, reviewing and analyzing evidence, and documenting client processes and procedures.

Conducts interviews, reviews documents, develops and administers surveys, composes summary memos, and prepares working papers.

Identifies, develops, and documents audit issues and recommendations using independent judgment concerning areas being reviewed.

Communicates or assists in communicating the results of audit and consulting projects via written reports and oral presentations to management and the Board of Trustees.

Develops and maintains productive client and staff relationships through individual contacts and group meetings.

Pursues professional development opportunities, including external and internal training and professional association memberships, and shares information gained with co-workers.

Represents Internal Audit on organizational project teams and at management meetings.

Minimum Qualifications:
Education: Bachelor's degree from an accredited college or university. A graduate degree in business administration, public administration or a related field, or certification as a CIA, CPA or CISA is preferred.

Experience: Two years of full-time experience in auditing, accounting, business analysis, or program evaluation. Experience in industry auditing and accounting preferred.
Interested individuals should apply at:
https://www.healthcaresource.com/alamedahighland/index.cfm?fuseaction=search_jobDetails&template=dsp_job_details.cfm&cJobId=102558

Employment Opportunity IV

At Aerojet Rocketdyne, our people further the exploration of space, and keep America safe. It doesn’t get much better than that. From the Apollo missions to exploring Pluto, we have a long history of accomplishments.

LOOKING FOR AUDITORS!!

Aerojet Rocketdyne has several auditor openings at our facility in Sacramento, California:
• Internal Auditor (job ID 11504)
• Senior Internal Auditor (job ID 11503)
• Senior Business Controls Auditor (job ID 11353)
• Senior Internal Audit and Consulting Specialist (job ID 11497)

In these roles, you will join a growing audit team to:
• Perform various internal audit projects in accordance with the Company’s internal audit plan. Engagements are risk-based (not recurring, rotational projects) and include Operational, Financial, Compliance, Advisory, and Investigative examinations.
• Build management relationships by demonstrating professionalism, a facilitative communication style and constructive responses to auditee needs.
• Establish and maintain good auditee relations during all audit engagements by identifying the expectations of the auditee and taking actions to support the auditee through the experience.
• Work both independently and with various cross-functional teams to manage audit projects including projects with resources from our co-source partner.
• Participate in the company’s compliance with the Sarbanes-Oxley Act (SOX) and Government Contracting/Compliance framework, including; identifying, monitoring, developing audit programs and testing operating effectiveness of controls identified by management and outsourced services, as applicable.
• Participate in operational and process review activities to improve the overall efficiency and integration of the Company’s control environment/architecture, including; evaluating new processes and command media, control automation recommendations, developing audit programs, and testing effectiveness of specific activities to support the implementation of process improvements.

Positions require a Bachelor’s degree in Accounting, Finance or related discipline and 3+ years of related experience (or an equivalent combination of education and experience). Strong financial accounting and internal control background expected.

Desired Qualifications:
• Professional certification, such as CPA, CIA strongly preferred. MBA desired.
• Audit experience within a manufacturing, aerospace or government contracting environment.
• Cost accounting experience and/or experience working at a large auditing firm.
• Requires domestic travel of 10-20% annually to perform audits.

Aerojet Rocketdyne offers a challenging work environment in addition to benefits including medical/dental/vision insurance, 401(k) with company match, tuition reimbursement, generous paid time off and more.

For more information and to apply, please visit our website:
www.rocket.com/careers and search for jobs #11353, 11497, 11503 and/or 11504

Please send your announcements, articles, employment advertising and other information to the editor, Jason Philibert at:
jasonphilibert@me.com

Visit the NCEB Chapter Website by scanning the QR code below with your smartphone!
2016 – 2017 NCEB BOARD
BOARD OF GOVERNORS

OFFICERS

Chapter President
John Driscoll
JDriscoll@chevron.com

VP of Programs
Cheryl Louie
cloie2@stanford.edu

VP of Seminars
Mushtari Nagpurwala
mushtari.nagpurwala@sri.com

Treasurer
Andrea Chiapello
Andrea.chiapello@elliemae.com

Assistant Treasurer
Catherine Blackmon
catherineblackmon@chevron.com

Secretary
Scott Lowry
Scott.lowry@appdynamics.com

PAST PRESIDENTS

2011-2013
Paul Becker
pbecker@chevron.com

2013-2015
Tracy West
Tracy.West@delmonte.com

2015-2016
Ruth Powell
RuthPowell@chevron.com

TERM GOVERNORS

Term Expires in 2017
Theresa Nidetz
tnidetz@comcast.net
Paul Schafer
schafer2@llnl.gov
Bernice Rael
BerniceRael@chevron.com
Tom Crane
tvc3@yahoo.com

Term Expires in 2018
Marina Gouchian
marina.gouchian@e-arc.com
Nancy Yuen
nancy.yuen@clorox.com
Craig Isom
CraigIsom@chevron.com
Brenda Roberts
brenda_roberts@att.net

Term Expires in 2019
Ruth Powell
RuthPowell@chevron.com
Jason Philibert
jasonphilibert@me.com
Perry Liu
Perry.Liu@csaa.com
Vin Taneja
taneja1@llnl.gov
**OTHER CONTACTS**

### CHAPTER REPRESENTATIVES

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>District Representative</td>
<td>Ruth Powell</td>
<td><a href="mailto:RuthPowell@chevron.com">RuthPowell@chevron.com</a></td>
</tr>
</tbody>
</table>

### COMMITTEE CHAIRPERSONS

<table>
<thead>
<tr>
<th>Committee</th>
<th>Chairperson</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>Academic Relations</td>
<td>Ahmad Ghafouri</td>
<td><a href="mailto:aghafouri@chevron.com">aghafouri@chevron.com</a></td>
</tr>
<tr>
<td>Audit Committee</td>
<td>John Pingatore</td>
<td><a href="mailto:JPingatore@orte.com">JPingatore@orte.com</a></td>
</tr>
<tr>
<td>CIA Certification</td>
<td>Open</td>
<td></td>
</tr>
<tr>
<td>Chapter Achievement Program</td>
<td>Bill Warner</td>
<td><a href="mailto:William.warner@chevron.com">William.warner@chevron.com</a></td>
</tr>
<tr>
<td>Newsletter Editor</td>
<td>Jason Philibert</td>
<td><a href="mailto:jasonphilibert@me.com">jasonphilibert@me.com</a></td>
</tr>
<tr>
<td>Membership</td>
<td>Marina Gouchian</td>
<td><a href="mailto:marina.gouchian@e-arc.com">marina.gouchian@e-arc.com</a></td>
</tr>
<tr>
<td>Web Site</td>
<td>Bill Warner</td>
<td><a href="mailto:William.warner@chevron.com">William.warner@chevron.com</a></td>
</tr>
<tr>
<td>Hospitality</td>
<td>Shannon Wilhite</td>
<td><a href="mailto:Shannon.Wilhite@delmonte.com">Shannon.Wilhite@delmonte.com</a></td>
</tr>
<tr>
<td>Nominating Committee</td>
<td>Tracy West</td>
<td><a href="mailto:Tracy.West@delmonte.com">Tracy.West@delmonte.com</a></td>
</tr>
<tr>
<td></td>
<td>Paul Becker</td>
<td><a href="mailto:pbecker@chevron.com">pbecker@chevron.com</a></td>
</tr>
<tr>
<td></td>
<td>John Drisoll</td>
<td><a href="mailto:JDriscoll@chevron.com">JDriscoll@chevron.com</a></td>
</tr>
<tr>
<td>Record Retention Committee</td>
<td>Theresa Nidetz</td>
<td><a href="mailto:tnidetz@comcast.net">tnidetz@comcast.net</a></td>
</tr>
<tr>
<td></td>
<td>Bill Warner</td>
<td><a href="mailto:William.warner@chevron.com">William.warner@chevron.com</a></td>
</tr>
<tr>
<td></td>
<td>Tom Crane</td>
<td><a href="mailto:tvc3@yahoo.com">tvc3@yahoo.com</a></td>
</tr>
<tr>
<td>Academic Board Advisor</td>
<td>Nancy Mangold</td>
<td><a href="mailto:nancy.mangold@csueastbay.edu">nancy.mangold@csueastbay.edu</a></td>
</tr>
</tbody>
</table>
Data Security Breaches: Are you prepared?

Most companies have some sort of data breach preparedness program in place however we face several hard to solve security challenges - changing threat spectrum with wide array of actors, Nation-states and proxies, Insider threat, malware, exploitation of zero day vulnerabilities, distributed denial of service (DDoS) attacks. Responding and staying ahead of emerging threats and the increasing sophistication of cybercriminals requires "constant vigilance," as Mad-Eye Moody from J.K. Rowling's Harry Potter series was fond of saying.

Focus areas
- Risks from system breaches
- Password breach aftershocks
- Nation-State cyber-attacks
- Healthcare hacks
- International data breaches
- Changes in legal environment
- Critical Infrastructure

Registration information and to Register:
http://events.r20.constantcontact.com/register/regform?llr=l6ytbvnab&oeidk=a07edsxpsen4d1d273e