Waving Goodbye to Kathie Corrigan, Co-President

Kathie Corrigan is stepping down from her role as Co-President of the Ocean State Chapter after eight years as an officer or Board member. Over that time, Kathie has enjoyed meeting people from all over the country and right here in Rhode Island at local events. She has also loved watching new Board members grow in roles and become chapter leaders. Kathie will continue to serve on the Board as Past-President.

Kathie’s advice to all of you interested in becoming a chapter leader: Stay engaged with the chapter by attending events and don’t worry about time—a commitment to the chapter won’t take much time, and the reward is definitely worth it!

You will still be able to see Kathie at local chapter events, or as a CrossFit junkie, you may see her at local competitions. Please join me in thanking Kathie for years of service to the Chapter; we are better off today because of everything she has done!

Getting to Know You!
Anthony Morton-Aiken, Incoming Co-President

After teaching English in Japan for two years, I started my internal audit career with Grant Thornton UK LLC in 2006 and qualified as a Chartered Accountant (ICAEW) in 2008. I moved to the US in 2010 and remained with Grant Thornton working from their Boston office.

I joined the Greater Boston IIA Chapter in 2011 as a member of the Registration committee and worked my way up to Secretary of the Board. In 2014, having started at Fidelity Corporate Audit in Smithfield, Rhode Island, I joined the Ocean State Board and I’ve been organizing the Ocean State’s events for 2 years now as the VP of Seminars.

I am looking forward to the opportunity to be Co-President to help continue the great progress we’ve made in promoting the profession through delivering seminars and speaking at Universities to educate our future Internal Auditors about opportunities in our great profession.

Fun Facts about Anthony:

♦ He is the father of two children.
♦ Anthony enjoys that auditing is not the same every day.
♦ He appreciates getting to learn from such a broad range of individuals.
♦ Anthony loves to travel!
♦ He would love to be a carpenter/wood worker, to build anything with his hands.
Each year, the IIA hosts a Leadership Conference for IIA Chapter Leaders. The theme of the conference this year was “Space Camp” and provided training, knowledge sharing, and networking opportunities to 345 attendees from across the U.S., Canada, and Caribbean. Jennifer Stanford (Current Co-President) and Anthony Morton-Aiken (Co-President Elect) attended the conference this year representing the Ocean State Chapter.

Key topics from the conference this year included:

- The importance of culture, or the ‘moral fabric’, of an organization, which has become more visible as the culprit in recent organizational debacles.
- The value of encouraging and maintaining diversity in your Internal Audit groups to provide broader perspectives and alternate skill sets.
- The certification opportunities beyond the CIA, covering Industry (CGAP, CFSA, BEAC), Competency (CRMA, CCSA) and Leadership (QIAL).
- The history of the IIA, starting in 1941, and growing to 160 Chapters, with 180,000 members globally as we celebrate our upcoming 75th Anniversary and the associated festivities happening at the International Conference in New York City in July.
- Upcoming developments in technology available to Chapters for seminar planning and registrations, CPE certificate distribution, and communication with members.
- And a keynote presentation from Lt. Col. Rob “Waldo” Waldman, MBA, CSP, encouraging and educating attendees to build trust and successfully lead their organizations.

After-hour events included an invitation-only Chapter of Excellence Reception, District Dinners coordinated and hosted by their respective District Representatives and District Advisors, and a dance and fireworks-filled evening at “Club Odyssey” held within a private location at Disney’s Epcot theme park.
Top 10 Tips for Leaders
What makes a leader great? J. Michael Joyce Jr. presented the top 10 tips for leaders at the Leadership Academy in April.

1. Communicate Clearly

2. Set a Good Example

3. Create a Learning Environment...Then Include Yourself in It!

4. Offer Recognition

5. Find a Mentor. Be a Mentor.

6. Be Decisive

7. Learn How to Lead the Younger Generation

8. Learn from the Past

9. Accept that You Still Have Much to Learn

10. Never Stop Improving
Lori Tellier is an Investigator in the Financial Crimes Unit of the State Police and Chris Der Vartanian, a Sr. VP at Navigant Credit Union presented Fraud and Ethics to the Ocean State IIA Chapter on May 26, 2016.

Lori walked through a case she worked on that involved theft from the Woonsocket municipal court. The investigation confirmed that a recently retired Court Clerk had been pilfering from the cash payment of tickets written by the police department. She collected the cash, recorded it to the internal database – clearing the ticket, but did not record it to the financial (GL) database. This went on for several years, resulting in over $350,000 taken! The woman retired, and the new clerk recognized a discrepancy and missing controls – no segregation of duties and no reconciliation between the internal (ticket) database and the financial database. The woman pled guilty, was sentenced to 5 years in jail (with 18 months to serve) but was released after 12 months and restitution. The City is trying to revoke her pension.

Chris spoke about ethics. He had slides depicting various RI government officials who have been involved in ethics cases, some serving time for various violations. He showed a short film of Lockheed executives describing Ethics within their company – highlighting ‘tone at the top’. He talked about ethical behavior, how it is missing in many institutions, and who should be involved in creating an Ethics statement (Legal, Internal Audit, Compliance, BOD, etc.). A good quote he presented from Potter Stewart (Supreme Court Justice) - “Ethics is knowing the difference between what you have a right to do and what is right to do.” Just because you ‘can’ do it, doesn’t mean you should do it, or that it is ethical.
2016-2017 Officers and Board of Governors

**Officers:**
- Co-President: Jennifer Stanford
- Co-President: Anthony Morton-Aiken
- Vice President: Laura Kowal
- Treasurer: Mitch Krzyzek
- Secretary: Jennifer Mier

**Board of Governors:**
- Paul Bonville
- Donna Dupuis
- Toni Molinari
- Gary Spino
- Mike Moreau
- Nathan Chartier
- Barbara Norris
- Marianne Foster
- Kathie Corrigan

Contact us online at: [https://chapters.theiia.org/ocean-state/Pages/default.aspx](https://chapters.theiia.org/ocean-state/Pages/default.aspx)
You can’t do it alone. Internal audit trends and practices are shifting quickly. Get on the cutting edge of the profession at the one conference that brings together internal auditors from around the globe to exchange their best of practices and share ideas that are transforming the industry.

The theme of the Conference is: Internal Audit Rising…75 Years of Progress Through Sharing. Join us for 70 sessions in these educational tracks:

- Influential Leadership: A View From The Top
- Emerging Practices: Staying a Step Ahead
- Building the Structure Through Ethics, Governance, and Compliance
- Financial Services: Heightened Expectations
- Deconstructing Today’s Fraud for Tomorrow’s Controls
- IT: Elevating the Business Experience
- Rising to the Challenge in the Public Sector
- Soaring Above Risk and Exposure (Select Industries)
- The IIA’s CIA Learning System® Review
- And a full track in Spanish: Tendencias de Auditoría Interna, Desafíos y Soluciones

No other conference gives you broader content and expertise in the internal audit profession. Network with 2,000+ peers from more than 100 countries, stay on the leading edge of internal auditing, and enjoy the sights and top attractions that New York City has to offer.
Rudolph W. Giuliani  
Former Mayor of New York City

Under Mayor Giuliani’s leadership, New York became the safest large city in America according to the FBI. Following the terrorist attacks on Sept. 11, 2001, Mayor Giuliani led the recovery of the city as it faced its darkest hour. He was named “Person of the Year” by TIME magazine, knighted by the Queen of England, dubbed “Rudy the Rock” by French President Jacques Chirac, and former first lady Nancy Reagan presented him with the Ronald Reagan Freedom Award.

Richard Quest  
CNN International Business Correspondent

CNN’s leading international business correspondent, Richard Quest, presenter of Quest Means Business, will speak on transparency and investigations. A unique figure in the field of business broadcasting, Quest has covered every major financial center globally and stock market and financial crisis since Black Monday in 1987.

Julia Gillard  
Former Prime Minister of Australia

Time magazine’s “100 Most Influential People in the World” and Forbes’ “World’s Most Powerful Women”

Julia Gillard was sworn in as the 27th Prime Minister of Australia in June 2010 and served in that office until June 2013. Previously, following the Australian Labor Party’s victory at the 2007 federal election, Gillard served as Deputy Prime Minister and Minister for Education, Employment and Workplace Relations and Social Inclusion.

Olivia Kirtley  
President, International Federation of Accountants  
Audit Committee Chair: Papa John’s International, ResCare Inc., US Bancorp

Olivia Kirtley, CPA, CGMA, became president of the IFAC Board in November 2014. She previously served as deputy president from 2012 to 2014 and was first elected to the Board in November 2007. Ms. Kirtley also served on the Independent IFAC Task Force on Rebuilding Public Confidence in Financial Reporting in 2002 and 2003.
As The IIA’s premier designation for more than 40 years, the CIA sets the standard for excellence within the profession. The CIA journey begins with a focus on The IIA’s *International Standards for the Professional Practice of Internal Auditing (Standards)* and aspects of mandatory guidance under the IPPF. The journey continues with a focus on managing an internal audit project and culminates with concepts related to internal control, risk, governance, and technology. The CIA is a 3-part process for establishing your foundational core and starting point for career growth:

- Distinguish you from your peers.
- Demonstrate your proficiency with internal staff and external clients.
- Develop your knowledge of best practices in the industry.
- Demonstrate your proficiency and professionalism.
- Lay a foundation for continued improvement and advancement.