Merry Christmas & Happy New Year!

Here is the latest edition of the Chapter’s quarterly Newsletter. It’s full of great information so please take some time to read through it. Be sure to check out the upcoming schedule of events and mark your calendars for the upcoming luncheons and seminar scheduled for the second half of the Chapter fiscal year.

Please mark your calendars for March 28, 2017 as we will be having a special speaker. Angela Witzany, CIA, QIAL, CRMA, is 2016-2017 chairman of The IIA’s Global Board and head of internal audit for Sparkassen Versicherung AG Vienna Insurance Group, a large life insurance provider in Austria.

I would like to thank everyone on our Membership Committee (Judy Aug, Brenda Putman, Krystal Kauffman and Sharon Eagleburger) for putting together another great Chapter event. This year’s Social was a great opportunity to network with colleagues and enjoy some great food and I’m sure all our members who attended will agree it was just fun at Andy B’s. Please see page 4 for more details about the event.

It’s hard to believe the calendar year is coming to a close already! I want to thank everyone for their membership and participation in the IIA Ozarks Chapter. I hope everyone takes time to enjoy this holiday season with family and friends. Hope to see everyone when we start the New Year with Jan Beckman presenting on Adding Value, Making and Managing in Data Analytics on January 19, 2017 at University Plaza.

I wish you all a very Merry Christmas and Happy New Year!

James Boutwell
IIA Ozarks Chapter President

REMINDER!

This is just a friendly reminder, for all members with CIA certifications, the annual CPE reporting deadline is December 31st.
Joplin - November: Data Security:

The "Data Security (Internet of Things)" luncheon, presented by Albert Stadler, MSSU Chief Information Officer, in Joplin at the Empire District Electric office on November 10th was a success with over 25 attendees. Mr. Stadler presented several cybersecurity risks affecting not only companies but also individuals. The group explored actual security breaches, as well as, attempted possible threats that exist. Attendees were given examples of what Mr. Stadler and his team at MSSU have encountered and what they have done to mitigate their current and future data security risks. We enjoyed the seminar and look forward to more Joplin events in the future.

Beverly Hines
Empire District Electric Company

The November Data Security luncheon in Joplin at Empire District was well attended with over 20 members from Joplin and Springfield. The facility was great and the Empire staff did a wonderful job in arranging everything. Albert Stadler, CIO for Missouri Southern State University spoke on the latest issues related to data security. Stressing that education of the user community to learn to recognize email phishing, ransomware and that backups are important to avoiding data from being stolen or lost. It was very informative to hear the challenges a university faces trying to provide a secure environment, yet at the same time provide open access for students to communicate and learn.

Monte Franks
Leggett & Platt

Springfield - November: Fraud and Internal Controls for NFP and Religious Organizations

From the army to the world of religious organizations, Rollie Dimos, CFE, CIA, gave us an intriguing presentation, in our November meeting, of the importance of strong internal controls in institutions that are largely built on the principle of trust. With real life stories, and well developed case studies from his years as an internal auditor, Rollie brought home the importance of safeguards and accountability in the world of religion. Since religion is an important component of the lives of so many, Rollie’s practical lessons about the role our profession can play in this arena were informative and thought provoking.

Ted Fugitt, CPA
Missouri State Auditor’s Office
MEMBERSHIP CORNER

Member Spotlight: Lori Johnson - JQH Management LLC

Lori graduated from Missouri State University with an accounting degree. During her college years she had no idea what she wanted to do with her accounting major until she took an Internal Auditing course her junior year and really enjoyed the field of study. She knew at that time that was the direction she wanted to take her career once she finished college. Lori has been with JQH Management for 13.5 years and is currently the Internal Audit Manager.

In her spare time, she enjoys shopping, spending time with her family, her two adorable dogs Cooper and Charley, hiking, going out on the lake, and shopping some more.

In the future, Lori is planning on dedicating her accounting and auditing skills full time to the company her and her husband have started almost four years ago.

Board Member Spotlight: Brenda Putman - City Utilities

Brenda has been a member of the IIA for over 25 years and has served in almost, if not all, the committees and officer roles over the years. She has also served on a committee at the IIA International level for several years. She is currently a Board Member-at Large and serves on the membership committee.

As a board member, she hopes that the chapter can continue to provide value to the membership through offering low-cost, local CPEs and other opportunities for education. She believes it is important to give back to the profession of internal audit by serving on the Ozarks IIA Board. Also, volunteering helps you establish and maintain relationships with others who are active in internal auditing and those relationships have proven to be invaluable over the years.

Brenda is currently the Associate General Manager - Chief Internal Auditor at City Utilities of Springfield where she has worked for 28 years.

Matthew Spaccamonti
Associated Electric

December 2016
IIA MEMBERSHIP EVENT

Everyone had a wonderful time at the Membership Appreciation Event. Many thanks to the Membership committee for making it happen. Below are the list of our Membership Award winners and Membership Appreciation Event winners.

Most Membership points due to volunteering and attending Chapter events:

1st - Kelly McCurdy - Mercy

2nd - Lori Johnson - JQH Management LLC

3rd - Tara Conley - Great Southern Bank

Top Male Bowler – Chris Jackson with a score of 196
Top Female Bowler – Kelly McCurdy with a score of 145
Amateur Bowler Award – Krystal Kauffman

A special thank you and congratulations to Cindy Bennett for doing such a wonderful job serving as Chapter President the past two years.
ANNIVERSARIES

October

John Winters - Bass Pro Shops, Inc. (28)
Sue Butts - Bass Pro Shops, Inc. (16)
Avery Hudson - Rabo Bank (8)
Wayland Mueller - Springfield Public Schools (4)

Cyndy Elworth - AECI (17)
Shannon Thomason - Central Bancompany (13)
Lois Gore - Polk County School Board (7)
Tieg Tullis - Leggett & Platt, Inc. (4)

November

Beverly Hines - Empire District Electric Co. (13)
Connie James - Missouri State Auditor's Office (9)
Roberta Bledsoe - Missouri State Auditor's Office (9)
Natalie McNish - Missouri State Auditor's Office (9)

David Olson - Missouri State Auditor's Office (9)
Ted Fugitt - Missouri State Auditor's Office (9)
Donna Christian - Missouri State University (9)

December

Lori Cantrell - Cox Health (11)
Monte Franks - Leggett & Platt, Inc. (10)
Susan Ryan - BKD (8)
Jamie Rodriguez - Rabo Agrifinance (5)
Kristen Frecke - Empire District Electric (2)
Marian Rader - Missouri State Auditor's Office (2)
Matthew Spaccamonti - AECI (2)

Bob Gunnett - Great Southern Bank (11)
Pam Tillery - Missouri State Auditor's Office (9)
Libby Kitterman - Central Bancompany (7)
John Walraven - Great Southern Bank (3)
Renee Fogle - Missouri State University (2)
Jennifer Shewmaker - AECI (2)
Diana Reicher - Bass Pro Shops (1)
PEER FORUM

We want to hear from you!

Want to help with the next newsletter? Do you have a great article you would like to submit? Do you have a review or opinion of our last seminar/luncheon? Submit it to the Newsletter Committee (see email address below for submission) and it could show up in our next newsletter.

We’d love to hear from you!

Contact Heather Sartin at heather.sartin@jqh.com

INTERNAL AUDIT HUMOR

THERE’S NO PLACE TO HIDE FROM THE AUDITORS

Oh, there’s no place to hide from the auditors,
‘Cause no matter how far away you run
They’ll find all of your deepest, darkest secrets
‘Cause the auditors keep digging ’til you’re done.

I knew a man who had some data that he didn’t want to share
So he stored it in a well-encrypted file.
But when the auditors came calling, his computer was laid bare.
And the laughter, it was hectic,
‘Cause his efforts were pathetic.

Oh, there’s no place to hide from the Auditors,
And there’s no way that you can get away.
They will bug you and haunt you for a million years.
Once you’re in their sights they never lose their prey
Yes the auditors will have the final say
The IIA offers webinars each month to members at no cost. Speakers and topics vary, but participants receive 1 NASBA CPE for each webinar. Registration is required, see The IIA website for registration details. Additionally, previous members only webinars are archived and accessible to members at no cost from the IIA website.

- January 17, 2017 - Analytics and Internal Audit: Global Market Insights Into the Rising Use of Big Data
- February 7, 2017 - Risk Proofing Your Organization and Probably Your Career
- February 21, 2017 - Trusted Advisors: Key Attributes of Outstanding Internal Auditors

After 10 consecutive years as a Gold Chapter, the Ozarks IIA has gone platinum! This is The IIA’s methodology used to measure chapter performance. This is the direct result of both dedicated chapter leaders and active, supportive chapter members. Way to go Ozarks IIA Chapter!