My name is James Boutwell, and as the upcoming chapter year 2016-2017 begins I am honored to have the privilege to serve the IIA Ozarks Chapter as President. Our Chapter has achieved a tremendous amount of success over the past decade as a result of some very outstanding leadership and the volunteers that work to make this Chapter a success. This past year on May 31, 2016 the Ozarks Chapter achieved Platinum status within the Institute of Internal Auditors (IIA) for meeting Gold status for ten of the last 11 years. Please give a round of applause to the following past presidents for their leadership to our profession: Brenda Putnam (1998-1999 & 2001-2002); Carol Spriggs (2003-2004); Beverly Hines (2005-2006); Judy Aug (2006-2008); Shannon Thomason (2008-2010); Jennifer Jenkins (2010-2012); Lori Cantrell (2012-2014) and Cindy Bennett (2014-2016). It is because of these individuals that we have a Chapter to be proud of. Thank you past presidents!

During FY2015-2016 our Chapter offered to our members 34 hours of educational opportunities. In everything we do as an IIA Chapter, we keep in mind our goals of serving our members and giving back to the internal audit profession. Our chapter has consistently achieved its key mandate to deliver quality, local training which results in cost savings to our members. Our Programs Committee is currently working hard on scheduling approximately 34 hours of CPE events for the upcoming year and to also provide educational opportunity in the Joplin area to provide additional opportunities for our Chapter members. And our Membership Committee is already hard at work planning our two social/networking events for the year.

Your officers and board members are committed to making this be one of the best chapter years ever and we are always open to your ideas and suggestions. I encourage your feedback to ensure that we’re able to provide the best possible training for our members. We are also always looking for volunteers. So please, reach out to me or another member of the board should you have an interest in volunteering or to help out at a future event. We are looking for individuals that have a desire to work with our website, social media, academic relations and programs. Volunteers are the life blood of this organization and we need your expertise to lead this Chapter into the future.

We have an exciting year ahead of us to serve our profession! I look forward to serving as your President and I hope to see all of you at a future event.

Feel free to reach out to me with any comments or questions. I can be reached at JBoutwell@chanllc.com or 417-209-4740.

LOOKING AHEAD

August 17, 2016 - Luncheon (2 CPE)

- Engaging and Interacting with Millennials
- Merry Tucker, Enactus United States
LUNCHEON RECAPS

April: Crossing the Ethical Boundary: Insights from a Fraud Investigation

- ‘Great speaker!’
- ‘I always enjoy listening to analysis presentation. Excellent selection for an ethics speaker.’
- ‘Good presenter!’
- ‘Great presentation; Valuable information.’
- ‘Always love to hear from Angela. She does a fantastic job explaining current, relevant issues in business. Thanks for bringing her in!’
- ‘Good Presentation.’
- ‘Really enjoyed the speaker and topic.’

June: Emotional Intelligence & Accountability to Engage Teams

- ‘Great seminar. Really learn a lot about communication and leading groups.’
- ‘Hard subject to keep going all day. Julie did a good job keeping it going.’
- ‘Great and informative.’
- ‘I thoroughly enjoyed the presentation. The style was incredibly engaging and it focused on topics that I think do not get talked about enough because it is not seen as logistical.’
- ‘I really enjoyed the seminar. Surprisingly flowed very well. Very good take aways to use both in the work environment and at home. Loved the 1:3 rule.’
- ‘The day flew by, but in a good way. Very enthusiastic and easy to listen to. Loved the personal stories. I also liked how the groups were split up. It gave me a chance to talk to different people.’
- ‘Enjoyed the presentation and stories presented, which were able to related to real-life.’
MEMBERSHIP SURVEY RESULTS

For the survey completed in March 2016 the Chapter received 37 responses and I wanted to provide our membership with some of the results and items we are working on to meet the responses.

1. 75.7% of the respondents let us know they wanted a meeting each month January – December. Our goal for 2016-2017 is to have a meeting each month except for June 2016.

2. Approximately 65% of the respondents preferred to have luncheons or seminars Tuesday – Thursday. As in years past that is our goal. We are working with our sister chapters in Topeka, Kansas City, St. Louis and Central Missouri in obtaining quality speakers at reduced rates and we are trying to maintain those preferred dates.

3. Types of educational opportunities preferred and our goal:
   - Lunch and Learn – 100%: We plan on at least 6 luncheon meetings including joint meetings with ISACA and AFWA.
   - Half-Day Seminars – 54%: We are trying a half day in July 2016.
   - Full-Day Seminars – 57%: We are anticipating at least two.
   - Webinars – 62%: For 2016-2017 we will have at least two webinar.

4. The top 5 topics requested, if you know of any speakers for any of these topics please provide contact information to either Dave Kimmerle or James Boutwell:
   - Fraud
   - Data Security
   - Forensic Auditing
   - Continuous Auditing
   - Risk Assessment

5. We had eleven individuals express interest in a CIA exam prep course. The programs committee along with the board are looking into this request and will have information available to the membership later this year.

June 2016
There have been some comments and suggestions regarding food on past surveys and we would like to let you know that the board is listening to your comments. In order to keep our luncheon CPE per hour charge at $10 per we have to be careful of the expense related to food cost. The Programs Committee works hard to be good stewards of the resources available to the Chapter and to provide quality speakers to meet the educational needs of our members. Since the Chapter went to a consistent meeting space at University Plaza our attendance numbers have increased from when we were meeting at different locations. Additionally, the Chapter is not reliant on volunteers to pick up, deliver and clean up after our meetings. The University Plaza has been gracious to provide the Ozarks Chapter free space to meet as long as we purchase our food from them and they clean up afterwards. Additionally, the University Plaza is eating the cost for use of Audio and Visual equipment at each of our meetings. The Programs Committee is in communication with the University Plaza for each meeting to try and meet any dietary restrictions our members express. The Chapter will be offering additional meetings where we will go through the buffet line as an option. We will continue to listen and provide a quality lunch option but most importantly we will work to provide quality speakers at a reasonable cost to serve our chapter members.

Sincerely,
James Boutwell, CIA
President
Ozarks IIA Chapter
6/22/16

ANNOUNCEMENT

PEER FORUM

We want to hear from you!

Want to help with the next newsletter? Do you have a great article you would like to submit? Do you have a review or opinion of our last seminar/luncheon? Submit it to the Newsletter Committee (see email address below for submission) and it could show up in our next newsletter.

We’d love to hear from you!
Contact Heather Sartin at heather.sartin@jqh.com
MEMBERSHIP CORNER

Jason Bradshaw, Internal Auditor - JQH Management, LLC

Jason graduated from Truman State University where he first got his interest in Internal Audit. JQH Management opened up an excellent opportunity for him to work in the field and he has since been with the company for one year. For fun, Jason enjoys hiking, reading, and knitting. He is involved in sports blogging and advocating for mental health reform in the country. In the future, Jason plans to continue through the progression of the internal audit jobs and work toward completing his CIA certification, as well as, being active in the community.

April

Carol Spriggs - Empire District Electric Company (27)
Amber Graham - Est. Shawnee Tribal Gaming Comm. (7)
Robert Scrapper - Est. Shawnee Tribal Gaming Comm. (1)

Greg Robeson - Bass Pro Shops, Inc. (18)
Angela Morelock - BKD CPAs, LLP (5)
Ashley Johnson - Great Southern Bank (2)

May

Sarah Sisseck - Triple T Foods (15)
Lydia Lapierre - Jack Henry & Associates, Inc. (6)

Greta Dixon (11)
Lauren Curtiss - Great Southern Bank (2)

June

David Turney - O’Reilly Automotive (13)
Alexa Keller - Leggett & Platt, Inc. (7)
Corey Stueve - Simmons First National Corporation (1)

Tara Conley - Great Southern Bank (9)
Ashley Hiatt - Empire District Electric Company (6)

As of May

Robert Lawler
O’Reilly Auto Parts

As of June

Kari Wooderson
Central Bancompany
The Role of Comprehensive Controls Testing in Effective Risk Management

A discussion of how information security controls testing from all typical attack vectors (logical, physical, social engineering) assists in developing the most effective Risk Management Program. This session will also include emphasis on social engineering and information security. Security controls to protect sensitive information are only as effective as the employees who implement and maintain them. Social Engineering and Information Security is designed to increase auditors’ awareness of typical social engineering and related penetration tactics. This presentation discusses the common threat sources for social engineering attacks, and the controls that have proven most effective. In addition to providing essential strategies to protect against attacks involving social engineering, the presentation also provides useful ideas for standards-based auditing of information security controls.

SPEAKER: David Trepp, M.S., President and CEO of Info@Risk, Inc.

A technology entrepreneur since 1989, David launched Info@Risk in January 1998 with the single purpose of thoroughly and accurately assessing clients’ information system security postures. Since then, he has led over 1,100 information security assessment engagements for satisfied customers across all major industries throughout the United States. David has given dozens of presentations to audiences nationwide, on a variety of information security topics. David has worked in information security with government, financial, utility, healthcare, and commercial institutions since 1998.

RSVP: OzarksChapterIIA@gmail.com by Tuesday, July 12th at 5:00 pm. If you have special dietary requirements please let us know.

This program is in response to the Chapter Member Survey given to members in March 2016 to meet the needs for additional educational opportunities in two areas that received more than a 50% response: Data Security and Risk Assessment.
The IIA offers webinars each month to members at no cost. Speakers and topics vary, but participants receive 1 NASBA CPE for each webinar. Registration is required, see The IIA website for registration details. Additionally, previous members only webinars are archived and accessible to members at no cost from the IIA website.

- July 12, 2016 - Maximizing the Value of the “Three Lines of Defense”
- August 16, 2016 - Data Analytics - Maximizing Value for Internal Audit
- September 20, 2016 - Technology Champions: Key Enablers for Internal Audit
- October 18, 2016 - Leveraging Data to Manage Your Fraud Risk
- November 15, 2016 - Auditing at the Speed of Risk

Finding the time and money to get all the CPEs needed to maintain accreditations can be difficult. As members of the IIA we are lucky to have access to 3 free CPEs in every issue of the IIA Magazine. Just take a few moments out of your day to read the magazine then go online and take a quiz about the reading.

Furthermore, if you have a smartphone or tablet you can download the app. Just search “Internal Auditor Magazine”. This will provide you with a free digital version so you’re never without a copy.

FREE CPES

2016/17 CHAPTER OFFICERS

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IIA MEMBERS ONLY WEBINARS

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OZARKS CHAPTER GOES PLATINUM!

After 10 consecutive years as a Gold Chapter, the Ozarks IIA has gone platinum! This is The IIA’s methodology used to measure chapter performance. This is the direct result of both dedicated chapter leaders and active, supportive chapter members. Way to go Ozarks IIA Chapter!