Hello Everyone!

Happy March Madness to all – I hope your brackets haven’t been busted. March is certainly one of my favorite times of the year as it brings us spring flowers, tournament basketball and Spring Training. It also brings us into the last quarter of our Chapter’s fiscal year. With the fiscal year ending in May, we have begun working on the 2016-2017 programs calendar and will be utilizing our membership’s input as we begin this process so we can develop a year of events that will serve you best. Last week we sent out the annual membership survey which allows us to solicit input from our membership on preferences and programming topics considered most beneficial. I want to thank everyone who participated in the survey. We will share the results of this survey in an upcoming newsletter along with plans to address the feedback received.

We have some great CPE opportunities remaining in April and May. Danny Goldberg, Angela Morelock, and Julie Kowalski are all dynamic speakers with a lot of interesting information to share. See page 2 for detailed information on the upcoming Webinar with Danny Goldberg and the Looking Ahead section to the left for a complete listing of events. The Membership Committee is also working on a special Membership Appreciation event in May, so stay tuned.

James Boutwell, incoming President, and Dave Kimmerle, incoming VP/Programs Chair, will be attending the IIA Leadership Academy in April. The event provides training, collaboration and networking for hundreds of IIA chapter leaders in the United States, Canada and more. We are excited to learn about all the new ideas and resources they will bring back to our Chapter.

Please take time to browse through this edition of the IIA Ozarks Chapter newsletter. Your suggestions and feedback are important to us, so please feel free to contact me or any of the officers at any time. Thank you again for your continued support of our chapter.

Cindy Bennett
IIA Ozarks Chapter President
OZARKS CHAPTER WEBINAR

Assessing Corporate Culture

Time: 11:30am—1:30pm  
Date: Monday, April 11, 2016  
Place: Webinar – A link to the webinar will be provided prior to webinar.

The IIA has recently highlighted assessing/auditing corporate culture as a key area that should be addressed in 2016 and future years. The long list of recent corporate scandals reinforces the need for executives to keep an eye on organizational culture. At its finest, culture helps an organization retain great employees and motivates them to do their best and most productive work. This webinar will take attendees through assessing corporate culture and the pitfalls in assessing a subjective area.

Learning Objectives

• Attendees will learn and understand what corporate culture is
• Attendees will understand the challenges in assessing a subjective area and how to address this subjectivity
• Attendees will discover how corporate culture can significantly impact many areas in an organization, including internal audit

Who Should Attend
Professionals focused on enhancing their abilities by understanding what corporate culture is and how to appropriately assess it in any organization

SPEAKERS: Danny M. Goldberg, Founder GoldSRD
Danny M. Goldberg is a well-known speaker on internal auditing and People-Centric Skills. Danny co-authored People-Centric© Skills: Communication and Interpersonal Skills for Internal Auditors, via Wiley Publications. This is the first book published specifically to address the wide-ranging topic of communication skills for internal auditors. It has been offered through the IIA and ISACA bookstores since July 2015. Additionally, Danny was named as one of the Fort Worth Business Press 40 Under 40 for 2014. He has also published numerous articles in trade magazines.

Mr. Goldberg is a very active member of the Institute of Internal Auditors, both at a local and national level. Leadership roles include:
• Current IIA Fort Worth Chapter Board Member
• Current IIA Dallas and Fort Worth Chapter Programs Committee Co-Chair (for the past three years)

Danny is a Certified Public Accountant, Certified Internal Auditor, Certified Information Systems Auditor, Certified in the Governance of Enterprise Information Technology, Certified in Risk and Information Systems Control, Certified in Risk Management Assurance, has obtained his Certification in Control Self-Assessment and is a Chartered Global Management Accountant.

FIELD OF STUDY: Auditing  
DELIVERY METHOD: Live Webinar  
CPE: 2 Hours

COST: Member: $10, Non-Member: $15, Student & 6th Registrant: Free. Checks should be payable to the IIA and mailed to: IIA Ozarks Chapter, PO Box 3871, Springfield, MO 65808-3871

RSVP: Jessica Brock, 417-235-4114 ext. 721367 or OzarksChapterIIA@gmail.com by April 7th at 5:00 pm.
**MEMBERSHIP POINTS**

As we reach the end of the fiscal year, membership points are adding up. In alphabetical order, below are our top membership point leaders!

- Tara Conley
- Kerri Dalaviras
- Chris Jackson
- Lori A. Johnson
- John Kirby
- Kelly McCurdy
- Heather Sartin
- Rachel Simons


great job!

**MEMBER SPOTLIGHT**

**Kimberly Johnson**  
Managing Director of Information Security - Great Southern Bank

Kimberly attended Vocational training in Springfield, Missouri specializing in Computer Programming. She got her interest in audit from her predecessor, Stacy Hill, who was a vital influence in her decision to change directions from bank operations to Information/Security/IT Risk Management. ‘My entire career has been related to bank and IT functions, which deeply involves assessing and mitigating risk as a vital and integral responsibility in the banking industry.’ She has now worked at Great Southern Bank for almost 19 years. She currently holds certifications as a CISM, CSXF, CBSM, AAP, and EBOC. In her free time, she enjoys boating, water activities, watching her lab compete in hunting trials, riding her Harley and ATV in the mountains. She says she has 10 years left before retirement and wants to continue to be a contributing factor to her company’s success in the areas of Risk Management and Information Security, as well as, encourage others to expand their skillset in these fields.

**PEER FORUM**

**We want to hear from you!**

Want to help with the next newsletter? Do you have a great article you would like to submit? Do you have a review or opinion of our last seminar/luncheon? Submit it to the Newsletter Committee (see email address below for submission) and it could show up in our next newsletter.

*We’d love to hear from you!*

Contact Heather Sartin at heather.sartin@jqh.com

**IIARF CBOK SPONSORSHIP**

The IIA Ozarks Chapter recently partnered with two other chapters in our District to sponsor a research project with The Institute of Internal Auditors Research Foundation (IIARF). The Foundation’s major objective is to be a vital resource for impactful Internal Audit and related Stakeholder research, educational materials and practice insights.

The IIARF’s largest endeavor has been the 2015 Global Internal Audit Common Body of Knowledge (CBOK) survey. The IIARF has released approximately two dozen CBOK reports based on the survey’s findings. These reports focus on the profession’s emerging issues including the future of internal auditing, governance, global perspective, management, risk, standards, talent, and technology. The reports and related content from the CBOK 2015 project have been made available free of charge to members, thanks to support and contributions from individuals, organizations, and IIA chapters around the world.

I am excited to share with all of you that our CBOK Sponsorship culminated in the recent issuance of Dr. Larry Rittenberg’s Fast Fact CBOK - Interacting with Audit Committees. A link to the report can be found here: [Interacting with Audit Committees](#)

The IIA Ozarks Chapter co-sponsored this CBOK report with 2 other Chapters within the Midwest District #1 - St. Louis and Topeka. This was a wonderful opportunity for our District Chapters to work together and support our profession. I hope you all enjoy the report and information provided as much as I did.

It’s A Standard

The Institute of Internal Auditors Ozarks Chapter Newsletter

March 2016
LUNCHEON RECAPS

February: Audit Committee Roundtable Discussion

‘Very good discussion/concept.’

‘Both gentlemen seemed very knowledgeable about the subject matter.’

‘It was a good discussion.’

‘Speakers provided a new, engaging format.’

‘Great discussion and so appreciative of their time.’

March: Cybersecurity: Trends & Attacks

‘Scary but very informative.’

‘Speaker was very knowledgeable and interesting. Lots of relevant & real world examples discussed.’

‘Great speaker. Very informative.’

‘I really thought the speaker did a great job. He was knowledgeable and interesting.’

‘Excellent presentation.’

‘Scary but good information.’
Gleim Discount on Study Materials

The Ozarks IIA Chapter has partnered with Gleim Publications to ensure your success on the CIA exam! The goal is to provide you the necessary study tools to prepare for this difficult exam.

Gleim has been preparing candidates for success with their extensive self-study course for the CIA exam for over 30 years! The Gleim Review System enables you to identify your weak areas so you know where to focus your efforts and GUARANTEES that you will pass each exam part the first time. The goal is for you to achieve success while minimizing your frustration, cost, and time.

As a member of the chapter, you are eligible for 20% off all Gleim’s CIA Review materials, as well as Gleim CPE. To take advantage of the reduced prices and to place your order, log into the Members Only section of the Chapter website.

Please contact our Gleim representative, Melissa Leonard with any questions regarding the Gleim materials or discounts available. Call her at 800.874.5346, ext 131 or via email Melissa.leonard@gleim.com. Visit gleim.com/cia for more information on the CIA Exam or how Gleim can help you pass.

Prep to Pass the CIA Exam

If you’ve made the decision to enhance your career by earning the Certified Internal Auditor® (CIA®) designation, IIA Ozarks Chapter can help you achieve your goals with The IIA’s CIA Learning System®.

The IIA’s CIA Learning System is an interactive print and online self-study review program designed by CIA-certified industry experts to help you learn, review, and apply the key CIA content. This approach will help you build on foundational elements of internal auditing to master key concepts you need to know to pass the CIA exam. This three-part program includes:

- Comprehensive reading materials teaching the entire global CIA exam syllabus in both printed and e-book formats.
- Interactive online study tools:
  - Pre- and post-tests to gauge your starting knowledge and advancements.
  - SmartStudy™ tools to help you create a customized study plan based on pre-test results.
  - Chapter quizzes to test your comprehension and retention.
- CIA practice exam to build confidence for the computer-based CIA exam.
- Online reports to track your progress and performance.

For more information or to try a demo, visit www.LearnCIA.com/3partcia/overview. Wondering how long it will take you to prepare for the exam? Create your free, personalized study plan at www.LearnCIA.com/3partcia/my-cia-review-plan.

To take advantage of special chapter discounts visit https://store.partnerrc.com/.

IIA Seminars

Developed and facilitated by seasoned internal audit professionals, IIA Seminars courses will provide you with the skills you need to drive results!

When: May 10-13
Where: MicroTek Training Facility, Chicago

The Following courses have been added:
- Auditor-in-charge Tools and Techniques
- Beginning Auditor Tools and Techniques
- New! COSO Internal Control Certificate
- Data Analysis and Samples
- Lean Six Sigma Tools for Internal Audit Fieldwork
- Lean Six Sigma Tools for Internal Audit Planning
- Operational Auditing: Influencing Positive Change
- Recently Added! Analyzing and Improving Business Processes
- Recently Added! Enterprise Risk Management: Elements of the Process
- Recently Added! Audit Report Writing
- Recently Added! Financial Auditing for Internal Auditors

Join us when we visit again October 25-28!
MEMBERSHIP CORNER

ANNIVERSARIES

January
June Middleton—Jack Henry & Associates (9)  
Heather Sartin—JQH Management LLC (2)

February
Brenda Putman—Springfield City Utilities (27)  
David Kimmerle—JQH Management LLC (14)
Cindy Bennett—Mercy Health (12)  
Lucy Jiany—Leggett & Platt, Inc (8)
Chris Jackson—Mercy Health (3)  
Kelly McCurdy—Mercy Health (3)
Sapphire Fitzgerald—Assemblies of God (2)  
William Mickey—American National (2)
Stephanie Joplin—The General Assemblies of God (2)  
Kevin Curtis—Leggett & Platt, Inc. (1)
Kaycia Fry—Leggett & Platt, Inc. (1)

March
Jane Dewberry—Missouri State University (24)  
Tami Reed—Missouri State University (23)
Judy Aug—City Utilities (13)  
Lindsey Odaffer—Leggett & Platt, Inc (13)
Lori Johnson—JQH Management LLC (12)  
Roland Dimos—Assemblies of God (10)
Derek Durman—Leggett & Platt, Inc. (9)  
Peter Edwards—OTC (9)
Rachel Simons—Cox Health (8)  
Jeremy Clopton—BKD CPAs, LLP (4)
Angela Holton—Great Southern Bank (3)  
Linda Love—Great Southern Bank (3)
Libby Wilson—Great Southern Bank (3)  
Kate Crosson—Missouri State Auditors Office (2)
Garry Avery—Great Southern Bank (1)  
Charla Berry—Great Southern Bank (1)

NEW MEMBERS

Joining us as of January 2016:
Jason Bradshaw—John Q Hammons Management, LLC
**FREE CPES**

Finding the time and money to get all the CPEs needed to maintain accreditations can be difficult. As members of the IIA we are lucky to have access to 3 free CPEs in every issue of the IIA Magazine. Just take a few moments out of your day to read the magazine then go online and take a quiz about the reading.

Furthermore, if you have a smartphone or tablet you can download the app. Just search “Internal Auditor Magazine”. This will provide you with a free digital version so you’re never without a copy.

**IIA MEMBERS ONLY WEBINARS**

The IIA offers webinars each month to members at no cost. Speakers and topics vary, but participants receive 1 NASBA CPE for each webinar. Registration is required, see The IIA website for registration details. Additionally, previous members only webinars are archived and accessible to members at no cost from The IIA website.

**Upcoming Members Only Webinars:**

- April 16, 2016 - The Evolution of Internal Auditing: Assure, Advise, Anticipate
- May 17, 2016 - Preparing for a Successful External Quality Assessment
- June 21, 2016 - The Uncharted Territory of Auditing an Organization's Culture
- July 12, 2016 - Maximizing the Value of the “Three Lines of Defense”
- August 16, 2016 - Data Analytics - Maximizing Value for Internal Audit
- September 20, 2016 - Technology Champions: Key Enablers for Internal Audit
- October 18, 2016 - Leveraging Data to Manage Your Fraud Risk
- November 15, 2016 - Auditing at the Speed of Risk

**2015/2016 CHAPTER OFFICERS**

**President**
Cynthia Bennett, Mercy
Cynthia.Bennett@Mercy.Net

**Vice President**
James Boutwell, CHAN Healthcare Auditors
J Boutwell@chanllc.com

**Secretary**
Dave Kimmerle, JQH
Dave.Kimmerle@jqh.com

**Treasurer**
Krystal Kauffman, Guaranty Bank
kkauffman@gbankmo.com

**OZARKS CHAPTER IS GOLD AGAIN!**

For the 9th consecutive year the Ozarks IIA Chapter has achieved GOLD status! This is The IIA’s methodology used to measure chapter performance. This is the direct result of both dedicated chapter leaders and active, supportive chapter members. Way to go Ozarks IIA Chapter!