Hello Everyone!

I can’t believe spring is here, partly because there is snow on the ground, and partly because we are nearing the end of our fiscal year. We have a couple of great CPE opportunities remaining in April. I can personally attest that Eddie Marmouget, BKD, and John Hall are dynamic speakers with a lot of interesting information to share. The Programs and Membership Committees are also working on a special event with the Springfield Cardinals in June, so stay tuned.

With the fiscal year ending in May, we will be working on the 2013-2014 programs calendar. The annual membership survey link is attached so we can solicit your input. This survey provides insight to the Chapter’s leadership team on our member’s preferences and on the programming topics members consider most beneficial. I strongly encourage every Ozarks Chapter member to complete the survey so we can develop a year of events that will serve you best.

Dave Kimmerle, Programs Chair, and I will be attending the IIA Leadership Academy in April. The event provides training, collaboration and networking for hundreds of IIA chapter leaders in the United States, Canada and more. We hope to come back with fresh ideas and a pool of additional resources for speaking engagements.

Last but not least, the Standards have undergone a revision effective January 1, 2013. Please refer to the following link for guidance on the revised standards. There is a marked up pdf version on this site that is very useful in reviewing and understanding the changes.

https://na.theiia.org/standards-guidance/mandatory-guidance/Pages/Standards.aspx

Your suggestions and feedback are important to us, so please feel free to contact me or any of the officers at any time. Thank you again for your continued support of our chapter.

Lori Cantrell
IIA Ozarks Chapter President
The IIA offers webinars each month to members at no cost. Speakers and topics vary, but participants receive 1 NASBA CPE for each webinar. Registration is required, see The IIA website for registration details. Additionally, previous members only webinars are archived and accessible to members at no cost from The IIA website.

**The next Members Only webinar:**

**Emerging Fraud Risks**

**Date:** TUESDAY, APRIL 16, 2013  
**Time:** 12 NOON—1 P.M. CST

Visit The IIA website for registration and more information: www.theiia.org

**Upcoming IIA Members Only Webinars**

The IIA also publishes scheduled upcoming Members Only Webinars and other free online events. Mark your calendar and don’t forget to register for these interesting topics and earn one free CPE!

**April 2013:**

**Title:** Guidance Briefing: Developing the Internal Audit Strategic Plan

**Date:** WEDNESDAY, APRIL 10, 2013  
**Time:** 12 NOON—1 P.M. CST

**May 2013:**

**Title:** Guidance Briefing: Auditing Privacy Risks

**Date:** WEDNESDAY, MAY 8, 2013  
**Time:** 12 NOON—1 P.M. CST

**May 2013:**

**Title:** Governance Audits

**Date:** TUESDAY, MAY 21, 2013  
**Time:** 12 NOON—1 P.M. CST

Visit The IIA website for registration and more information: www.theiia.org
Mark Your Calendar and Get Registered!

The IIA's 2013 International Conference theme is “One World, One Profession, One Destination.” The International Conference will be held July 14-17 in Orlando, Florida, USA.

Visit The IIA website for more details, registration and the conference brochure: www.theiia.org

Official Accommodations of The IIA’s 2013 International Conference:

**Orlando World Center Marriott Resort and Convention Center**

Discover an extraordinary getaway among resorts in Orlando at the spectacular Orlando World Center Marriott Resort and Convention Center.

Notes from the Conference Chairman:

The IIA’s 2013 International Conference is making history—
- This is the first International Conference (in 71 years) to be held in Orlando, Florida.
- This is the first ever being hosted by The IIA’s Global Headquarters’ staff.
- The first time International Conference delegates will have an entire resort and convention center exclusively to themselves—the magnificent Orlando World Center Marriott Resort and Convention Center.
- A first-ever International Conference with a full track of sessions presented in Spanish.

In addition—
- An unprecedented number of career-spanning educational sessions (84 sessions in 12 tracks)
- Group discounts available—for every 10 registrants, the 11th is complimentary.

Don’t miss out—REGISTER NOW!
Ozarks Chapter of the Institute of Internal Auditors

Spring Seminar
April 30, 2013
8:30 am - 4:30 pm

Presents: John Hall, CPA

John Hall is the president of Hall Consulting, Inc. He specializes in skills training programs and conference presentations for management groups, internal auditors, CPAs, and professional associations. Mr. Hall has 35 years of experience as a consultant, speaker, auditor and business owner. He has worked in senior leadership in public accounting, internal auditing, and Fortune 100 corporate management positions.

John is a member of the National Speakers Association, the American Institute of CPAs, and the Institute of Internal Auditors. His first book “Do What You Can! Simple Steps – Extraordinary Results” was published in June 2012. Details at www.JohnHallSpeaker.com

First Topic
Communication and Interpersonal Issues for Auditors

Summary
Professional auditors must master many skills to be effective. Attention is usually focused on technical and audit report issues. But what about auditor behavior and interaction skills, building trust, selling our ideas, and driving change. For the audit project to succeed, there must exist (or the auditor must create) an environment of mutual concern, respect and trust. While we all agree that sound technical skills are necessary for auditor effectiveness, the mastery and daily application of communication and interpersonal skills are just as important.

Second Topic
Fraud: Advanced Concepts for Internal Auditors

Summary
For professional internal auditors interested in stepping up their fraud handling skills to a higher level, this program includes many “next step” practices for auditors in making Fraud Risk Management a reality on every project.

IIA Members: $150
Non-Members: $200
JQH Conference Center
8.0 hrs. of Continuing Education
This edition’s audit department feature is John Q. Hammons Hotels Management LLC. Dave Kimmerle is the Programs Committee Chair for the Ozarks IIA Chapter this year and graciously agreed to let us feature his department. Thanks Dave!

**About the Company:** John Q. Hammons started developing hotels in 1958. John Q. Hammons Management is a privately owned ownership/management company. Currently we oversee the operations of 78 hotels across the continental United States. We currently employ over 9,000 associates.

**About the Department:** The Internal Audit staff completes comprehensive compliance and operational audits at the 78 locations. Additionally, we work with the external auditors during our year end audits. Lastly, we complete several projects as requested by upper management.

**Dave Kimmerle**  
I have worked for John Q. Hammons for 33 plus years. In the first 15 years I worked in operations at eight different hotels and worked seven different positions. I moved to Springfield and the audit department in 1994 and have been here ever since. I was promoted to Director of Internal Audit in September 2006. I studied in the accounting field at Eastern Montana College (Now Montana State University Billings). IIA member since 02/26/2002.

**Lori Johnson**  
I attended Missouri State University and graduated in May of 2003 with a bachelor’s degree in Accounting. I started with John Q. Hammons Hotels after I graduated in May of 2003. Throughout my almost 10 years with John Q. Hammons Hotels I have received three promotions to my current position of Audit Manager. I currently live in Springfield with my husband, Jeremy. IIA member since 03/09/2004.

**Kim Jennings**  
I graduated with a Masters degree in Accounting from Missouri State University in 2003. I am currently working as a Senior Internal Auditor for John Q. Hammons and have been for over eight years. During this time I have been on several task force teams to help open twelve hotels. In addition, I am the main trainer for the Internal Audit Department. IIA member since 12/30/2005.

**Chris Moritz**  
I graduated from Missouri State University in the summer of 2007 with a bachelors degree in Accounting. I started with John Q. Hammons in January of 2008 as an Internal Auditor. I’ve been with them five years now and my current position is Senior Internal Auditor. IIA member since 01/28/2009.

**Nai Li**  
I have been with John Q. Hammons for a year and a half. I am originally from TianJin, China where I earned a degree to practice law. I have been in America eight years and got my Bachelors in Accounting at Missouri State University. Out of the different types of accounting, I was drawn to auditing. It is a great pleasure to be a part of this team. IIA member since 01/30/2012.

**Samantha Klein**  
I completed the Master of Accountancy program at Missouri State University in the spring of 2011. In March of 2012, I began my career at John Q. Hammons Hotels as an Internal Auditor. I am excited to be working in my chosen field, and furthering my knowledge of the internal audit process. New IIA member 01/2013.
2013 Midwest District Conference

The 2013 Midwest District Conference will be held at the Des Moines Marriott Downtown, in Des Moines, Iowa. The conference is scheduled to coincide with, and includes a networking event at, the Iowa State Fair. The Iowa State Fair is one of the oldest and largest agriculture and industrial expositions in the US, attracting over a million visitors the last few years.

The Midwest District Conference includes top notch speakers across four primary tracks: Best Practices and Emerging Issues, Audit Management and Skill Development, Fraud and Ethics, and Information Technology. Keynote speakers include James Lam, President of James Lam & Associates, and Carolyn Saint, Chairperson of North American Board of The IIA.

For more information and registration information visit the Central Iowa Chapter—IIA website at http://chapters.theiia.org/central-iowa.

Ozarks Chapter Website Totally Revamped

The IIA recently revamped the chapter website platform, resulting in a whole new look and feel. The website will be easier to maintain and update from the chapter administration side, and more user friendly for navigation from the member side. It is a work in progress as we get all the information transferred and updated, but it is up and running and looks great. Please check it out when you have time at https://chapters.theiia.org/ozarks/Pages/default.aspx.

Join Us on LinkedIn!

The Ozarks IIA Chapter now has a LinkedIn group! Just one more way we are trying to stay connected to our members and provide them with the most up-to-date and beneficial information. The group is Institute of Internal Auditors (IIA) - Ozarks Chapter. Request to join the group or contact Lori Cantrell (Lori.Cantrell@coxhealth.com) for more information.
Membership Anniversaries

The Ozarks IIA Chapter would like to recognize milestone memberships for the quarter (1, 5, 10, etc.). Thank you for your continued support!

One year:
Melissa Pfaff—Great Southern Bank
Jeffrey Osenga—Great Southern Bank
Jeremy Clopton—BKD LLP
Nai Li—John Q. Hammons Management LLC
Kimberly Riggs—Great Southern Bank

Five Year:
Rachel Simons—CoxHealth Systems
Michelle Crawford—Missouri State Auditor’s Office
Mojia (Lucy) Jiang—Leggett & Platt Inc

Ten Year:
Lindsey Odaffer—Leggett & Platt Inc
Judy Aug—City Utilities

Welcome New Members

Samantha Klein—John Q. Hammons Management LLC
Chris Jackson—Mercy
Kelly McCurdy—Mercy

New Certifications

Rachel Simons—CRMA
Wayland Mueller—CIA
Shawn Barbour—CIA
Josh Beaird—CIA
Cynthia Bennett—CRMA
Nathan Dalton—CIA
It’s official...let the program begin!

The Membership Committee would like to present: The Membership Appreciation Program. The purpose of this program is to show appreciation to the members who regularly support the Ozarks Chapter.

Points will be awarded for the following activities:

- Provide contact information for new speakers
- Attend luncheons, seminars and other Chapter events
- Perform Chapter Liaison duties
- Bring a guest to Chapter luncheons or seminars*
- Audit group featured in the Chapter newsletter
- Submit an article for the Chapter newsletter
- Obtain IIA certifications
- Present audit related material to the Chapter or other group*
- Recruit new members*
- Have an article published in Internal Auditor Magazine*

* These activities require self-reporting to ensure points are properly awarded. Self-reported activities can be reported to Membership Committee Chair, Jamie Foster, at jfoster@therisingstar.com or any Membership Committee member.

The program will run on the Chapter fiscal year, June 1—May 31. The Membership Committee has awarded points for activities completed this fiscal year to date. If you have self-reportable items for this time period, please let us know. At the end of each program year, awards will be presented to the members with the most points in various categories. If you have comments or suggestions, let us know. Point allocation and activities may change as we strive to make this program truly successful at appreciating our most supportive members.

We also thought it might be fun to know who is in the lead! Each month, we will publish the top five members with the greatest total points accumulated—in random order! So you won’t want to miss the next event, it may put you in the lead!

And the front runners … in random order … at this point in time … are ...

Kristy Bork
Bob Gunnett
Stacy Hill
Ron Rosendahl
Rachel Simons

( Helpful hint: Point spread is only 5 points between 1st and 5th! And, more than 10 more members are within 2 points of the top 5! )
**CAP (Chapter Achievement Points)**

Just wanted to remind Chapter members about CAP points. Our Chapter reports our activity each month to The IIA. This helps track the progress of our Chapter in achieving our goals and provides a numerical comparison to other chapters. We receive points when our members participate in various activities, such as giving presentations, writing/submitting/publishing audit related articles, writing CIA exam questions, etc.

If you, or someone you know, is involved in something like this let us know. We would like to recognize their achievement and reflect it in Chapter reporting. If you are not sure the activity qualifies, let us know and we will look into it further. Email the information that you think may qualify to any Chapter officer. The contact information for all officers is on the last page of every newsletter.

With outstanding member support, the Ozarks Chapter has maintained Gold status the last six consecutive years! We are also on track for Gold again this year. We’ve had a very strong year thus far, and expect an even stronger finish! Thanks for supporting your Chapter!

**Job Opportunities**

Industry—Bank with 3 charters in the Northwest region of Iowa  
Contact—David Weaver (620-327-0371)  
Position—Lead position within the bank—develop the internal audit department and ERM program

Details: Large bank in the Northwest region of Iowa. One of 3 bank charters exceeds $1B in assets and is therefore subject to FDICIA/SOX testing. Bank is looking for someone with experience to develop the internal audit department and assist in the development of an enterprise risk management program. Salary would be negotiable, but indicated they would be willing to pay a 6 figure salary for experienced auditor. A great opportunity for someone willing to relocate.
Mark Your Calendar!

Mark your calendar for these upcoming IIA events:

- **APRIL 25, 2013**—Joint luncheon with ASWA, Topic: Ethics
- **APRIL 30, 2013**—Spring Seminar, John Hall, Communication and Interpersonal Issues for Auditors and Fraud: Advanced Concepts for Internal Auditors

**Location of Events:** The joint luncheon with ASWA will be held at 425 Walnut, all other events will be held at the University Plaza Convention Center.

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Reminders

- Please make sure that your information, including email address, is current with The IIA: Visit www.theiia.org and log in, then click on the link “Your Profile” at the top.
- Keep an eye on our [website](#) for any additional news and information!
- Do you have questions? Comments? Do you know someone that deserves recognition? Do you know of job opportunities? Would you like to contribute? Let us know. Chapter members are encouraged to contact any Officer with suggestions or comments.

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**2012/2013 Chapter Officers and Board**

**President**
Lori Cantrell, Cox Health Systems
Lori.Cantrell@coxhealth.com

**Vice President**
Cynthia Bennett, Mercy
Cynthia.Bennett@Mercy.Net

**Secretary**
James Boutwell, CHAN Healthcare Auditors
J Boutwell@chanllc.com

**Treasurer**
Avery Hudson, Liberty Bank
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**Board Member**
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**Board Member**
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**Board Member**
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**Board Member**
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