## Newsletter Snapshot

**Upcoming Events**—Check Out the Save the Date page! Please see page 3 for events coming soon!

- **CAP Survey**—We Need Your Help! Please see page 4.
- **Agile Auditing Seminar**—with BNY Mellon. Please see page 5.
- **Intermediate to Advanced ACL Training** Please see page 6.
- **Assessing Corporate Culture and Ethical Erosion** Please see page 7.
- **2019 Pittsburgh Information Security Awareness Day** Please see page 8.
- **ACFE**—Accounting and Ethics Half Day Seminar Please see page 9-10.
- **Duquesne IIA Co-Sponsor all day Educational Event** Please see page 13.
- **CIA Review System Online Review Course** Please see page 23.

**Internal Audit Opportunities** Please see page 26-34 for details and links to apply!
IIA Pittsburgh Chapter Members,

We are already off to a busy fall with some exciting new opportunities.

Upcoming programming highlights include:

- Agile Auditing luncheon on **Thursday, October 17th** featuring a panel of BNY Mellon auditors. A registration link on page 5 is open until 11:45pm tonight but walk-ins are also welcome to attend!
- A two day ACL training on **November 6th and 7th** for Intermediate to Advanced ACL users will be held at the Rivers Club (page 6)
- Duquesne University’s Accounting CPE Conference on **November 21st** (page 13)
- Assessing Corporate Culture and Ethical Erosion seminar on **December 4th** (page 7)
- An Online CIA Learning System review course facilitated by Raven Catlin will be **January – March 2020**. Registration details for each individual course is on page 23. Changes to the CIA exam are here – check out page 16 for links to the complete details.

I’d like to introduce our IIA student ambassadors who build awareness of the internal audit profession through promotion of IIA advocacy events. The ambassadors are from **Carlow, Clarion, Duquesne, IUP, Pitt, Point Park, Robert Morris, Slippery Rock, and St Vincent**. Take a look at page 14-15 for contact information and background for six of our nine student ambassadors. The remaining ambassadors will be featured in an upcoming newsletter.

Thank you for your continued support.

Laura (Gajski) Barber, CPA, CIA, CISA
Pittsburgh Chapter President
<table>
<thead>
<tr>
<th>Date</th>
<th>Topic</th>
<th>CPE</th>
</tr>
</thead>
<tbody>
<tr>
<td>October 17th</td>
<td>Agile Auditing Seminar—with BNY Mellon</td>
<td>1 Hour</td>
</tr>
<tr>
<td>October 22nd</td>
<td>ACFE—Accounting and Ethics Half Day Seminar</td>
<td>4 Hours</td>
</tr>
<tr>
<td>November 4th</td>
<td>Training Opportunity with the Central Ohio Chapter</td>
<td>8 Hours</td>
</tr>
<tr>
<td>November 6th &amp; 7th</td>
<td>ACL Training</td>
<td>16 Hours</td>
</tr>
<tr>
<td>November 21st</td>
<td>Duquesne IIA Co-Sponsor all day Educational Event</td>
<td>8 Hours</td>
</tr>
<tr>
<td>December 4th</td>
<td>Assessing Corporate Culture &amp; Ethical Erosion</td>
<td>8 Hours</td>
</tr>
<tr>
<td>December 9th</td>
<td>Pittsburgh ISACA—Annual InfoSec Day Conference.</td>
<td>8 Hours</td>
</tr>
<tr>
<td>January–March 2020</td>
<td>CIA Learning System online review program</td>
<td>16 Hours</td>
</tr>
<tr>
<td></td>
<td>Part 1-3 facilitated by Raven Catlin</td>
<td></td>
</tr>
<tr>
<td>May 21st</td>
<td>Annual Fraud Seminar May 21st Cranberry DoubleTree</td>
<td>TBD</td>
</tr>
</tbody>
</table>
The Chapter Achievement Program (CAP) was established by IIA Headquarters and requires each IIA Chapter to earn points in the following three areas:

- Service to Members
- Service to the Profession
- Chapter administration

**WE NEED YOUR HELP!**

Help us earn CAP points!! Report on activities you or your company are doing for the profession.

**Have you or your company .....**

- Conducted a speaking engagement in the US, Canada, or Caribbean (unpaid)
- Hosted an internal audit event at work (Lunch and Learn)
- Been appointed to an IIA committee

If you have done any of these, email Jayne McGoey at jmcgoey@bc.pitt.edu so we can capture your successes.
Please join the Pittsburgh Chapter of the IIA on Thursday October 17th at the Rivers Club for a luncheon presentation on Agile Auditing with Bank of New York Mellon (BNY). The lunch seminar will include an overview of agile auditing, the agile approach used by BNY, a panel discussion with senior BNY audit leaders about their experiences using an agile audit approach, and a Q&A session with the panel.

Speakers:
Daniel Ward | Vice President | Senior Audit Group Manager.
Diana Schaefer | Director | Audit Director.
Barb Speidel | Managing Director | Deputy Chief Auditor
Tom Novak | Managing Director | Deputy Chief Auditor | Chief Admin Officer (CAO)
Jared Rock | Vice President | Audit Project Leader

Location:
Rivers Club
One Oxford Center
301 Grant Street, Suite 411
Pittsburgh, PA, 15219
Intermediate to Advanced ACL Training

WEDNESDAY NOVEMBER 6 & THURSDAY NOVEMBER 7
AT THE RIVERS CLUB, ONE OXFORD CENTER, PITTSBURGH PA 15219

Attention ACL Users! The IIA Pittsburgh Chapter is excited to announce that this year’s ACL training covering Intermediate to Advanced ACL Concepts will be held on November 6th & 7th. Participants will be eligible for 16 hours of CPE (Audit). The training will be held at the Rivers Club in downtown Pittsburgh. Space is limited, so act quickly to secure your spot!

**PRICE:**
- **EARLY BIRD PRICING AVAILABLE UNTIL OCT 21**
  - IIA Members $550 | Non-members $600
- **FULL PRICE**
  - IIA Members $600 | Non-Members $700

**SCHEDULE:**
- **Wednesday, November 6**
  - Registration 8:00 – 8:30 AM
  - Program 8:30 AM – 5:00 PM
- **Thursday, November 7**

**SPEAKERS:**
*Michael P. Keiffer | CPA | CIA | CISA | ACDA:
Michael is an independent data analysis consultant and ACL Master Trainer with extensive experience using ACL both as a data analysis consultant and as an instructor. Michael has over 16 years of experience data analysis consulting and training experience in using ACL as a data-mining tool as a fraud detection and compliance tool for various financial institutions.

Throughout this 2-day course, participants will learn how to:
- Learn the various methods of building both simple and more complex scripts, including how to incorporate best practices in building scripts. The appropriate use and rules of ACL command syntax will also be covered in the scripts.
- Troubleshoot scripts and how to debug scripts for potential syntax errors.
- Use advanced scripting commands such as the GROUP command, the LOOP command, the ASSIGN command and the NOTIFY command.
- Build conditional computed fields in scripts, and how to create views in scripts.
- Build more powerful, interactive scripts using dialogue boxes in scripts and variable substitution.
- Script specific test objectives, such as performing basic data integrity verification tests and looking for different input formats on invoice numbers.
- Perform data harmonization on address fields in a script, prior to running a duplicates test.
- Schedule scripts to perform continuous data monitoring using the Windows Task Scheduler.
- Write more powerful ACL expressions (both filters and computed fields) using different ACL functions, including some of the more complex functions such as RECOFFSET(), DICECOEFFICIENT( ) and REGEXFIND( ) and REGEXREPLACE( ).
- Learn how to run more advanced ACL commands such as OUTLIERS, RELATIONS and FUZZY MATCHING.
- The coursework will also incorporate a REVIEW of:
  - More commonly used ACL commands, including VERIFY, STATISTICS, CLASSIFY AND SUMMARIZE.
  - Techniques for importing source files in various formats, including report image and PDF files.
  - Overview of the latest commands and functionality of ACL Analytics Version 14.

***Don’t see a specific topic you were hoping to be covered in this course? Please contact us to see if it can be incorporated!***

CANCELLATION POLICY: Due to commitments made with the venue for this event, we are unable to offer any refunds once registration is complete. However, if unable to attend, you may send an alternate in your place for no additional cost. Please contact Giles Kerley at gkerley@tmsinternational.com with any questions, or if someone else will be attending in your place.
Assessing Corporate Culture & Ethical Erosion

The IIA Pittsburgh Chapter is excited to promote a whole day seminar on Assessing Corporate Culture & Ethical Erosion to be held at the Rivers Club on Wednesday December 4, 2019. The seminar will cover ethical erosion and the relationship between ethics and governance. Attendees will receive 8 hours of CPE (2 in Ethics). With this being the year-end and a PA CPA CPE reporting year, this will be a great way in filling those last-minute CIA/CPA CPE requirements.

Register Here!

PRICE:

EARLY BIRD PRICING AVAILABLE UNTIL NOV 15 | IIA Members $200 | Non-members $250

FULL PRICE | IIA Members $225 | Non-Members $300

SCHEDULE:

DECEMBER 4, 2019 | Registration & Breakfast: 7:00 – 8:00 AM | Program 8:00 AM – 4:00 PM

CPE:

Field of Study – Auditing (6 HR); Professional Ethics (2 HR)

SPEAKER:

Raven Catlin | CIA | CPA | CFSA | CRMA

Raven Catlin is a globally recognized speaker and Internal Audit expert. Raven leveraged her 20-year internal audit career and embraced her passions for internal audit and knowledge sharing to start Raven Global Training in 2006. Her training team provides over 200 in-house, on-site and virtual presentations and training for Risk Managers and Internal Auditors. Raven is a pioneer of Agile Auditing and is a top-rated speaker at international and national conferences.

Raven is VCU graduate. She served in audit positions including Interim CAE as a Consultant at Experis and Senior Manager at Protiviti. She held audit positions with Freddie Mac, Bank of America, and Philip Morris. Raven is active in the IIA and contributing author to CIA Learning System.

CANCELLATION POLICY: Due to commitments made with the venue for this event, we are unable to offer any refunds once registration is complete. However, if unable to attend, you may send an alternate in your place for no additional cost. Please contact Giles Kerley at gkerley@tmsinternational.com with any questions, or if someone else will be attending in your place.
Mark your calendars for the **2019 Pittsburgh Information Security Awareness Day**, presented by the ISACA Pittsburgh Chapter, on **Monday, December 9, 2019** at the Rivers Casino.

The ISACA Pittsburgh Information Security Awareness Day Conference is the premier educational event for Information Systems Audit and Information Security professionals in the Western Pennsylvania area.

This year’s event will feature **Brian Krebs**, reporter, information security investigator, and author of [Krebs on Security](http://krebsonsecurity.com).

Visit the [PGH InfoSec Day](http://pghinfosecday.com) website for sponsorship opportunities on the “Become a Sponsor” page, and instructions for submitting speaking proposals on the “Call for Speakers” page. Event registration will open in the coming weeks.

Thanks for supporting your Pittsburgh Chapter of ISACA. Please contact us here with any comments or concerns or visit us at [www.isaca.org/Pittsburgh](http://www.isaca.org/Pittsburgh).
October 22, 2019
12:00 PM – 4:00 PM

Free Hors D’ Oeuvres Offered During the Event
Networking Happy Happy Hour to Follow

Join us for this relevant seminar featuring presentations by representatives from Schneider, Downs, Baker Tilly, and Carnegie Mellon University and earn four continuing education hours.

SCHEDULE OF EVENTS

- Using Automation, Data Analytics and Big Data to Identify Fraud, Matt Kraemer
- Overview of the Statement on Standards for Forensic Services, David Duffus
- Integrity, Ethics, and the Perception of Leadership, Ronald Placone

RSVP here by October 18, 2019
MATT KRAEMER, CPA
Matt has more than six years of public accounting experience serving both public and privately held companies across a variety of industries, including manufacturing, oil and gas, transportation, investment funds, professional services, and not-for-profits. He also has extensive experience serving clients’ employee benefit plans. Matt leads the firm’s Automation and Data Analytics Project Team, which requires him to stay current with emerging technologies in the industry. Matt's priority is to serve clients efficiently and effectively through the use of automated procedures and development of audit data analytic programs that provide business insights to help identify risks and opportunities for clients. He received his B.S.B.A. in Accounting and Finance with a minor in Economics from the University of Pittsburgh. Matt also holds a certificate in Business Analytics: From Data to Insights from the Wharton School at the University of Pennsylvania.

DAVID DUFFUS, CPA/ABV/CFF, CFE
David is a Partner in Global Forensics & Litigation Services at Baker Tilly. Since 1992, David has specialized in working on complex litigation services, forensic accounting and valuation services assignments for businesses ranging from start-up entities to Fortune 100 companies. He has worked extensively with counsel through all phases of the litigation process and has provided expert witness and deposition testimony in matters in state and federal court, and in alternative dispute settings. Additionally, David has served as an arbitrator/neutral accountant in post-acquisition and valuation related disputes. Prior to Baker Tilly, David was President of Duffus & Associates, P.C.; Senior Manager at Sisterson & Company; Financial Advisory Services Senior Manager at Pricewaterhouse Coopers LLP; and Senior Associate at Lindquist, Avey, McDonald, Baskerville. He received his B.A. in Economics and Political Science and M.B.A. in Accounting and Finance from the University of Pittsburgh. David holds professional affiliations with the American (AICPA) and Pennsylvania Institutes of Certified Public Accountants (PICPA), AICPA Forensic & Litigation Services Committee, AICPA Economic Damages Task Force (Chair), Association of Certified Fraud Examiners, and Turnaround Management Association – Pittsburgh Chapter Board (President).

RONALD PLACONE, Ph.D.
Ronald is an Associate Teaching Professor of Business Management Communication at Carnegie Mellon University. He received his BA from the University of Pittsburgh; MA from the University of Indiana, Bloomington; and Ph.D. from Carnegie Mellon University. Ronald's research interests include managerial and professional communication, leadership and influencing skills, team creativity and effectiveness, and workplace civility. He is active in University service engagements, including serving as a Member of the Academic Actions Committee which reviews issues with undergraduate academic performance and the Undergraduate BAEC which communicates with faculty regarding issues related to the undergraduate curriculum. Ronald is also a Faculty Lead for the Accelerate Leadership Center, where he oversees all aspects of the Communication Studio, participates in Accelerate activities and serves as an advisor to the Accelerate staff.
The Advocacy Committee is pleased (and excited) to share that Duquesne University has been accepted into the Internal Audit Education Program (IAEP)! Duquesne University is the first school in our Pittsburgh Chapter and in Pennsylvania to be recognized by the Institute of Internal Auditors (IIA) for their commitment to the internal audit profession and meeting certain requirements, including offering classes specific to Internal Audit. A special gratitude to Val Williams, Board of Governors 2nd Vice President and Advocacy Committee Member for her passion and dedication to meet this great achievement.

Under LaDawn Yesho’s, Advocacy Committee Chairperson, leadership, the IIA Pittsburgh Advocacy Committee formed a local IAEP Advisory Board consisting of professionals from Pittsburgh companies and local colleges and universities to support schools such as Duquesne University to heighten the stature of a career in internal auditing, including internal auditing as part of the curriculum and moving the profession forward.

IAEP Advisory Board Members are expected to be involved in the following:

✦ To review the colleges and universities’ applications to determine that they meet the eligibility requirements and the criteria established by the IIA related to the program quality, continuity and sustainability;
✦ To be an ambassador and promote the internal audit profession;
✦ To be a guest speaker at colleges and universities to supplement the classroom instruction;
✦ To provide suggestions to enhance Internal Audit curriculum, including insights and perspectives from industry (“current real world” examples); and To be a resource and assist with internship opportunities and job placement of IAEP students.

Dollar Bank
PNC

Schneider Downs
Ernst & Young

Federal Home Loan Bank of Pittsburgh

S&T Bank

Mylan

MSA

PWC

UPMC
The IIA Advocacy Committee, in our continued efforts to promote internal audit at local universities, has developed an Advocacy Brochure which describes our committee, the various activities we the advocacy committee offer to college students and the internal auditing education partnership. The brochure was recently sent to audit executives based upon the most recent IIA Pittsburgh chapter membership listing.

If you did not receive one, please feel free to contact Nicole Saldamarco at iiapittsburghchapter@gmail.com. And she will send a brochure to you.

In conjunction with the mailing of the brochure, we also recently sent a Survey Monkey request to local internal audit executives with a 10 question survey. The survey was sent by Giles Kerley from TMS International. The survey was developed by our advocacy committee to understand your entity’s needs and/or hiring practices for college interns and/or college graduates. Once we include the information for all respondents into a database, we can better promote the internal audit profession at universities by proving there are jobs available to college students.

If you are an internal audit executive and have not received a survey or brochure, your current information may not be available on the IIA’s directory and/or you may have requested not to be contacted. If you do wish to participate in the survey, please feel free to contact Nicole Saldamarco at iiapittsburghchapter@gmail.com and she can provide a survey.

As always, we are also looking for volunteers who are willing to speak about internal audit at colleges. We currently do not have any scheduled visits for 2019-2020 school year, however, we work closely with Point Park University, Indiana University of Pennsylvania, Duquesne University, Clarion, Carlow, Saint Vincent, Slippery Rock, Robert Morris and the University of Pittsburgh and do plan to assist the accounting associations with speakers.

If you have an interest, contact any us at iiapittsburghchapter@gmail.com.
November 21, 2019

Presentation Topics and Schedule

Welcome and Introduction
- Bob Kollar, Duquesne University

Accounting Update - (1 hour A&A)
- Mike Denove, Partner, EY

Current Privacy, Data and Information Security Trends—(1 hour)
- TBD

MORNING BREAK

CFO Panel: Challenges Facing the Modern Accounting and Finance Organization—(1 Hour A&A)
- Bill Lyons, Moderator
- Pat DeCourcey, CFO-ATI
- Rob DeMichiei, EVP & CFO-UPMC
- Deb Pegher, Sr. Director, Calgon-Carbon

Impact and Implementation of New Auditing Standards—(1 Hour A&A)
- Anita Doutt, Center for Audit Quality

Keynote Speaker--Annual Economic Outlook—(1 Hour PD)
- Gus Faucher, Chief Economist, PNC Bank

One on One with FASB’s Newest Board Member—(1 Hour A&A)
- Sue Cosper, FASB Board Member
- Bob Kollar

AFTERNOON BREAK

Ethics and Accounting—(1 Hour Ethics)
- Dr. Kip Holderness, WVU

Individual and Corporate Tax Update—(1 Hour PD)
- Dr. Bryan Menk, Duquesne University
- TBD

Closing comments, evaluations
- Bob Kollar, Duquesne University

Master of Accountancy (Macc) Program—Shepperson Suite
15th Anniversary Reception
Introducing our IIA Student Ambassadors

We have a total of nine ambassadors at the following Universities: Carlow, Clarion, Duquesne, IUP, Pitt, Point Park, Robert Morris, Slippery Rock and St Vincent. The ambassadors will be organizing events on their campus to build the awareness of internal auditing and the IIA. If you have an interest in volunteering to serve on a panel or a guest speaker, please reach out to the students listed below. You will also see newsletter articles from the students throughout the academic year. Finally, they will be promoting the IIA advocacy events which include the Fall case competition, Winter scholarships and Spring presentation contests.

To get to know the ambassadors a little better, we thought you might want to hear a little more about each of them. For this newsletter we are featuring six of the ambassadors, look for the remainder in newsletters forthcoming.

Meet Dante Grenci. He is a senior at Clarion University. His email is d.j.grenci@eagle.clarion.edu

Dante’s favorite class is Internal Audit and did an internship with Protiviti this past summer.

Meet Alex Chadwick from Slippery Rock University. She can be reached at Alc1040@sr.edu

She is a sophomore at Slippery Rock University of Pennsylvania. Her favorite class is marketing because it allows me to learn about a concept then apply it. It is a great class, also, because it allows her to work with her peers to develop a successful marketing strategy. She spent her summer vacation this year with her family traveling to Orlando, FL and went to Disney World for 4 days.

Meet Shelby Lowery from IUP. Her contact information is rdcy@iup.edu.

Shelby got to travel to Punta Cana and Surfside Beach, South Carolina this past summer for vacation. She is currently a sophomore at IUP and her favorite class is Intermediate Accounting! She likes this class as she feels like it is teaching her more about the types of issues she may encounter upon graduation.
Meet Giovanni Scolieri from Duquesne University. His contact is scolierig1@duq.edu.

Giovanni is almost done with his academic studies as he is in his senior year. His favorite class has been advanced accounting as he loves a good “T” account! In addition to multiple leadership program experiences, he vacationed with his family in Punta Cana. He has asked a position for a summer 2020 internship with Deloitte. He will be starting his Masters in Accounting next year.

Samantha Williams is a junior at Robert Morris University. She can be reached at skwst203@mail.rmu.edu.

Samantha sure does know how to travel! This past summer her travels took her to South Africa. Her study abroad program afforded her this wonderful opportunity. She learned about the increase in entrepreneurial activity in her Entrepreneurship and Innovation class and the how start up businesses have grown to market their business, finance their business, and manage their company to maximize profits. She just started her governmental and non-profit accounting class and is very interested in learning the different aspects of accounting across various industries.

Abigail Ertle as a senior and graduating with 150 credits in Spring 2020 will be representing the University of Pittsburgh. You can reach her at ave4@pitt.edu

Over the summer she interned at PNC within Internal Audit. She loved learning about the different processes for the line of business they were auditing as well as learning from all of the managers there. The interns also got to hear from all of the Assistant General Auditors about their career experience which was very beneficial as we all start our own careers. Overall, it was a great program and a fun summer! In order to promote the IIA on campus, she plans to use the extra-curricular business clubs to inform students of the great activities that the IIA has in place for students. She also plans to ask prior and current accounting professors to share the news with their classes. Look for fliers around the Business School. She looks forward to promoting the IIA on Pitt’s campus this school year!

Complete listing of all of the IIA Student Ambassadors and IIA Advocacy Committee Members aligned with the student ambassadors.

IIA Advisory contacts are LaDawn Yesho at LaDawn.Yesho@stbank.com and Val Williams at trott@duq.edu.

<table>
<thead>
<tr>
<th>Student Name</th>
<th>University</th>
<th>Contact Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gio Scolieri</td>
<td>Duquesne University</td>
<td><a href="mailto:Scolierig1@duq.edu">Scolierig1@duq.edu</a></td>
</tr>
<tr>
<td>Dante Grenci</td>
<td>Clarion University</td>
<td><a href="mailto:d.j.grenci@eagle.clarion.edu">d.j.grenci@eagle.clarion.edu</a></td>
</tr>
<tr>
<td>Shelby Lowery</td>
<td>IUP</td>
<td><a href="mailto:rdcy@iup.edu">rdcy@iup.edu</a></td>
</tr>
<tr>
<td>Missy Koshute</td>
<td>St. Vincent</td>
<td><a href="mailto:Melissa.koshute@stvincent.edu">Melissa.koshute@stvincent.edu</a></td>
</tr>
<tr>
<td>Samantha Williams</td>
<td>Robert Morris University</td>
<td><a href="mailto:skwst203@mail.rmu.edu">skwst203@mail.rmu.edu</a></td>
</tr>
<tr>
<td>Abigail Ertle</td>
<td>University of Pittsburgh</td>
<td><a href="mailto:Ave4@pitt.edu">Ave4@pitt.edu</a></td>
</tr>
<tr>
<td>Alexis Chadwick</td>
<td>Slippery Rock University</td>
<td><a href="mailto:Alc1040@sr.edu">Alc1040@sr.edu</a></td>
</tr>
<tr>
<td>Matthew Cannon</td>
<td>Carlow University</td>
<td><a href="mailto:mdcannon@live.carlow.edu">mdcannon@live.carlow.edu</a></td>
</tr>
<tr>
<td>Kortney Lampee</td>
<td>Point Park University</td>
<td><a href="mailto:kmlampe@pointpark.edu">kmlampe@pointpark.edu</a></td>
</tr>
</tbody>
</table>
THE CIA EXAM CHANGES ARE HERE!
CHOOSE THE RIGHT STUDY TOOLS.

The English language CIA exam will be updated on January 1, 2019. For complete details about the CIA exam transition across all languages, visit www.theiia.org.

Exams passed by December 31, 2018 will carry forward, so don’t put off your studies. Start now by choosing the right study materials for you and take advantage of The IIA’s CIA Learning System 2019 Upgrade Guarantee!

Prepare to pass the CIA exam with the experts! The IIA’s CIA Learning System was created by a team of CIA-certified industry experts to be the most relevant, comprehensive, and effective CIA review program available. This interactive self-study program combines reading materials with online study tools to teach and reinforce the entire global CIA exam syllabus in a flexible, on-demand format. Looking for more structure, guidance and motivation? Facilitator-led courses are also available around the globe in traditional classroom, online and virtual formats. Prepare to pass the CIA exam and arm yourself with critical tools and knowledge to excel in your internal audit career. Get started today!

Please use the follow link to learn all things CIA!
https://www.learncia.com/

CIA Learning System Self-Study
IIA members receive up to $100 off the CIA Learning System materials.
Please hyperlink the first attachment to www.learncia.com.

CIA Learning System Instructor-Led Course
Please hyperlink to either www.learncia.com/classes-offered or link directly to the website for the CIA Learning System course that your chapter is offering or promoting.

Find Your Perfect Fit.
Three flexible study options make it easy to prepare your way.
IIA Learning Webinars

IIA Learning Webinars are short, live, educational presentations covering the latest trending topics in the world of internal auditing. In The IIA’s members-only webinars, you can interact with established leaders about emerging issues, boost your business know-how, and receive complimentary CPE credit. Plus, you can access the extensive library of archived webinars, 24/7 (CPE credits are unavailable with archived presentations).

Five Reasons to Attend a Webinar

IIA webinars provide:

♦ Short, interactive presentations led by professionals.
♦ Keen insights from audit experts.
♦ Immediate awareness of current trends.
♦ Free access for IIA members.
♦ Complimentary CPE credit.

Registration will close 24 hours prior to the beginning of the webinar start time listed.

Upcoming IIA Webinars

05-November-2019
PSAC Virtual Symposium: Preparing Public Sector Auditors for Today’s Terrain

12-November-2019
Members-only Webinar: Blockchain Technology: What Internal Auditors Should Know

09-December-2019
EHSAC Webinar: Regulatory Roll-backs at the EPA

On-demand 24/7 Webinar Playback
One benefit of being an IIA member is having access to our extensive library of archived webinars. See IIA website for details.
IIA HQ Events

The IIA offers a wide variety of dynamic events focused on emerging issues in internal auditing. IIA conferences are designed to allow for networking with peers, gaining greater understanding of leading practices, and taking your career to the next level.

All Star Conference—October 21-23rd, Las Vegas, NV
Virtual Symposium—November 5 & 7th, Las Vegas, NV
Gen. Audit Management Conf.—March 16-18th, 2020, Las Vegas, NV

To learn more about these events, please use the following link!

https://na.theiia.org/training/conferences/Pages/Conferences.aspx
Visit https://bookstore.theiia.org/

IIA Members can earn up to 20% off certain products by logging in!
The IIA offers a wide variety of publications providing up-to-date news and information about various sectors of the internal audit profession. Please take a few minutes to review our free and subscription-based publications.

IIA SmartBrief

(Free to the public) IIA SmartBrief provides a weekly snapshot of market news and issues affecting internal auditors and their stakeholders from leading global news sources. SmartBrief is a service that curates thousands of news sources and delivers timely articles affecting the profession straight to our members’ email inboxes. Learn more.

Your Career Compass

Your Career Compass is The IIA’s newest publication to support our members’ internal audit career growth with the latest knowledge, tools, and resources available. Members receive the 44 to 52-page guide four times a year in print with IA magazine, and a monthly eNewsletter with tips and guidance on training, education, and knowledge sharing focused on specific topics and issues. This publication has replaced IIA Today and Your Training Compass Resource Guide. Learn more.

IIA Connection

(IIA members only) IIA Connection is The IIA’s monthly member eNewsletter designed to provide relevant and timely information on internal audit news and what’s happening at The IIA in the areas of guidance, research, training, services, events, and certification.

Tone at the Top

(Free to the public) Tone at the Top provides executive management, boards of directors, and audit committees with concise, leading-edge information on issues such as risk, internal control, governance, ethics, and the changing role of internal auditing; and guidance relative to their roles in, and responsibilities for, the internal audit process. Tone at the Top is also available online in Spanish and Turkish, translation courtesy of IIA-Ecuador and IIA-Turkey, respectively.

CAE Bulletin

(For Audit Executive Center members only) CAE Bulletin is a semi-monthly newsletter published as a membership benefit in the rapidly expanding program for chief audit executives, the Audit Executive Center. This newsletter features the latest in news and guidance designed to help CAEs respond to today’s business challenges and opportunities, with links to resources needed to stay current with the most pressing audit issues.
Certification Corner® is a quarterly newsletter designed to provide certification candidates and holders the latest developments in The IIA's certification programs. It also provides helpful information such as reminders about CPE reporting requirements and changes that you are likely to encounter in The IIA's Certification Candidate Management System (CCMS). Candidates who are enrolled and maintain their certification(s) receive this enewsletter.

(For FSA Group members only) IIA Financial Services SmartBrief replaces FSA Times and provides members of The IIA's Financial Services Auditor (FSA) Group a monthly snapshot of market news and issues from leading global news sources that affect financial service internal auditors and their stakeholders. SmartBrief is a service that curates thousands of news sources and delivers timely articles affecting financial services. Subscribe today by becoming a member of the FSA Group.

(For Gaming Audit Group members only) IIA Gaming SmartBrief replaces The Gaming Auditorium and provides members of The IIA's Gaming Audit Group a monthly snapshot of market news and issues from leading global news sources that affect gaming internal auditors and their stakeholders. SmartBrief is a service that curates thousands of news sources and delivers timely articles affecting gaming. Subscribe today by becoming a member of the Gaming Audit Group.

(For ACGA members only) Government Auditor SmartBrief provides a monthly snapshot of market news and issues affecting government auditors and their stakeholders. SmartBrief is a service that curates thousands of news sources and delivers timely articles and news affecting the profession straight to your email inbox. This monthly eNewsletter helps ACGA members stay on top of emerging issues within the public sector by delivering a weekly snapshot of market news and issues affecting government auditors and their stakeholders. There's no need for members to subscribe or opt-in. ACGA members automatically receive the eNewsletter on the third Tuesday of each month. If you’re not a member, we encourage you to join ACGA today!
EVEN MORE CHAPTER BENEFITS TO TAKE ADVANTAGE OF:

(IPPF)® International Professional Practices Framework

(IPPF)® - 2017 Edition

Refreshed with globally relevant content, The IIA’s new International Professional Practices Framework® (IPPF®), or Red Book, brings internal auditors up to speed on mandatory and recommended guidance.

The International Professional Practices Framework (IPPF)® is the conceptual framework that organizes authoritative guidance promulgated by The Institute of Internal Auditors. A trustworthy, global, guidance-setting body, The IIA provides internal audit professionals worldwide with authoritative guidance. The IPPF includes Mandatory Guidance and Recommended Guidance.

Mandatory Guidance:
- Core Principles for the Professional Practice of Internal Auditing.
- Definition of Internal Auditing.
- Code of Ethics.
- International Standards for the Professional Practice of Internal Auditing (Standards).

Recommended Guidance:
- Implementation Guidance.
- Supplemental Guidance.

The IPPF 2017 edition features the Mission of Internal Audit, Core Principles for the Professional Practice of Internal Auditing, the Definition of Internal Auditing, Code of Ethics, the Standards, and Implementation Guidance in hard copy. All Supplemental Guidance documents are on USB.
ONLINE CIA EXAM REVIEW COURSES
JANUARY THROUGH MARCH 2020

The IIA Pittsburgh Chapter is excited to promote the return of its online exam preparation review courses to help you be successful in your pursuit of the CIA certification. Online instruction is conveniently scheduled on Monday and Thursday evenings from January (Part 1), February (Part 2), and March (Part 3). As an added bonus students who attend all courses will receive 16 hours of CPE.

Students can apply for either:

Full registration for each course. This includes 7 online instructor-led CIA exam syllabus review sessions, the CIA learning books/material and access to the CIA Learning System online study tools.

Discounted registration: This includes 7 online instructor-led CIA exam syllabus review sessions only. This is aimed at students who have already purchased study material.

PRICE:

PRICE PER COURSE (Includes seminars & study material) | IIA Members $650 | Non-Members $850
A $225 dollar discount can be applied if students have already purchased the CIA study material. (access to live seminars only).

SCHEDULE:

CIA PART 1 Review Session Dates: Register Today!

<table>
<thead>
<tr>
<th>January 6th</th>
<th>4:30pm - 6:30pm</th>
<th>January 9th</th>
<th>4:30pm - 6:30pm</th>
</tr>
</thead>
<tbody>
<tr>
<td>January 13th</td>
<td>4:30pm - 6:30pm</td>
<td>January 16th</td>
<td>4:30pm - 6:30pm</td>
</tr>
<tr>
<td>January 23rd</td>
<td>4:30pm - 6:30pm</td>
<td>January 27th</td>
<td>4:30pm - 6:30pm</td>
</tr>
<tr>
<td>January 30th</td>
<td>4:30pm - 6:30pm</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

CIA PART 2 Review Session Dates: Register Today!

<table>
<thead>
<tr>
<th>February 3rd</th>
<th>4:30pm - 6:30pm</th>
<th>February 6th</th>
<th>4:30pm - 6:30pm</th>
</tr>
</thead>
<tbody>
<tr>
<td>February 10th</td>
<td>4:30pm - 6:30pm</td>
<td>February 13th</td>
<td>4:30pm - 6:30pm</td>
</tr>
<tr>
<td>February 20th</td>
<td>4:30pm - 6:30pm</td>
<td>February 24th</td>
<td>4:30pm - 6:30pm</td>
</tr>
<tr>
<td>February 27th</td>
<td>4:30pm - 6:30pm</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

CIA PART 3 Review Session Dates: Register Today!

<table>
<thead>
<tr>
<th>March 2nd</th>
<th>4:30pm - 6:30pm</th>
<th>March 5th</th>
<th>4:30pm - 6:30pm</th>
</tr>
</thead>
<tbody>
<tr>
<td>March 9th</td>
<td>4:30pm - 6:30pm</td>
<td>March 12th</td>
<td>4:30pm - 6:30pm</td>
</tr>
<tr>
<td>March 16th</td>
<td>4:30pm - 6:30pm</td>
<td>March 19th</td>
<td>4:30pm - 6:30pm</td>
</tr>
<tr>
<td>March 23rd</td>
<td>4:30pm - 6:30pm</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

INSTRUCTOR:

Raven Catlin is a globally recognized speaker and Internal Audit expert. Raven leveraged her 20-year internal audit career and embraced her passions for internal audit and knowledge sharing to start Raven Global Training in 2006. Her training team provides over 200 in-house, on-site and virtual presentations and training for Risk Managers and Internal Auditors. Raven is a pioneer of Agile Auditing and is a top-rated speaker at international and national conferences.

CPE:

Field of Study – Auditing (16 HR)
Looking for Volunteer Positions?

Looking for a way to get more involved with Pittsburgh IIA Chapter? We are always looking for volunteers to aid in building our wonderful organization. **Taking a leadership role or becoming part of a committee will provide a great opportunity to give back to the Pittsburgh Chapter as well as provide many networking opportunities!** A variety of volunteer roles are available allowing for a range of responsibilities and time commitments providing a perfect opportunity for everyone to get involved!

Please feel free to reach out if you are interested in learning about what positions may be available.

Please contact our Volunteer Coordinator Colin Powell at cpowell@paf-resources.com for more information on how you can get involved in the Pittsburgh Chapter!
Advertise with us!

The IIA newsletter is distributed to approximately 800 local Internal Audit professionals on a regular basis. Advertising with us is a great way to attract local talent to fill your open positions; and if your company has an audit group membership, employment opportunity ads are FREE OF CHARGE! See below for our advertising policies and rates.

EMPLOYMENT OPPORTUNITIES

Employment advertisements placed by audit group member companies are free of charge.

Employment advertisements placed by non-group member companies:

- ¼ page - $50
- ½ page - $100
- Full page - $200

Employment advertisements for employment agencies, recruiting firms, and professional services providers, including firms placing ads on behalf of member companies:

- ¼ page - $100
- ½ page - $200
- Full page - $400

OTHER ADVERTISEMENTS

Advertising for services or products:

- ¼ page - $100
- ½ page - $200
- Full page - $400

Attention Members:

Please take a few minutes to review your IIA profile and contact information at www.theiia.org. Maintaining current information in your IIA profile will help to ensure that you will continue to receive valuable information from The IIA.

REMINDER: Members of the IIA Pittsburgh chapter are given access to the membership list. This list is NOT to be used to solicit members. Solicitation of services/products using the chapter membership list may result in the revocation of membership.
Overview

ATI Corporate is seeking a Senior level auditor (Senior Process Leader, Internal Audit) to join our high performing team. If you are looking for an opportunity to support a growing, world-class manufacturing company with multiple manufacturing operations, then this position is for you! This role provides a unique opportunity to learn various ATI businesses and will require approximately 25% travel. A goal of our internal audit group is to offer a rewarding opportunity to learn our business and provide our auditors with the knowledge and expertise to develop and seek out growth opportunity in higher level roles, either within the internal audit department or another company position. The successful candidate is a self-starter, eager to learn, and interested in identifying opportunities and generating ideas to enhance the effectiveness and efficiency of the audit team.

Job Responsibilities

- Lead in the execution of some aspects of the annual audit plan, including Sarbanes-Oxley 404 management testing.
- Work with managers in planning of audit engagements.
- Create new and update existing audit programs.
- Execute audit programs by performing audit tests through a combination of inquiry, observation, inspection and re-performance to achieve objectives for financial, operational, compliance, and special projects.
- Document understanding of processes through narratives, flow-charts and transactional walk through support.
- Act as the main point of contact for communicating and coordinating test procedures with external auditors.
- Prepare high quality audit work papers that are organized and well documented in accordance with departmental policy.
- Review audit workpapers and provide feedback to Process Leaders and Process Specialists.
- Effectively communicate findings identified as a result of audit procedures to internal audit team, as well as finance and operations management.
- Evaluate the significance of audit findings, and review findings, recommendations, and remediation with Internal Audit.
- Prepare and review draft audit reports. Identify recommendations and process improvement opportunities.
- Ensure accounting transactions and balances are recorded in accordance with US generally accepted accounting principles and company policy.
- Enhance accounting and auditing knowledge base by staying current with manufacturing industry activity and accounting/auditing pronouncements.
- Ensure compliance, quality, and operational processes are functioning efficiently, effectively and in accordance with company policy.
- Lead and review special projects as assigned.
- Provide feedback to Process Leaders and Process Specialists through performance evaluations.

Basic Qualifications:

- Four years of public or private financial reporting and internal controls audit experience.
- Professional certification (CPA, CIA) or working towards such designation.

Knowledge/Skills:

- Advanced knowledge of Sarbanes-Oxley requirements and US generally accepted accounting principles and auditing.
- Excellent interpersonal, communication, organization, analytical, and leadership skills. Demonstrated ability to communicate with all areas and levels within the company.
- Ability to work well both independently and lead a team in a cohesive team environment.
- Ability to manage multiple projects with competing deadlines.
- Working knowledge or Microsoft Excel, Word, and PowerPoint.
- Working Knowledge of ACL (Audit Command Language) or other data analytic software.
- Must be willing to travel both domestically and internationally (approximately 25%).
At Erie Insurance, you’re not just part of a Fortune 500 company; you’re also a valued member of a diverse and inclusive team that includes more than 5,000 employees and over 2,200 independent agencies. Our Employees work in the Home Office complex located in Erie, PA, and in our Field Offices that span 12 states and the District of Columbia. To attract and retain the best talent, we reward our team members with competitive salaries and a very generous benefits package.

Relocation benefits available.

Position Summary As a leader within the Internal Audit department, executes its mission to enhance and protect organizational value by providing independent, risk-based and objective assurance, advice and insight. Directs and oversees the operational and information technology (IT) audit teams to execute audits evaluating both the operational and IT controls within a process. Provides high-level oversight and strategic thought-leadership to accomplish the goals of the integrated audit effort.

This position provides the opportunity for you to attend Audit Committee meetings and build relationships with senior leaders.

Qualifications
- Bachelor’s degree in business, finance, accounting, management information systems, information technology, or a related field.
- Master’s degree preferred.
- Ten years of experience in internal auditing, IT auditing, or related experience, required. CIA or CISA designation preferred.
- Insurance designations such as CPCU or FLMI preferred.
- Knowledge of auditing techniques, including audit administration and data extraction and analytics methodology required.
- Working knowledge of IT systems and controls required.
- Supervisory experience required.

Duties and Responsibilities
- Manages overall operations of designated area of authority. Interviews, hires, and directs personnel. Prepares and conducts performance assessments for direct reports, coordinates discipline and oversees Employee development as required.
- Continuously monitors risk and maintains relationships with business and support function partners to assist in the development of the audit plan using a risk-based methodology.
- Executes the audit plan approved by the Audit Committee by directing staff in the completion of internal audits, consulting engagements and special projects.
- Ensure the department evaluates the design and performs testing of the effectiveness of the functioning of key operational and IT internal controls, meeting the professional standards and guidelines set by the Institute of Internal Auditors.
- Provides strategic thought-leadership and cultivates innovation amongst the team and direct report managers. Assists the Vice President & Chief Internal Audit Officer as needed, in the capacity of liaison to the Audit II Committee, executive and senior management, independent auditors and other risk and control partners.
- Assists with the preparation the department’s annual operating budget, monitoring of actual versus budgeted spending and making spending adjustments as necessary.
- Develops and maintains relationships with internal and external clients, including but not limited to, members of the Executive Council, the Controller, IT senior leader, the Audit Committee, and the external audit firm’s management personnel.
- Participates in special audits or investigations and other ERIE projects as directed.
- Completes special projects as needed.

Audit Opportunities at BNY MELLON

Audit Senior – Tech Audit – 1913470:

Leads or performs audit related activities and works as a team member on audit assignments.
Leads risk-focused fieldwork, in accordance with Internal Audit policies, methodologies, and standards in order to identify meaningful issues, risks and other exposures in the area of the company being audited.
Draws on extensive experience and/or specialist skills (e.g. technology, advanced operational knowledge, or experience, etc.) to plan and deliver audits.
Leads or performs audit related activities, manages others, and works as a team member on audit assignments, as required.
Responsible for ensuring testing is adequately thorough and accurate to allow an evaluation of the adherence to company policies and procedures, audit methodologies, and all applicable regulations, when performing a project leader role.
Tests application controls that support the key business processes as per Audit plans and methodologies.
Demonstrates understanding of businesses and processes through flowcharting, work papers, analysis, issue identification and discussions with project leaders and auditees on projects of varying complexity.
Leverages advanced business knowledge or experience in order to practice strategic or systemic results.
Able to query business clients and colleagues in Audit to understand the underlying processes and procedures necessary to evaluate the control risks.
Performs research independently and has adequate knowledge of statistical sampling and risk analysis to perform research with technical accuracy.
Engages in Continuous Monitoring activities.
Uses knowledge of financial institutions and all applicable regulatory requirements on a day to day basis.
Engages in relevant training regarding audit, businesses, financial controls, regulations, or a particular specialty and actively seeks to apply this knowledge in their role and pass it on to the wider audit team.
Thinks beyond past routines about new issues or risks.
Demonstrates skills through advanced analysis of business processes and issue identification, as well as discussions with the project leader, audit manager, and auditees.
Serves as an internal resource capable of a wide range of Audit issue and processes.
Has built an understanding of core concepts in data analytics, relevant technology, the regulatory environment, the financial services industry, project management and business processes and can integrate these concepts into audit processes and leverage them to solve difficult problems.
Develops relationships with the Audit Project Leader and/or audit management, other Audit associates, and clients.
Able to clearly explain the audit process to clients (if appropriate), as well as any findings or results.
Understands the sensitive nature of confidential information and uses it appropriately.
 Elevates potential audit issues to the AIC as soon as they are identified.
Has demonstrated ability to explain technical/complex issues to non-technical audiences and is gaining skill in persuading and influencing others to adopt a new point of view.
Applies analytical problem-solving skills and leads others in solving complex issues and identifying innovative solutions.
Finds effective solutions to problems involving application coverage.
In such cases, contributes technical knowledge not readily available elsewhere in the department to solve audit issues.
Identifies inconsistencies with the control environment, regulatory requirements and best practices and initiates the development of a structure or solution to address the issue(s).
Identifies opportunities for the use of automation and actively takes part in advancing recommendations for software support requests.
No direct reports.
Demonstrates leadership through work guidance and training of less experienced peers and through advice to assigned clients. May lead others on discrete projects or mentor team members.
Job scope is limited to the accuracy and quality of incumbent's own work. Contributes to the achievement of team goals. May have project-level resource responsibilities (e.g., human resources, budgeting, etc.)

Qualifications:
Bachelor's degree or equivalent combination of education and work experience required.
Degree in Accounting, Finance, or Computer Science, Engineering, or Mathematics preferred.
Minimum 5 years of total work experience preferred.
Prior experience in Audit or the relevant specialty area preferred.
Additional core skill requirements include understanding of the applied and interpretation of analytics results for Audit, critical thinking and problem solving.
Audit Senior – Operations – 1909749:

Leads or performs audit related activities and works as a team member on audit assignments. Leads risk-focused fieldwork, in accordance with Internal Audit policies, methodologies, and standards in order to identify meaningful issues, risks and other exposures in the area of the company being audited.

Draws on extensive experience and/or specialist skills (e.g. technology, advanced operational knowledge, modeling, or experience, etc.) to plan and deliver audits.

Specialists (modelers, IT Audit, programming, Professional Practices, etc.) may have different specific requirements but all roles involve management and problem solving with little day-to-day oversight.

Leads or performs audit related activities, manages others, and works as a team member on audit assignments, as required.

Responsible for ensuring testing (or other tasks as applicable to a specialty) is adequately thorough and accurate to allow an evaluation of the adherence to company policies and procedures, audit methodologies, and all applicable regulations, when performing a project leader role.

Tests financial, operational and other processes as per Audit plans and methodologies.

Demonstrates understanding of businesses and processes through flowcharting, work papers, analysis, issue identification and discussions with project leaders and auditees on projects of varying complexity.

If the role requires a specialization, the individual will work on projects of greater complexity that involve significant understanding of the regulatory regimes, business models and processes for each assignment.

Leverages advanced business knowledge or experience in order to practice strategic or systemic results.

Able to query business clients and colleagues in Audit to understand the underlying processes and procedures necessary to evaluate the control risks.

Performs research independently and has adequate knowledge of statistical sampling and risk analysis to perform research with technical accuracy.

Engages in Continuous Monitoring activities.

Uses knowledge of financial institutions and all applicable regulatory requirements on a day to day basis.

Engages in relevant training regarding audit, businesses, financial controls, regulations, or a particular specialty and actively seeks to apply this knowledge in their role and pass it on to the wider audit team.

Thinks beyond past routines about new issues or risks.

Proficient with statistical or modeling computing software if applicable.

Demonstrates skills through advanced analysis of business processes and issue identification, as well as discussions with the project leader, audit manager, and auditees.

Serves as an internal resource capable of a wide range of Audit issue and processes.

Has built a deep understanding of core concepts in data analytics, relevant technology, the regulatory environment, the financial services industry, project management and business processes and can integrate these concepts into audit processes and leverage them to solve difficult problems.

Develops relationships with the Audit Project Leader and/or audit management, other Audit associates, and clients.

Able to clearly explain the audit process to clients (if appropriate), as well as any findings or results.

Understands the sensitive nature of confidential information and uses it appropriately.

Elevates potential audit issues to the AIC as soon as they are identified.

Has demonstrated ability to explain technical/complex issues to non-technical audiences and is gaining skill in persuading and influencing others to adopt a new point of view.

Applies advanced analytical problem-solving skills and leads others in solving complex issues and identifying innovative solutions.

Finds effective solutions to problems involving specialization.

In such cases, contributes technical or specialized skills sets or business knowledge not readily available elsewhere in the department to solve audit issues.

Identifies inconsistencies with the control environment, regulatory requirements and best practices and initiates the development of a structure or solution to address the issue(s).

Identifies opportunities for the use of automation and actively takes part in advancing recommendations for software support requests.

No direct reports.

Demonstrates leadership through work guidance and training of less experienced peers and through advice to assigned clients.

May lead others on discrete projects or mentor team members. Job scope is limited to the accuracy and quality of incumbent’s own work.

Contributes to the achievement of team goals.

May have project-level resource responsibilities (e.g., human resources, budgeting, etc.).

Modified based upon local regulations/requirements.

Qualifications:

Bachelor's degree or equivalent combination of education and work experience required.

Degree in Accounting, Finance, or relevant critical thinking specialty such as MIS, DIS, Computer Science, Mathematics preferred. Modelers - Advanced degree (PhD) in Math, Statistics, Physics, Economics or Engineering preferred.

5-7 years of total work experience preferred. Prior experience in Audit or the relevant specialty area preferred.

Additional core skill requirements include understanding of the applied and interpretation of analytics results for Audit, critical thinking and problem solving.

Travel required, 15% or less.
Sr. Auditor – Operations – 1912505:

Performs risk-focused fieldwork, in accordance with Internal Audit policies, methodologies, and standards in order to identify meaningful issues, risks and other exposures in the area of the company being audited or reviewed.

May be required to possess specialist skills if, for example, the auditor is being hired for Technology, Professional Practices or has a targeted role such as quantitative analysis for a Model Auditor or programming.

Shadows and deputizes for Audit Senior, in preparation for managing audit projects and conducting more complex audits.

Tests financial, operational and other processes as per Audit.

Conducts risk-focused audits that can have a negative impact on business continuity and makes conclusions on the level of residual risk.

 Appropriately analyzes control environments and develops effective audit steps to test controls where necessary in line with new methodology.

Owns sections of the audit program and brings them to completion.

Engages in Continuous Monitoring activities.

Uses knowledge of financial institutions and all applicable regulatory requirements on a day to day basis.

Learns business strategies, processes, and regulations (both on the job and through relevant training) and is alert to the changing nature of risk.

Uses statistical or modeling computing software if applicable.

Demonstrates newly acquired knowledge and skills through, for example, thoughtful analysis of business processes and issue identification, as well as discussions with the project leader and auditees.

Viewed as an internal resource capable of creating and maintaining accurate and clear work documents, completing tasks on time, on budget, and accurately, and quickly learning new businesses, processes, and regulations.

Has built a foundational understanding of core concepts in data analytics, relevant technology, the regulatory environment, the financial services industry, project management and business processes and can integrate these concepts into audit processes.

Develops relationships with the Audit Project Leader, other Audit associates, and clients.

Understands the sensitive nature of confidential information and uses it appropriately.

Elevates potential audit issues to the Audit In Charge (AIC) as soon as they are identified.

Has demonstrated ability to articulate difficult concepts to others, adapting communication methods/approaches as necessary.

Applies solid judgement and analytical skills, contributing to the development of innovative solutions to complex problems.

Identifies inconsistencies with the control environment, regulatory requirements and best practices and initiates the development of a structure or solution to address the issue(s).

Identifies opportunities for the use of automation and makes recommendations for software support requests.

No direct reports.

Demonstrates leadership through work guidance and training of less experienced peers and through advice to assigned clients.

Job scope is limited to the accuracy and quality of incumbent’s own work.

Understands how audit teams integrate and contributes to the achievement of team goals.

Modified based upon local regulations/requirements.

Qualifications:

Bachelor's degree or equivalent combination of education and work experience required.

Degree in Accounting, Finance, or relevant critical thinking specialty such as MIS, DIS, Computer Science, Mathematics preferred.

Modelers - Masters in Mathematical Finance or advanced degree in Math, Statistics, Physics, Economics or Engineering preferred.

3-5 years of total work experience preferred.

Prior experience in Audit or the relevant specialty area preferred.

Additional core skill requirements include understanding of the applied and interpretation of analytics results for Audit, critical thinking and problem solving.

Travel required, 15% or less.
Sr. Auditor – Wealth Management - 1913190

Performs high quality, risk-focused fieldwork, in accordance with Internal Audit policies, methodologies, and standards in order to identify meaningful issues, risks and other exposures in the Wealth Management areas. The incumbent will test the financial, operational and other processes as per Audit procedures. Creates and maintains accurate and clear work documents. Completes tasks efficiently (on time, on budget, accurately). Learns new businesses, processes and regulations effectively and quickly. Communicates well with clients and Audit team members. Engages in relevant training regarding audit, businesses, financial controls, regulations or a particular specialty. Typically works on projects of moderate complexity that would involve significant learning (regulatory regime, business model, processes) on each new assignment. Must be able to quickly assimilate new information in order to analyze the risks and controls effectively. Relevant knowledge of financial institutions and all applicable regulatory requirements is expected. Should be able to think creatively as well as take direction. Identify problems that are not consistent with past audits and begin to structure a solution or remedy to address issue(s). Identify opportunities for the use of automation and make recommendations for software support requests. Need to display flexible and adaptive problem solving skills due to the variety of operational and technological infrastructures within the Company. Staff at this level will be expected to own sections of the audit program and bring them to completion. Learns business strategies, processes and regulations effectively and quickly and is alert to the changing nature of risk. Conduct risk-focused audits that can have a negative impact of business continuity. Appropriately analyze control environment and develop effective audit steps to test controls where necessary in line with the new methodology. Conclude on the level of residual risk. Demonstrates these skills through, for example, thoughtful analysis of business processes and issue identification, as well as discussions with the project leader and auditees. The individual works closely with the Audit Senior and other Auditors on each project. Additionally the individual would work closely with clients both in learning the business and testing controls. This requires both good oral and written communications skills and an ability to be sensitive to the needs of clients and team members. Must be able to keep sensitive information confidential and know how to use appropriately. Must be able to know when to elevate potential audit issues as soon as they are identified to the Auditor in Charge

Qualifications:
College degree or equivalent. 4 year degree in accounting or finance; other subjects may be applicable for specialties preferred. 3 years relevant experience. 3-5 years relevant experience including experience in audit or the relevant specialty area preferred. Requires at least 25% travel.
Sr. Auditor – Finance - 1912922

Performs high quality, risk-focused fieldwork, in accordance with Internal Audit policies, methodologies, and standards in order to identify meaningful issues, risks and other exposures within Finance and Human Resources areas.
Tests financial, operational and other processes as per Audit procedures.
Creates and maintains accurate and clear work documents.
Completes tasks efficiently (on time, on budget, accurately).
Learns new businesses, processes and regulations effectively and quickly.
Communicates well with clients and Audit team members.
Engages in relevant training regarding audit, businesses, financial controls, regulations or a particular specialty.
 Typically works on projects of moderate complexity that would involve significant learning (regulatory regime, business model, processes) on each new assignment.
Must be able to quickly assimilate new information in order to analyze the risks and controls effectively.
Relevant knowledge of financial institutions and all applicable regulatory requirements is expected.
Should be able to think creatively as well as take direction.
Identify problems that are not consistent with past audits and begin to structure a solution or remedy to address issue(s).
Identify opportunities for the use of automation or data analytics and make recommendations for software support requests.
Need to display flexible and adaptive problem solving skills due to the variety of operational and technological infrastructures within the Company.
Staff at this level will be expected to own sections of the audit program and bring them to completion.
Specialists may have different roles depending on their department but all will need to be able to use their skills to find effective solutions to problems as part of the day-to-day business.
Learns business strategies, processes and regulations effectively and quickly and is alert to the changing nature of risk.
Conduct risk focused audits that can have a negative impact of business continuity.
 Appropriately analyze control environment and develop effective audit steps to test controls where necessary in line with the new methodology.
Conclude on the level of residual risk.
Demonstrates these skills through, for example, thoughtful analysis of business processes and issue identification, as well as discussions with the project leader and auditees.
The individual works closely with an Auditor-In-Charge (AIC) and other Auditors on each project.
Additionally the individual would work closely with clients both in learning the business and testing controls.
This requires both good oral and written communications skills and an ability to be sensitive to the needs of clients and team members.
Must be able to keep sensitive information confidential and know how to use appropriately.
Must be able to know when to elevate potential audit issues as soon as they are identified to the AIC.
No direct reports.
Demonstrates leadership through work guidance and training of less experienced peers and through advice to assigned clients.

Qualifications

College degree or equivalent.
4 year degree in accounting or finance; other subjects may be applicable for specialties preferred.
3 years relevant experience required.
3-5 years relevant experience preferred.
Internal Auditor/Senior Internal Auditor

The Internal Auditor / Sr. Internal Auditor will join the internal audit team and assist management to meet the strategic objectives of ETRN’s internal audit function. The incumbent will have an opportunity to support all aspects of internal audit, including the development and execution of the annual operational internal audit plan, preparation of presentations to senior management and the Audit Committee of the Board of Directors, SOX testing and participation in internal audit special projects. ETRN’s Internal Audit team is small and therefore, auditors have an opportunity to be exposed to many aspects of the auditing function.

Specific duties include:

• With oversight from Internal Audit management, plan and execute operational audits including advisory engagements, process audits and external vendor audits
• Prepare workpapers summarizing audit work performed.
• Identify issues and process improvements along with proposed remediation plans, draft reports and communicate results to management.
• Provide input, coordination and monitoring of select Third Party-led Internal Audits in conjunction with Internal Audit Plan to ensure audits are completed timely, appropriately scoped and completed within budget.
• Perform ad-hoc duties in support of the audit plan related to audit reporting and issuance, document management, capturing and evaluating key audit metrics and collecting auditee feedback.
• In support of annual audit planning, analyze data to identify trends and potential audit areas.
• Work with Internal Audit management to create presentations for senior management and the Audit Committee of the Board of Directors. Review and compile Audit Committee presentations from all departments
• Provide support to the organization’s SOX program by performing internal control walkthroughs and controls testing periodically throughout the year

Required Skills:

• Ability to analyze data/audit evidence where the objective is to identify issues and implement controls where appropriate.
• Adept at managing multiple projects with competing deadlines.
• Strong interpersonal skills in order to coordinate with operations of the various business units to complete field audits on a routine basis.
• Self-starter who works well independently and with cross-functional teams.
• Ability to multi-task and participate in concurrent engagement and/or projects.
• Excellent written and verbal communication skills. This role will work closely with members of internal audit, compliance, internal clients and other relevant internal and external parties.
• Proficient in Microsoft Word, Excel and PowerPoint.

Preferred Skills:

• Experience conducting internal audits and inquiries, including some experience completing data analysis on a routine basis to identify additional audit areas.
• Ability to make recommendations on topics to audit based on the results of other internal audit efforts and data analysis performed.
• Experience with SOX/internal controls testing in a corporate environment.

Required experience:

• Bachelor’s degree in Accounting, Finance or related field.
• At least two years of applicable experience in public accounting or internal audit is required for the Internal Auditor; 4 years of applicable experience is required for the Senior Internal Auditor;
• Certified Public Accountant or Certified Internal Auditor strongly preferred.

Energy experience preferred, but not required.
Internal Auditor Senior I

In this role, you will plan, execute, report on audits, and create appropriate audit work papers as required. You will work under the supervision of senior auditors but may occasionally be expected to lead less complex audit projects. You will write professional recommendations for an executive audience that reflect an understanding of company objectives and capabilities, control requirements, and industry best practices and will develop leadership capabilities to facilitate change in a constructive and collaborative manner.

Qualifications:

- Bachelor’s degree in accounting or related business field required.
- Minimum of three years of experience in accounting, internal auditing, or assessment function required.
- Internal audit experience is preferred.
- Some exposure to the health care field, and/or equivalent combination of education and experience required.
- Computer skills are required.
- Working towards obtaining a professional certification in auditing or area of specialization preferred.

To apply and take your career to the next level, click here:
https://careers.upmc.com/jobs/4631056-internal-auditor-senior-i

Internal Auditor, Senior II

The Internal Audit Senior II is responsible for evaluating healthcare risks to the company and consulting with management to identify process improvements and operational efficiencies. Deliver internal audit activities to assure they are conducted according to professional standards with the goal of maximizing value for the company. Current activities include audit engagements throughout the system, data analytics, process improvement projects, special investigations and special projects as requested by management. This position will be expected to allocate their time to multiple projects and assignments concurrently and will be responsible for completing planning, fieldwork execution, report writing, workpaper completion, and follow-up monitoring. Present audit conclusions and value added recommendations to management that reflect an understanding of company objectives and capabilities, control requirements, and industry best practices to facilitate change in a constructive and collaborative manner. The senior is responsible to collaboratively share skills with other staff when appropriate.

Qualifications

- Bachelor’s degree in accounting or related business field required. A minimum of eight years of experience in risk and control focused areas required. Relevant professional certification preferred.
- In lieu of eight years of experience, relevant professional certification (CPA, CIA or CMA, or other) and three years’ experience is required.
- An advanced related degree and/or Six Sigma Certification are preferred.
- Strong technical skills, including superior knowledge in Access, Excel, Power Point, Visio and SharePoint.
- Knowledge of industry standard project management tools, methods and reports.
- Good understanding of risk analysis and process improvement.
- Strong verbal and written communication skills with the ability to work effectively with all levels of the organization.
- In lieu of eight years of experience, relevant professional certification (CPA, CIA or CMA, or other) and three years’ experience is required.

To apply and take your career to the next level, click here:
https://careers.upmc.com/jobs/4678501-internal-auditor-senior-ii
Committee | Chairperson
---|---
Advocacy | LaDawn Yesho 
[LaDawn.Yesho@stbank.com](mailto:LaDawn.Yesho@stbank.com)

Certifications | Chris Cerda 
[ccerda@sdcpa.com](mailto:ccerda@sdcpa.com)

Directory | Chris Cerda 
[ccerda@sdcpa.com](mailto:ccerda@sdcpa.com)

Golf Outing | Jaye Wilson 
[jwilson@schneiderdowns.com](mailto:jwilson@schneiderdowns.com)
Eric Davis 
[edavis@schneiderdowns.com](mailto:edavis@schneiderdowns.com)

Hospitality | Colin Powell 
[cpowell@paf-resources.com](mailto:cpowell@paf-resources.com)

Membership | Chris Cerda 
[ccerda@sdcpa.com](mailto:ccerda@sdcpa.com)

Newsletter | Brianne Roark 
[broark@paf-resources.com](mailto:broark@paf-resources.com)

Programming | Giles Kerley 
[gileskerley@yahoo.com](mailto:gileskerley@yahoo.com)

Volunteers | Colin Powell 
[cpowell@paf-resources.com](mailto:cpowell@paf-resources.com)

Website | Shari Pearl 
[Shari.Pearl@bnymellon.com](mailto:Shari.Pearl@bnymellon.com)

Historian | Jeff Mayausky 
[jmayausky@gmail.com](mailto:jmayausky@gmail.com)