Upcoming Chapter Event
RESCHEDULED- STAY TUNED!!!

Dodd-Frank Act for Internal Auditors

Location: Rivers Club, One Oxford Center, 301 Grant Street, Pittsburgh, PA 15219

Registration: 10:30 AM - 11:00 AM
Program: 11:00 AM - 3:00 PM
Lunch: 12:00 PM - 1:00 PM

CPE Available: 3 Hours

Registration: E-mail your reservation to Gina Dutkovich at: GDutkovich481@dollarbank.com

Fees: IIA Members - $50 (includes lunch!)
Non-Members - $75 (includes lunch!)

Payment: Make checks payable to the “IIA – Pittsburgh Chapter” and mail to Dollar Bank – Audit Department; 2700 Liberty Avenue; Pittsburgh, PA 15222; Attn: Gina Dutkovich.

Deadlines: All reservations are due no later than February 27, 2014. Cancellations will not be accepted after this date. Cancellations made after the deadline will be charged the full cost of the meeting.

Questions: Please call Gina Dutkovich (Hospitality Committee) at 412-261-8129.
## Upcoming Chapter Meetings and Events

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Our Chapter’s Programming Committee works very hard to provide our members with affordable and effective CPE sessions and networking opportunities. Please note that the information above is subject to change.

**Registration:** Contact Gina Dutkovich at: **GDutkovich481@dollarbank.com** to make a reservation!
RESCHEDULED STAY TUNED!

Registration: 10:30 AM - 11:00 AM  
Lunch: 12:00 PM - 1:00 PM  
Program: 11:00 AM - 3:00 PM  
CPE: 3 CPE Hours

Location: Rivers Club, One Oxford Center  
301 Grant Street, Pittsburgh, PA 15219  
Members: $50 (includes lunch!)  
Non-Members: $75 (includes lunch!)

Seminar Description
Since the Dodd Frank Act was enacted, there are many new regulations that have already impacted the financial services industry. But it’s not over. The regulatory bodies are still finalizing more rules.

The IIA Pittsburgh Chapter and RiskSpan are co-sponsoring this seminar to help our members enhance awareness and understanding of some of the myriad of new rules under the Act. This enhanced understanding will prove to be valuable as we perform risk identification and assessment as part of compliance programs, annual audit planning, and engagement planning.

RiskSpan, a recognized industry leading boutique advisory firm, assists financial services organizations in operational advisory, data/analytics, and regulatory/compliance matters. Headquartered in Vienna, VA, the firm employs approximately 75 subject matter experts in all facets of risk mitigation, with a concentration in the utilization of data to make informed risk mitigation decisions.

Session Moderator
William Vahey, Managing Director, RiskSpan
Mr. Vahey’s background spans approximately 25 years, and combines management consulting experience with hands on industry experience. William spent 8 years in the Washington D.C. office of KPMG, as a CPA (Inactive) and Senior Manager in the firm’s National Mortgage Banking Practice, where he assisted over 75 lenders and servicers in a management consulting capacity across the residential, commercial, GSE, subprime, and homebuilder subsidiary sectors.

After that, he spent 13 years at Wells Fargo and predecessor firms Wachovia and First Union, in a variety of leadership roles in the risk management and real estate sectors; he has hands on experience with every facet of the real estate lifecycle, from origination, through underwriting and closing, to asset management and servicing, to foreclosure and REO.

He was instrumental in multiple risk based initiatives, including all credit, market and operational risk activities within the company’s Mortgage Servicing unit, as well as in the creation of leading edge tools used to manage risk and make informed risk based decisions.

Following that, he had a 2-year stint at BlackRock, where he performed underwriting and credit package submissions for Freddie Mac loan originations, as well as analytics, cash flow / market analysis, and loss forecasting on CMBS securities;

Sponsored by the IIA Pittsburgh Chapter and RiskSpan
Session I
Larry Platt, Partner, K&L Gates LLP
Dodd Frank Considerations for Residential Lending

Mr. Platt formerly served as a Practice Area Leader of K&L Gates’ Financial Services practice and was a member of the firm’s Management Committee.

Mr. Platt has a national practice, concentrating in a range of matters related to real estate finance, mortgage banking and consumer finance in both the primary and secondary markets. This includes:

- Drafting and negotiating agreements concerning (i) mergers and acquisitions of companies in both asset and stock transactions, (ii) loan broker, loan correspondent and whole loan purchase agreements, (iii) servicing rights purchase and sale agreements, and (iv) servicing and sub-servicing agreements.
- Counseling clients on federal and state consumer credit laws.
- Defending companies in connection with federal and state governmental audits, investigations and enforcement proceedings.
- Assisting in litigation involving consumer class action lawsuits and commercial claims.
- Advising clients on public policy issues related to housing finance issues.

Professional Background
The 2007, 2008, 2009, 2010 and 2011 Chambers USA ranked Mr. Platt as a top lawyer (Band 1) practicing Financial Services Regulation: Consumer Financial Services Regulation. Mr. Platt was nationally ranked by Chambers USA 2012 and, most recently in 2013, under Financial Services Regulation: Consumer Finance (Compliance) (Star Individuals) and Financial Services Regulation: Consumer Finance (Litigation) (Band 1). Mr. Platt was listed in the 2012, 2013 and 2014 Best Lawyers in America in the area of banking and finance law. In 2006, Mr. Platt received an inaugural Mortgage Excellence Award from Home Equity News in recognition of his service and contributions to mortgage lenders and the mortgage lending industry. In September 2002, Lending Intelligence magazine named Mr. Platt as one of Washington’s ten most influential people on lending issues. He is a former member of the Board of Governors of the Mortgage Bankers Association of Metropolitan Washington, Inc. From 1989 to 1997, he was on the Board of Directors for Montgomery Housing Partnership, Inc., a non-profit, tax-exempt owner and developer of affordable housing in Montgomery County, MD. He helped found and for over 15 years was a pro bono lawyer for The Unitarian Universalists Affordable Housing Corporation. From 2002 to 2006, Mr. Platt was a board member and Counsel to the Board of Trustees at St. Andrew's Episcopal School in Potomac, Maryland.

K&L Gates LLP comprises more than 2,000 lawyers who practice in 48 offices located on five continents. For the third year, K&L Gates was named among top two firms for first-tier rankings in the annual U.S. News-Best Lawyers “Best Law Firms” survey. In 2012, Corporate Board Member magazine, in association with FTI Consulting, Inc., ranked K&L Gates as one of the top 25 law firms in its annual list of “America's Best Corporate Law Firms.” The 2013 BTI Consulting Group survey of corporate counsel included the firm in its “BTI Client Service 30,” an elite ranking that recognizes the leading law firms in driving superior client relationships according to general counsel.

Session II
Chris Conquest, Advisory Manager, KPMG – Mortgage and Consumer Lending (MCL)
An Overview of Dodd-Frank -- Considerations for Internal Auditors

Chris is an Advisory Manager in KPMG’s Mortgage and Consumer Lending practice. With extensive internal audit and management consulting experience, he has assisted a wide variety of financial institutions including student lenders, thrifts and savings banks, top 10 national and regional banks, the GSE’s, and non-bank mortgage originators and servicers. Functionally, Chris has led and managed projects focused on the consumer lending origination and servicing life cycles, operational risk, business process redesign, regulatory compliance, quality control, internal audit and risk management from conception to implementation. Currently, Chris leads the mortgage internal audit function for a top ten mortgage originator and servicer overseeing the originations, servicing and capital markets work streams. He is a Certified Internal Auditor (CIA), a Project Management Professional (PMP) and obtained his Certification in Risk Management Assurance (CRMA). Prior to joining KPMG, Chris was a senior manager with Protiviti’s Internal Audit and Financial Controls practice where he led and managed internal audit full outsourcing, co-sourcing and loan staff engagements.
Tuesday, March 25, 2014

Time: 4:00 PM - 6:00 PM
CPE: 2 CPE Hours
Location: Schneider Downs, One PPG Place, Pittsburgh, PA
RSVP: E-mail your reservation to Val Williams at: trott@duq.edu.
If you are interested, please RSVP by March 21st.
Hosted by: Schneider Downs

Members are invited to attend the contest free of charge and serve as judges. Two CPE hours will be granted to all IIA Members serving as judges!! As a judge, members will hear various student groups present on audit topics such as social media risks, effective internal audit reports, relationship between compliance and audit and much more. Not only will you get Two free hours of CPE, you will also get to assist with judging the students as they compete.

First place presentation - $500; Second place - $350 and Third Place $150.

Judging based on IIA members in attendance at the meeting scheduled for mid-March, 2014. Criteria for judging to be determined.

Topics will be assigned to teams on a first come first served basis. There will be no repeat topics. All presentations should be 10 to 15 minutes in length and submitted to trott@duq.edu one week prior to the scheduled presentation date in March. Six to eight presentations will be selected. Presentation teams should be three to six students. All AV equipment will be provided to the students.

Potential Topics
(1) What is Internal Audit’s (IA’s) role in corporate governance?
   a. Subtopics
      i. Audit of assurance of governance
      ii. Consultative project

(2) What role does IA have within the ERM process?
   a. Subtopic
      i. Describe IA’s role, management’s role and the external audit’s role

(3) What is the use of Data Analytics within Internal Audit?
I. Identify fraud risks
   a. Subtopics
      i. How IA should audit the fraud risks
      ii. How management should prevent/detect the fraud risks

II. Conduct a mock interview with an audit client
   a. Role playing
   b. Pick an audit area
   c. Assign one member of your group as the auditee and one or two members as auditors
   d. Explain other audit evidence needed in conducting the audit

III. Describe the IIA International Professional Practices Framework (IPPF)
   a. Subtopics
      i. Mandatory guidance
      ii. Optional guidance

IV. What are the elements of an effective internal audit report?

V. Explain the role of the Audit Committee with IA.

VI. Social Media
    a. Subtopics
       i. What are the audit risks with social media for a company?
       ii. How does social media assist professional organizations such as the IIA?

VII. Internal audit often is involved with risk mitigation and compliance audits. Describe:
    a. Effectiveness of compliance audits when combined within the IA function
    b. Impact on the IA department
    c. Impact on independence of the IA department

VIII. Describe the updated COSO framework and how it would impact an annual IA plan.

IX. Reach out to three IIA professionals employed at different organizations. Conduct an interview with those professionals regarding:
    a. Current audit risks for their organizations
    b. Size and structure of their audit department
    c. Current projects they are conducting
    d. What continuous auditing processes due they have employed? Are they effective?

X. Describe an integrated audit with IT and operational auditing.

XI. Submit your own topic (subject to approval by the Academic Relations Committee)
Data Governance

Monday, April 7, 2014

Registration: 9:30 AM – 10:00 AM
Program: 10:00 AM - 2:00 PM
Lunch: 12:00 PM - 1:00 PM
CPE: 3 CPE Hours
Location: American Eagle Outfitters
77 Hot Metal Street, Pittsburgh, PA 15203

Members: $50 (includes lunch!)
Non-Members: $75 (includes lunch!)

Program is limited to 50 seats!

Course Description

Organizations are rapidly adopting Data Governance solutions to address and overcome business issues and challenges associated with data quality. Data Governance is defined as the organizing framework for aligning strategy, defining objectives, and establishing policies for enterprise information to achieve data quality standards. An integral deliverable of a Data Governance initiative is to provide transparency of how data is structured based on defined rule sets in order to provide accurate real-time organizational intelligence.

This session will cover key areas of Internal Audit involvement in the implementation of a Data Governance program and the strategies that Internal Audit can execute to assess the effectiveness of controls within the program.

Speaker Bio

David Faraone is a Manager at PricewaterhouseCoopers with diverse technical experience in applying hardware and software solutions to safeguard business intellectual property. As a manager of information systems he has developed and incorporated countermeasures that thwarted internal and external threats to corporate systems. As the head of cyber security for multiple firms, he has directed the efforts for IT defense in depth initiatives. David has designed and implemented security policies for complex IT systems across international borders. He is keenly astute of international attempts to circumvent security measures, and on more than one occasion has demonstrated this by employing technology to subvert corporate espionage incidents emanating from Asia. On the home front David has established effective disaster recovery and business continuity plans. David has also mitigated the loss of financial and technical information by constructing need to know internal IT controls.

David has broad business acumen with industry experience in the manufacturing, environmental services, education, banking, finance, and venture capital sectors. He has accepted leadership roles of increasing responsibility as the Global IT Cyber Security Manager and the Director of IT Security. David has served on the advisory board at Pittsburgh Technical Institute and holds more than 10 current IT industry certifications.
The IIA and Schneider Down's Presents:

FRAUD SEMINAR

Monday, May 5, 2014

Registration: 8:00 AM - 9:00 AM
Program: 9:00 AM - 12:00 PM
Lunch: 12:00 PM - 1:00 PM
Program: 1:00 PM - 4:00 PM

CPE: 6 CPE Hours

Location: Four Points by Sheraton Pittsburgh North
910 Sheraton Drive, Cranberry

For registration, please email jdomire@schneiderdowns.com

Members: $150
Non-Members: $175

Register before April 5, 2014 for the early bird discount!
Members: $125
Non-Members: $150

Speakers:
This exciting session will feature Jan Hargrave as the keynote speaker, with additional presentations by Mark Nigrini, Ph.D., as well as representatives from the Department of Justice and Schneider Downs Fraud and Forensics practice.

Jan Hargrave:
As a professional speaker, distinguished lecturer, author of Let Me See Your Body Talk, Freeway of Love, Judge the Jury and Strictly Business Body Language, Jan applies her amazing skills to show you how to take advantage of the vast world of nonverbal communication. She’s been a popular guest of the Montel Williams Show, Fox News, Great Day Houston, The Learning Channel, and E-Entertainment Television. Jan is also a contributing author to: The New York Post, Cosmopolitan Magazine, Redbook, In Touch Weekly, Us Weekly, Golf Magazine and The Forensic Examiner.

The Cajun French, Ms. Hargrave received her Bachelor's Degree, Master's Degree, and Specialist Degree in Business/Psychology from the University of Louisiana at Lafayette and served for 8 years as an Adjunct Professor at the University of Houston. She is presently the CEO of Jan Hargrave and Associates, a Houston-based consulting firm, and continues to provide many of today’s leading corporations such as Merrill Lynch, Rockwell, El Paso Energy, Exxon, Chase Manhattan Bank, and NASA with seminars and specialized training.

Mark J. Nigrini:
Benford’s Law has been the research passion of Mark Nigrini, a professor on the faculty at the College of Business & Economics at West Virginia University where he teaches forensic accounting and auditing courses. His current line of research looks at advanced theoretical work on Benford’s Law, forensic analytics, and the legal environment surrounding fraud convictions.

In the 1930s, Frank Benford, a physicist at GE discovered that there were predictable patterns to the digits in lists of numbers. His results showed that the ten digits were not expected to occur evenly in tabulated data. The digit 1 was expected to occur about six times more often as a first digit than the digit 9. Benford’s Law gives us the expected frequencies of the digits in the first, second, and third positions, and also for digit combinations (such as 64). Nigrini first proposed that auditors could use Benford’s Law to detect fraud and errors in client data.
Discovering Benford’s Law is like discovering a secret. Indeed, until about 30 years ago Benford’s Law was a rather well-kept secret. Since then the secret has slowly but surely made itself known to more and more people (such as auditors in their quest to find fraud and errors).

Our talk on Benford’s Law will be interesting, entertaining and informative. We’ll start in the past and move to the future in a logical order covering the following topics,

- A look at a historical document from 3,000 years ago with a lively discussion of Benford’s original paper and an explanation of what Benford’s Law is and why we have these skewed digit patterns occurring. We’ll have examples of some interesting authentic data sets that followed Benford’s Law and some fraudulent data sets that didn’t follow Benford’s Law. The talk will include amusing anecdotes such as Benford’s laser-pointer discovery and his house in Schenectady, NY.

- Interesting examples of applying Benford’s Law will be shown, including my analysis of the tax returns of former President Clinton, and my attempt to personally show him my work

- A review of amazingly perfect data sets such as my application of the law to hydrology data that was published in Mathematical Geology.

- We’ll see how to spot invented fraudulent numbers just by looking at their digits and we’ll also talk about the link to winning a lottery.

- We’ll peek at some suspicious activity on Bernie Madoff’s American Express statement and some Madoff family expenses.

- I’ll share some work in progress where I’ve looked at data from the Madoff Ponzi scheme with some promising results suggesting that Benford’s Law can be used to detect made-up Ponzi numbers.

- The closing examples will show the link between Benford’s Law and The Da Vinci Code by Dan Brown.

- The grand finale covers an examination of the digit and number patterns in Enron’s and AIG’s accounting reports and depending on the time constraints, we’ll look at similarities to the patterns found in Facebook’s accounting reports

- We’ll finish with a segment that looks at the digit and numbers patterns of the $49 million fraud of Harriette Walters. The fraud took place in the property tax refund department of the District of Columbia over many years. The use of some basic forensic analytics tests would have discovered the fraud.

Mark J. Nigrini, PhD, is the author of Forensic Analytics (Wiley, 2011) which describes tests to detect fraud, errors, estimates, and biases in financial data. He is also the author of Benford’s Law (Wiley, 2012). His next book The Employee Fraud Pandemic will be published in February, 2014.

His work has been featured in national media including The Financial Times, New York Times, and The Wall Street Journal and he has published papers on Benford’s Law in accounting academic journals, scientific journals, and pure mathematics journals, as well as professional publications such as Internal Auditor and Journal of Accountancy. His radio interviews have included the BBC in London, and NPR in the United States. His television interviews have included an appearance on NBC’s Extra. An interview has been scheduled for the summer for a television program on fraud for the Discovery Channel. He has presented at several international conferences for auditing, accounting, and fraud detection associations and institutes with the most recent presentation being for the Association of Fraud Examiners in Las Vegas in June, 2013. He regularly presents professional seminars for accountants and auditors in North America, Europe, and Asia with recent events in Singapore, Malaysia, and Switzerland.

Volunteers are requested to assist on the day of this event. Please contact Dawna Fisher at dawna.fisher@fhlb-pgh.com.
The IIA Indianapolis Chapter invites you to the 2014 Central Regional Conference (CRC) to be held at the Hyatt Regency in downtown Indianapolis from May 18th to May 21st. The city will host one of the largest sporting events in the world with the Indianapolis 500 later that same week. As such, the CRC is themed Racing to Relevance!

Conference Agenda:

The CRC kicks off Sunday with two pre-conference activities: a CIA exam preparation workshop in the afternoon and welcome registration in the evening.

On Monday, Senior Vice Chairman of the IIA North American Board and the Virginia Department of Alcoholic Beverage Control’s Director of Audit John Wszelaki will take the stage with the first of four general sessions. Then over the course of two days, more than 32 concurrent sessions will be available in five educational tracks:

- IT Audit and Information Security
- Financial and Process Based Auditing
- Governance, Fraud, and Ethics
- Specialty Topics
- CIA Review Course

The last day of the CRC features two general sessions in the morning led by COSO Chairman Emeritus Larry Rittenberg and CAE panelists from Wendy’s, General Motors, Humana, and State Farm. On Wednesday afternoon, there will be two post-conference events: a CIA exam preparation workshop and CAE roundtable.

Register before March 21, 2014 to take advantage of the early bird registration price: $595 for IIA members and $695 for non-members, or call +1-407-937-1111 for group pricing. The CIA Review Course has an additional fee of $205 per part.

Rooms at the Hyatt Regency Indianapolis are available at a special rate of $159 plus tax per night for reservations made before April 28, 2014.

Please visit www.theiia.org/goto/CRC2014 for more details and to register. And follow the CRC on Twitter!
To celebrate International Internal Auditor Awareness Month, the Pittsburgh Chapter of the IIA invites you and your guests for an afternoon at PNC Park to watch the Pirates! Please join us for a fun afternoon, get to know other IIA members, and enjoy the game on Sunday, May 25, 2014 at 1:35 P.M.

Tickets will be $10 each and will include the cost of admission and a $5 Loaded Value Credit to be used for food or merchandise. The IIA will subsidize $20 of the $30 total cost of the ticket. **Sign up early as the discount rate is based on limited availability.** Up to 8 tickets per IIA member will be available at the discounted price. Additional tickets will be $30 each. Seating will be in Section 103.

Contact Gina Dutkovich (gdutkovich481@dollarbank.com) to reserve your tickets. **Checks should be made payable to the IIA Pittsburgh Chapter and must be received no later than March 28, 2014.** Send payments to: Dollar Bank, Internal Audit Department, 2700 Liberty Avenue, Pittsburgh, PA 15222. Please indicate the address you would like the tickets sent to. Tickets will not be sent until your payment is received.

**EXTRA FUN:** Sundays are "Kids Days" at PNC Park. Check out the fun and games at the Family Fun Zone on Federal Street from 11am-1pm. **Fans ages 14 and under will receive Andrew McCutchen National League MVP Wristbands.** Stay after the game when all kids are invited to "Run the Bases" right down on the field (weather permitting).
2014 IIA – Pittsburgh Chapter Golf Outing

Monday, June 2, 2014

Cranberry Highlands Golf Course,
Cranberry Township, PA

Directions to Cranberry Highlands Golf Course:
Cranberry Highlands is located in the Northwest Quadrant of Cranberry Township. From Route 19 turn west onto Rochester Road, about one mile north of Route 228. Follow Rochester for about two miles to Powell Road. Turn right on Powell, which becomes Freshcorn Road after about two miles. Follow Freshcorn about one-half mile until you come to the Cranberry Highlands Clubhouse entrance. Turn left at the Clubhouse and follow signs to the parking lot. You may also visit www.cranberryhighlands.com for more information.

Sponsorship Opportunities:
Companies are encouraged to donate prizes or sponsor holes. Please contact Aaron Mitcham (mitchama@duq.edu) for more information!

Unlock Your Door to Opportunity with IIA Global Certifications

- Certified Internal Auditor (CIA)
- Certified Governmental Auditing Professional (CGAP)
- Certified Financial Services Auditor (CFSA)
- Certification in Control Self-Assessment (CCSA)
- Certification in Risk Management Assurance (CRMA)

For more information regarding professional certifications, please visit: https://na.theiia.org/certification/Pages/Certification.aspx
Greetings,

I think it is safe to say that we are all done with winter, but the Chapter year is still in full swing. We have a lot of great events in the coming months. Keep watching for Chapter emails and newsletters for the details!!

There are a couple of initiatives that the Chapter is working on to better serve the Membership:

1. **Online Event Registration and Payment**: The Pittsburgh Chapter was selected as one of only two chapters to test out a new online event management and payment system. This new system is being developed by the IIA and we are very proud to be one of the Chapters to test it for them. We are going to be offering some type of online event registration and payment as soon as possible and we hope that the Membership will find it easy to use.

2. **Improved Programming**: The Chapter Leaders are working hard to identify ways to make programming better. The goal is to provide the Membership with quality training and programming that will make the Pittsburgh Chapter your first source for CPE. We have made improvements in the current year and are working to continue to improve our programming for years to come.

The Pirates Baseball game day is selling out. Make sure you get your tickets because it looks like this year we may not have any extras. The Chapter just increased our allotment of tickets to 150. This is a great turnout for this event. I hope to see you there.

The Chapter is in need of volunteers. Volunteers are what make the Chapter run and the Volunteers we have now are fantastic, but we need more Members to help. Volunteering for the Pittsburgh Chapter of the IIA has been a privilege and a pleasure for me. I began my time with the Chapter on the Programming Committee and have had a lot of satisfaction out of helping put on great events. This is just one example of how Members can contribute to the success of the Chapter. So, if you have a little spare time and are looking for a way to give back to the IIA, please contact me to find out how you too can be a Chapter Leader by volunteering.

Thank you,

*Aaron Mitcham, CPA, CISA, CISSP*

*mitchama@duq.edu*

*2013-2014 President, Pittsburgh Chapter*
Join the Team!

Want more from your IIA membership?

**There are multiple opportunities for you to become a more ACTIVE member of The IIA Pittsburgh Chapter!**

The **Hospitality Committee** plays a critical role in managing attendance at each of our Chapter's meetings and seminars. Members of the Hospitality Committee have direct interaction with our membership, and help to ensure each event runs smoothly for the attendees. We are currently seeking a **Hospitality Committee Chairperson** and **Committee Member** to help support the activities of this committee.

The **Programming Committee** aims to provide a meaningful and relevant series of programming to support the learning and training needs of our Chapter members. Members of the programming committee are responsible for determining presentation topics, identifying speakers, and working with venues to finalize arrangements for each session. The Programming Committee works closely with the Chapter President and Long-Range Planning committee of the Board of Directors, who have established a general framework for upcoming programming events. We are seeking volunteers to support these activities in two to three **Programming Committee Co-Chair** positions.

The Pittsburgh Chapter IIA's **Treasury Committee** maintains the Chapter's financial records and manages the disbursement of funds through an established system of internal control. The Treasury Committee also maintains the Chapter's budget and monitors actual performance against plan on an annual basis. We are seeking an individual to serve as **Assistant Treasurer** to help support this function.

Please contact Rick Korey at rkorey@bc.pitt.edu for information for how you can get involved!
INSTITUTE OF INTERNAL AUDITORS
OUTSTANDING ACCOUNTING STUDENT ACHIEVEMENT AWARD

The Outstanding Accounting Student Achievement Awards are intended to honor two students who have made substantive contributions to the university and/or the community through involvement in professional and/or community service while maintaining excellence in her/his studies and demonstrating leadership. Neither financial need nor other scholarships/grants will be considered in the selection of the recipient.

IIA STUDENT SERVICE AWARD

This award is intended to recognize a college student who is enrolled as a sophomore or junior Accounting or related business major who excels in service related activities including leadership roles. Additionally, this student will be invited to participate in the IIA College and University Relations Committee.
The Advocacy Committee of the Pittsburgh IIA’s Board of Directors would like to request volunteers who can help us to better connect with students at local colleges and universities. We know how many career opportunities exist by pursuing internal audit as a profession, and want to make sure that current students know about this exciting field too!

- Do you have connections within the business school or accounting department at a local university? If so, you may be able to help us to expand our database of contacts!

- Would you be interested in participating in a panel discussion or other speaking engagement at a local school? Not only is it a great way to share information about your experience as an internal auditor, but it’s also a great chance to interact with our region’s future accountants and auditors!

Please contact Rick Korey at rkorey@bc.pitt.edu if you are interested and able to assist with either of the items listed above. We look forward to your support in spreading the word about our great profession!

Prepare to Pass the 3-Part CIA Exam

If you’ve made the decision to enhance your career by earning the Certified Internal Auditor® (CIA®) designation, The IIA’s CIA Learning System® can help you achieve your goals.

The IIA’s CIA Learning System is an interactive print and online self-study review program designed by CIA-certified industry experts to help you learn, review, and apply the key CIA content. This approach will help you build on foundational elements of internal auditing to master key concepts you need to know to pass the CIA exam. This three-part program includes:

- Comprehensive reading materials teaching the entire 3-part global CIA exam syllabus in both printed and e-book formats.
- Interactive online study tools:
  - Pre- and post-tests to gauge your starting knowledge and advancements.
  - SmartStudy™ tools to help you create a customized study plan based on pre-test results.
  - Chapter quizzes to test your comprehension and retention.
  - Flashcards and glossary.
  - CIA practice exam to build confidence for the computer-based CIA exam.
  - Online reports to track your progress and performance.

For more information or to try a demo, visit www.LearnCIA.com/3partcia/overview. Wondering how long it will take you to prepare for the exam? Create your free, personalized study plan at www.LearnCIA.com/3partcia/my-cia-review-plan.
We want YOU... to join our Chapter’s Speakers Bureau!

If you are an experienced speaker or have expertise in a certain topic area and would be willing to present to a local organization, please contact us so we can add you to our list of local speakers. Once information is gathered, the speaker database will be published on the Chapter website and shared with the membership for use in planning their internal training and team-building events. Participating in the Speakers Bureau is a great opportunity to share your expertise and experiences with other local organizations, and network with other Chapter members. If you are interested in being included in this database please contact Rob Hoffman at 412-433-2232 or rehoffman@uss.com.

The goal of the Speakers Bureau will be to provide speakers – at no cost – to academic, public, and private organizations interested in learning about internal auditing, internal controls, and risk. Some examples of topics include Auditing as a Career, Business Ethics, Internal Controls / SOX, Risk Assessment, and General IT Controls; but there are many different topics of interest to organizations in our area, so please feel free to indicate any particular skill-sets, experiences or areas of expertise. We are looking for IIA members that have the desire and ability to speak to various groups in the aforementioned topics. If you are interested in being included in this database please contact Rob Hoffman at 412-433-2232 or rehoffman@uss.com.

Complimentary Service

Please see below for a complimentary service provided by Honkamp Krueger known as HK. HK has presented at events for several of the Pittsburgh Chapter’s neighboring chapters including Atlanta and DC.

HK’s Capital Project Protection Plan (CP3) is a complimentary service that was launched by HK in 2013 which leverages predictive modeling to analyze organizations capital project contracts for "root cause" issues/ accelerated depreciation opportunities within the agreement utilizing historical data from the contract clauses of a wide variety of general contractors through North America that HK’s collective team has reviewed during their careers.

Please follow this link for more information: http://honkamp.com/CP3.aspx.

Welcome New Board Members!

The Pittsburgh Chapter of the Institute of Internal Auditors would like to welcome both William Gedman CPA, CIA, CHC, CFF Vice President – Quality Audit, Fraud & Abuse, Chief Compliance Officer from UPMC Insurance Services Division and Stacy Juchno, CPA General Auditor PNC Bank as new members of the Pittsburgh Chapter Board!
Advertise with us!

The IIA newsletter is distributed to approximately 800 local Internal Audit professionals on a regular basis. Advertising with us is a great way to attract local talent to fill your open positions; and if your company has a group membership, employment opportunity ads are FREE OF CHARGE! See below for our advertising policies and rates.

EMPLOYMENT OPPORTUNITIES

Employment advertisements for member companies are free of charge.

Employment advertisements for non-members:

- ¼ page - $50
- ½ page - $100
- Full page - $200

Employment advertisements for employment agencies and professional services providers:

- ¼ page - $100
- ½ page - $200
- Full page - $400

OTHER ADVERTISEMENTS

Advertising for services or products:

- ¼ page - $100
- ½ page - $200
- Full page - $400

- Advertisements will only be sent to members via direct e-mail when included in the monthly or summer chapter newsletters.
- Advertisements are not to be sent directly to members via e-mail or postal mail.
- Advertisements will also be posted on the chapter website as part of the newsletter.

REMINDER: Members of the IIA Pittsburgh chapter are given access to the membership list. This list is NOT to be used to solicit members. Solicitation of services/products using the chapter membership list may result in the revocation of membership.
Open Position Posting

Position Title: Senior Internal Auditor  
Department: Internal Audit  
Location: Cranberry Township, PA  
Requisition Number: 333

Description of duties and responsibilities:
This position reports to the Director of Internal Audit and is responsible for planning and performing internal audits and internal control assessments of Mine Safety Appliances Company (MSA) and its affiliates as well as providing assistance to MSA’s external auditors. Duties and responsibilities include, but are not limited to, the following:

• Perform internal audits of MSA and affiliates. This includes determining audit work in accordance with the annual internal audit plan, planning the audit and performing audit test work, supervising other auditors when necessary, identifying control weaknesses, developing recommendations for improvements, conducting exit conferences with management, and preparing and distributing internal audit reports.

• Perform Sarbanes-Oxley (SOX) Section 404 Internal Control Assessments. This includes process documentation, identification and testing of key controls, determination and remediation of weaknesses, external auditor coordination, and all appropriate communication.

• Assist MSA’s external auditors with audit procedures.

• Participate in special projects, as directed by the Internal Audit Director, Senior Management, or the Audit Committee. Such projects could include: investigation of reported ethics violations, analysis of accounting records, analysis of business processes, examination of supplementary information, interviews with MSA associates and management, preparation of special reports, fraud reviews, and assistance with MSA’s enterprise risk management program.

• Up to 40% travel required (includes international travel).

Special knowledge, skills and abilities required:
• Must have knowledge of accounting, auditing, U.S. generally accepted accounting practices (GAAP), and SEC financial reporting requirements.
• Knowledge of Sarbanes-Oxley Section 404 Internal Control Assessments.
• Comprehensive knowledge of operational and financial reporting processes.
• Excellent planning and organizational skills.
• Must have strong computer skills – MS Office suite.
• Must possess strong verbal and written communication skills.

Education and experience required:
• Bachelor's degree in Business, Accounting, Finance or related discipline.
• 2 or more years of auditing experience
• 4 or more years of total relevant experience

Preferred:
• Advanced degree (MBA)
• Certified Public Accountant (CPA) or Certified Internal Auditor (CIA)

If you are interested in this position and meet the above requirements, please apply through the MSA Career Center at https://us.msasafety.com/careers. You may search for this position by using the requisition number referenced above.
Internal Auditor, Lead Accountant, Advisory Services Consultant and Internal Controls Consultant, positions available in Pittsburgh PA

INTERNAL AUDITOR: The Internal Audit department is dedicated to providing independent, objective assurance designed to add value and improve enterprise-wide operations and support compliance. Internal Audit helps the organization to accomplish its objectives by bringing a systematic, disciplined approach to evaluating and improving the effectiveness of risk management, control and governance processes by providing insight and recommendations based on analyses and assessments of data and business process. The scope of internal audit efforts is broad, and includes the efficiency of operations, the reliability of financial reporting, deterring and investigating fraud, safeguarding assets, and compliance with laws and regulations. Internal Audit team members participate in all aspects of the audit process, including but not limited to audit planning, audit execution, reporting, and deficiency assessment and remediation management. Internal Audit team members will be expected to perform various data analyses, control tests, substantive tests and other agreed upon procedures.

Highmark is an Affirmative Action/Equal Employment Opportunity (AA/EEO) employer.

MINIMUM QUALIFICATIONS:

* Bachelors' degree.

* A minimum of 3 years experience in internal auditing, public accounting or a position working with internal control design, documentation and testing is required.

PREFERRED QUALIFICATIONS:

An MBA, CPA, CIA or CISA is strongly preferred.

Highmark also offers health and wellness products to clients with employees throughout the country and is a recognized leader in reinsurance. We welcome you to view the complete job description and apply today by applying online for: https://www.recruitacommunity.com/srctcb/RTI.home?t=7465&r=2000030831510
Internal Auditor, Lead Accountant, Advisory Services Consultant and Internal Controls Consultant, positions available in Pittsburgh PA

**LEAD ACCOUNTANT:** Perform professional accounting work involving compilation, consolidation, and analysis of accounting and finance information for the production of financial statements and reports. Applies accounting techniques and standard practices to the classification and recording of financial transactions. Specific duties vary by department and may include, but are not limited to, one or more of the following: preparation of journal entries, fixed asset or inventory accounting, preparation of trial balance or financial statements, cost accounting, bank account reconciliations, accurate tracking and recording various income and expense activity and suspense account items, proper tracking and recording of receivables, etc.

Highmark is an Affirmative Action/Equal Employment Opportunity (AA/EEO) employer.

**REQUIRED QUALIFICATIONS:**

- Bachelor Degree plus a minimum of 4 years of experience in Accounting and/or Finance
- Experience working across multiple teams to facilitate data coordination and audit support
- Good understanding of GAAP-based principles
- Experience with corporate accounting functions or auditing financial records

**PREFERRED QUALIFICATIONS:**

- Education in accounting or a related field
- CPA or MBA and or Health Care Insurance Industry knowledge
- Experience with PC-based spreadsheet and data processing applications, heavy concentration with Microsoft excel (formatting, calculations, pivot tables)

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Internal Auditor, Lead Accountant, Advisory Services Consultant and Internal Controls Consultant, positions available in Pittsburgh PA

**ADVISORY SERVICES CONSULTANT:** The Advisory Services Team is the Audit & Compliance division’s hub for complex problem-solving and consulting services, addressing changing business models that require the intricate integration of processes, technology and people across Highmark’s family of companies.

Advisory Services Consultants help customers throughout the Highmark family of companies to address strategic, operational financial and compliance risks through consulting engagements focused on project implementations, process re-engineering initiatives, problem remediation and new business ventures, many of which are large in scope, strategic in nature and span multiple companies or business units. In addition, the Consultants provide advisory services through a coordinated and sustainable strategy that integrates project governance, risk management, control design/implementation, and assurance/compliance activities.

Highmark is an Affirmative Action/Equal Employment Opportunity (AA/EEO) employer.

**MINIMUM QUALIFICATIONS:**

- Bachelors’ degree
- A minimum of 2 years progressive assurance, advisory services or consulting experience
- Project management experience

**PREFERRED QUALIFICATIONS:**

- Masters’ degree

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INTERNAL CONTROLS CONSULTANT: The Internal Controls department is dedicated to leading corporate-wide efforts to ensure compliance with the various risk and control-based audit and attestation initiatives of critical importance to Highmark in the marketplace, as well as providing support to the Audit & Compliance division's involvement in partnership and implementation projects.

The Internal Controls Consultant participates in all aspects of the Model Audit Rule compliance initiative and SSAE 16 audit initiatives, to include work status, monitoring, development of tactical procedures and strategic planning as it relates to risk assessment, documentation, key control selection, deficiency assessment, remediation and certification as it relates to financial, operational and/or IT processes and controls; is responsible for overseeing the sufficiency of the procedures performed to support management's attestation of the effectiveness of the internal control environment; and leads the Audit & Compliance division's involvement in integration of all plan partnerships and new affiliates. This includes being a part of the integration teams on sound control development in order to meet contract audit requirements and identifying and mitigating risks in support of successful implementation. In addition, this includes advising and leading integration of MAR compliance and other internal control efforts for affiliates and ensuring synergies between plan operations.

Highmark is an Affirmative Action/Equal Employment Opportunity (AA/EEO) employer.

REQUIRED QUALIFICATIONS:

* Bachelors' degree in business administration, accounting, finance, computer science or information systems

* Assurance, advisory services or consulting experience

* Project management experience

PREFERRED QUALIFICATIONS:

* Master's Degree, CPA, CIA, and/or CISA certifications

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We welcome you to view the complete job description and apply today by applying online for https://www.recruitacommunity.com/srctcb/RTI.home?t=7465&r=2000030532710
Position Title: Technology Risk Officer (Audit)

As a **Technology Risk Officer (Audit)** you are a member of PNC's Supply Chain Management organization. This position can be based in **Pittsburgh, PA, in Allegheny Center / North Side or Cleveland, OH** where you will work within the Supply Chain Quality Assurance function. You will be part of a diversified financial services firm that reflects the needs, values and goals of our customers, employees, communities and shareholders. You will be instrumental in helping to maintain PNC’s reputation for excellence in protecting customer and business assets.

In your role, you will lead the day to day execution of supplier oversight processes to test and evaluate supplier internal controls and compliance. Create and maintain accurate and clear work documents. Conduct supplier process risk analysis. Design testing approaches which requires the ability to analyze the supplier’s operating environment and regulatory landscape, identify risks and assess the adequacy of their internal controls. Review and provide feedback on testing programs documented by others on the team. Coordinate internal/external audit and examination activities. Complete tasks efficiently (on time, on budget and accurately). Scope may include supplier compliance with operational policies and procedures; credit risk policies and procedures; financial performance; internal control standards required by SOX, state and federal regulatory requirements; supplier management program standards; and other enterprise risk program requirements.

Additionally, you will establish working relationships with and function as a liaison to corporate support partners who are responsible for enterprise risk programs. Support enterprise risk programs by analyzing existing Supplier Management policies & procedures and identifying impacts & gaps. Consult with the operating units to execute changes to align policies & procedures with enterprise risk program requirements. This includes implementation of changes to state and federal regulatory requirements of moderate to high complexity. Interact frequently with all levels of management, subject matter experts and enterprise support partners in both formal and informal settings. Provide supplier risk analysis with results and recommendations. Appraise senior management of issues and provide status updates.

The successful candidate will have the following qualifications:

- Bachelor’s degree and/or equivalent experience
- Typically 4-5 years of related experience
- Audit certification (CIA, CRMA, CFSA) is a plus
- Specific experience in an audit, compliance, quality assurance, process improvement, project management and risk management functions preferred
- Advanced and extensive working knowledge of operational functions and sound internal control concepts
- Advanced analytical and problem solving skills and the ability to make sound risk based recommendations and decisions
- Strong interpersonal and organizational skills with the ability to collaborate well across teams / organizations
- Strong negotiation skills and ability to lead others is a must
- Knowledge of regulatory requirements for a financial institution a plus
- Travel Requirements – 25%+

We welcome you to view the complete job description and apply today by applying online at the link below.

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**We’re on the Web!**

Visit our Chapter Page:  
IIA Pittsburgh Chapter

Follow us on LinkedIn!  
www.linkedin.com

“Institute of Internal Auditors  
Pittsburgh”

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