Year-End Report
June 2017 – May 2018
With the closing of another chapter year, I would like to reflect on the great honor it has been to serve as the 2017-2018 IIA Portland Chapter President. I received endless support from the other Chapter Officers, the Board of Governors, and our numerous chapter volunteers – and to each of you, I say Thank You! The local IIA chapters run entirely on volunteer support, and that means the service provided to our members is performed before and after regular work hours, is often done without recognition, and comes from a commitment to our profession, our peers, and our community.

The hard work and dedication put forth by this year’s volunteers yielded a multitude of achievements. One such example is the IIA’s Chapter Achievement Program, which serves as the barometer for measuring overall chapter success. The program evaluates our local chapter on the service we provide to our members, the service we provide to our profession, and the general administration of our chapter. For the 8th consecutive year, the Portland IIA chapter received Platinum status. This evidences our strong involvement and healthy engagement across our chapter/membership.

Platinum status is achieved through valuable course offerings (as evidenced by attendance), CIA certification support (for which we are recognized as an IIA Chapter of Excellence), regular communication with our members (through emails, newsletters, website, etc.), and involvement with IIA headquarters. The key to these ingredients, as previously mentioned and cannot be overstated, is our volunteers.

If you are interested, I would encourage you to become involved. The Portland IIA Chapter is always looking for more helping hands. New ideas and new energy can only help this chapter grow and expand upon the great foundation built before us. Volunteer options include academic/college outreach, marketing and newsletters, social media, membership services, government auditors focus, and technology, to name a few. In addition, as Chapter Officers and Board Members roll off, our volunteers often fill these rolls. These opportunities are great for personal development, leadership skills, networking, and expanding your knowledge of the profession.

Thanks again for allowing me to serve as your 2017-2018 Chapter president and thank you for your contribution to the chapter!

Sincerely,

Andy Willis
2017 – 2018 Chapter President
Report Outline

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Chapter Planning & Other Information ....................................................................... 27
Through the Chapter Achievement Program (CAP), Chapters that achieve gold status, 10 years in a row, receive platinum status in their 11th year.

**The Portland Chapter has earned platinum status for it’s 8th consecutive year!**

The CAP program helps chapters:

- Focus on serving chapter members by providing excellent training opportunities and events
- Focus on activities that support annual goals and objectives.
- Keep track of all chapter activities during the year.
- Measure success based on different performance levels reached at year-end.
- Benchmark against other IIA chapters of the same size.
- Ensure effective and timely communication with IIA Headquarters, the District Representative and Advisor.
The Chapter Achievement Program (CAP) is an achievement recognition program for chapters in North America that uses points / credits to recognize chapters in three service categories.

<table>
<thead>
<tr>
<th>Category (minimum requirement)</th>
<th>Earned</th>
</tr>
</thead>
<tbody>
<tr>
<td>Service to Members (325):</td>
<td>564</td>
</tr>
<tr>
<td>Service to Profession (200):</td>
<td>1,154</td>
</tr>
<tr>
<td>Chapter Administration (160):</td>
<td>445</td>
</tr>
<tr>
<td>Total Chapter CAP Points Earned</td>
<td>2,163</td>
</tr>
</tbody>
</table>

**CAP Targets:**

- **Bronze:** 685 points
- **Silver:** 1,060 points
- **Gold:** 1,560 points

*Chapters reaching Gold 10 of 11 years receive Platinum status.*
Chapter Members & Leadership

- **Chapter Members**: 402
- **New Members**: 39
- **New Certifications**: 10
  - (CIA, CGAP, & CFSA)

- **Chapter Leadership & Volunteers**: 30
  - 5 Officers
  - 15 Board of Governors Members
  - 10 Volunteers
Chapter Events

The IIA is the leader in innovative internal audit training. Our Chapter provides quality, engaging and facilitated learning opportunities for members and customers.

We are committed to offering a variety of events, delivering the very best in internal audit training and networking opportunities.

- **408** Total Attendees (at Training Events)
- **12** Training Events
- **79** Hours of CPE Offered
- **2** Networking Events (including Student Night)
# Training Events: Luncheons

<table>
<thead>
<tr>
<th>Date</th>
<th>Topic</th>
<th>Presenter</th>
</tr>
</thead>
<tbody>
<tr>
<td>SEP 26, 2017</td>
<td>Pink Collar Crime (Fraud)</td>
<td>Kelly Paxton, CFE and Fraud Educator</td>
</tr>
<tr>
<td>NOV 8, 2017</td>
<td>SSAE 18 Standards</td>
<td>Joshua Deitch, CISA, CCSFP (Moss Adams)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Troy Hawes, CISA, CISM, CISSP, CCSFP (Moss Adams)</td>
</tr>
<tr>
<td>FEB 8, 2018</td>
<td>IIA and Industry Update</td>
<td>Robert Kuling (Former NA Board President and Partner at Deloitte)</td>
</tr>
<tr>
<td>MAY 14, 2018</td>
<td>Oregon Economy Update</td>
<td>Mark McMullen, Oregon’s State Economist</td>
</tr>
</tbody>
</table>
Training Events: Luncheons  (continued)

Robert Kuling, former IIA North American Chairman of the Board, discussed many of the key trends and issues which are driving heightened expectations for internal auditors.
Training Events: Luncheons (continued)

The Portland Chapter was excited to host Mark McMullen, the State Economist for Oregon, as he provided an update on Oregon’s economy and discussed current economic trends.
<table>
<thead>
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<th>Presenter</th>
</tr>
</thead>
<tbody>
<tr>
<td>SEP 26, 2017</td>
<td>Catch Her If You Can (Fraud)</td>
<td>Kelly Paxton, CFE and Fraud Educator</td>
</tr>
<tr>
<td>DEC 19, 2017</td>
<td>Optimized Operational Auditing</td>
<td>Linh Truong (GOLDSRD Training)</td>
</tr>
<tr>
<td>FEB 6, 2018</td>
<td>Women in Audit Leadership – Panel Facilitated by Dana Lawrence,</td>
<td>• Ann Calkins, Audit Director (KinderCare)</td>
</tr>
<tr>
<td></td>
<td>Compliance Director (Simple Finance)</td>
<td>• Lisa Grove, Chief Audit Executive (BECU)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Susan Klemetsrud, Chief Internal Audit Officer (Providence St. Joseph Health)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Kelli Sepulveda, AVP, Project Audit Lead (Umpqua)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Dominique Vincenti, VP Internal Audit (Nordstrom)</td>
</tr>
<tr>
<td>MAR 12, 2018</td>
<td>Government Training Day (partnership with IIA Salem)</td>
<td>Various Presenters</td>
</tr>
<tr>
<td>MAR 13, 2018</td>
<td>The Art of the Finding</td>
<td>Leita Hart-Fanta, CPA</td>
</tr>
<tr>
<td>MAR 17-18, 2018</td>
<td>CIA Review Course, Part III</td>
<td>Mike Fucilli, CIA, CFE, CGAP, Auditor General, MTA</td>
</tr>
<tr>
<td>APR 28-29, 2018</td>
<td>CIA Review Course, Parts I-II</td>
<td>Mike Fucilli, CIA, CFE, CGAP, Auditor General, MTA</td>
</tr>
<tr>
<td>May 7, 2018</td>
<td>Fraud Risk Management: Identifying Emerging and Evolving Risks (Co-sponsored with BPA)</td>
<td>• Taylor Larimore (Grant Thornton)</td>
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<tr>
<td></td>
<td></td>
<td>• Evan Eshelman (Grant Thornton)</td>
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</table>
Training Events: Seminars (continued)

The Portland IIA hosted a Women in Internal Audit Leadership Panel in February.

Identified qualities of women in leadership:

POWERFUL  Proud  Mentor
Humble  Confident  Intellectual
Trusted  Valued  Happy
Competent  Challenged

67 Professionals Attended

Facilitator: Dana Lawrence
Head of Compliance, Simple Finance

Ann Calkins
Audit Director, KinderCare

Lisa Grove
CAE, BECU

Susan Klemetsrud
Chief IA Officer, Providence Health

Kelli Sepulveda
AVP Project Audit Lead, Umpqua

Dominique Vincenti
VP IA Nordstrom
The Portland IIA held an Internal Audit Student Night on May 16, 2018 at PSU

Students from 4 local schools were in attendance.

40 students attended

14 professionals volunteered to network with students

Facilitators: Amanda Bartunek, Valentina Kostenyuk
Networking Events – Student Night

Panelists: Bevin Clapper, Dan Gordon, Jon Keithley, Wendy Godfrey, Andy Willis, Shagun Trivedi

- A total of 14 internal audit professionals attended the event
- Over 70% of the students in attendance signed up to be included on our chapter email listing for any relevant communications.

If you would like to be involved in Student Night, contact Amanda Bartunek at amanda.bartunek@modahealth.com
Chapter Officers

Andy Willis, CIA
Chapter Role: President
Years in IIA: 10
Employer: PeaceHealth

Previous Roles: President, VP of Seminars

Lauren Toda, CIA
Chapter Role: VP of Seminars
Years in IIA: 10
Employer: PeaceHealth

Previous Role: VP of Seminars

Mandy Jolly Santiago, PMP
Chapter Role: VP of Luncheons
Years in IIA: 10
Employer: Moss Adams LLP

Previous Role: VP of Luncheons

Lauren Toda, CIA
Chapter Role: VP of Seminars
Years in IIA: 6
Employer: PeaceHealth

Previous Role: VP of Seminars
Chapter Officers (continued)

Jorden Musgrave  
Chapter Role: Treasurer  
Years in IIA: 1  
Employer: Umpqua Bank

Joann Montero  
Chapter Role: Secretary (beg. March 2018)  
Years in IIA: 4  
Employer: Cambia Health Solutions  
Previous Roles: Newsletter Writer, Certification Committee Member

Incoming officer team:
- President: Andy Willis, PeaceHealth
- VP of Luncheons: Mandy Jolly Santiago, Moss Adams
- VP of Seminars: Lauren Toda, PeaceHealth
- Treasurer: Sarrah Axelson, PeaceHealth
- Secretary: Joann Montero, Cambia Health Solutions

Ellen Tillotson, CIA, CFE  
Secretary through March 2018
Board of Governors

James Alexander, CIA, CFE, CISA
Chapter Role: Board Member
Years in IIA: 17
Employer: Unitus Community Credit Union

Previous Role(s): Board Member, Member of the IIA International Research Foundation Board of Trustees, Vice Chairman of the IIA International Committee on Research & Educational Development, member of the IIA International Committee on Quality, Portland Chapter President, VP of Seminars, VP of Luncheons, Treasurer

Ann Calkins, CIA, CFE
Chapter Role: Board Member
Years in IIA: 16
Employer: KinderCare Education

Amanda Bartunek, CIA, CFE
Chapter Role: Board Member; Academic Relations Chair
Years in IIA: 12
Employer: Moda Health

Previous Role(s): Board Member; Academic Relations Chair, Treasurer
Board of Governors  (continued)

Cochran, CIA, CISA, CRMA
Chapter Role: Board Member
Years in IIA: 19
Employer: Avangrid Service Company
Previous Roles: Board Member, IIA International Conference Sponsorship Committee member, IIA District Representative, IIA Western Regional Conference Chair, Portland Chapter President

Ed Dassow, CIA, CFSA, CISA
Chapter Role: Board Member; CAP Chair
Years in IIA: 20
Employer: Standard Insurance Company
Previous Roles: Board Member, CAP Chair, President, VP of Seminars, VP of Luncheons

Michael Freudenthal, CIA, CISA
Chapter Role: Board Member
Years in IIA: 19
Employer: Bonneville Power Administration
Board of Governors (continued)

Tenzin Gonta, CIA
Chapter Role: Board Member; Government Chair
Years in IIA: 9
Employer: City of Portland
Previous Roles: Government Chair

Jon Keithley, CPA, CISA
Chapter Role: Board Member
Years in IIA: 4
Employer: XPO Logistics

Valentina Kostenyuk, CPA, CIA, CFE
Chapter Role: Board Member
Years in IIA: 7
Employer: Avangrid Renewables
Previous Roles: Member of IIA Young Professionals Task Force, Portland IIA Board Member, Chapter President, VP of Seminars, Treasurer
Board of Governors (continued)

**Dana Lawrence, CIA, CRMA, CFSA, CRVPM**
Chapter Role: Board Member
Years in IIA: 10
Employer: Simple Finance Technology Corporation
Previous Roles: President, VP of Luncheons

**Jason Miller, CISA, CRISC, CISSP**
Chapter Role: Board Member
Years in IIA: 7
Employer: Cambia Health Solutions
Previous Roles: Board Member, President, VP of Seminars

**Dawn Mittelbach, CIA, CFE, CISA**
Chapter Role: Board Member; Website Administrator
Years in IIA: 17
Employer: PeaceHealth
Previous Roles: Board Member, Website Administrator, President, VP of Seminars, VP of Luncheons
Board of Governors (continued)

Ken Peasley, CIA, CISA, CFE
Chapter Role: Board Member; CAE Chair
Years in IIA: 25
Previous Role(s): Board Member; CAE Chair, Portland Chapter President, VP of Luncheons, IIA Regional Conference Marketing Committee Chairman, Advisory Board and Marketing Sub-Committee member representing IIA-Portland chapter in Seattle University’s IAEP (Internal Auditing Education Partnership) effort.

Georgia Robertson, CPA, CIA, CFE
Chapter Role: Board Member
Years in IIA: 14
Employer: The Greenbrier Companies
Previous Roles: Board Member, Chapter President, Treasurer, Volunteer Coordinator

Corri Sanders-Lee, CIA, CISA, CFE
Chapter Role: Board Member; Volunteer Chair
Years in IIA: 13
Employer: Portland General Electric
Previous Roles: Board Member, Volunteer Chair
Volunteers

Jared Angle
Chapter Role: Asst. Luncheon Coordinator
Years in IIA: 2
Employer: XPO Logistics

Siobhan Chandler, CIA, CFE, CHC
Chapter Role: Membership Chair
Years in IIA: 5
Employer: Kaiser Permanente

Yuliya Edwards
Chapter Role: Website Admin
Years in IIA: 2
Employer: KPMG
Volunteers (continued)

Karla Ferderer, CIA
Chapter Role: CPE Coordinator
Years in IIA: 13
Employer: Cambia Health Solutions

Wendy Godfrey
Chapter Role: Asst. Seminars Coordinator; Academic Relations Committee
Years in IIA: 2
Employer: Cambia Health Solutions

Joe Holmes
Chapter Role: Academic Relations Committee
Years in IIA: 10
Employer: Cambia Health Solutions
Volunteers (continued)

Doreen Lee-Bierce, CIA
Chapter Role: Year-End Membership Report Editor
Years in IIA: 5
Employer: KinderCare Education

Anne Petersen
Chapter Role: Newsletter Chair
Years in IIA: 8

Michael Sheridan
Chapter Role: ISACA/IIA Mixer Registration
Employer: Portland General Electric
Pamela J. Stroebel Powers, CIA
Chapter Role: Academic Relations Committee
Years in IIA: 17
Employer: Powers CPA, LLC and Willamette University

Katrina Velasco
Chapter Role: Academic Relations Committee
Years in IIA: 1
Employer: Cambia Health Solutions
Eleven audit directors and managers from the Portland area met for the annual Audit Director’s Roundtable to discuss topics of interest to the group, including:

- Relationship of internal audit and compliance
- Audit committee relationships, direction and support
- Recent fraud trends
- Evaluating internal audit and CAE performance
- Auditing company culture
- Strategies for recruiting, engaging and retaining staff
- Best approaches with tight budgets

During the meeting, attendees provided their requests for internal audit training that the Portland Chapter might sponsor over the upcoming year.

Attendees with special topics not relevant to the larger Roundtable group identified other CAEs with similar interests and planned separate meetings.

*This two hour meeting is coordinated and supported by the IIA-Portland Chapter.*

*If you would are interested in attending in the future, please contact Ken Peasley at k.peasley@att.net.*
West District 1 – District Workshop

Held July 9th, 2018 in Portland

A total of 18 individuals attended the 2018 District Workshop, including 16 chapter leaders from all chapters in Oregon and Washington, as well as the District Representative and District Advisor.

All chapter leaders are invited to attend the District Workshop for an opportunity to learn more about chapter operations and best practices, and to share tips, ideas, and speaker/program suggestions. Chapters in our district include: Mid-Columbia, Nisqually, Portland, Puget Sound, Salem, and Spokane.

The all-day workshop provides a positive and fun environment in which all IIA chapter leaders learn how to have a successful chapter year. Chapter leaders obtain ideas about succession planning, event planning, member and board engagement, reporting requirements, information about new chapter technologies and much more. They also have a chance to hear from their peers within our district about their chapter’s best practices, challenges, and solutions.
Members of the Portland Chapter attended the 2017 IIA ALL Star Conference and had an opportunity to participate in a global IIA strategy session.

Members of the Portland Chapter were invited to participate in The IIA’s Global Strategic Planning – North American Regional Session in Las Vegas, Nevada on 29 October.

The Global Strategic Plan guides the IIA in pursuit of The IIA’s vision: “Internal Audit Professionals will be universally recognized as indispensable to effective governance, risk management, and control.”

The purpose of the session was to collect input from leaders in the North American region on strengths, weaknesses, opportunities, and threats (SWOT) in regard to The IIA’s strategic direction.
IIA 2018 Leadership Academy

Orlando, Florida
April 2018

Two members of the Portland chapter officer team had the opportunity to attend the IIA’s 2018 Leadership Academy training, which provided chapter training and numerous working sessions to learn the best practices for chapter leadership.

Internal Audit Imperatives for 2018 and Beyond –
By IIA CEO Richard Chambers:

1. Maintain a Laser Focus on the Horizon
2. Take the Offense in the War for Talent
3. Sharpen and Deploy the Best Navigation Tools
4. Be a Beacon for Transformation
5. Sail Toward the Storms

2018-2019 theme:
Emphasize the Basics. Elevate the Standards.

Interview with incoming Global Chairman Naohiro Mouri
The Leadership Academy conference is an annual training event for chapter leaders which provides training on chapter best practices and the opportunity to meet and work with IIA staff. The 2018 Leadership Academy: “Leaders Take All” provided training, knowledge sharing, and valuable networking opportunities to more than 350 chapter leaders from across the U.S., Canada, and Caribbean. This two-and-a-half day event included a number of keynote sessions with a focus on interactive working groups and break-out sessions. Training topics included: chapter programming, membership, governance, recruitment for leadership, treasury, event planning, certifications, technical tools, and numerous other topics and cross-sharing sessions.
The Portland chapter would like to thank John Craighill for his service as the District Representative for the West District 1 for the past 4 years!

John was recognized for his service at the Leadership Academy as he retired from his term in April 2018.

The incoming District Representative for West District 1 is Valentina Kostenyuk, who has previously served as the Portland Chapter president (2015-2016). Chapters included in our district are Portland, Salem, Puget Sound, Mid-Columbia, Spokane, and Nisqually.
West Region District Representatives at the Leadership Academy

(From left to right) Ofelia Tamayo, John Lefter, Ruth Powell, Bridget Toelle, Valentina Kostenyuk, John Craighill

District Representatives serve as liaisons for the chapters in their district and are part of the IIA Chapter Relations Committee.

The mission of the Chapter Relations Committee (CRC) is to assist chapter leaders as a resource and serve as liaison to The Institute of Internal Auditors (IIA) to enable chapters to meet their objectives; to effectively promote the professional practice of internal auditing through a full range of quality services to members; and to ensure effective strategies are developed to address issues and opportunities at the chapter level.
The National IIA Young Professionals Task Force was first introduced.

The IIA Young Professionals task force was introduced at the Leadership Academy training. As noted in The IIA’s 2017 Annual Report, The IIA’s Young Professionals Task Force (YPTF) was chartered in 2017 by the North American Board. The mission of the task force, which is composed of 10 volunteer members across the US, is “to connect, retain, and serve young internal audit professionals in North America through engagement, opportunity, and advocacy.” In addition, the task force serves as a sounding board and counsel to the North American Board and The IIA on issues impacting this demographic and opportunities to better serve our future leaders.

Representatives from the YPTF were present at the Young Professionals booth in the Exhibit Hall to meet and network with chapter leaders. In addition to the booth, young professionals engagement was also a subject discussed at several membership and young professionals engagement sessions.

If any Portland chapter members are interested in learning more about the YPTF or getting involved in a young professionals group at the Portland level, please contact Valentina at Valentina.Kostenyuk@avangrid.com.
Chapter Membership Survey

- Chapter surveyed in May 2018
- 71 complete responses
- Consistency in meeting times/locations would be beneficial for luncheons
- Members would like timelier marketing/communication regarding seminars

Top Training Requests

- Fraud
- IT Auditing

Top Highly Coveted Certifications
Call for Volunteers & Feedback

Please contact Corri Sanders-Lee, Volunteer Chair, at Corri.Sanders-Lee@pgn.com for information on how you can get involved.

Got any feedback for the Chapter leaders? Email us at iiaportland@yahoo.com, send us a tweet, or leave us a message via the Chapter’s LinkedIn profile. We want to hear from you!
THANK YOU FOR YOUR SUPPORT!