June Monthly Chapter Program - Data Security

Program Overview
Data Security Planning in Changing IT Environments - A Joint IIA and ISACA Meeting. In this session the speaker will cover some of the challenges facing the security & audit community when their IT environment is subject to major change. Today such changes are very common through mergers & acquisitions, cost saving data center moves, consolidations or re-engineering of the infrastructure. Throughout all this, the environments must maintain regulatory security compliance with a minimum of cost and effort.

Program Speaker
Phil Maier, Senior Information Security Consultant, Fortify Consulting.
Mr. Maier recently concluded 10 years at VISA Inc. Upon leaving Visa he was Vice President of Information Security Emerging Technology & Network, which evaluated and oversaw the deployment of multiple enterprise technologies; global intrusion detection, security architecture design and deployment, wireless messaging security, integrated smart card authentication systems and custom security log consolidation and correlation.

Refund and Complaint Resolution
For more information regarding administrative policies such as complaint and refund, please contact our Program Chair at IIAsacramento@gmail.com. All cancellation notices must be received at least 24 hours prior to the start of the Program. NO REFUNDS WILL BE GRANTED. However, members may attend a future program within one year with the approval of the Program Chair. In case you cannot attend for any unforeseeable last minute reason, you may send a substitute participant with the caveat being the CPE credit can only be awarded to the attendee.
Event Payments

Paying for IIA events has never been easier. The Sacramento Chapter of the IIA accepts credit and debit cards. Payments are completed using the PayPal website or portal. You do not need a PayPal account (however it is easier).

Searching for internal audit help?

Highly skilled and dynamic individual seeks internal audit opportunities in the greater Sacramento area. Internal audit professional with six years experience proficient in the entire audit cycle from planning, fieldwork, report writing, and understands the importance of working with the audit client in a collaborative manner. Interested in Senior or Manager level opportunities. Please contact Dave Holbrook, CPA at 2bejazzed@att.net.

Program

(Continued from page 1)

The speaker will highlight various approaches and the pros and cons of these approaches. Attendees will take away strategic thoughts on how to maintain security compliance during such changes in their IT environments and how to best maintain their security processes during these changeovers.

Learning Objectives/Outcomes - After completing this seminar, the participant will be able to:

- Understand the new regulatory landscape after implementing major change to IT environment.
- Identify and prioritize regulatory security compliance as part of project requirements.
- Evaluate security compliance requirements after mergers and/or acquisitions.
- Assess/audit the new compliance security requirements post-implementation.

Who should attend:

The session will be directed to all internal auditors, IT Auditors and Information Security professionals (basic/intermediate/advanced).

Speaker

(Continued from page 1)

During his tenure at Visa his engineering teams supported the audit environment from both sides of the equation working with PCI audits, Financial audits (FFIEC and others), SOX audits and forensic audits.

Before joining Visa Inc., Mr. Maier was Program Manager of the Secure Network Infrastructure and Information Security Manager for the Lockheed Martin Corporation.

He has published multiple papers on enterprise security and his book, “Audit and Trace Log Management, Consolidations and Analysis” continues to receive acceptance in the security market.

Mr. Maier holds a Bachelor’s degree in Business Administration (Management Information Systems) from California State University, Stanislaus.
Did you know?

◊ When you register for an event, your e-mail address is used as a key field. So when you register please make sure your e-mail address is current.
◊ E-mail addresses are used to send you your CPE certificate and event survey. Without a correct e-mail address, you will not get a CPE certificate.
◊ The chapter uses information from the IIA National office, so if your e-mail address changes, you will need to also update IIA National.
◊ When individuals do not show up to events or cancels, the chapter still has to pay for registration fees. The chapter gets charged $3 per registration plus the cost of the meal.
◊ Registration receipts are e-mailed to your e-mail address after you register. Please bring your registration receipt and confirmation number to the event.

For questions on event registration please contact IIASacramento@gmail.com

Sacramento Chapter: 1 of 89 IIA Chapters Earn Chapter of Excellence

At the 2013 IIA Leadership Academy in Orlando, Fla., The IIA and its chapters celebrated a record-breaking year for the Chapter of Excellence program. This year, 89 chapters were recognized as 2013 Chapters of Excellence for advancing the profession by supporting the CIA credential through The IIA’s CIA Learning System® program.

More than 250 chapter leaders attended a special reception during the conference to honor our chapters’ commitment to their members’ CIA exam preparation and success. View the complete list to see if your chapter is one of the esteemed 89.

New Practice Advisory on Audit Sampling

The IIA recently released Practice Advisory 2320–3: Audit Sampling, which provides guidance to internal auditors on the design and selection of an audit sample and the evaluation of sample results. Auditors should consider selection techniques that result in a statistically based representative sample for performing compliance or substantive testing. The Practice Advisory supports IIA Standard 2240: Engagement Work Program and IIA Standard 2320: Analysis and Evaluation.

To download the new Practice Advisory, visit The IIA’s Standards and Guidance Web page.

The IIA’s Annual Compensation Study

Do something for your profession while doing something for yourself. Participating in The IIA’s 2013 Compensation Study is the ultimate win-win. By taking a small amount of time out of your busy schedule now to share your insight, the entire profession (yes, that includes you) reaps the rewards when the report is released in the fall.

The Compensation Study consists of two phases that must be completed for your submissions to be validated. Rest assured that all submissions will remain strictly confidential. Company and individual details will not be shared.

1. Complete the organizational online survey, which will take less than 10 minutes to complete.
2. Upon completion of the organizational survey, you will be redirected to an Excel spreadsheet where you will input individual compensation information. You can also access the spreadsheet by clicking here.
3. Please enable macros on the spreadsheet. You will be provided with a macro where you can submit this portion of the study directly. If you cannot enable macros, please email the submission to gain@theiia.org. Again, your submissions will be handled with the utmost security and remain strictly confidential
4. Both parts of the study must be completed by June 30, 2013.
Chapter Member Survey

Congratulations to the following new IIA Sacramento Chapter board members:
- Maria Gregorio
- Vicki McIntyre
- Carol Olney

NASBA
The Sacramento Chapter of the Institute of Internal Auditors is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.learningmarket.org.

CRMA Exam Registration Now Open

Introductory Pricing Offer for Initial Offering Period Expiring August 31.

Register by June 30, 2013 and receive the introductory IIA member rate of US $150 (US $250 non-member rate) with no application fee when you sit for the exam prior to August 31, 2013.

Visit The IIA for more details.

CRMA Core® Exam Introductory Pricing Details

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Social Media contest

Congratulations to Liz Ramsey who won the social media contest for May. She is the lucky winner for a free admittance to the June Chapter meeting.

The IIA Sacramento Chapter Social Media contest continues for the month of June! Another two lucky IIA members who decide to follow the IIA Sacramento Chapter on Facebook, Linkedin, or Twitter by June 25th will be chosen for free admittance to the September IIA chapter program!

Please contact David Gray at IIAsacramento@gmail.com.

Chapter Library

Got Good Reads?? - Is there a book out there that you have read and you think will be beneficial to your fellow internal auditors? Let us know by submitting the title and author for consideration to add to the library.

Overdue Items? Please bring your overdue library items to this month's seminar. If you need more time with the materials, please email your request for an extension.

If you have any questions, please contact Mayra Villalta at IIAsacramento@gmail.com.

Click here for library list.
The Certification Document Upload Portal was developed to enhance the experience of certification program participants by streamlining the document submission process, creating a secure transmission method, and reducing response and processing times.

Certified individuals and certification candidates are required to use the document upload portal to transfer forms and other necessary documents to The IIA’s Certification Department for review and processing.

Early Bird Registration deadline extended to Friday, June 14. Register today at the IIA and save US $200.

Be there in August when two world-class organizations come together to help technology and audit professionals leverage opportunities. At the 2013 Governance, Risk, and Control Conference, you’ll gain new skills and discover how to employ smarter technology strategies to overcome governance, risk, and control challenges.

This year GRC participants will benefit from the collaboration between The IIA and ISACA as they bring together leaders from technology and internal audit to share tactics for anticipating obstacles and seizing opportunities in today’s shifting IT landscape.

Make plans today to sharpen your skills and maximize your capabilities in governance, strategy, compliance, and technology risk management at this unprecedented conference.

Face tomorrow, together, with the best and brightest from The IIA and ISACA. See you in Phoenix!

**Early Bird Pricing:**
- US $1245
- (register on or before 14 June)

**After 14 June:**
- US $1445

**After 19 August:**
- US $1545

**Cancellation Deadline:**
- 22 July 2013

Education Tracks at the 2013 Governance, Risk, and Control Conference

- Enterprise Risk Management – Current & Future State
- Enhancing Audit’s Perception of Fraud
- Governance Issues – Theory & Practical Execution
- IT Risk Management – A Business Driver

Visit The IIA for more information.
Congratulations to those who won prizes at the Social Event on June 6th.

Christian Okoye won a New Year’s Eve Night stay at the Delta King Hotel; Jim Henthorn won a $20 Amazon Gift Card; Brent Riddles won a $20 Starbucks Gift Card; and Kalena Le won a $20 Lowes Gift Card.
As Rod, the current President, passed on his gavel to Victoria, the next President, he received a plaque.
Golden 1, California's leading credit union, is seeking a Senior Internal Auditor for its Operations Center in Sacramento. The successful candidate will conduct operational, financial, information technology and compliance internal audits and consultations for the credit union. He or she will act as a lead to internal audit staff in the coordination and support of different audit initiatives and engagements, and will lead the planning and performance of assigned audits in order to provide the credit union leadership with independent analyses, evaluations and recommendations.

Candidates should have knowledge of and be familiar with COSO, International Standards of Professional Practice of Internal Auditing & Practice Advisories, and risk-based auditing theory techniques and procedures. Candidates should also have knowledge of accounting theory and methods, management and organizational principles and statistical methods, and possess strong oral and written communication skills, analytical skills, mathematical aptitude and interpersonal skills. Familiarity with ACL is desired, as well as the ability to lead staff in a team environment.

**Potential candidates for this position must meet the following requirements:**
- Bachelor's degree in finance, business administration or accounting
- Certified Internal Auditor (CIA) designation, or progress toward the CIA, Certified Internal Systems Auditor, Certified Financial Services Auditor or equivalent
- Minimum of five years of progressive auditing experience, or other auditing work within a financial institution, or an equivalent combination of education and experience
- Minimum of five years as an internal auditor or a similar position (banking, credit union or other financial services industry experience is desired)

Successful candidate will need to pass a background and drug screening.

For a complete description and to apply, please visit: [https://www.golden1.com/careers](https://www.golden1.com/careers)

**After applying online, please Email a summary of qualifications and salary requirements to:**
[rcobb@golden1.com](mailto:rcobb@golden1.com)

Please reference Job Code # - 326

Golden 1 Credit Union is an Equal Opportunity Employer offering excellent benefits including medical, dental, vision and 401(K).

For a complete description and to apply, please visit: [https://www.golden1.com/careers](https://www.golden1.com/careers)
San Francisco Seminars/Relevance, Excellence, Results

Beginning July 9, 2013, The IIA will offer several courses ranging in length from one to four days at MicroTek in San Francisco. Plan ahead now to fulfill your CPE requirements for 2013.

### Course Title (click for course description) | Course Code | Course Level | Days | San Francisco, CA July 9 - July 12
--- | --- | --- | --- | ---
Assessing Risk: Ensuring Internal Audit's Value | ARV | Advanced | 2 | July 9-10
Audit Manager Tools and Techniques | TTM | Intermediate | 4 | July 9-12
Audit Report Writing | ARW | Intermediate | 2 | July 11-12
Auditor-in-charge Tools and Techniques | AIC | Intermediate | 4 | July 9-12
Beginning Auditor Tools and Techniques | TT | Basic | 4 | July 9-12
Data Analysis for Internal Auditors | DA | Intermediate | 1 | July 11
Enterprise Risk Management: An Introduction | ERM | Intermediate | 2 | July 11-12
Operational Auditing: Influencing Positive Change | POA | Intermediate | 2 | July 9-10
Statistical Sampling for Internal Auditors | SSI | Intermediate | 2 | July 9-10

GROUP DISCOUNTS ARE AVAILABLE. Contact Customer Relations at Customer-Relations@theiia.org or +1-407-937-1111 for details.

### As an added bonus...

Attend the next Chapter Name meeting and you may be eligible to win a free copy of The IIA’s CIA Learning System® Version 4.0, valued at US $895! The IIA’s CIA Learning System is an interactive print and web-based program that teaches the entire CIA® exam syllabus in an interactive and convenient format. It is the first CIA preparation program offering content and questions aligned with the IPPF. For more information or to try a free demo, visit www.LearnCIA.com.


The IIA, with the guidance and involvement of subject matter experts including COSO Chairman David L. Landsittel, has developed a two-day course designed to support an organization’s implementation efforts and examine how a principles-based approach can be used to design, implement, and evaluate a system of internal controls. This course, COSO 2013: Implementing the Framework, will be offered at all remaining 2013 IIA Seminar locations, including San Francisco. For more info, please visit COSO 2013.
Prepare to Pass the 3-Part CIA Exam!

Start your 3-Part CIA exam studies today with The IIA's CIA Learning System®. Our comprehensive study tools are now more customized, convenient and mobile so you can make the most of your valuable study time.

**New!** Updated study materials contain 20% new content and teach the entire 2013 3-Part CIA exam syllabus.

**New!** Create a customized SmartStudy™ plan based on your areas of strength and weakness.

**New!** Travel light and access your reading materials via your e-reader device.

**New!** Study on-the-go with interactive online study tools that are optimized for your mobile device.

Free Online Session:

Attend the free online session, *Increase Your Chances of Passing the CIA Exam*, on Wednesday, May 15, at 1:00 PM EDT, a one-hour free session, with CIA certification experts answering these questions and more:

◊ How will the 3-Part CIA exam differ from the 4-Part exam?
◊ When can you begin taking the 3-Part CIA exam?
◊ How can you prepare for the 3-Part exam with The IIA's CIA Learning System?
◊ What study tips and test-taking tips can help improve your CIA exam results?

[Register for The IIA's CIA Learning System Webinar](http://www.theiia.org/Sacramento)

Preparing for the 4-Part CIA Exam?

You can still purchase The IIA's CIA Learning System for the 4-Part exam and enroll in facilitator-led classes. If you choose to switch to the 3-Part program, you can upgrade for free* with the 2013 Upgrade Guarantee. For complete details, visit [http://www.LearnCIA.com/upgrade](http://www.LearnCIA.com/upgrade).

*Some restrictions apply.

Find a Facilitator-Led Course Near You!

Some of the Keynote Speakers include:

**Madeleine K. Albright**
Chair, Albright Stonebridge Group
Chair, Albright Capital Management LLC
United States’ 64th Secretary of State

Obama awarded her the U.S. Medal of Freedom, the nation’s highest civilian honor.

Currently she chairs both a global strategy firm and an investment advisory firm focused on emerging markets; is a professor in the Practice of Diplomacy at Georgetown University School of Foreign Service; chairs the National Democratic Institute for International Affairs and Pew Global Attitudes Project; is president of the Truman Scholarship Foundation; is a member of the U.S. Department of Defense’s Defense Policy Board; and is author of five New York Times best-sellers.

[http://www.iiia2013ic.org](http://www.iiia2013ic.org)

**Tom Peters**
One of the Most Influential Business Thinkers of All Time

Meet Author Sec. Madeleine Albright
Read My Pins - Crystal Ballroom Foyer, Monday, July 15, 2013 9:45 a.m.

Meet Author Paul Sobel
Enterprise Risk Management: Achieving and Sustaining Success - Crystal Ballroom Foyer, Monday, July 15, 2013 3:10 p.m.

Meet Author Tom Peters
In Search of Excellence Little Big Things - Crystal Ballroom Foyer, Tuesday, July 16, 2013 10:40 p.m.

Meet Author Diana Henriques
The Wizard of Lies: Bernie Madoff and the Death of Trust - Crystal Ballroom Foyer, Wednesday, July 17, 2013 10:00 a.m.

Meet Author Scott Klososky
Enterprise Social Technology: Helping organizations harness the power of Social Media, Social Networking, Social Relevance - Crystal Ballroom Foyer, Wednesday, July 17, 2013 8:00 a.m.
Benefits of Membership

IIA members are entitled to free or specially priced guidance, training, and services. Many of the valuable opportunities available to IIA members are listed below.

- Advocacy
- Audit Career Center
- Bookstore
- Certification
- Guidance
- Knowledge
- Networking
- Partner Savings
- Training

“I'm a member because of the relevancy of educational opportunities and networking opportunities.”

Kimberly Phegley, CIA
IIA member since 2000
Nashville, TN, USA

Gleim provides an extensive self-study course for the CIA exam. Our most successful candidates use the Gleim CIA Review System with Gleim Online. This system combines Review Books, Test Prep software, Test Prep for Windows Mobile, audio reviews, Gleim Online and a Personal Counselor (a real live person!) to maximize your available study time. Because Gleim identifies and focuses on your weak areas, you will not spend any more time preparing than is necessary to guarantee success. The CMA Review System with Gleim Online GUARANTEES that you will pass each exam part the first time. We are here to save you time and money. Gleim has been helping CIA candidates pass the CIA Exam since 1980.

As a member of the chapter, you are eligible for 20% off all Gleim’s CIA Review materials, as well as Gleim CPE. To take advantage of the reduced prices, please see order form or contact our chapter representative, Melissa Leonard.

By phone: (800) 874-5346, Ext. 131
By email: melissa.leonard@gleim.com
By fax: (888) 375-6940, attn: Melissa Leonard

Upcoming Changes to the CIA Exam

Melissa Leonard
Gleim Chapter Coordinator

As the deadline to register for the current 4-Part CIA exam draws near, candidates are faced with the question as to whether they should start and/or complete the exam under the 4-Part format or transition to the new 3-Part format.

Gleim has found that it is more beneficial to study for and take exam parts in the current 4-Part format. Taking the 4-Part exam allows you to benefit from the experience of your peers who have already passed the CIA. The current exam is tried and tested, so candidates should sit for as many parts of the 4-Part program as they can before having to transition to the new 3-Part format.

Candidates who have passed Part 1 and/or Part 2 of the current 4-Part exam will be exempt from the respective part(s) of the 3-Part format if they transition to the new exam. As part of the transition, current Parts 3 and 4 will merge into one part. The new Part 3 will contain more information than before and will take longer to prepare for. Candidates who are exempt from taking or already passed Part 4 should register to sit for the current Part 3 exam as soon as possible to avoid the new, longer format of Part 3.

You have until June 30, 2013, to register for parts in the 4-Part exam, and you must pass these parts by December 31, 2013. Remember that once you register for a part, you have 180 days to take it.

One thing candidates should keep in mind is that just because they start in the 4-Part program doesn't mean they have to complete all parts in it. There is a transition plan in place for those who have to make the transition after December 31, 2013. For details on the transition and what it means to you, go to http://www.gleim.com/accounting/cia/exam-transition-2013/.

No matter where you are in the process, becoming a CIA is a worthy pursuit. Don't delay; get started right away so you can enjoy the benefits of being a CIA.
Gleim is committed to helping you become a CIA. Your Sacramento IIA Chapter has partnered with Gleim to ensure your success on the CIA exam! Working together, we will provide the necessary tools to quickly prepare you for this challenging task. Gleim has been helping CIA candidates pass the CIA exam since 1980. Visit gleim.com/IIAChapter for more information on the CIA exam and how Gleim can help you pass.

Remember, as a Sacramento Chapter member, you will receive a discount on Gleim material. Contact Melissa Leonard at 800.874.5346, ext. 131, or melissa.leonard@gleim.com to take advantage of the offer or if you have any questions.
Newsletter Contribution

Be a part of the newsletter. Write an article and publish it, with the discretion of editorial changes as needed. Information for inclusion into the monthly newsletter is due to the Editor last week of each month.

Chapter Programs are on the 4th Tuesdays of October through June (except December).

Reservations are due the Friday prior to the meeting. The Newsletter will be posted to our Sacramento Chapter Web site two weeks prior to the reservation deadline at http://www.theiia.org/sacramento, with an e-mail broadcast being sent to all Chapter members & guests to provide notice of its availability.

For more information, please contact the Editor at IIAsacramento@gmail.com.