Officer's Message

Dear Members,

Happy New Year! The calendar has refreshed, parties are over (or almost over), and unfortunately, awareness levels have decreased. I urge you to keep your guard up regarding your safety and the safety of those around you, and to protect your information and that of the company for which you work.

Our 1st Annual Conference with breakout sessions consisting of three tracks per session, fabulous speakers, and a CAE Roundtable, is next month! We look forward to having great participation for this exciting event which is a true deal for our Chapter. As an added incentive, we have extended the early bird registration through January 23rd. We are excited about this first ever conference event for the San Antonio Chapter and invite you to attend, to spread the word, and to pass on the knowledge you learn from attending. Learning should never stop!

Let’s make this year count by actively engaging in the First Annual Conference and by participating in our Chapter’s monthly meetings, seminars, and other events.

Thank you for being a member,
Camille Marbury, Chapter President
Excerpt from Richard Chambers’ blog in “IA Online” on the IIA website. Read the full post here.

As 2014 draws to a close, I am reflecting on the events that will have a lasting effect on our profession. Each year, various news reports hold clues about emerging risks and future trends in internal auditing. This year, five headlines in particular stand out because they mark events that could change our path forward for the foreseeable future. So, here is my list of the five headlines from 2014 that are most likely to shape the future of internal auditing. Each of these stories holds important lessons for us all.

1. Revenue Recognition Poised to be the "Next Big Thing" for Internal Auditors

As I pointed out in a recent blog post, changes to rules regarding revenue recognition — "Revenue from Contracts with Customers" — will soon replace more than 200 pronouncements from both the U.S. Financial Accounting Standards Board and the International Accounting Standards Board, and they will cause sweeping changes in accounting practices in a number of industries.

Implications: Internal auditors know that when processes undergo change, control breakdowns are likely to occur....

2. Cost of Cybercrime Doubles for U.S. Retailers....

3. SEC Pays First Whistleblower Award to Internal Auditor...

4. PCAOB’s Franzel Sees "Perfect Storm" of Internal Control Problems in Public Company Audits...

5. 42 Percent of CAEs Come From Outside of Internal Audit

To continue to the full post, click here.
<table>
<thead>
<tr>
<th><strong>January Meeting – Joint with ISACA</strong></th>
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<td><strong>Date</strong></td>
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| **Agenda** | Registration/Social 11:30 am  
Lunch/Speaker 12:00 noon |
| **Location** | Old San Francisco Steakh house (10223 Sahara Dr., San Antonio, TX 78216) |
| **Price** | $25 for Members, $35 for Non-Members, $10 for Full-time Students |
| **RSVP** | Info Form & Payment: IIA-January-2014  
Cancel: Notify Remi by 5 p.m. Friday prior. There are no refunds on a late cancellation, but you can have someone attend in your place. |
| **Menu** | Please note any special dietary considerations on the registration form. |
| **Topic** | The New Reality of Board Responsibility and Accountability (click for webpage) |
| **Course Overview** | An inspiring call-to-action in the war against cyber crime, presented by Jarrett Kolthoff, President/CEO, SpearTip Cyber Counterintelligence, which explains a new era of cyber security, and how accountability, responsibility and culpability for addressing the new realities now lies squarely on the shoulders of senior executives. Potential impact ranges from corporate growth and valuation to reputation, trust and business viability. Learn about real risk mitigation and proven strategies to detect that a problem exists prior to federal authorities alerting your corporation of a breach. Compliance equals Complacency. |
| **Learning Objectives** | At the end of this course, attendees should understand the following topics:  
- Director/ Executive Accountability & Shareholder Value  
- Cyber Espionage/ Cyber Terrorism & Actionable Intelligence  
- Advisory Board Sponsorship Addressing Emerging Threats |
| **Speakers** | Jarrett Kolthoff, President / CEO of SpearTip, has 20 years of experience in the Information Security field. As a former Special Agent – U.S. Army Counterintelligence, he has experience in cyber investigations, counterintelligence, and Fusion Cell analysis that assist SpearTip’s clients to identify, assess, neutralize, and exploit threats leveled against their corporations. His civil case work includes investigations in anti--trust lawsuits, embezzlement, collusion, theft of intellectual property, and corporate espionage. He has testified in civil cases as an expert computer forensic witness in depositions in the U.S. Federal Court – Eastern District of Missouri, and has acted as a liaison between companies and law enforcement agencies. Jarrett has led assignments throughout the United States with both national and international corporations, and continues to serve his community as a member, board member, or adjunct professor with various organizations. |
| **Proposed Audience:** | All levels of auditors |
| **Prerequisite:** | None |
| **CPE:** | 1 Credit Hour |

We have registered with the Texas State Board of Public Accountancy as a CPE sponsor. This registration does not constitute an endorsement by the Board as to the quality of our CPE program or the appropriateness of topics for each individual’s educational needs.
ON THE HORIZON

FIRST ANNUAL CHAPTER CONFERENCE!
ONE DAY, TWO ENGAGING KEYNOTES, THREE INFORMATIVE TRACKS! NOTE – EARLY BIRD REGISTRATION EXTENDED TO JANUARY 23

1st Annual ‘I Heart Audit’ Conference

The San Antonio IIA chapter is very excited to open registration for our first Annual ‘I Heart Audit’ Conference! If you want the ability to choose from a selection of high quality audit training at a very affordable price without having to travel, then you absolutely don’t want to miss the opportunity to attend this one day conference.

Ensure your place among your peers and join us on February 13, 2015, at the Westin Riverwalk Hotel. If you register as a group of at least 4 people by January 16th, 2015, you can qualify for our group discount of $200 which is just $25 per CPE hour! Attendees will experience the following benefits:

Keynote Speakers

› Lawrence J. Harrington – Senior Vice Chairman of the IIA Global Board of Directors
› Dan Norris, CMCT – INFLUENCE AT WORK (IAW®) Presenter
› CAE Roundtable

Conference Details

› 3 tracks with 9 breakout sessions
› 8 hours of CPE
› A chance to network face-to-face with experts and leading minds within your profession
› Your registration fee includes all of the above plus breakfast, lunch, and a networking reception

We are looking forward to seeing you there! No prerequisites required.

To register, go to the Conference Website
Free Members Only Webinar –
Richard Chambers Shares 2015 Industry Outlook
January 20, 2015

Instructor:  IIA
CPE Credit:  1 hour
Where:  IIA Members Only Webinar
Price:  Members:  Free!

On Jan. 20, IIA President and Chief Executive Officer Richard Chambers will share his outlook on the internal audit landscape for the year ahead. In this one-hour webinar — free for IIA members — Chambers will share insights and observations on today’s key trends to help auditors address those directives and offers his thoughts on important topics in the new year.
IIA members can register here and earn one free CPE for attending the live webinar.

Audit Reporting
January 22-23, 2015

Note – This event is full. There is currently a wait list.

Instructor:  Leita Hart-Fanta, Yellowbook-CPE.com
CPE Credit:  12 hours
Where:  San Antonio Water System Training Facility
Price:  Members $280 Early Bird, then $330
Non-members $380 Early Bird, then $430
Early Bird ends December 2, 2014; Deadline is January 2, 2015

Attendees will learn how to create meaningful, concise reports without all the headaches, and discuss ways to streamline the writing process as well as techniques for organizing thoughts. This 1 ½ day class is beneficial for both new and experienced auditors. New auditors learn how to use the elements of a finding to structure their message. Experienced auditors learn how to set clear expectations, quickly review audit reports, and coach staff to improve their writing. We will walk through each step of the report writing process and learn how to craft a clear message to fit the audience. The class is centered on the five elements of a finding. Topics include: structure audit content in support of audit recommendations; create convincing, implementable, and auditable recommendations; generate concise and logical findings in an hour or less; quantify findings; decide when to pursue the root cause; and much more.
## IIA SEMINARS:
**IN-DEPTH KNOWLEDGE, PRACTICAL SKILLS**

### IIA Training - Top Internal Audit courses offered in San Antonio

Developed and facilitated by seasoned internal audit professionals, IIA Seminars courses will provide you with the skills you need to drive results:

**When:** March 2–5, 2015

**Register before Jan. 16 for Early Registration rates!**

**Where:** San Antonio, Texas. **Hyatt Regency San Antonio**

**Make sure to enter source code CAP when registering so your Chapter gets valuable points!**

For more information and registration on the courses offered, click on course name below. To register, look for the San Antonio listing on the right side of the Course Description page and click on Register.

### Internal Audit Courses Available in San Antonio, March 2015

**SAVE with early registration before Jan. 16**

<table>
<thead>
<tr>
<th>Course Name</th>
<th>Description</th>
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<tbody>
<tr>
<td><strong>Financial Auditing for Internal Auditors</strong></td>
<td>For internal auditors who want to understand and expand their role related to financial reporting, as well those who simply need a &quot;refresher&quot; course on financial accounting concepts.</td>
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<td><strong>Assessing Risk: Ensuring Internal Audit Value</strong></td>
<td>Take a deep dive into the fundamentals of risk assessment, and elements such as audit universe, risk appetite, and fraud, and the challenges of implementation.</td>
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<td><strong>Beginning Auditor Tools and Techniques</strong></td>
<td>A must for all entry-level internal auditors, learn preliminary surveying and evidence-gathering techniques to conduct a successful audit.</td>
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<tr>
<td><strong>Fundamentals of IT Auditing</strong></td>
<td>An introduction to IT Auditing, emphasizing the concepts through exercises and case studies to facilitate integrated audit efforts within organizations.</td>
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<td><strong>Auditor-in-charge Tools and Techniques</strong></td>
<td>Covers the organizational, time management, and problem solving skills necessary to manage a successful team.</td>
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<td><strong>Audit Report Writing</strong></td>
<td>Focuses on the organization and structure of audit reports, and includes case study activities for practicing the basics of audit report writing.</td>
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<td>Leadership Skills for Auditors</td>
<td>Lean Six Sigma Tools for Internal Audit Fieldwork</td>
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<td>Audit leaders, supervisors, and managers will learn tools and techniques for cultivating and maintaining an effective and efficient team and keeping them motivated and efficient through the entire audit process.</td>
<td>Participants will be exposed to basic Lean Six Sigma tools to enhance audit effectiveness, provide deeper insight into business operations, and identify process streamlining opportunities.</td>
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<tr>
<th>Operational Auditing: Influencing Positive Change</th>
<th>Analyzing and Improving Business Processes</th>
<th>IIA Career Map is a free online tool that evaluates your professional strengths and development needs. It builds a personalized development plan that guides you to reach the next level in their career. Start your plan now.</th>
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<tr>
<td>Learn to employ methods, tools, and skills to collect and analyze operational information and data, identify causes of issues and risk exposures, and develop solutions to enhance operational performance.</td>
<td>Hands-on applications using case studies and the participants’ understanding of their own processes to employ effective tools and techniques used in any process analysis engagement.</td>
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<tr>
<th>2015 IIA Seminars Calendar</th>
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<tr>
<td>Los Angeles, CA – March 24–27</td>
<td>Indianapolis, IN – August 11–14</td>
<td>Raleigh, NC – September 8–11</td>
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<tr>
<td>New York, NY – April 14–17</td>
<td>Houston, TX – September 22–25</td>
<td>St. Louis, MO – October 6–9</td>
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<tr>
<td>Chicago, IL – May 11–14</td>
<td>Atlanta, GA – October 13–16</td>
<td>Chicago, IL – November 3–6</td>
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<tr>
<td>Dallas, TX – May 18–21</td>
<td>San Francisco, CA – November 17–20</td>
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[Click here to see all the courses offered at these locations.]
PICTURES FROM THE DECEMBER CHAPTER MEETING

Remi works with our speakers, James Mihills and Ben Johnston from Weaver

James Mihills speaking to a packed house

WE’LL SEE YOU AT THE NEXT MEETING, OUR ANNUAL JOINT MEETING WITH ISACA, ON JANUARY 21!
Committee Descriptions

**Academic Relations** - This entails speaking at various campus functions to promote student memberships in the IIA. The Academic Relations Committee will also be responsible for promoting research opportunities to the student members. Money has been set aside in the budget to offer free student memberships.

**Audit Committee** - This committee arranges the timely audit of Chapter records at the close of each Chapter year and the submission of the audit report to the Chapter's Board of Governors.

**Budget & Finance Committee** - The objective of this committee is to study the financial affairs of the chapter, provide guidelines for current operations, and recommend financial policies.

**CAP Committee** - The objective of this committee is to coordinate a CAP reportable event for with the membership.

**Certifications Committee** - This committee establishes and monitors the Chapter awards program. The committee informs Chapter members of the awards made by the Chapter and by the IIA. The committee develops an effective plan that will stimulate Chapter members to strive for the individual awards.

**Government Relations Committee** - This committee encourages growth of government auditor membership in the Chapter.

**Membership/Volunteers** - This entails contacting members regarding their expiring memberships as well as welcoming new members. Assist in identifying volunteers to serve the chapter.

**Newsletter Committee** - This committee produces a newsletter for Chapter members containing useful and informative material.

**Program/Meeting Arrangements** - This committee works with the 1st and 2nd Vice Presidents to make arrangements for Chapter meetings or seminars.

**Website Committee** - This committee maintains the San Antonio IIA Chapter Website as a repository of information that will create public awareness of the internal auditing profession, the IIA, and the accomplishments of the chapter and its members.