**Officer’s Message**

Dear Members,

¡Viva Fiesta! The Institute of Internal Auditors (IIA) has been on a roll lately, and has paved the way for the following centers to open in the upcoming future for all IIA members:

The Financial Services Audit Center (announced at The IIA's General Audit Management (GAM) conference held in March 2015) will be launched in the near future and will be modeled after the successful American Center for Government Auditing (ACGA), which was launched in 2014 by The IIA for public sector internal auditors.

In the works is The IIA’s Environmental, Health, and Safety (EHS) Audit Center, which is scheduled to open in 2016 due to a merger between The Auditing Roundtable (AR) and The IIA.

Please take advantage of these centers when they open to further enhance your career in internal auditing.

Our chapter held annual elections last month and I am happy to say that the following individuals were unanimously approved to be Officers and Board of Governors Members (for expiring terms) for the 2015-2016 chapter year:

- Remi Nel – Chapter President
- Heather Sarra – Board of Governors Member
- Glenn Prochnow – 1st Vice President
- Larry Wallis – Board of Governors Member
- Tim Kuhn – 2nd Vice President
- Karen Vasquez – Board of Governors Member
- Cristina Baumgardner – Treasurer
- Lori Sullivan – Board of Governors Member
- Cecilia Tankersley – Secretary

**Congratulations!**

As a follow up to our last meeting, if you have had any speaking engagements on internal auditing, we want to know about it. Our chapter would like to recognize you and report the volunteer hours that you provided. In addition, the chapter can receive Chapter Achievement Program (CAP) points from The IIA's Headquarters. Once we reach 1,560 points, we will maintain our Platinum Status! Please click here to report any Speaking Engagements.

We look forward to seeing you at our next chapter meeting, and stay tuned for the May newsletter's year in review!

Thank you for being a member,
Camille Marbury, Chapter President
Welcome New Members!

On behalf of the members and leadership, we welcome you to the Chapter!

- Kenneth Lyssy, C H Guenther & Son Inc.
- Don Sucher, International Bank of Commerce
- Jason Foster, CCC Group Inc.
- Mitchell Lincoln, USAA

There is Plenty to Celebrate

From the blog of Richard Chambers:

It is the time of year when there is a seemingly endless number of white papers and research monographs being published on the internal audit profession. In the past month or so, no fewer than seven new papers have explored the current state of the profession. Much of the thought leadership published annually on the internal audit profession is designed to coincide with The IIA's General Audit Management (GAM) conference, typically held in March. All of this leads to what I call "internal audit white paper season." Anyone who takes the time to peruse the stack of new research would realize that the internal audit profession has achieved a great deal and is riding a wave of enhanced stature that spans more than a decade.

When evaluated as a whole, thought leadership published in recent weeks reveals at least three key trends that are good news for the profession:

- Internal auditors are gaining proficiency at recognizing emerging risks.
- The profession understands that evolving risks require new skills.
- Internal audit's stakeholders recognize the value the profession brings.

To read more click [here](#).
<table>
<thead>
<tr>
<th>Date</th>
<th>April 15, 2015</th>
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| Agenda             | Registration/Social 11:30 am  
                     | Lunch/Speaker 12:00 noon |
| Location           | Old San Francisco Steakhouse (10223 Sahara Dr., San Antonio, TX 78216) |
| Price              | $25 for Members, $35 for Non-Members, $10 for Full-time Students |
| RSVP               | Info Form & Payment: April 2015 Meeting  
                     | Cancel: Notify Remi by 5 p.m. Friday prior. There are no refunds on a late cancellation, but you can have someone attend in your place. |
| Menu               | Please note any special dietary considerations on the registration form. |
| Topic              | Identifying and Testing Automated Controls in Business Cycle Audits |
| Course Overview    | As business processes become increasingly embedded in the technology systems supporting business operations, the line between process and IT system, and between operational and technology auditing, is becoming less distinct. To perform effective and efficient testing, “next level” auditors should have sufficient understanding of infrastructure, applications, and user developed applications, and related emerging risks. |
| Learning Objectives| Develop an understanding of:  
                     | • The relationship and differences between general controls and application controls  
                     | • Methods for identifying and assessing automated controls  
                     | • Methods for assessing user developed applications in tools such as Excel |
| Speakers           | George Galindo is a Risk Assurance Partner with PwC and currently serves as the North Texas 3rd Party Assurance leader. His focus is on assisting organizations to provide assurance around SOC1, SOC2 and customized attestation. He has over 20 years of experience in solving issues relating to internal control, regulatory compliance, information technology governance and integration, and 3rd party assurance.  
                     | Brett Nabors is an Austin-based Director with PwC in the Risk Assurance practice. Brett has over ten years of combined experience in a broad range of services, including internal and external audit services, ERP application and security assessments, project management, compliance, and business and information technology consulting. Brett holds the CISA designation and is a member of ISACA. Brett received his Bachelor's Degree in Management Information Systems from Texas A&M University. |
| Proposed Audience  | All levels of auditors  
                     | Prerequisite: None  
                     | CPE: 1 Credit Hour |

We have registered with the Texas State Board of Public Accountancy as a CPE sponsor. This registration does not constitute an endorsement by the Board as to the quality of our CPE program or the appropriateness of topics for each individual's educational needs.
# MAY MEETING

<table>
<thead>
<tr>
<th>Date</th>
<th>May 20, 2015</th>
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</table>
| **Agenda** | Registration/Social 11:30 am  
Lunch/Speaker 12:00 noon |
| **Location** | Dave & Buster's, 440 Crossroads Blvd, San Antonio, TX 78201 |
| **Price**  | $25 for Members, $35 for Non-Members, $10 for Full-time Students |
| **RSVP**   | Info Form & Payment: May 2015 Meeting  
Cancel: Notify Remi by 5 p.m. Friday prior. There are no refunds on a late cancellation, but you can have someone attend in your place. |
| **Menu**   | Please note any special dietary considerations on the registration form. |
| **Topic**  | Internal Audit Collaboration with Oversight and Control Functions |
| **Course Overview** | To be efficient and effective, it is critical for internal audit to coordinate and provide consistency with other oversight functions. The IIA's position paper on The Three Lines of Defense in Effective Risk Management and Control addresses the importance of this topic. |
| **Learning Objectives** | This presentation will discuss internal audit's role regarding other oversight functions, an overview of the three lines of defense model and best practices in effective coordinating with control functions. |
| **Speaker** | Jennifer F. Burke, CPA, CRP, CFF, CFS  
Partner  
Crowe Horwath LLP |

Jennifer is a partner in Crowe's Financial Services Risk practice and is responsible for Crowe's Enterprise Risk Management methodology for financial services. Jennifer has more than 25 years of experience serving financial services clients, including 18 years with Crowe. As a leader in risk services delivery at Crowe, Jennifer has led multiple projects at multi-billion dollar financial institutions providing internal audit, compliance, loan review and ERM services. She was responsible for the development of Crowe's internal audit outsourcing approach and tools for financial institutions, and serves as subject matter expert in several areas, including lending and deposit operations, trust, correspondent and retail banking. Serving as a partner on strategic engagements, Jennifer has worked with senior management and Boards to remediate regulatory consent order deficiencies while strengthening Board governance.

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<thead>
<tr>
<th><strong>Proposed Audience:</strong></th>
<th>All levels of auditors</th>
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ON THE HORIZON

Mountains of Change... Oceans of Opportunities

100+ Speakers From Around the Globe
65+ Sessions in 10 Educational Tracks
2,000+ Attendees from 100+ Countries

Join us for the 74th annual IIA International Conference, July 5-8, 2015, in Vancouver, BC, Canada, as we embark on an educational journey rich with insights for internal auditors at every level.

Confirmed Keynote Speakers

Yuwa Hedrick-Wong, Ph.D.
Distinguished Visiting Professor, University of British Columbia
Global Economic Advisor, MasterCard Worldwide

Colonel Chris Hadfield
Astronaut
First Canadian Commander of the International Space Station (2013)

Expand your network with 2,000+ peers from more than 100 countries, deepen your knowledge of internal audit, and experience the sights and multicultural offerings of British Columbia.

Register early and save!
Visit www.ic.globalia.org for details.

To register, go to the Conference Website
## IIA SEMINARS: IN-DEPTH KNOWLEDGE, PRACTICAL SKILLS

<table>
<thead>
<tr>
<th>Title</th>
<th>Description</th>
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<tbody>
<tr>
<td>Financial Auditing for Internal Auditors</td>
<td>For internal auditors who want to understand and expand their role related to financial reporting, as well those who simply need a &quot;refresher&quot; course on financial accounting concepts.</td>
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<tr>
<td>Beginning Auditor Tools and Techniques</td>
<td>A must for all entry-level internal auditors, learn preliminary surveying and evidence-gathering techniques to conduct a successful audit.</td>
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<tr>
<td>Auditor-in-charge Tools and Techniques</td>
<td>Covers the organizational, time management, and problem solving skills necessary to manage a successful team.</td>
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<td>Assessing Risk: Ensuring Internal Audit Value</td>
<td>Take a deep dive into the fundamentals of risk assessment, and elements such as audit universe, risk appetite, and fraud, and the challenges of implementation.</td>
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<td>Fundamentals of IT Auditing</td>
<td>An introduction to IT Auditing, emphasizing the concepts through exercises and case studies to facilitate integrated audit efforts within organizations.</td>
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<tr>
<td>Audit Report Writing</td>
<td>Focuses on the organization and structure of audit reports, and includes case study activities for practicing the basics of audit report writing.</td>
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<tr>
<td>Leadership Skills for Auditors</td>
<td>Audit leaders, supervisors, and managers will learn tools and techniques for cultivating and maintaining an effective and efficient team and keeping them motivated and efficient through the entire audit process.</td>
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<td>Lean Six Sigma Tools for Internal Audit Fieldwork</td>
<td>Participants will be exposed to basic Lean Six Sigma tools to enhance audit effectiveness, provide deeper insight into business operations, and identify process streamlining opportunities.</td>
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<tr>
<td>COSO-based Internal Auditing</td>
<td>Explore how to leverage the COSO frameworks to contribute value to strategic business planning and governance, as well as the execution, monitoring, and adaptation of organizational audit processes.</td>
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Operational Auditing: Influencing Positive Change

Learn to employ methods, tools, and skills to collect and analyze operational information and data, identify causes of issues and risk exposures, and develop solutions to enhance operational performance.

Analyzing and Improving Business Processes

Hands-on applications using case studies and the participants' understanding of their own processes to employ effective tools and techniques used in any process analysis engagement.

IIA Career Map is a free online tool that evaluates your professional strengths and development needs. It builds a personalized development plan that guides you to reach the next level in their career. Start your plan now.

Training Locations - 2015 IIA Seminars Calendar

2015 IIA Seminars Calendar
New York, NY – April 14–17
Chicago, IL – May 11–14
Dallas, TX – May 18–21
Boston, MA – June 9–12
Las Vegas, NV – June 15–18
Washington, D.C. – July 14–17
Denver, CO – July 28–31
Seattle, WA – August 4–7

Indianapolis, IN – August 11–14
Raleigh, NC – September 8–11
Houston, TX – September 22–25
St. Louis, MO – October 6–9
Atlanta, GA – October 13–16
Chicago, IL – November 3–6
San Francisco, CA – November 17–20
Orlando, FL – December 7–10
New York, NY – December 15–18

Click here to see all the courses offered at these locations.

GOVERNORS*
Adam Arevalos
CST Brands

Kevin Barthold
City of San Antonio

Steve Boehme
USAA

Melissa Cox
AT&T

Dr. Dennis Elam
Texas A&M University – San Antonio

GOVERNORS*
Tim Hieger
HEB

Dawn Oppermann
City of San Antonio

Wendy Rybicki
Frost Bank

Heather Sarra
NuStar Energy

Lori Sullivan
Alamo-Group

GOVERNORS*
Karen Vasquez
Tesoro

Larry Wallis
VIA Metropolitan Transit

Dr. Sandra Welch
UTSA

*Officers are also on the Board of Governors
Pictures From the March Chapter Meeting

Brad K. Hunt, Principal, WHY Group, LLC

Luncheon Attendees
WE’LL SEE YOU AT THE NEXT MEETING, OUR ANNUAL MEMBER MEETING, ON APRIL 15!

LOOKING FOR AN OPPORTUNITY TO SERVE??

Committee Descriptions

**Academic Relations** - This entails speaking at various campus functions to promote student memberships in the IIA. The Academic Relations Committee will also be responsible for promoting research opportunities to the student members. Money has been set aside in the budget to offer free student memberships.

**Audit Committee** - This committee arranges the timely audit of Chapter records at the close of each Chapter year and the submission of the audit report to the Chapter's Board of Governors.

**Budget & Finance Committee** - The objective of this committee is to study the financial affairs of the chapter, provide guidelines for current operations, and recommend financial policies.

**CAP Committee** - The objective of this committee is to coordinate a CAP reportable event for with the membership.

**Certifications Committee** - This committee establishes and monitors the Chapter awards program. The committee informs Chapter members of the awards made by the Chapter and by the IIA. The committee develops an effective plan that will stimulate Chapter members to strive for the individual awards.

**Government Relations Committee** - This committee encourages growth of government auditor membership in the Chapter.

**Membership/Volunteers** - This entails contacting members regarding their expiring memberships as well as welcoming new members. Assist in identifying volunteers to serve the chapter.

**Newsletter Committee** - This committee produces a newsletter for Chapter members containing useful and informative material.

**Program/Meeting Arrangements** - This committee works with the 1st and 2nd Vice Presidents to make arrangements for Chapter meetings or seminars.

**Website Committee** - This committee maintains the San Antonio IIA Chapter Website as a repository of information that will create public awareness of the internal auditing profession, the IIA, and the accomplishments of the chapter and its members.