February 2018 Newsletter

Officer’s Message

Dear Members,

Our 4th Annual “I Heart Audit” Conference on February 28th. There are over 300 individuals registered so far! There is still time to save yourself a spot with registration closing on Friday, February 23rd. Let’s make this the best conference yet!

Finally, the March meeting is our annual members meeting at which we will elect the officers to help guide the Chapter through the 2018-2019 Chapter year. If you have been looking for a way to not only give back to the Internal Audit profession, but also be part of planning some great events and have tons of fun doing it, then this is for you. Simply reach out to one of the officers for more information or express interest.

Thank you for your active involvement and we look forward to seeing you at our upcoming events.

Lashele Almonte, CIA, CRMA, CFE
Chapter Secretary

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CHAPTER ACHIEVEMENT PROGRAM (CAP) POINTS

The CAP report tracks chapter activities throughout the chapter year, June 1st through May 31st. We obtain points in three categories:

1) Service to Members (Luncheons/Seminars/Certifications),
2) Service to the Profession, and
3) Administration.

If you have conducted any speaking engagements or participated in any Junior Achievement Program events, please let Lashele know. Your participation enables our chapter to succeed!

Based on last year’s activities, we exceeded our goal of 1,560 CAP points and maintained our Platinum status. As of January 31st, we have earned a total of 1,235 CAP points, which qualifies us for ‘Silver’ status and sets us off to a great start for maintaining our PLATINUM status (highest level)! Thank you to all of our members for your continued participation and
Welcome New Members!

Ray Dowell                      Andeavor  
Steven Millhouse          Andeavor  
Patrick Grabiec              Bexar County  
Regina Peaches             City of San Antonio  
Gesena Zimmerman     Farm Bureau Bank  
Stephanie Herrera         McAllen Independent School District  
Anita Cadena                  Navy Army Community Credit Union  
William Fox                     Texas Biomedical Research  
Hannah Christman        USAA

Newly Certified

Clinton Hedgpeth  Certified Internal Auditor (CIA)  HEB  
Ruben Gutierrez     Certified Internal Auditor (CIA)  Wells Fargo  

Prepare to Pass the CIA Exam.

If you’ve made the decision to enhance your career by earning the Certified Internal Auditor® (CIA®) designation, the IIA San Antonio Chapter can help you achieve your goals with The IIA’s CIA Learning System®.

The IIA’s CIA Learning System is an interactive print and online self-study review program designed by CIA-certified industry experts to help you learn, review, and apply the key CIA content. This approach will help you build on foundational elements of internal auditing to master key concepts you need to know to pass the CIA exam. This three-part program includes:

- Comprehensive reading materials teaching the entire global CIA exam syllabus in both printed and e-book formats.
- Interactive online study tools:
  - Pre- and post-tests to gauge your starting knowledge and advancements.
  - SmartStudy™ tools to help you create a customized study plan based on pre-test results.
  - Chapter quizzes to test your comprehension and retention.
  - Flashcards and glossary.
  - CIA practice exam to build confidence for the computer-based CIA exam.
  - Online reports to track your progress and performance.

For more information or to try a demo, visit www.LearnCIA.com/3partcia/overview. Wondering how long it will take you to prepare for the exam? Create your free, personalized study plan at www.LearnCIA.com/3partcia/my-cia-review-plan.
4th Annual ‘I Heart Audit’ Conference

Date/Time: Wednesday, February 28, 2018 / 8:00 am—5:00 pm
Location: La Cantera Hill Country Resort (16641 La Cantera Parkway, San Antonio, TX  78256)

REGISTER NOW! The San Antonio Chapter of the Institute of Internal Auditors has a long history of providing quality continuing professional education to its members. The program will include 3 keynote speakers and twelve concurrent sessions covering topics related to fraud, information technology risks, auditing techniques, and internal audit leadership and communication.

For more information on upcoming events, please visit the IIA San Antonio Chapter Website.

CHAPTER SEMINAR

Tools and Techniques II: Lead Auditor

Date/Time: Tuesday, March 6 - Friday, March 9, 2018 / Time to be provided
Location: One Frost Bank (3838 Rogers Road, San Antonio, TX  78251)

Learn the skills necessary to lead an audit team with confidence. This course provides an overview of the life cycle of an audit from a lead internal auditor’s perspective while examining the internal control environment and audit governance. This course is designed for internal auditors with 3-6 years’ experience who want to learn the concepts, tools, and techniques to enhance their effectiveness and grow as a lead auditor.

Learning Objectives:

- Identify the core elements of the International Professional Practices Framework (IPPF) as they relate to the lead auditor role.
- Describe the components of the audit model.
- Identify the components of the COSO Internal Control Framework as they relate to the lead auditor role.
- Compare the roles and responsibilities of audit management, lead auditor, auditee, and auditee management.
- Identify the roles and responsibilities of team members involved in QAIP.
- Identify key concepts necessary to becoming an effective leader.
- Identify key concepts necessary to leading and mentoring staff.
- Identify the components of the audit planning and opening conference.
- Identify best practices for conducting an audit risk assessment, walkthrough, and audit program development.
- Identify the components required for auditing fieldwork and testing.
- Identify best practices for audit observations and findings and recommendations.
- Identify the components of effective audit reporting and the exit conference.
- Demonstrate the steps necessary to wrap up the audit after the report is issued.
- Identify the key metrics and performance indicators necessary to measuring internal audit productivity.
- Recognize the formal quality assurance standards that the internal audit function is required to follow.

For more information on upcoming events, please visit the IIA San Antonio Chapter Website.
Other Professional Development Opportunities

Members-only Webinars

- **February 20th:** Preparing for the Future: 2018 Imperatives for Internal Auditors
- **March 20th:** Auditing the Organization’s Compliance with the General Data Protection Regulation (GDPR)
- **April 17th:** Turning Ethical Challenges into Strategic Opportunities

To register, please click here: [IIA Webinars](#)

IIA Conferences

For additional information on IIA seminars and conferences, use the links below:
- [Upcoming IIA Seminars](#)
- [Upcoming IIA Conferences](#)

Parting Shots...

January Joint Meeting with ISACA

The Road from IT Security to IT Audit

Speaker: Chip Meadows, Senior Security Analyst (right)
2017/2018

GOVERNORS*

Adam Arevalos  
CST Brands

Camille Marbury  
AT&T

Cristina Baumgardner  
Ridout, Barrett & Co., P.C.

Dawn Oppermann  
City of San Antonio

Dr. Dennis Elam  
Texas A&M University – San Antonio

Karen Vazquez  
Andeavor

Kevin Barthold  
City of San Antonio

Larry Wallis  
VIA Metropolitan Transit

Lori Sullivan  
Alamo Group

Melissa Cox  
Andeavor

Randy Melby  
USAA

Remi Nel  
Rackspace

Tim Hieger  
HEB

Wendy Hetzel  
Frost Bank

*Officers are also on the Board of Governors

JOB POSTINGS

The following employer postings are on our Chapter Careers webpage. Click here to view more information.

- City of San Antonio - IT Auditor
- San Antonio Housing Authority - Director of Internal Audit

LOOKING FOR EMPLOYEES???

Post or view positions offered on our Chapter Careers webpage, or at the IIA Audit Career Center.

IIA BLOG

Internal Audit Advocacy: Actions Speak Louder Than Words

Richard Chambers, CIA, QIAL, CGAP, CCSA, CRMA, and President & CEO of The IIA

In summary, Richard Chambers reminds us of the importance of advocating on behalf of the internal auditing profession. He states: “Whatever form our advocacy takes, the aim is to raise the profile of internal auditing as vital to good governance and indispensable to organizations. A strong and consistent advocacy effort takes on even more importance as stakeholders seek strong partners to help manage the panoply of risks the modern world throws at our organizations.” For the full blog, click here.