Hello San Diego IIA Chapter,

I’m pleased to report that our chapter year is off to a great start with a presentation last September by Sunera and an all-day seminar in October by Danny Goldberg. These events were well attended by our members and received excellent reviews.

We are excited to continue our program for the year that includes dynamic and engaging presentations. Registration will open up for the November luncheon with an interesting discussion on “Ethics in the Workplace”. Please note that this meeting will be on **Monday, November 14, 2016** hosted by the County of San Diego.

Our Chapter will be holding a Student Night at National University on **Thursday, November 10, 2016.** This will be an opportunity for students to connect with audit professionals. If you are interesting in participating in the panel please contact Cat Hasting (Catherine.Hastings@biomedreality.com) or Michael Mena (Michael.Mena@Sharp.com), CPE will be provided to those that participate.

Please join us in December for a complimentary holiday luncheon with a great presentation on “Leadership Matters” by PWC. This event will also be a toy drive to benefit **It’s All About the Kids Foundation.** This Foundation is a non-profit organization that creates charity programs for less fortunate children and their families. So please bring a new unwrapped toy!

Lastly, we are continuously seeking enthusiastic volunteers – at all stages of their careers – to volunteer on one of our many committees. Please feel free to contact me [laura.flores@sdcounty.ca.gov](mailto:laura.flores@sdcounty.ca.gov) if you are interested in one of these opportunities.

We look forward to seeing you at our next IIA San Diego event.

Laura Flores

President
<table>
<thead>
<tr>
<th>IIA San Diego Chapter</th>
<th>IIA West Region</th>
<th>IIA</th>
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</thead>
<tbody>
<tr>
<td><strong>November 10, 2016</strong> – Student Night</td>
<td><strong>November 1-4, 2016</strong> IIA Learning Seminars in San Diego San Diego, CA</td>
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<tr>
<td><strong>November 14, 2016</strong> – Ethics in the Workplace</td>
<td><strong>June 6-9, 2017</strong> Western Regional Conference Anaheim, CA</td>
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<td><strong>December 7, 2016</strong> – Leadership Matters: Advancing Toward True North as Stakeholders Expect More &amp; 1st Annual Toy Drive</td>
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<td><strong>March 20-22, 2017</strong> General Audit Management Conference Orlando, FL</td>
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<tr>
<td><strong>December 2016</strong> – IIA San Diego, ACL User Group</td>
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<td><strong>April 19-20, 2017</strong> Gaming &amp; Hospitality Conference Las Vegas, NV</td>
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<tr>
<td><strong>January 11, 2017</strong> – IIA/ACFE Fraud seminar</td>
<td></td>
<td><strong>July 23-26, 2017</strong> International Conference Sydney, Australia</td>
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<tr>
<td><strong>February 8, 2017</strong> – Introduction to Six Sigma</td>
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See all upcoming Chapter Events on the San Diego IIA Chapter Program here:

[https://chapters.theiia.org/san-diego/Events/Pages/default.aspx](https://chapters.theiia.org/san-diego/Events/Pages/default.aspx)

[https://na.theiia.org/training/conferences/Pages/Conferences.aspx](https://na.theiia.org/training/conferences/Pages/Conferences.aspx)
Leveraging Data to Manage Your Fraud Risk

October 18, 2016     1:00–2:00 p.m. ET

Whether combating internal corruption, vendor, customer, or third-party risk, organizations have more information and tools than they realize. We will present a clear understanding of the definition of Fraud Analytics and the purpose and ways that analytics can assist in identifying fraud efficiently. Examples of how analytics can be used across the organization will be reviewed. Identification of some common difficulties in implementing analytics including data availability; data understanding; integration of disparate sources of data and infrastructure limitations will be discussed along with some tools to assist in alleviating these difficulties.

We will provide examples of how some organizations use what they have and look at case studies of a few unlucky organizations and what they should have done differently.

Learning Objectives

- Learn the definition of fraud data analytics.
- Discuss the purpose of fraud data analytics.
- Identify methods showing how to use existing data.
- Review examples of how fraud data analytics can be used.
- Identify common barriers to implementation of fraud data analytics and how to manage them.

Course Duration: 1 hour
CPE Hours Available: 1
Knowledge Level: Intermediate
Field of Study: Auditing
Prerequisites: None
Advance Preparation: None
Delivery Format: Internet Group Live
Speakers

Brenda Buetow
Senior Manager, Crowe Horwath
Brenda R. Buetow is a Senior Manager in Crowe’s Regulatory Compliance Risk Consulting Services practice, where her 20 years’ experience in financial crimes consulting continues to provide significant assistance to her clients, local law enforcement, federal regulators and the F.B.I. Her experience includes forensic accounting, computer analytics, and the detection, prevention, monitoring, and investigation of financial crimes including money laundering, terrorist financing, bribery and corruption, embezzlement, BOD misconduct, and various other fraud schemes.

Ms. Buetow’s engagements include a multi-million dollar asset misappropriation case involving analysis of claims reimbursed with state and federal monies, an embezzlement of over $8.9MM from a not-for-profit that by the Controller, which began during the first week of employment, and the investigation of the embezzlement of funds involving long term health care facilities where she assisted the F.B.I. with the successful prosecution of an Indiana Medicaid Case Worker. Ms Buetow also participated and managed a number of investigations in the banking industry including a multi-million dollar indirect lending fraud scheme that resulted in identifying suspicious activity and money laundering by members of a Chicago MOB family.

Buetow is a graduate from the University of Indianapolis, holding a Master of Business Administration and a Bachelor of Science with an emphasis in Accounting. She is also graduate of the F.B.I. Citizens Academy, a Certified Fraud Examiner (CFE), and a Certified Anti-Money Laundering Specialist (CAMS). She provided assistance to a Special Master of the Court and has testified as an Expert Witness.

Gregg Henzel
Senior Manager, Crowe Horwath
Gregg is a senior manager in Crowe’s Risk Consulting Services practice with more than 20 years of experience in financial services providing Fraud, AML, Program/Project management, Enterprise/Operation Risk and Data Management services. Mr. Henzel’s experience includes developing and implementing many Enterprise Fraud Strategies for many different clients. Often these strategies include the implementation of different systems in order to prevent, detect and monitor potential fraudulent activity.

Mr. Henzel’s engagements include assisting many financial institutions of various sizes and risk profiles. He has led many Fraud Strategy and Fraud Prevention and Detection systems implementations. He has guided institutions on the use of data analytics in their Fraud Models and on the use of a Fraud Model Validation process. He currently leads Crowe Horwath’s Financial Services Fraud Prevention team.

Mr. Henzel is a graduate from the University of Colorado at Boulder, Leeds School of Business, holding a Master of Business Administration and a Bachelor of Science with an emphasis in Finance. He is also a Certified Anti-Money Laundering Specialist (CAMS).

Other Upcoming IIA Webinars

Members-only Webinar: EHS and Internal Audit: A Natural Partnership
October 20, 2016

Members-only Webinar: Auditing at the Speed of Risk
November 15, 2016
Discount available to all IIA Members!

The IIA's CIA Learning System is a comprehensive training course designed to provide chapters, members, and the audit community of professionals with information and tools to master the global CIA exam syllabus. This premier quality program is aligned with the International Professional Practices Framework (IPPF) to ensure you stay current with the global internal auditing profession and are ready for the CIA exam. It combines printed text with online testing tools and online questions. Take advantage of the best prep materials out there by ordering yours today! If you are interested in special pricing of these materials which are only available through our Chapter, please contact Laura Flores at Laura.Flores@sdcounty.ca.gov.

You can also order the materials at the regular price by visiting:
http://ecommerce.mylearningresource.com/stores/IIA/chapter/
Your history of strong performance landed you at the top of your profession. Your vision for the future — and your ability to deliver — is what will keep you there. The IIA’s Audit Executive Center gives you the tools, resources, and information you need as a chief audit executive (CAE) to stay on top by helping you stay ahead.

Be Empowered. Be Connected. Be Relevant.

About the Center

An exclusive membership-based service developed to support CAEs in answering the demands of their evolving roles, the Audit Executive Center empowers its members to perform through delivering unparalleled access to:

- A robust members-only website, featuring a growing Knowledge Center of nearly 950 pieces of thought leadership and more than 1,200 tools, templates, and planning resources.
- Exclusive peer-to-peer knowledge sharing opportunities such as forums, roundtables, and networking events, many are CPE eligible.
- E-bulletins, news publications, and weekly alerts geared specifically for CAEs.

What Can Membership Mean for You?

Being a member of the Audit Executive Center provides you concierge level service, which means:

- You save valuable time searching for information by accessing the Center’s consolidated, credible, and comprehensive thought leadership, information, and research.
You have a convenient, economical, and flexible way of connecting with current events, emerging trends, and best practices.

You know what your peers are doing, what’s working for them, and how your team compares.

You are armed with the information you need to proactively engage management in meaningful dialogue and earn your “seat at the table.”

You have the support you need to strategically develop your team’s skill sets and operate as a value-added organizational resource.

**What Sets the Audit Executive Center Apart?**

The Audit Executive Center offers a distinctive value proposition:

- Peer-to-peer networking opportunities provide invaluable access to others facing the same demands and challenges. The Center strives to create bonds and relationships among CAEs worldwide.
- Intuitively organized information is delivered succinctly and is easily searchable with your members-only access to optimize your experience and save you time.
- Your Center experience is fully customizable providing you access — online, in-person, and at your convenience — allowing you to decide how you participate.

Three levels of membership — Professional, Advocate, and Ambassador — offer Center members the option to choose which tools and resources are best suited to their individual needs. Your internal audit team exists to support your organization and meet stakeholder expectations. The Audit Executive Center exists to support you — our most important stakeholder. We invite you to tour the Center and explore how we can exceed your expectations.

**Contact Us**

If you have questions, please contact cae@theiia.org.

[https://na.theiia.org/services/cae-resources/AEC/Pages/The-Audit-Executive-Center.aspx](https://na.theiia.org/services/cae-resources/AEC/Pages/The-Audit-Executive-Center.aspx)
The program, referred to as CAP, requires each chapter to tally the different activities in which its members participate that promote the IIA. For example, achievement points may be earned for presentations given by Chapter members or for the number of participants that attend a sponsored or cosponsored IIA Chapter event. The CAP program is the IIA’s Business Score Card used to monitor and recognize the activities of the local chapters that promote the strategic objectives of the IIA.

Throughout the year, the achievement program monitors and scores each chapter’s participation in the following three service categories:

- Service to Members
- Service to the Profession
- Chapter Administration

At the end of the year, CAP evaluates the success of each chapter’s efforts through use of four performance level classifications:

- BRONZE: 685 achievement credits
- SILVER: 1,060 achievement credits
- GOLD: 1,560 achievement credits
- PLATINUM: a chapter achieving GOLD status 10 of 11 years

In order to become the top scorer, compared to larger chapters in the United States, we need your assistance in tracking the CAP points we have earned.

The CAP section of our newsletter serves to provide an awareness of member activities that promote the profession and/or membership for which we can receive CAP points. Each month we will highlight the types of activities that can earn us points as well as provide an update on our progress!

If you are aware of chapter membership activities that will earn us CAP points or have any questions regarding the CAP program, please contact John Lefter at John.Lefter@sharp.com.

If you have presented any materials that resulted in the award of CPE, have published any articles or have any other activities that you believe may qualify for CAP points, please let us know and we will be more than happy to work through the detail.

<table>
<thead>
<tr>
<th>Category</th>
<th>Minimum for Bronze</th>
<th>San Diego Chapter Points (Est. as of 10/18/2016)</th>
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</thead>
<tbody>
<tr>
<td>I. Service to Members</td>
<td>325.00</td>
<td>114.89</td>
</tr>
<tr>
<td>II. Service to Profession</td>
<td>200.00</td>
<td>97.62</td>
</tr>
<tr>
<td>III. Chapter Administration</td>
<td>160.00</td>
<td>256.00</td>
</tr>
<tr>
<td>Total</td>
<td>685.00</td>
<td>468.51</td>
</tr>
</tbody>
</table>
Volunteering:

Interested in volunteering?? The San Diego Chapter needs you! Help us by volunteering for positions on committees like publicity and newsletter, seminars and speakers, and for governance positions like Vice President or Treasurer. If you are interested in volunteering, please contact Laura Flores at Laura.Flores@sdcounty.ca.gov. Aside from great networking opportunities, you may earn CPE credits for Chapter service.

Communicate with us:

In addition to our Chapter webpage, get in touch with us through LinkedIn, Twitter, and Google!

The Institute of Internal Auditors (IIA) – San Diego Chapter
@IIASanDiego
iiaSDChapter@gmail.com

Welcome New Members

The San Diego IIA Chapter would like to wish a warm welcome to the following new members:

<table>
<thead>
<tr>
<th>First Name</th>
<th>Last Name</th>
<th>Company</th>
</tr>
</thead>
<tbody>
<tr>
<td>Janee</td>
<td>Harris</td>
<td>North County Transit District</td>
</tr>
<tr>
<td>Mei-Ling</td>
<td>Marshall</td>
<td>United States Navy</td>
</tr>
<tr>
<td>Jan</td>
<td>Rosen-Serafini</td>
<td>SPAWAR</td>
</tr>
<tr>
<td>Stephen</td>
<td>Mikolajczak</td>
<td>Guild Mortgage Company</td>
</tr>
<tr>
<td>Laura</td>
<td>Reyes-Cortez</td>
<td>City of San Diego</td>
</tr>
<tr>
<td>Jing Jing</td>
<td>Song</td>
<td>City of San Diego</td>
</tr>
<tr>
<td>Andre</td>
<td>Peters Sevilla</td>
<td>AMN Healthcare</td>
</tr>
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BOOK OF THE MONTH

CGAP Study Materials
Certified Government Auditing Professional (CGAP)

If you take advantage of The IIA’s application fee waiver for the Certified Government Auditing Professional® (CGAP®) credential this October, then you may need the resources to help you study for it. These guides, developed by The IIA, will help you prepare to pass the exam.

CGAP Exam Study Questions
CGAP Exam Study Guide and Exam Study Questions Bundle

Together, the Exam Study Guide and Study Questions provide a general overview of the topics that will be covered on the CGAP exam. The Guide covers the exams four domains, and the Study Questions book (or CD) offers over 230 sample CGAP questions and expanded solutions. These items are available individually or as a bundle.

Using promo code CHAPTER through Oct. 31, you may purchase the Exam Study Guide, the Exam Study Questions, or the promotionally priced bundle of both and save 20%.

Visit www.theiia.org/bookstore and select CGAP under Certifications.

* Discount also applies to book and PDF formats. IIA members must log in to receive member pricing and savings.
Local Job Opportunities

Want to be noticed by a highly qualified pool of over 500 CIAs, CPAs, and MBAs? You can be by posting your job on our website. Jobs are also included here in our monthly newsletters. For more information on job postings, contact LauraFlores at Laura.Flores@sdcounty.ca.gov or Dan Lathus at Dan.Lathus@riskopportunities.com.

Below are the September job postings. To see further detail on these and more, see the full postings at: https://chapters.theiia.org/san-diego/Careers/Pages/default.aspx

Senior Information Systems Auditor

Scripps Health is a nonprofit health care system based in San Diego, California. The system includes four hospitals and 19 outpatient facilities, and treats a half-million patients annually through 2,600 affiliated physicians. For the ninth year in a row, Scripps Health landed on Fortune Magazine’s list of America’s 100 Best Companies to Work For.

We currently have a full-time opening for a Senior Information Systems Auditor to work within our Internal Audit team. Reporting to the Manager, Internal Audit, who reports to the Director of Internal Audit, the Senior Information Systems Auditor plans and conducts Information Technology and integrated audit projects based on risk assessments of Scripps Health information resources.

https://chapters.theiia.org/san-diego/Careers/Pages/Scripps-Health-Sr.-Auditor.aspx

Senior Internal Controls Analyst

SeaSpine is a global medical technology company headquartered in Carlsbad, CA that focuses on the design, development and commercialization of innovative surgical solutions for the treatment of spinal disorders. We are currently looking for some of the best and brightest to join our rapidly growing team. If you have a passion for developing the next generation of orthobiologics and spinal fusion hardware solutions that enables patients to return to active lifestyles, check out our career opportunities!

The Senior Internal Controls Analyst supports the SOX testing and reporting requirements and assists in coordinating the company-wide effort to comply with applicable Sarbanes-Oxley regulations. Assists in the Company’s Sarbanes-Oxley (SOX) 404 compliance efforts by ensuring the financial integrity and systems controls are properly designed to prevent or detect errors in financial reporting.

https://chapters.theiia.org/san-diego/Careers/Pages/Seaspine.aspx
Local Job Opportunities

**General Atomics**

**Senior Internal Auditor**

General Atomics (GA), and its affiliated companies, is one of the world’s leading resources for high-technology systems development ranging from the nuclear fuel cycle to remotely piloted aircraft, airborne sensors, and advanced electric, electronic, wireless and laser technologies.

We recognize and appreciate the value and contributions of individuals with diverse backgrounds and experiences and welcome all qualified individuals to apply.

We have an exciting opportunity for a Senior Internal Auditor to work within our Internal Audit Team at our facility in Torrey Pines.

[https://chapters.theiia.org/san-diego/Careers/Pages/General-Atoms---Internal-Auditor.aspx](https://chapters.theiia.org/san-diego/Careers/Pages/General-Atoms---Internal-Auditor.aspx)

**Internal Auditor**

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**County of Riverside**

**Senior Accountant**

The Senior Accountant is the advanced working and lead level class in the professional accountant series and reports to Supervising Accountants or Principal Accountants. This class is utilized in operating departments of the County requiring extensive technical knowledge and the exercise of independent judgment to perform difficult and complex accounting or auditing work. Senior Accountants may act in a lead capacity or as a project leader over lower level professional, paraprofessional and clerical staff but have primary responsibility for the accomplishment of specific accounting or auditing assignments.

The Senior Accountant class is distinguished from the Supervising Accountant in that the latter typically has complete supervisory control over professional, paraprofessional and clerical staff for a functional unit within department's accounting operations.

[https://chapters.theiia.org/san-diego/Careers/Pages/ICW-Group-Senior-Internal-Auditor.aspx](https://chapters.theiia.org/san-diego/Careers/Pages/ICW-Group-Senior-Internal-Auditor.aspx)
Local Job Opportunities

Senior Analyst, Internal Audit

Through our service brands, Hyundai Motor Finance and Kia Motors Finance, we provide financial products tailored to meet the needs of Hyundai and Kia dealerships nationwide, including dealer inventory and facility financing. And, through these dealerships, we provide indirect vehicle financing and leasing solutions to over 1 million retail customers.

The Senior Analyst is responsible for planning and conducting audit programs for moderate-level projects and audits for a range of functions throughout the organization, including operations, credit, funding, compliance and/or finance. Develop and execute effective internal audit programs designed to identify and evaluate risk and test internal controls within the Company.

https://chapters.theiia.org/san-diego/Careers/Pages/default.aspx

Live The Global Experience in Sydney!

International Convention Centre / Darling Harbour, Sydney / 23–26 July 2017