Dear IIA - San Diego Chapter Members,

Welcome to the 2017-18 IIA – San Diego Chapter year!

As we begin our new 2017-18 Chapter year, I would like to thank our 2016-17 President, Laura Flores for her exceptional service and leadership she provided over this last year. Thanks to Laura and the entire IIA Team, for making our Chapter a success and continue to achieve “Gold” status for the last consecutive five years.

The Chapter Officers and Board Members are committed to make this another great year for the Chapter finding new ways to serve our members and the profession. We are working diligently on the event program for the year, recruiting excellent speakers to present innovative topics of interest to our members. We need your input of topics of interest so please complete the Member Survey by **Friday, August 11th** to be entered into a drawing for a chance to win a $50 Amazon gift card!

This month, we have an Analytics User Group training on “Statistical Sampling” scheduled for 9am on Thursday, August 31, 2017, which will demonstrate the correct way to perform basic statistical sampling for testing purposes.

Please mark your calendar for the upcoming events:

<table>
<thead>
<tr>
<th>Upcoming Trainings</th>
<th>Meeting Dates (Wednesdays)</th>
<th>Topics</th>
</tr>
</thead>
<tbody>
<tr>
<td>September Luncheon</td>
<td>09/20/17</td>
<td>Working Effectively in a Multi-Generational Workforce</td>
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<tr>
<td>October Luncheon</td>
<td>10/25/17</td>
<td>TBD</td>
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<tr>
<td>November Half-Day Seminar</td>
<td>11/15/17</td>
<td>COSO</td>
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<tr>
<td>December Luncheon</td>
<td>12/06/17</td>
<td>TBD</td>
</tr>
</tbody>
</table>

Registrations is open for the August event and please check the Chapter website for upcoming events at [https://chapters.theiia.org/san-diego/Events/Pages/default.aspx](https://chapters.theiia.org/san-diego/Events/Pages/default.aspx).

We continue to thank our Corporate Sponsors. If you are interested in hosting an event, please contact our 1st VP, Michelle Ludwick at Michelle.Ludwick@sdcounty.ca.gov.

The San Diego Chapter Board would like to thank-you in advance for your continued support. As always, we greatly encourage you to volunteer – it’s a great way to stay involved in the profession and meet wonderful people. Feel free to reach out to me or any of the Chapter Leaders if you have any questions, comments, concerns, or suggestions.

We look forward to seeing you at our next IIA San Diego event!

Kathleen Medlin, President
<table>
<thead>
<tr>
<th>IIA San Diego Chapter</th>
<th>IIA</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>August 31, 2017</strong> – Analytics – Roundtable</td>
<td><strong>August 16-18, 2017</strong></td>
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<tr>
<td>See all upcoming Chapter Events on the San Diego IIA Chapter Program here:</td>
<td>GRC Conference</td>
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<tr>
<td></td>
<td>Dallas-Ft. Worth, TX</td>
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<td><strong>September 11-12, 2017</strong></td>
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<td></td>
<td>Environmental, Health &amp; Safety Exchange</td>
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<td></td>
<td>St. Louis, MO</td>
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<td><strong>Oct. 30 - Nov. 1, 2017</strong></td>
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<td></td>
<td>All Star Conference</td>
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<td></td>
<td>Las Vegas, NV</td>
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<tr>
<td></td>
<td><a href="https://chapters.theiia.org/san-diego/Events/Pages/default.aspx">https://chapters.theiia.org/san-diego/Events/Pages/default.aspx</a></td>
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</tbody>
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Getting ERM Right: Leveraging the New COSO ERM Framework

August 15, 2017     1:00–2:00 p.m. ET

ERM has been around for years, but typically misunderstood as a process, a department, a heat map, or a ranked list. COSO recently updated its ERM framework to refocus ERM on its real objective: helping organizations make better decisions in accomplishing their strategy. Hear from The IIA’s two Advisory Council representatives to the COSO ERM update project on the key principles for doing ERM right, best practices and common failures of ERM, internal audit’s role in ERM, and how internal auditors can improve their risk-based auditing using concepts from the updated COSO framework.

Learning Objectives

In this session, participants will:

- Understand the new components and principles within COSO’s *Enterprise Risk Management – Integrating With Strategy and Performance*.
- Learn about best practices and common failures of ERM.
- Explore how the concepts of risk management apply to the auditor’s task of using risk in planning, executing, and reporting on audit work.
- Understand the key attributes to be considered when performing an audit of an ERM process.

Course Duration: 1 hour
CPE Hours Available: 1
Knowledge Level: Intermediate
Field of Study: Auditing
Prerequisites: None
Advance Preparation: None
Delivery Format: Internet Group Live

ENDLESS SUMMER
Speakers

Paul J. Sobel
Vice President / Chief Audit Executive, Georgia-Pacific LLC

Paul Sobel is vice president/chief audit executive for Georgia-Pacific, LLC. He previously served as the CAE for three public companies. Sobel has written or co-authored three books: Auditor’s Risk Management Guide: Integrating Auditing and ERM; Internal Auditing: Assurance and Consulting Services; and Enterprise Risk Management: Achieving and Sustaining Success. He served as The IIA’s Chairman of the Board in 2013–14 and has served in other IIA volunteer leadership roles. In 2012 he was recognized in Treasury & Risk Magazine’s list of 100 Most Influential People in Finance, and in 2017, Sobel was inducted into The IIA’s American Hall of Distinguished Audit Practitioners.

Douglas J. Anderson
Managing Director – CAE Solutions, IIA

Doug Anderson is The IIA’s managing director of CAE Solutions. He spent 22 years at The Dow Chemical Company primarily in internal audit, including nine years as CAE, in addition to serving as a global finance director in corporate controllers and the finance leader for a global business. After leaving Dow, Anderson was an assistant professor of accounting and finance at Saginaw Valley State University from 2013 to 2015. He spent 10 years with PriceWaterhouseCoopers early in his career. Anderson has held many volunteer positions at The IIA and participated in professional activities including COSO projects and the PCAOB Standing Advisory Group.

Upcoming IIA Webinars

19-September-2017

Members-only Webinar: Ethics and Compliance Program Effectiveness: Auditing and Assessing the State of the Organization’s Culture
2017 GRC
Where Governance and Risk Management Align for Impact

Dallas-Ft. Worth, TX
August 16-18

www.theiia.org/GRC

2017 Environmental Health & Safety Exchange

Turning Risk Into Readiness
St. Louis, MO
Sept. 11–12

www.theiia.org/EHSExchange
Your history of strong performance landed you at the top of your profession. Your vision for the future — and your ability to deliver — is what will keep you there. The IIA’s Audit Executive Center gives you the tools, resources, and information you need as a chief audit executive (CAE) to stay on top by helping you stay ahead.

Be Empowered. Be Connected. Be Relevant.

About the Center

An exclusive membership-based service developed to support CAEs in answering the demands of their evolving roles, the Audit Executive Center empowers its members to perform through delivering unparalleled access to:

- A robust members-only website, featuring a growing Knowledge Center of nearly 950 pieces of thought leadership and more than 1,200 tools, templates, and planning resources.
- Exclusive peer-to-peer knowledge sharing opportunities such as forums, roundtables, and networking events, many are CPE eligible.
- E-bulletins, news publications, and weekly alerts geared specifically for CAEs.

What Can Membership Mean for You?

Being a member of the Audit Executive Center provides you concierge level service, which means:

- You save valuable time searching for information by accessing the Center’s consolidated, credible, and comprehensive thought leadership, information, and research.
• You have a convenient, economical, and flexible way of connecting with current events, emerging trends, and best practices.
• You know what your peers are doing, what’s working for them, and how your team compares.
• You are armed with the information you need to proactively engage management in meaningful dialogue and earn your “seat at the table.”
• You have the support you need to strategically develop your team’s skill sets and operate as a value-added organizational resource.

What Sets the Audit Executive Center Apart?

The Audit Executive Center offers a distinctive value proposition:

• Peer-to-peer networking opportunities provide invaluable access to others facing the same demands and challenges. The Center strives to create bonds and relationships among CAEs worldwide.
• Intuitively organized information is delivered succinctly and is easily searchable with your members-only access to optimize your experience and save you time.
• Your Center experience is fully customizable providing you access — online, in-person, and at your convenience — allowing you to decide how you participate.

Three levels of membership — Professional, Advocate, and Ambassador — offer Center members the option to choose which tools and resources are best suited to their individual needs. Your internal audit team exists to support your organization and meet stakeholder expectations. The Audit Executive Center exists to support you — our most important stakeholder. We invite you to tour the Center and explore how we can exceed your expectations.

Contact Us

If you have questions, please contact cae@theiia.org.

https://na.theiia.org/services/cae-resources/AEC/Pages/The-Audit-Executive-Center.aspx
The program, referred to as CAP, requires each chapter to tally the different activities in which its members participate that promote the IIA. For example, achievement points may be earned for presentations given by Chapter members or for the number of participants that attend a sponsored or cosponsored IIA Chapter event. The CAP program is the IIA’s Business Score Card used to monitor and recognize the activities of the local chapters that promote the strategic objectives of the IIA.

Throughout the year, the achievement program monitors and scores each chapter’s participation in the following three service categories:

- Service to Members
- Service to the Profession
- Chapter Administration

At the end of the year, CAP evaluates the success of each chapter’s efforts through use of four performance level classifications:

- BRONZE: 685 achievement credits
- SILVER: 1,060 achievement credits
- GOLD: 1,560 achievement credits
- PLATINUM: a chapter achieving GOLD status 10 of 11 years

In order to become the top scorer, compared to larger chapters in the United States, we need your assistance in tracking the CAP points we have earned.

The CAP section of our newsletter serves to provide an awareness of member activities that promote the profession and/or membership for which we can receive CAP points. Each month we will highlight the types of activities that can earn us points as well as provide an update on our progress!

If you are aware of chapter membership activities that will earn us CAP points or have any questions regarding the CAP program, please contact Laura Flores at Laura.Flores@sdcounty.ca.gov.

If you have presented any materials that resulted in the award of CPE, have published any articles or have any other activities that you believe may qualify for CAP points, please let us know and we will be more than happy to work through the detail.

<table>
<thead>
<tr>
<th>Category</th>
<th>Minimum for Bronze</th>
<th>San Diego Chapter Points (Est. as of 8/3/2017)</th>
</tr>
</thead>
<tbody>
<tr>
<td>I. Service to Members</td>
<td>325.00</td>
<td>6.43</td>
</tr>
<tr>
<td>II. Service to Profession</td>
<td>200.00</td>
<td>42.15</td>
</tr>
<tr>
<td>III. Chapter Administration</td>
<td>160.00</td>
<td>8.00</td>
</tr>
<tr>
<td>Total</td>
<td>685.00</td>
<td>56.58</td>
</tr>
</tbody>
</table>
Interested in volunteering with the San Diego Chapter??

We have several open positions on our committees, such as, Marketing Chair, Photographer Chair, Social Media Chair, etc. Whether you have one or more hours per month to help, we can find a position that fits within your schedule. Several benefits of volunteering include great networking opportunities, making a difference in your community while earning CPE credit for Chapter service. If you are interested, please contact the 1st VP, Michelle at Michelle.Ludwick@sdcounty.ca.gov.

Communicate with us:

In addition to our Chapter webpage, get in touch with us through LinkedIn, Twitter, and Google!

The Institute of Internal Auditors (IIA) – San Diego Chapter
@IIASanDiego
iiaSDChapter@gmail.com

New Certificate Holders

The San Diego IIA Chapter would like to say congratulations to our newest certificate holder:

<table>
<thead>
<tr>
<th>First Name</th>
<th>Last Name</th>
<th>Certification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jesus</td>
<td>Cota</td>
<td>Certified Internal Auditor (CIA)</td>
</tr>
<tr>
<td>Dave</td>
<td>Hayes</td>
<td>Certified Internal Auditor (CIA)</td>
</tr>
<tr>
<td>Basel</td>
<td>Bouajram</td>
<td>Certified Internal Auditor (CIA)</td>
</tr>
</tbody>
</table>
Congratulations to a San Diego recipient of the IIA Certificate of Honor!

Jonathan Maxwell Kresser, CIA
San Diego, CA

The San Diego IIA Chapter would like to wish a warm welcome to the following transferred / new members:

<table>
<thead>
<tr>
<th>First Name</th>
<th>Last Name</th>
<th>Company</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gina</td>
<td>Aven</td>
<td>RGP</td>
</tr>
<tr>
<td>Sally</td>
<td>Rubi</td>
<td>The City of San Diego, City Comptroller</td>
</tr>
<tr>
<td>Ciara</td>
<td>Schaub</td>
<td>Sempra Energy</td>
</tr>
<tr>
<td>Estela</td>
<td>Arana Castro</td>
<td>Sempra Energy</td>
</tr>
<tr>
<td>Kathleen</td>
<td>Peniche</td>
<td>Sempra Energy</td>
</tr>
<tr>
<td>Jim</td>
<td>Brown</td>
<td>Cryogenic Industries</td>
</tr>
<tr>
<td>Elda</td>
<td>Ponce</td>
<td>Illumina Inc</td>
</tr>
<tr>
<td>Michael</td>
<td>Cheikine</td>
<td>Deloitte</td>
</tr>
<tr>
<td>Melissa</td>
<td>Kemmerer</td>
<td>Banner Bank</td>
</tr>
<tr>
<td>Heather</td>
<td>Daud Rubio</td>
<td>Christy White Associates</td>
</tr>
<tr>
<td>Alexander</td>
<td>Boyd</td>
<td>Sharp Healthcare</td>
</tr>
<tr>
<td>Sharon</td>
<td>Mello</td>
<td>US Marine Corps/Girl Scouts of SD</td>
</tr>
<tr>
<td>James E</td>
<td>Gibbs</td>
<td>Self-Employed</td>
</tr>
<tr>
<td>Brian</td>
<td>Taylor</td>
<td>Illumina Inc</td>
</tr>
<tr>
<td>Karyn Harcos</td>
<td>Womach</td>
<td>Qualcomm Inc</td>
</tr>
<tr>
<td>Eva</td>
<td>Grabinski</td>
<td>Cummins Inc</td>
</tr>
<tr>
<td>Patricia</td>
<td>Rodriguez</td>
<td>Qualcomm Inc</td>
</tr>
<tr>
<td>Elyse</td>
<td>Nguyen</td>
<td>Qualcomm Inc</td>
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</tbody>
</table>
Discount available to all IIA Members!

The IIA’s CIA Learning System is a comprehensive training course designed to provide chapters, members, and the audit community of professionals with information and tools to master the global CIA exam syllabus. This premier quality program is aligned with the International Professional Practices Framework (IPPF) to ensure you stay current with the global internal auditing profession and are ready for the CIA exam. It combines printed text with online testing tools and online questions. Take advantage of the best prep materials out there by ordering yours today! If you are interested in special pricing of these materials which are only available through our Chapter, please contact our Certification Chair, Yanira Majano at ymajano1@yahoo.com.

You can also order the materials at the regular price by visiting: http://ecommerce.mylearningresource.com/stores/IIA/chapter/

The premier conference for financial services internal audit.

September 18-19, 2017

Register today!
www.theiia.org/FSE
If you are gearing up for the Certification in Risk Management Assurance® (CRMA®) exam or thinking about improving your skills in the risk management discipline, these two resources will provide you with the tools you need to help you accomplish those goals.

* CRMA Exam Practice Questions includes 150 practical scenario-based questions as well as those of a more theoretical nature.

* The CRMA Exam Study Guide provides you with current information and trends, explanatory examples, and useful figures and tables. Together, these titles will serve as excellent aids to prepare you for the exam and enhance your knowledge of risk management assurance for your own audit-related activities.

If earning your CIA designation is one of your professional development goals, this guide will help you get there faster.
Internal IT Auditor Sr

The Internal IT Auditor Sr will provide comprehensive reviews of the Company’s IT processes, internal controls, and risk management practices to ensure:

- Effectiveness and efficiency of operations.
- Reliability and integrity of financial and operational information.
- Safeguarding of assets.
- Compliance with laws, regulations, and contracts.

Audits will generate recommendations to improve controls and processes, and will require follow up to ensure implementation.

https://chapters.theiia.org/san-diego/Careers/Pages/ICWGroup-Sr.-Internal-IT-Auditor.aspx

Senior Internal Auditor

General Atomics (GA), and its affiliated companies, is one of the world’s leading resources for high-technology systems development ranging from the nuclear fuel cycle to remotely piloted aircraft, airborne sensors, and advanced electric, electronic, wireless and laser technologies.

We recognize and appreciate the value and contributions of individuals with diverse backgrounds and experiences and welcome all qualified individuals to apply.

We have an exciting opportunity for an experienced Senior Internal Auditor to work within our Internal Audit and Government Compliance team at our facility in Torrey Pines.

https://chapters.theiia.org/san-diego/Careers/Pages/General-Atoms--Sr-Internal-Auditor.aspx
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[https://chapters.theiia.org/san-diego/Careers/Pages/General-Atomics--Sr-Internal-Auditor.aspx](https://chapters.theiia.org/san-diego/Careers/Pages/General-Atomics--Sr-Internal-Auditor.aspx)

The Senior Internal Auditor Provides a critical role to meet the Internal Audit mission to provide internal Sharp HealthCare customers, including all levels of operating unit and senior management, and the Board Audit Committee, with an independent assessment of the quality of the organization's internal controls and business processes, as well as objective and innovative recommendations and suggestions for continuous improvement. Responsible for planning and executing assigned audits to independently review and appraise the organizational activities of Sharp HealthCare.

[https://chapters.theiia.org/san-diego/Careers/Pages/USECU-Internal-Auditor.aspx](https://chapters.theiia.org/san-diego/Careers/Pages/USECU-Internal-Auditor.aspx)