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## May 2019

### In this issue . . .

President's Message

International Conference

Upcoming Events

Call for Volunteers

International Internal Auditor Awareness

Connect

New Officers/Board Members

Webinar

Scholarship Awards

Chapter Achievement Program Information

CIA Learning System 6.0

CIA Exam Changes

Welcome New Members

Newly Certified

Certification Candidate Management System

Job Opportunities

### President's Message

Dear IIA – San Diego Chapter Members,

May is International Internal Audit Awareness Month. I hope all members are raising awareness and elevating the profession by holding lunch and learns throughout your organization or writing an article for your company's website. Celebrate the value that we add to the organization and mentor young professionals into the internal audit career path.

More to celebrate as we are one of the seven Chapters hosting the **2019 IIA International Conference** on July 7-10, 2019 in Anaheim. Don't wait and **register now** for this exciting opportunity for as Chapter members receive a discounted price using the email associated with the IIA. Government members receive a discounted price of \$1,400. To register and for more information, visit the IIA International Conference website <https://ic.globaliia.org/>.

At this month's Chapter event, we had a great presentation on **Third Party Risk and Compliance** by Christopher Lincoln, Contract Advisory Director and Christopher Hurd, Advisory Services Director with KPMG. The objectives of this training included identifying the various risk factors associated with third parties and how to gather and analyze intelligence to manage such risks. During this event, we presented year-end awards, recognized newly certified members and scholarship recipients. We also announced the incoming officers and Board members as of June 1st.

This ends the Chapter year and we are preparing for next year. We have a call for volunteers in several positions and looking for topics for next year's program. If interested in volunteering, please reach out at [iiasdchapter@gmail.com](mailto:iiasdchapter@gmail.com).

Please mark your calendar for the upcoming events:

Upcoming Trainings	Meeting Dates	Topics	CPE
May Analytics Roundtable	Thursday 05/23/19	Using Data Analytics to Create a Risk Scoring Model	1
May CAE Roundtable	Wednesday 5/29/19	TBD	2
IIA International Conference Anaheim Convention Center	7/7-7/10/19	Varies	Up to 18

Thank you for a great two years serving as President and I look forward to seeing you at a future Chapter event!

Kathleen Medlin  
IIA-San Diego President

# International Conference – Registration Now Open

Remember to take advantage of the Southern California Chapter discount when registering for the International Conference. Just use your email address associated with the IIA when registering to receive a substantial discount. For our government members, please note the significant discount available with the government rate.



Save The Date!  
July 7–10, 2019



## Registration Fees:

	Standard Fee (after 4/7/2019)
<b>Members</b>	<b>\$2,050</b>
<b>Non-Members</b>	<b>\$2,300</b>
<b>Southern California Chapter Member*</b>	<b>\$1,600</b>
<b>Government Rate</b>	<b>\$1,400</b>
<b>Society Emeritus</b>	<b>\$1,085</b>
<b>CIA Exam Prep Course: Part 1 **</b>	<b>\$450</b>
<b>CIA Exam Prep Course: Part 2**</b>	<b>\$450</b>
<b>CIA Exam Prep Course: Part 3 **</b>	<b>\$450</b>
<b>Pre-Conference Workshop **</b>	<b>\$375</b>
<b>Social Events Only (Lunch, Welcome Reception, and Beach Party)</b>	<b>\$450</b>
<b>Groups: Send 9 paid registrants and get the 10th free.</b>	



## Upcoming Events

<p><u>IIA San Diego Chapter</u></p> <p><b>May 23, 2019</b>  <b>Analytics Roundtable</b>  <b>Sharp HealthCare Board Room</b>  <b>9:00 a.m. – 10:00 a.m.</b></p> <p><b>May 29, 2019</b>  <b>CAE Roundtable</b>  <b>AMN Healthcare</b>  <b>11:00 a.m. – 1:00 p.m.</b></p> <p><b><u>See all upcoming Chapter Events on the San Diego IIA Chapter Program here:</u></b>  <a href="https://chapters.theiia.org/san-diego/Events/Pages/default.aspx">https://chapters.theiia.org/san-diego/Events/Pages/default.aspx</a></p>	<p><u>IIA</u></p> <p><b>July 7-10, 2019</b>  <b>International Conference</b>  <b>Anaheim, CA</b>  <b>**Registration Now Open!</b></p> <p><b>August 12-14, 2019</b>  <b>Governance, Risk &amp; Control Conference</b>  <b>Ft. Lauderdale, FL</b></p> <p><b>September 16-17, 2019</b>  <b>Financial Services Exchange</b>  <b>Washington, D.C.</b></p> <p><b>September 16-17, 2019</b>  <b>Environmental, Health &amp; Safety Exchange</b>  <b>Washington, D.C.</b></p> <p><b>September 18, 2019</b>  <b>Women in Internal Audit Leadership</b>  <b>Washington, D.C.</b></p> <p><b>October 21-23, 2019</b>  <b>All Star Conference</b>  <b>Las Vegas, NV</b></p> <p><a href="https://na.theiia.org/training/conferences/Pages/Conferences.aspx">https://na.theiia.org/training/conferences/Pages/Conferences.aspx</a></p>
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The following seminars are being offered by the IIA in San Diego October 22 – 25, 2019. Register by September 2, 2019 and save up to \$200 (excludes COSO courses and Certificate Programs). Check out this link to learn more: <https://na.theiia.org/training/seminars/Pages/San-Diego-Seminars.aspx>

October 22–23, 2019	Fundamentals of Risk-based Auditing
October 22–23, 2019	Root Cause Analysis for Internal Auditors
October 22–24, 2019	COSO Internal Control Certificate
October 22–25, 2019	Tools & Techniques I: New Internal Auditor
October 22–25, 2019	Tools & Techniques II: Lead Auditor
October 24–25, 2019	Advanced Risk-based Auditing
October 24–25, 2019	Construction Activity: Audit Strategies
October 24–25, 2019	Cybersecurity Auditing in an Unsecure World
October 24–25, 2019	Fundamentals of IT Auditing



## Call for Volunteers!

We need your help! We have a couple key volunteer positions we need help filling before next year. Specifically, we are looking for:

**Membership Chair** – This will require approximately 2-3 hours per month and can be done remotely. This volunteer will run the membership report and then contact expired members via a chapter email address to remind them to renew their membership or ask if they'd to remain on our non-member mailing list.

**Academic Chair** – This will require 10-15 hours per fall and spring semesters. This volunteer will coordinate with select student organizations at local colleges/universities, and arrange for panels of IIA members to speak at lunch time campus events during the semester. The goal is to increase awareness of internal audit and promote our scholarship program to students. Anticipate attending one lunch time event per semester.

\* This committee currently has a student helper that will do the outreach to the organizations to help find open lunch events.

**Speakers Committee Members** – This will require 1-2 hours per month. Includes monthly phone calls to help the committee come up with ideas for speakers and topics. Can also help by greeting speakers at events!

Volunteering is a great way to give back to the profession and help shape the chapter's programs. Plus, you can earn CPE for time spent volunteering! If you are interested in any of these positions, please contact Michelle Ludwick at [iiasdchapter@gmail.com](mailto:iiasdchapter@gmail.com).

## International Internal Audit Awareness Month

May is International Internal Audit Awareness Month!

The IIA offers customizable tools, planning resources, and thought-provoking content to help advocate for and build awareness of the internal audit profession. Access our outstanding awareness toolkit, position papers and thought leadership during May, International Internal Audit Awareness Month, and all year.

For example, you can customize your email signature and social media accounts with the International Internal Audit Awareness Month digital icon (depicted at right). You can hold a lunch-and-learn in your workplace to dispel myths about internal auditing and explain the value that a well-resourced, independent internal audit function can provide. You can also raise the profile of your internal audit department's staff members by featuring their biographies in an article for your company's intranet or internal newsletter.

Don't let the opportunity pass to advocate the importance of internal auditing to your coworkers and other stakeholders. For additional ideas, sample programs, tips, and templates, download the free [Building Awareness Toolkit](#) from The IIA's website.



## Congratulations New Officers and Board Members

Thank you to everyone who participated in the election for the FY2019-2020 chapter year. Congratulations to our newly elected Officers and Board members!



### **Officers:**

- President: Michelle Ludwick
- President Elect/1<sup>st</sup> Vice President: Anan Subramanian
- 2<sup>nd</sup> Vice President: Kathleen Medlin
- Treasurer: Scott Thein
- Assistant Treasurer: Leah Semann
- Secretary: Oliver Manaois

### **Board Members:**

- Jonathan Kresser
- Jonathan Fenton
- Laura Flores
- Cecile Galvez
- Jason Emmons
- Sharon Lightner
- Vince Price



## Complimentary IIA Members-only Webinars

IIA Learning Webinars are short, live, educational presentations covering the latest trending topics in the world of internal auditing. In The IIA's members-only webinars, you can interact with established leaders about emerging issues, boost your business know-how, and receive complimentary CPE credit. Plus, you can access the extensive library of archived webinars, 24/7 (CPE credits are unavailable with archived presentations).

The following upcoming IIA webinars are complimentary for members! Register today:

<https://na.theiia.org/training/eLearning/Pages/Webinars.aspx>

<p><u>Tuesday, May 21, 2019</u></p> <p>Public Policy Issues Ahead: A Washington Perspective 10:00 a.m. – 11:00 a.m. CPEs Available: 1 Knowledge Level: Basic Field of Study: Specialty Knowledge Prerequisites: None</p>	<p><u>Tuesday, June 4, 2019</u></p> <p>Environmental Risk: Aligning Effort to Create Value and Mitigate Hidden Risks 11:00 a.m. – 12:00 p.m. CPEs Available: 1 Knowledge Level: Basic Field of Study: Specialty Knowledge Prerequisites: None</p>
<p><u>Tuesday, June 18, 2019</u></p> <p>Code of Ethics: Implementation Guides 10:00 a.m. – 11:00 a.m. CPEs Available: 1 Knowledge Level: Basic Field of Study: Behavioral Ethics Prerequisites: None</p>	<p><u>Tuesday, July 16, 2019</u></p> <p>The Internal Audit/Audit Committee Relationship 10:00 a.m. – 11:00 a.m. CPEs Available: 1 Knowledge Level: Basic Field of Study: Business Management and Organization Prerequisites: None</p>

## Congratulations Scholarship Recipients

The San Diego IIA Chapter was privileged to offer three scholarships this year. Thank you to all of the students who applied. Congratulations to the following scholarship recipients, who will each receive a one-time award of \$1,000!

- Julia Mobasser, San Diego State University
- Mohammad Yousaf, San Diego State University
- Jessica Demirdjian, University of San Diego



## *Congratulations*

### **IIA San Diego Chapter achieved Gold status!!**

Category	Total Points
Service to Members	1488
Professionalism	175
Chapter Health	500
<b>Total CAP Points Earned</b>	<b>2163</b>

### **What is CAP?**

The program, referred to as CAP, requires each chapter to tally the different activities in which its members participate that promote the IIA. The CAP program is the IIA's Business Score Card used to monitor and recognize the activities of the local chapters that promote the strategic objectives of the IIA.

Throughout the year, the achievement program monitors and scores each chapter's participation in the following three service categories:

- Chapter Health – Focuses on items from the chapter's reporting calendar and chapter standards
- Service to Members – Focuses on growing membership, volunteers, certifications and accounts for the programs offered by the chapter to its members
- Professionalism – Focuses on giving back to the profession.

At the end of the year, CAP evaluates the success of each chapter's efforts through use of four performance level classifications:

- BRONZE: 685 achievement credits
- SILVER: 1,060 achievement credits
- GOLD: 1,560 achievement credits
- PLATINUM: a chapter achieving GOLD status 10 of 11 years



In order to become the top scorer, compared to larger chapters in the United States, we need your assistance in tracking the CAP points we have earned.

### **How Can I Help the Chapter Earn CAP Points?**

- Attend the monthly chapter meetings
- Attend chapter sponsored seminars
- Earn an IIA certification
- Recruit new members
- Present speeches or training sessions
- Write internal audit-related articles for publication
- Utilize the chapter sponsored Certified Internal Auditor (CIA) review course;

If you have presented any materials that resulted in the award of CPE, have published any articles or have any other activities that you believe may qualify for CAP points, please let us know and we will be more than happy to work through the detail.

If you are aware of chapter membership activities that will earn us CAP points or have any questions regarding the CAP program, please contact Laura Flores at [iiasdchapter@gmail.com](mailto:iiasdchapter@gmail.com)



# CIA Learning System

Be prepared to pass all 3 Parts of the updated CIA exam, testing January 1, 2019 and beyond! This comprehensive, interactive, and online CIA review program will also arm you with critical tools and knowledge to excel in your internal audit career.



## New for Version 6.0!

Aligned with updated CIA Exam Syllabi, testing January 1, 2019 and beyond

500 new test questions

Download books to your e-reader, read online, or choose printed books (optional add-on)

Link seamlessly from online quiz questions directly to pertinent sections of the online reading materials for convenient topic review

Includes most recent IPPF enhancements

Video tutorials providing an overview of the IPPF components

**Comprehensive Reading Materials:** Electronic reading materials provide the framework for your successful CIA exam preparation, teaching the entire updated global CIA exam syllabus in a concise and easy-to-understand format.

- Reading materials teach the entire updated global CIA exam syllabus.
  - Part 1: Essentials of Internal Auditing
  - Part 2: Practice of Internal Auditing
  - Part 3: Business Knowledge for Internal Auditing
- Convenient online format allows you to study whenever your schedule allows
- Download books to your e-reader, read online with an embedded e-reader, or choose printed books (optional add-on for \$100 plus shipping & taxes)

**Interactive Online Study Tools:** Mobile-optimized online study tools will help you develop a personalized study plan, test your comprehension of the CIA exam syllabus topics, track your progress, and finalize your CIA exam preparations.

- Pre-tests: Evaluate your initial knowledge to identify areas of strength and weakness.
- Smart-Study™: Build a customized study plan using your pre-test results to target your most challenging topics and optimize your study time.
- Video tutorials: Provide an overview of the IPPF components.
- Chapter-specific quizzes: After reading each chapter, test your comprehension, retention, and ability to apply concepts.
- Flashcards: Online and printable flashcards offer a review of key internal audit terms and definitions.
- Post-tests: After studying all chapters, gauge the knowledge you've gained and areas that require further study.
- CIA practice exam: This final exam will help you build confidence using the computer-based CIA exam software before you enter the test center.
- Progress report: Track your activities and scores.
- Resource center: Access StudyPLUS exam tips and tools, content updates, feedback links, and more!

**Online Access Period:** Purchasers will have access for two years from date of purchase.

<https://bookstore.theiia.org/the-iias-cia-learning-system-version-60-3-part-cia-review>





## CIA Exam: Why, How, and What Is Changing January 2019

### Why?

To ensure the material within all three parts of the CIA exam stays current and valid, the CIA underwent a global job analysis study to determine the knowledge, skills, and abilities most applicable to today's internal audit practitioners. The study results indicated the need to revise the exam syllabi to reflect the evolution of the internal audit profession worldwide.

### How?

- Three exam parts are balanced, clear, and uniform.
- Minimal duplication and overlap among exam parts.
- Aligned with The IIA's *International Standards for the Professional Practice of Internal Auditing*.
- Up-to-date with the current global practice of internal auditing.

Covers the knowledge, skills, and abilities exam candidates must possess to be the most competent and capable internal auditor possible.

### What?

CIA Exam Part One: Essentials of Internal Auditing

CIA Exam Part Two: Practice of Internal Auditing

CIA Exam Part Three: Business Knowledge for Internal Auditing

CURRENT VERSION
I. Mandatory Guidance
II. Internal Control / Risk
III. Conducting Internal Audit Engagements – Audit Tools and Techniques

REVISED VERSION
I. Foundations of Internal Auditing
II. Independence and Objectivity
III. Proficiency and Due Professional Care
IV. Quality Assurance and Improvement Program
V. Governance, Risk Management, and Control
VI. Fraud Risks

CURRENT VERSION
I. Managing the Internal Audit Function
II. Managing the Individual Engagements
III. Fraud Risks and Controls

REVISED VERSION
I. Managing the Internal Audit Activity
II. Planning the Engagement
III. Performing the Engagement
IV. Communicating Engagement Results and Monitoring Progress

CURRENT VERSION
I. Governance / Business Ethics
II. Risk Management
III. Organizational Structure / Business Processes and Risks
IV. Communication
V. Management / Leadership Principles
VI. IT / Business Continuity
VII. Financial Management
VIII. Global Business Environment

REVISED VERSION
I. Business Acumen
II. Information Security
III. Information Technology
IV. Financial Management



# CIA Exam Changes, continued

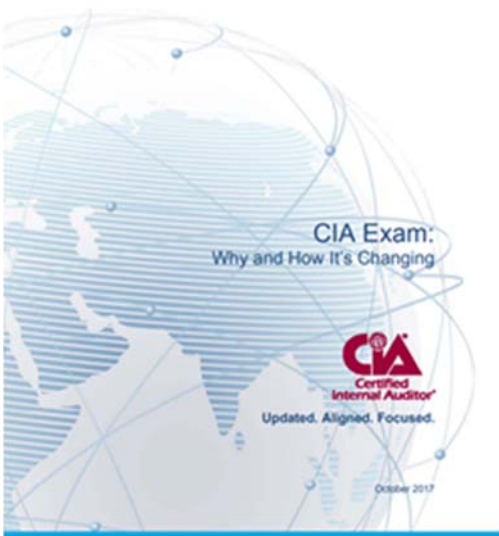
## When?

The updated CIA exams will take effect January 2019, in English only. Non-English exams will be released as follows:

Language	Availability Date
French and Spanish	June 1, 2019
German and Turkish	July 1, 2019
Arabic and Russian	August 1, 2019
Korean and Portuguese	September 1, 2019
Chinese Traditional and Japanese	October 1, 2019
Chinese Simplified	December 2019 or early 2020

Each candidate should review the changes and determine the best timing to complete their individual studies and take the exam. Please review the **CIA Exam: Why and How It's Changing Handbook** and **CIA Exam: FAQs** for additional details.

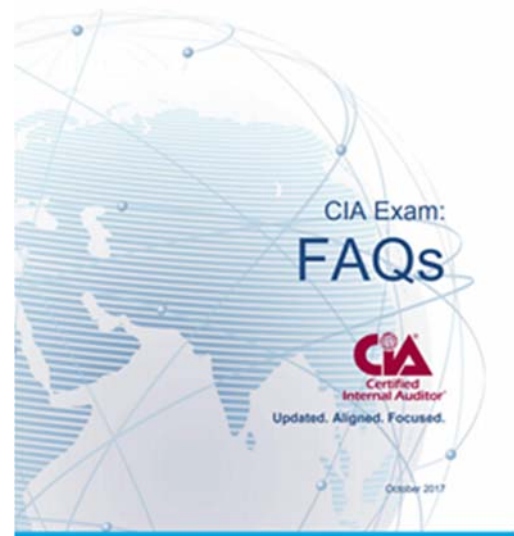
### CIA Exam: Why and How It's Changing Handbook



[www.globalia.org](http://www.globalia.org)

The Institute of Internal Auditors | Global

### CIA Exam: FAQs



[www.globalia.org](http://www.globalia.org)

The Institute of Internal Auditors | Global



## Welcome New Members

<u>First Name</u>	<u>Last Name</u>	<u>Organization</u>
Jaime	Valli	Illumina Inc
Jessica	Demirdjian	County of San Diego
Michael	Cahill	LPL Financial
John	Matranga	LPL Financial
Michael	Wilson	Fair Issac Corporation
Andre	Goncalves Ishida	LPL Financial
Sheri	Benfield	LPL Financial
Melissa	Wang	Home
Aaron	Patchin	LPL Financial
Alexander	Joyce	FICO
Giovanni	Reyes	County of San Diego Treasurer-Tax Collector
Edmaris	Castro	NuVasive
Mary-Ann	Peters	National University Student
Christopher	Yagi	AssetMark
Jane	Kim	ARS National Services Inc.
Jerry	Bui	Lighthouse

## Newly Certified Members

Congratulations to our newly certified members!

- Joseph Victoria, Certified Internal Auditor (CIA)
- Jie Wang, Certified Internal Auditor (CIA)



## Certification Candidate Management System

The launch of the new Certification Candidate Management System (CCMS) is quickly approaching. Please access your profile to verify or update your email address so you may continue to access the system after the launch. The CCMS allows you to:

- Apply for IIC certification programs
- Register for IIA certification examinations
- Review your certification status
- View your exam score report
- Review next steps in your certification process
- Update your personal information
- Review your credential and exam history
- Contact IIA customer service
- And much more!

## Local Job Opportunities

Want to be noticed by a highly qualified pool of over 500 CIAs, CPAs, and MBAs? You can be by posting your job on our website. Jobs are also included here in our monthly newsletters. For more information on job postings, contact us at [iiasdchapter@gmail.com](mailto:iiasdchapter@gmail.com)

### LOCAL JOB OPPORTUNITIES

#### 24 Hour Fitness - Sr IT Auditor

**Location:** Carlsbad, CA  
**Date Posted:** May 17, 2019  
**Date Ending:** August 17, 2019

<https://chapters.theiia.org/san-diego/Careers/Pages/default.aspx>

