Dear IIA Members,

I would like to thank all the volunteers that during the past year, selflessly offered their time to assist the Chapter with the various events and activities we held throughout the year. Once again, thanks to you combined efforts and dedication, our San Diego Chapter has achieved **Gold status**. Congratulations to all that made this happen!!!

Also, thank you for helping us make the last IT seminar a success. We received excellent reviews and positive feedback from the members that attended.

Our last lunch meeting for the chapter year will be held on May 10, 2017 and we hope to see you there. We will have a presentation by KPMG on Internal Control Over Financial Reporting. Also, we will be recognizing the extraordinary team of volunteers who made this past year possible. Last, we will formally install the new group of officers for the next chapter year.

Although the Chapter year is over, we will continue offering many exciting educational opportunities during the summer:

- **Western Regional Conference** – June 6-9, 2017. Co-hosted by the IIA and seven Southern California IIA chapters, is billed as this summer’s blockbuster training and networking event for government, health care, IT, and financial services internal auditors.
- **IIA District #4 Workshop** – June 23th. All incoming officer, chairs, and BOG members are encouraged to attend.
- **IIA International Conference** - July 23-26, 2017 in Sydney, Australia. The theme for this year’s International Conference is “L.I.V.E. the Global Experience: Leadership, Innovation, Value, Effectiveness”

Please remember that May is the **International Internal Audit Awareness Month**! Please help us promote our profession with your organization and affiliated groups.

Lastly, please remember that we still need volunteers. Your involvement can be tailored to suit your time limitations. Please contact me directly to discuss volunteer opportunities with the Chapter.

Best regards,

Laura Flores, CIA, CGAP, CFE

SD IIA Chapter President
## Upcoming Events

<table>
<thead>
<tr>
<th>IIA San Diego Chapter</th>
<th>IIA West Region</th>
<th>IIA</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>May 10, 2017</strong> – IIA Luncheon End of Year</td>
<td><strong>June 6-9, 2017</strong> Western Regional Conference Anaheim, CA</td>
<td><strong>July 23-26, 2017</strong> International Conference Sydney, Australia</td>
</tr>
<tr>
<td>See all upcoming Chapter Events on the San Diego IIA Chapter Program here:</td>
<td><strong>June 6-9, 2017</strong> CIA Review Seminar - Western Regional Conference Anaheim, CA</td>
<td>[<a href="https://na.theiia.org/training/conferences/Pages/Confere">https://na.theiia.org/training/conferences/Pages/Confere</a> nces.aspx](<a href="https://na.theiia.org/training/conferences/Pages/Confere">https://na.theiia.org/training/conferences/Pages/Confere</a> nces.aspx)</td>
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<td><a href="https://chapters.theiia.org/san-diego/Events/Pages/default.aspx">https://chapters.theiia.org/san-diego/Events/Pages/default.aspx</a></td>
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**happy**

**MOTHER’S**

day

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Class of 2017
A Blueprint: Strategizing Your Anti-fraud Approach

May 16, 2017     1:00–2:00 p.m. ET

An organization using its investigative team as their anti-fraud strategy may be doing too little too late to mitigate fraud losses suffered by the organizations. An anti-fraud strategy will allow the organization to build a strong foundation to detect and monitor for fraud with the goal of preventing any losses to the organization. This session will provide attendees with a blueprint, tools, and best practices to assist organizations in developing and implementing an effective anti-fraud strategy.

Learning Objectives

- Define the five (5) main components of an anti-fraud strategy.
- Understand how COSO supports the need for an anti-fraud strategy.
- Use a fraud risk management process to drive down the losses due to fraud.
- Learn best practices for monitoring, detecting, and preventing fraud.

Course Duration: 1 hour
CPE Hours Available: 1
Knowledge Level: Intermediate
Field of Study: Auditing
Prerequisites: None
Advance Preparation: None
Delivery Format: Internet Group Live
Speaker:

Brenda Buetow  
*Regulatory Compliance Risk Sr. Manager, Crowe Horwath*

Brenda Buetow has 20 years' experience in financial crimes consulting and provides significant assistance to her clients, local law enforcement, federal regulators, and the FBI in these areas. Her experience includes forensic accounting; data analytics; the detection, prevention, monitoring, and investigation of financial crimes including money laundering, terrorist financing, fraud, bribery and corruption; embezzlement; BOD misconduct; and various fraud schemes.

Buetow is a respected fraud investigator who has authored and presented articles at the national and international levels on topics including fraud prevention, detection, monitoring and investigation, building sound financial crimes monitoring programs, and the use of data analytics to identify and resolve potential fraud, bribery, corruption, or money laundering.

Buetow is a graduate from the University of Indianapolis, holding a Master of Business Administration and a Bachelor of Science with an emphasis in Accounting. She is also graduate of the FBI.. Citizens Academy, a Certified Fraud Examiner (CFE), and a Certified Anti-Money Laundering Specialist (CAMS).

**Upcoming IIA Webinars**

27-June-2017

**Members-only Webinar: Strengthening an Organization’s Risk Culture: IA Can Play a Critical Role**

18-July-2017

**Members-only Webinar: Third Party Risk – A Smarter Approach**

15-August-2017

**Members-only Webinar: Getting ERM Right: Leveraging the New COSO ERM Framework**
2017 Western Regional Conference

June 6–9, 2017
The Anaheim Marriott / Anaheim, CA

The 2017 Western Regional Conference offers attendees cutting-edge, relevant information on core competencies, general audit practices, with specific, new information on audit activities and Industry hot topics such as regulatory compliance, business intelligence, cybersecurity, mobile computing, and fraud presented by prominent internal audit leaders from the industry and government.

Who Should Attend?

IIA members and nonmembers at every level in their career will benefit from this event, providing cost-effective training with a regional focus.

Training sessions will address current and emerging audit challenges facing professionals and how to build valuable and applicable skill proficiencies. Co-hosted by seven of IIA chapters in Southern California, the conference will focus on networking between the chapters and sharing best practices and techniques in use within organizations.

The educational program consists of four general sessions, pre-conference workshops, and breakout sessions in the following five educational tracks: Technology; Governance, Risk, Compliance, and Fraud; Government and Public Sector; Industry (Finance and Health Care); and The IIA’s CIA Learning System® Review Course*. Details are available on the Program Information page.

Earn up to 18 CPE credits by attending the conference. Additional CPE available when you attend a pre-conference workshop.

Save US$100** Today – Register Now

**Early registration savings of US$100 end March 13, 2017.
Your history of strong performance landed you at the top of your profession. Your vision for the future — and your ability to deliver — is what will keep you there. The IIA’s Audit Executive Center gives you the tools, resources, and information you need as a chief audit executive (CAE) to stay on top by helping you stay ahead.

**Be Empowered. Be Connected. Be Relevant.**

**About the Center**

An exclusive membership-based service developed to support CAEs in answering the demands of their evolving roles, the Audit Executive Center empowers its members to perform through delivering unparalleled access to:

- A robust members-only website, featuring a growing Knowledge Center of nearly 950 pieces of thought leadership and more than 1,200 tools, templates, and planning resources.
- Exclusive peer-to-peer knowledge sharing opportunities such as forums, roundtables, and networking events, many are CPE eligible.
- E-bulletins, news publications, and weekly alerts geared specifically for CAEs.

**What Can Membership Mean for You?**

Being a member of the Audit Executive Center provides you concierge level service, which means:

- You save valuable time searching for information by accessing the Center’s consolidated, credible, and comprehensive thought leadership, information, and research.
• You have a convenient, economical, and flexible way of connecting with current events, emerging trends, and best practices.
• You know what your peers are doing, what’s working for them, and how your team compares.
• You are armed with the information you need to proactively engage management in meaningful dialogue and earn your “seat at the table.”
• You have the support you need to strategically develop your team’s skill sets and operate as a value-added organizational resource.

What Sets the Audit Executive Center Apart?

The Audit Executive Center offers a distinctive value proposition:

• Peer-to-peer networking opportunities provide invaluable access to others facing the same demands and challenges. The Center strives to create bonds and relationships among CAEs worldwide.
• Intuitively organized information is delivered succinctly and is easily searchable with your members-only access to optimize your experience and save you time.
• Your Center experience is fully customizable providing you access — online, in-person, and at your convenience — allowing you to decide how you participate.

Three levels of membership — Professional, Advocate, and Ambassador — offer Center members the option to choose which tools and resources are best suited to their individual needs. Your internal audit team exists to support your organization and meet stakeholder expectations. The Audit Executive Center exists to support you — our most important stakeholder. We invite you to tour the Center and explore how we can exceed your expectations.

Contact Us

If you have questions, please contact cae@theiia.org.

https://na.theiia.org/services/cae-resources/AEC/Pages/The-Audit-Executive-Center.aspx
The program, referred to as CAP, requires each chapter to tally the different activities in which its members participate that promote the IIA. For example, achievement points may be earned for presentations given by Chapter members or for the number of participants that attend a sponsored or cosponsored IIA Chapter event. The CAP program is the IIA’s Business Score Card used to monitor and recognize the activities of the local chapters that promote the strategic objectives of the IIA.

Throughout the year, the achievement program monitors and scores each chapter’s participation in the following three service categories:

- Service to Members
- Service to the Profession
- Chapter Administration

At the end of the year, CAP evaluates the success of each chapter’s efforts through use of four performance level classifications:

- BRONZE: 685 achievement credits
- SILVER: 1,060 achievement credits
- GOLD: 1,560 achievement credits
- PLATINUM: a chapter achieving GOLD status 10 of 11 years

In order to become the top scorer, compared to larger chapters in the United States, we need your assistance in tracking the CAP points we have earned.

The CAP section of our newsletter serves to provide an awareness of member activities that promote the profession and/or membership for which we can receive CAP points. Each month we will highlight the types of activities that can earn us points as well as provide an update on our progress!

If you are aware of chapter membership activities that will earn us CAP points or have any questions regarding the CAP program, please contact John Lefter at John.Lefter@sharp.com.

If you have presented any materials that resulted in the award of CPE, have published any articles or have any other activities that you believe may qualify for CAP points, please let us know and we will be more than happy to work through the detail.

### Category Achievement Information Section

<table>
<thead>
<tr>
<th>Category</th>
<th>Minimum for Bronze</th>
<th>San Diego Chapter Points (Est. as of 4/10/2017)</th>
</tr>
</thead>
<tbody>
<tr>
<td>I. Service to Members</td>
<td>325.00</td>
<td>777.74</td>
</tr>
<tr>
<td>II. Service to Profession</td>
<td>200.00</td>
<td>613.22</td>
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<tr>
<td>III. Chapter Administration</td>
<td>160.00</td>
<td>463.00</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>685.00</strong></td>
<td><strong>1,853.96</strong></td>
</tr>
</tbody>
</table>

We Exceeded the Gold Standard!!!
Drive Your Career Forward as a Certified Internal Auditor®

Take the fastest route to CIA in May, International Internal Audit Awareness Month, and save up to $230 on the application fee*.

Why wait? Be fully aligned with the following fast track to standards alignment and career advancement with the only globally recognized internal audit certification.

1. Apply and Save.
   Begin the application process by saving both money and time. Our step-by-step directions, from eligibility requirements to exam prep resources, help you successfully apply for, prepare, and pass the CIA.

2. Build a Road Map.
   Earn your CIA in 18 months with a road map of properly placed training and education milestones and action items.

3. Accelerate Arrival With Prep.
   Leverage CIA exam prep tools, like The IIA’s CIA Learning System®, to gain the necessary knowledge to effectively prepare and pass all three parts of the exam.

4. Earn Your CIA
   With CIA behind your name, you earn added respect of your peers, stakeholders, and leaders that you are qualified, committed, and trusted for more opportunity.

LIMITED-TIME CIA OFFERS*

Save up to $230 on CIA App Fee Waiver!
Apply now.

Save 10%
on The IIA’s CIA Learning System®. Use promo code MAY10
Purchase now.

Save 10%
on CIA Exam Practice Questions. Use promo code CIA0517.
Order now.

*Application fee for the Certified Internal Auditor (CIA) designation is waived until 31 May 2017. Additional exclusions may apply. Internal audit practitioners outside North America should check with their local Affiliate as some may not be participating in this offer.
Welcome New Members

The San Diego IIA Chapter would like to wish a warm welcome to the following transferred / new members:

<table>
<thead>
<tr>
<th>First Name</th>
<th>Last Name</th>
<th>Company</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jane</td>
<td>Okada</td>
<td>KPMG LLP USA</td>
</tr>
<tr>
<td>Cynthia</td>
<td>Roy</td>
<td>Brown &amp; Brown, Inc.</td>
</tr>
<tr>
<td>Housni</td>
<td>Ait Lafquih</td>
<td>Deloitte Audit-Morocco</td>
</tr>
<tr>
<td>Brianne</td>
<td>Kehoe</td>
<td>Qualcomm Inc</td>
</tr>
<tr>
<td>Patricia</td>
<td>Rodriguez</td>
<td>Qualcomm Inc</td>
</tr>
<tr>
<td>Elyse</td>
<td>Nguyen</td>
<td>Qualcomm Inc</td>
</tr>
<tr>
<td>Terri</td>
<td>Magoffin</td>
<td>Scripps Health</td>
</tr>
<tr>
<td>Adil</td>
<td>Hamouch</td>
<td>USE Credit Union</td>
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<tr>
<td>Gonzalo</td>
<td>Saenz</td>
<td>Morgan Franklin Corporation</td>
</tr>
<tr>
<td>David</td>
<td>Kern</td>
<td>WD-40 Company</td>
</tr>
<tr>
<td>Isaac</td>
<td>Garcia</td>
<td>Sony Corporation Risk and Control Department</td>
</tr>
</tbody>
</table>
The IIA’s CIA Learning System

Discount available to all IIA Members!

The IIA’s CIA Learning System is a comprehensive training course designed to provide chapters, members, and the audit community of professionals with information and tools to master the global CIA exam syllabus. This premier quality program is aligned with the International Professional Practices Framework (IPPF) to ensure you stay current with the global internal auditing profession and are ready for the CIA exam. It combines printed text with online testing tools and online questions. Take advantage of the best prep materials out there by ordering yours today! If you are interested in special pricing of these materials which are only available through our Chapter, please contact Laura Flores at Laura.Flores@sdcountry.ca.gov.

You can also order the materials at the regular price by visiting: http://ecommerce.mylearningresource.com/stores/IIA/chapter/
BOOK OF THE MONTH

Certified Internal Auditor Exam Practice Questions

Certified Internal Auditor (CIA) Exam® Practice Questions outlines the underlying concepts of the only globally accepted certification for internal auditors. It explains the exam’s objectives and offers detailed explanations of each question, enabling you to understand why answers are correct and – just as important – why answers are incorrect.

An essential tool for taking the CIA exam, Certified Internal Auditor (CIA) Exam® Practice Questions gives you a clear understanding of the content to help you decide when you will be prepared and ready to take the exam.

This product is aligned with the 3-part CIA exam:

Part 1: Internal Audit Basics
Part 2: Internal Audit Practice
Part 3: Internal Audit Knowledge Elements

If earning your CIA designation is one of your professional development goals, this guide will help you get there faster.

Member Price: $51.99
Nonmember Price: $64.99
Place Your Order Today.
Visit www.theiia.org/bookstore

* Discount also applies to eBook and PDF formats.
Local Job Opportunities

Want to be noticed by a highly qualified pool of over 500 CIAs, CPAs, and MBAs? You can be by posting your job on our website. Jobs are also included here in our monthly newsletters. For more information on job postings, contact LauraFlores at Laura.Flores@sdcountry.ca.gov or Dan Lathus at Dan.Lathus@riskopportunities.com.

Below are the September job postings. To see further detail on these and more, see the full postings at: https://chapters.theiia.org/san-diego/Careers/Pages/default.aspx

Brown & Brown’s Internal Audit Program allows individuals to learn about the insurance industry and grow within the company. Teammates in the Internal Audit Program travel to many of our 180+ locations performing reviews based on processes and procedures within the Accounting and Insurance Operations disciplines. This unique opportunity provides our future leaders the chance to network with senior leadership across the company while exploring multiple offices to find the perfect future opportunity within the Brown & Brown team.

Required Competencies:
4-year college degree in Accounting or MBA
1 year experience in Accounting or Audit positions
Strong analytical skills
Proficient in Microsoft Office, excellent written and verbal communication skills
Highly motivated team player, self-disciplined with great desire to learn
Ability to meet tight deadlines and prioritize workload


General Atomics (GA), and its affiliated companies, is one of the world’s leading resources for high-technology systems development ranging from the nuclear fuel cycle to remotely piloted aircraft, airborne sensors, and advanced electric, electronic, wireless and laser technologies.

We recognize and appreciate the value and contributions of individuals with diverse backgrounds and experiences and welcome all qualified individuals to apply.

We have an exciting opportunity for a Senior Internal Auditor to work within our Internal Audit Team at our facility in Torrey Pines.

https://chapters.theiia.org/san-diego/Careers/Pages/General-Atoms--Sr-Internal-Auditor.aspx
Internal Auditor

Imagine embarking on a career where you can bring YOUR big ideas to the table. At USECU, we are constantly on the lookout for imaginative people who are passionate about creating extraordinary experiences for our members and want to have fun while doing it! Come help us achieve our vision of transforming lives by making dreams happen.

Internal Auditor:
Internal controls play an important role in all organizations, no matter what the size. Internal controls are established to help prevent errors and detect fraudulent activity thus ensuring the safety and soundness of USE. This role will put in place strong internal controls that include a “check and balance system” to reduce the number of operational errors, minimize fraud and misappropriation, maintain accuracy in management reporting and ensure appropriate management and control of risk.

https://chapters.theiia.org/san-diego/Careers/Pages/USECU-Internal-Auditor.aspx

Volunteering:
Interested in volunteering??? The San Diego Chapter needs you! Help us by volunteering for positions on committees like publicity and newsletter, seminars and speakers, and for governance positions like Vice President or Treasurer. If you are interested in volunteering, please contact Laura Flores at Laura.Flores@sdcounty.ca.gov. Aside from great networking opportunities, you may earn CPE credits for Chapter service.

Communicate with us:
In addition to our Chapter webpage, get in touch with us through LinkedIn, Twitter, and Google!

The Institute of Internal Auditors (IIA) – San Diego Chapter
@IIASanDiego
iiaSDChapter@gmail.com

With an innovative program customizable to training needs, this premier event provides an engaging journey, rich with insights for internal auditors at every level.

Keynote Speakers:

Jonathan Calvert  
Editor, Author, Insight Investigations Team, The Sunday Times  
Bend It Like FIFA!

Dee Madigan  
Executive Creative Director, Campaign Edge  
Selling Internal Audit: Is It Really That Hard to Show Our Value?

Register Today!  
ic.globaliia.org