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September 2018

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Dear IIA - San Diego Chapter Members,

Welcome to the 2018-19 IIA – San Diego Chapter Year!

Congrats to all for achieving “Gold” status this past year! We are on our way to earning “Platinum” status, which represents several years of meeting the IIA’s Chapter standards! The Chapter Board Members and Officers are committed in making this another great year for the Chapter by finding new ways to serve our members and the profession.

We are exciting to be involved in two significant events for the history of the San Diego Chapter and the Southern California District. The Chapter will be celebrating its **65th anniversary** and the **IIA International Conference** will be held in Anaheim, California in July 2019. For the 65th anniversary, the Chapter will be hosting a dinner cruise in April 2019. Stay tuned and visit our website for more details. Please contact us to learn about sponsorship opportunities. We encourage you all to attend these incredible events!

We are working diligently on this year’s event program and recruiting excellent speakers to present innovative topics of interest to our members. This past summer, we held our quarterly CAE Roundtable. In November, we hope you will join us for the quarterly Data Analytic Roundtable. This year’s event program includes engaging with our CAEs, social events for networking, and training opportunities. Check our newsletter, LinkedIn and/or website to learn about upcoming events and trainings.

Please mark your calendar for the upcoming events:

Upcoming Trainings	Meeting Dates	Topics	CPE
September Luncheon (please note extended time)	Wednesday, 09/19/18	Linking Enterprise Risk Management to Strategy	2
October ACFE/IIA Half Day Seminar	Thursday, 10/04/18	Fraud and Ethics	4
November ISACA/IIA Full Day Seminar	Wednesday 11/14/18	Data Privacy	8

We look forward to seeing you at our next IIA San Diego event!

Best regards,

Kathleen Medlin
IIA-San Diego President

Upcoming Events

IIA San Diego Chapter

September 19, 2018

Linking Enterprise Risk Management to Strategy
Handlery Hotel, San Diego
11:30 a.m. – 1:30 p.m.

October 4, 2018

ACFE and IIA San Diego Chapters' Fraud and Ethics
Half Day Seminar
Handlery Hotel, San Diego
8:00 a.m. – 12:00 p.m.

November 14, 2018

ISACA and IIA San Diego Chapters' Privacy
Full Day Seminar (Stay Tuned for Registration Info!)
Marina Village – Starboard Room
8:00 a.m. – 4:00 p.m.

See all upcoming Chapter Events on the San Diego IIA
Chapter Program here:

<https://chapters.theiia.org/san-diego/Events/Pages/default.aspx>

IIA

October 22-24, 2018

All Star Conference
Las Vegas, NV

March 11-13, 2019

General Audit Management Conference
Dallas/Ft. Worth, TX

July 7-10, 2019

International Conference
Anaheim, CA

<https://na.theiia.org/training/conferences/Pages/Conferences.aspx>

IIA Training / San Diego

The following IIA courses are being offered in San Diego, California. See the IIA's website for details and pricing, individual course dates and times and registration information.

Courses held at the MicroTek Training Facility.

<https://na.theiia.org/training/seminars/Pages/San-Diego-Seminars.aspx>

Date

November 6-7, 2018
November 6-7, 2018
November 6-7, 2018
November 6-8, 2018
November 6-9, 2018
November 6-9, 2018
November 8-9, 2018
November 8-9, 2018
November 8-9, 2018

Topic

Analyzing and Improving Business Processes
Assessing Risk: Ensuring Internal Audit's Value
Critical Thinking in the Audit Process
COSO Internal Control Certificate
Tools and Techniques I: New Internal Auditor
Tools and Techniques II: Lead Auditor
Beyond the Basics: Essential Skills and Practices
Operational Auditing: Influencing Positive Change
Root Cause Analysis for Internal Auditors



Chapter Celebration



Happy Birthday to the San Diego Chapter.

Stay tuned for fun events to help celebrate 65 years of service to the internal auditing profession!



Save the Date – International Conference



Save The Date!
July 7–10, 2019



Complimentary IIA Members-only Webinar

Attracting and Retaining Top-Talent in Internal Audit

October 16, 2018 1:00–2:30 p.m. ET (10:00-11:00 a.m. PT)

With increasingly complex risks and regulations and greater stakeholder expectations, Internal Audit must deploy creative talent management strategies to recruit, develop, motivate, and retain top talent. In this webinar, we will explore practical application techniques that Internal Audit can use for attracting the best resources, focusing on professional development, connecting the team, marketing the internal audit function, and harnessing subject matter expertise. This webinar will also cover the related talent management IIA Standards and guidance as well as what skills are in high demand in today's environment.

Course Duration: 1 hour

CPE Hours Available: 1

Knowledge Level: Basic

Field of Study: Personal Development

Prerequisites: None

Advance Preparation: None

Delivery Format: Internet Group Live

Speaker

Christopher Paulison

Partner, Financial Services Advisory

Chris has over 25 years of experience and serves as the Leader for Grant Thornton's Financial Services Center of Excellence (COE) for Controls Advisory (which includes Internal Audit, as well as First and Second Lines of Defense). Chris is active in the financial institutions marketplace – providing client services to banks of varying sizes and complexity. Prior to Grant Thornton, Chris served Partner for a Big 4 firm where he led the firm's internal audit /risk practice for the Midwest Region in Financial Services. Chris also served as the Chief Audit Executive for a Fortune 20 company





**Take a Tour of the Audit Executive
Center Website!**



Your history of strong performance landed you at the top of your profession. Your vision for the future — and your ability to deliver — is what will keep you there. The IIA's Audit Executive Center gives you the tools, resources, and information you need as a chief audit executive (CAE) to stay on top by helping you stay ahead.

Be Empowered. Be Connected. Be Relevant.

About the Center

An exclusive membership-based service developed to support CAEs in answering the demands of their evolving roles, the Audit Executive Center empowers its members to perform through delivering unparalleled access to:

- A robust members-only website, featuring a growing Knowledge Center of nearly 950 pieces of thought leadership and more than 1,200 tools, templates, and planning resources.
- Exclusive peer-to-peer knowledge sharing opportunities such as forums, roundtables, and networking events, many are CPE eligible.
- E-bulletins, news publications, and weekly alerts geared specifically for CAEs.



Audit Executive Center, continued

What Can Membership Mean for You?

Being a member of the Audit Executive Center provides you concierge level service, which means:

- You save valuable time searching for information by accessing the Center’s consolidated, credible, and comprehensive thought leadership, information, and research.
- You have a convenient, economical, and flexible way of connecting with current events, emerging trends, and best practices.
- You know what your peers are doing, what’s working for them, and how your team compares.
- You are armed with the information you need to proactively engage management in meaningful dialogue and earn your “seat at the table.”
- You have the support you need to strategically develop your team’s skill sets and operate as a value-added organizational resource.

What Sets the Audit Executive Center Apart?

The Audit Executive Center offers a distinctive value proposition:

- Peer-to-peer networking opportunities provide invaluable access to others facing the same demands and challenges. The Center strives to create bonds and relationships among CAEs worldwide.
- Intuitively organized information is delivered succinctly and is easily searchable with your members-only access to optimize your experience and save you time.
- Your Center experience is fully customizable providing you access — online, in-person, and at your convenience — allowing you to decide how you participate.

Three levels of membership — Professional, Advocate, and Ambassador — offer Center members the option to choose which tools and resources are best suited to their individual needs. Your internal audit team exists to support your organization and meet stakeholder expectations. The Audit Executive Center exists to support you — our most important stakeholder. We invite you to [tour the Center](#) and explore how we can exceed your expectations.

Contact Us

If you have questions, please contact cae@theiia.org.

<https://na.theiia.org/services/cae-resources/AEC/Pages/The-Audit-Executive-Center.aspx>



Chapter Achievement Program (CAP) Information Section

Congratulations to the IIA San Diego Chapter for Achieving GOLD Status for the 2017/2018 Chapter Year! The Chapter is well on its way to achieving PLATINUM Status.

The program, referred to as CAP, requires each chapter to tally the different activities in which its members participate that promote the IIA. For example, achievement points may be earned for presentations given by Chapter members or for the number of participants that attend a sponsored or cosponsored IIA Chapter event. The CAP program is the IIA's Business Score Card used to monitor and recognize the activities of the local chapters that promote the strategic objectives of the IIA.

Throughout the year, the achievement program monitors and scores each chapter's participation in the following three service categories:

- Service to Members
- Service to the Profession
- Chapter Administration

At the end of the year, CAP evaluates the success of each chapter's efforts through use of four performance level classifications:

- BRONZE: 685 achievement credits
- SILVER: 1,060 achievement credits
- GOLD: 1,560 achievement credits
- PLATINUM: a chapter achieving GOLD status 10 of 11 years



In order to become the top scorer, compared to larger chapters in the United States, we need your assistance in tracking the CAP points we have earned.

The CAP section of our newsletter serves to provide an awareness of member activities that promote the profession and/or membership for which we can receive CAP points. Each month we will highlight the types of activities that can earn us points as well as provide an update on our progress!

If you are aware of chapter membership activities that will earn us CAP points or have any questions regarding the CAP program, please contact Laura Flores at iiasdchapter@gmail.com

If you have presented any materials that resulted in the award of CPE, have published any articles or have any other activities that you believe may qualify for CAP points, please let us know and we will be more than happy to work through the detail.



Chapter Achievement Program (CAP) Information Section

More information on what a member can do to earn CAP points for the chapter:

- Attend the monthly chapter meetings;
- Attend chapter sponsored seminars;
- Utilize the chapter sponsored Certified Internal Auditor (CIA) review course;
- Earn an IIA certification;
- Develop questions for the CIA exam;
- Recruit new members;
- Present speeches or training sessions;
- Write internal audit-related articles for publication;
- Provide an internal audit internship at your company for a student; and
- Come up with an innovative way to promote the chapter

So, it's easy to contribute and it doesn't take too much of an effort.

Again, if you are aware of chapter membership activities that will earn us CAP points or have any questions regarding the CAP program, please contact Laura Flores at iiasdchapter@gmail.com

The IIA's CIA Learning System

Discount available to all IIA Members!



The IIA's CIA Learning System is a comprehensive training course designed to provide chapters, members, and the audit community of professionals with information and tools to master the global CIA exam syllabus. This premier quality program is aligned with the International Professional Practices Framework (IPPF) to ensure you stay current with the global internal auditing profession and are ready for the CIA exam. It combines printed text with online testing tools and online questions. Take advantage of the best prep materials out there by ordering yours today! If you are interested in special pricing of these materials which are only available through our Chapter, please contact our Certification Chair, Yanira Majano at iiasdchapter@gmail.com

You can also order the materials at the regular price by visiting:

<http://ecommerce.mylearningresource.com/stores/IIA/chapter/>



CIA Exam: Why, How, and What Is Changing January 2019

Why?

To ensure the material within all three parts of the CIA exam stays current and valid, the CIA underwent a global job analysis study to determine the knowledge, skills, and abilities most applicable to today's internal audit practitioners. The study results indicated the need to revise the exam syllabi to reflect the evolution of the internal audit profession worldwide.

How?

- Three exam parts are balanced, clear, and uniform.
- Minimal duplication and overlap among exam parts.
- Aligned with The IIA's *International Standards for the Professional Practice of Internal Auditing*.
- Up-to-date with the current global practice of internal auditing.

Covers the knowledge, skills, and abilities exam candidates must possess to be the most competent and capable internal auditor possible.

What?

CIA Exam Part One: Essentials of Internal Auditing

CIA Exam Part Two: Practice of Internal Auditing

CIA Exam Part Three: Business Knowledge for Internal Auditing

CURRENT VERSION

- I. Mandatory Guidance
- II. Internal Control / Risk
- III. Conducting Internal Audit Engagements – Audit Tools and Techniques

REVISED VERSION

- I. Foundations of Internal Auditing
- II. Independence and Objectivity
- III. Proficiency and Due Professional Care
- IV. Quality Assurance and Improvement Program
- V. Governance, Risk Management, and Control
- VI. Fraud Risks

CURRENT VERSION

- I. Managing the Internal Audit Function
- II. Managing the Individual Engagements
- III. Fraud Risks and Controls

REVISED VERSION

- I. Managing the Internal Audit Activity
- II. Planning the Engagement
- III. Performing the Engagement
- IV. Communicating Engagement Results and Monitoring Progress

CURRENT VERSION

- I. Governance / Business Ethics
- II. Risk Management
- III. Organizational Structure / Business Processes and Risks
- IV. Communication
- V. Management / Leadership Principles
- VI. IT / Business Continuity
- VII. Financial Management
- VIII. Global Business Environment

REVISED VERSION

- I. Business Acumen
- II. Information Security
- III. Information Technology
- IV. Financial Management



CIA Exam Changes

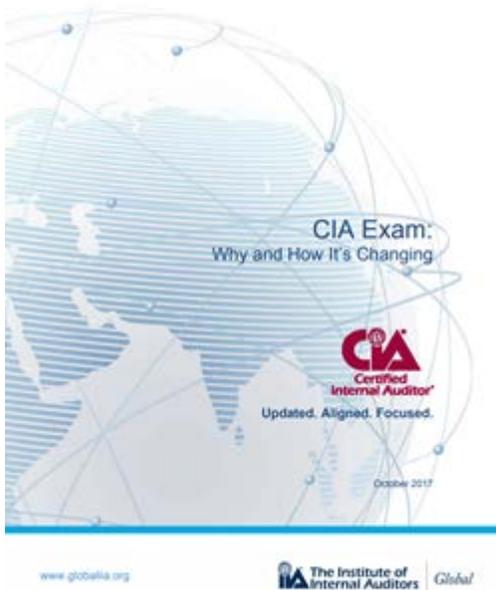
When?

The updated CIA exams will take effect January 2019, in English only. Non-English exams will be released as follows:

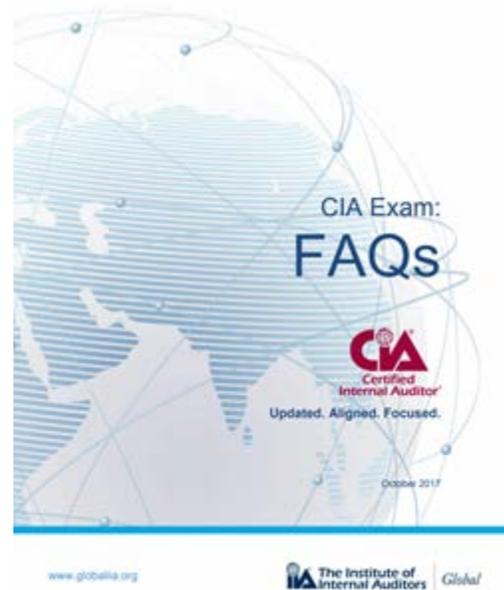
Language	Availability Date
French and Spanish	June 1, 2019
German and Turkish	July 1, 2019
Arabic and Russian	August 1, 2019
Korean and Portuguese	September 1, 2019
Chinese Traditional and Japanese	October 1, 2019
Chinese Simplified	December 2019 or early 2020

Each candidate should review the changes and determine the best timing to complete their individual studies and take the exam. Please review the **CIA Exam: Why and How It's Changing Handbook** and **CIA Exam: FAQs** for additional details.

CIA Exam: Why and How It's Changing Handbook



CIA Exam: FAQs



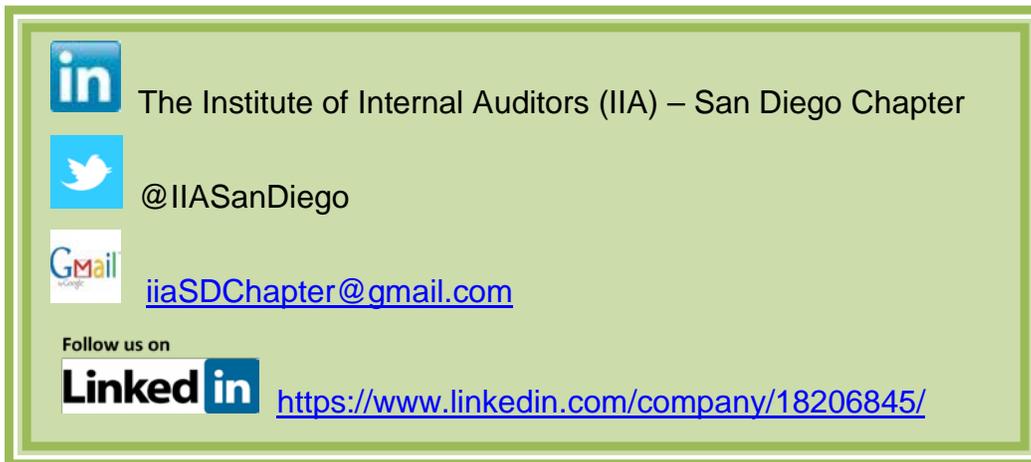
Connect

Interested in volunteering with the San Diego Chapter???

We have several open positions on our committees, such as, Marketing Chair, Photographer Chair, Social Media Chair, etc. Whether you have **one or more hours per month** to help, we can find a position that fits within your schedule. Several benefits of volunteering include great networking opportunities, making a difference in your community while earning CPE credit for Chapter service. If you are interested, please contact the 1st VP, Michelle Ludwick at iiasdchapter@gmail.com

Communicate with us:

In addition to our Chapter webpage, get in touch with us through LinkedIn, Twitter, Google and LinkedIn!



 The Institute of Internal Auditors (IIA) – San Diego Chapter

 @IIASanDiego

 iiaSDChapter@gmail.com

Follow us on
 <https://www.linkedin.com/company/18206845/>

New Certificate Holders

The San Diego IIA Chapter would like to say congratulations to our newest certificate holders:

<u>First Name</u>	<u>Last Name</u>	<u>Certification</u>
Farkhat	Kassymov	Certified Internal Auditor (CIA)
Lenh	Ngo	Certified Internal Auditor (CIA)
Audrey	Duggan	Certification in Risk Management Assurance (CRMA)





Welcome New Members

The San Diego IIA Chapter would like to wish a warm welcome to the following new members:

<u>First Name</u>	<u>Last Name</u>	<u>Company</u>
Zhe	Zhang	University of California, San Diego
Drew	Daddio	ViaSat
Rushi	Vajani	ICW Group Insurance Companies
Van	Dao	
Alex	Hosch	San Diego Metropolitan Credit Union
Housni	Aitlafquih	Sanofi
Tingting	Zhang	
Jonathan	Fenton	AMN Healthcare
Karen Ann	Mabesa	San Diego Unified Port District
Karla	Corona	
Danielle	Novokolsky	City of San Diego
Jonathan	Kisch	
Christine	O'Bannon	
Nathaniel	Allen	ASML



Welcome Transferred Members

The following members recently transferred to the San Diego Chapter – Welcome!

<u>First Name</u>	<u>Last Name</u>	<u>Company</u>
Mee	Hom	Sempra Energy
Leslie	Whitney	Sempra Energy
Mary Lynn	Peterson	Silvergate Bank
Judith	Sutherland	Novatel Wireless
Sarah	Bult	Jack in the Box
Jonathan	O'Toole	
Lisa	Kondrat	Encore Capital Group
Arlynn	Renslow	University of California San Diego
Weijie	Huang	Qualcomm Inc
Wee Yen	Tay	Qualcomm Inc
Andrew J.	Massura	MedImpact Healthcare Systems
Joonyoung	Kim	Qualcomm Inc
Jeffrey	Miller	PenChecks Trust
Cecilia R.	Galvez	Deloitte & Touche LLP (US)
James C.	Cascone	Deloitte & Touche LLP (US)
Terry L.	Allen	Defense Contract Audit Agency (DCAA)
Craig	Hermanson	ResMed
Douglas	Tulak	Encore
Jane	Okada	San Diego Unified Port District
Melinda	Moy	Pacific Gas & Electric Company
Jadnnie	Chiv	AIG
Susan	LaNier	City of San Diego
Arlys	Erickson	City of San Diego
Christy	Carlson	County of San Diego



Local Job Opportunities

Want to be noticed by a highly qualified pool of over 500 CIAs, CPAs, and MBAs? You can be by posting your job on our website. Jobs are also included here in our monthly newsletters. For more information on job postings, contact us at iiasdchapter@gmail.com

Below are the September job postings. To see further detail on these and more, see the full postings at: <https://chapters.theiia.org/san-diego/Careers/Pages/default.aspx>

Local Job Opportunities

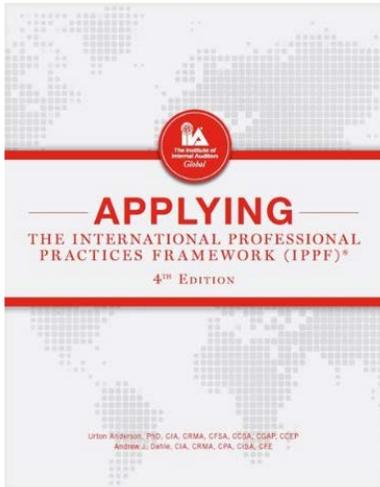
Bofl Federal Bank Senior Internal Auditor

Location: San Diego, CA
Date Posted: July 2, 2018
Date Ending: October 2, 2018



Applying the International Professional Practices Framework, 4th Edition

By: Urton Anderson, PhD, CIA, CRMA, and Andrew J. Dahle, CIA, CRMA, CPA



This book is designed to serve as a practical guide for applying the IPPF. It reviews basic information on the essential elements required for providing effective internal audit services, discusses in depth those elements representing significant change, and provides specific practical tools and techniques for delivering high-quality internal audit services. An Online Toolkit is included with the purchase price of the book.

Paperback

Member Price: **\$59.99**

Nonmember Price: **\$69.99**

eBook

Member Price: **\$59.99**

Nonmember Price: **\$69.99**

New from the Internal Audit Foundation!

This updated edition provides internal auditors with guidance on how to put into practice The IIA’s International Professional Practices Framework (IPPF). It represents a major restructuring of the earlier works, reflecting the new IPPF and Internal Audit Mission Statement, which came out in 2015 and introduced the Core Principles and Implementation Guides. It reflects the 2017 revision to the *International Standards for the Professional Practice of Internal Auditing* and looks at internal audit application of the IPPF through a maturity model lens and from the perspective of small audit departments.

This useful guide serves as a “crash course,” outlining the specific actions auditors must take to conform with the *Standards* and the other elements of the IPPF.

It provides:

- Further explanations of meanings behind the principles-based *Standards*
- Practical tools and techniques for delivering high-quality internal audit services
- Examples of leading practices in implementing the *Standards* and best practice internal auditing
- Discussion of trends and various points of view on topics core to the profession

The internal audit profession is at new heights of organizational investment and stature. Its importance is widely recognized by boards, audit committees, and management. The fact that the profession has one global set of standards, united across the world, that have been embraced by many regulators, legal systems, and governance bodies shows the strength of this foundation.

An Online Toolkit is included with the purchase price of the book.

