

February 2015

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Dear San Diego IIA Chapter,

We excited to have kicked off the 2015 calendar year with a great presentation focused on understanding the new Revenue Recognition standard. We are continuing this trend with our February all-day Fraud seminar on 2/11/15, followed in March by the Government all day seminar on 3/11/15, in April by our joint IIA/ISACA seminar on 4/8 and concluding our year with a COSO update on 5/13/15. These are exciting events that you won't want to miss, so please keep an eye on the event announcements coming to your inbox, as well as on our website for the up to date registration links. If you do not receive our email communications, please let us know ASAP so we can resolve this issue.

We are happy to recognize the ICW Group for completing their QAR process during the current Chapter Year – congratulations on taking this step in showing compliance and excellence with our professional practices framework.

If your Internal Audit team has undergone a QAR recently, please let us know so we can recognize your achievement.

We continue to thank our Corporate Sponsors! If you are interested in hosting an event, please contact Laura Flores at laura.flores@sdcounty.ca.gov

We need you! The annual chapter program of events is only successful with the help of committed volunteers! We have a great team of volunteers who hope you will join them in the upcoming year. We are a fun team, and your involvement as a volunteer can be tailored to suit your time constraints. Please contact me directly to discuss volunteer opportunities with the Chapter (john.lifter@sharp.com).

We look forward to seeing you at our next IIA San Diego event!

John Lifter

President

Upcoming Events

IIA San Diego Chapter

February 11, 2015: February All-Day Fraud Seminar

See all upcoming Chapter Events on the 2015 San Diego IIA Chapter Program here:

<https://chapters.theiia.org/san-diego/Events/Pages/default.aspx>

IIA

March 9–11, 2015 - 2015 General Audit Management Conference

April 8–10, 2015 - 2015 Gaming Conference

July 5–8, 2015 - 2015 International Conference

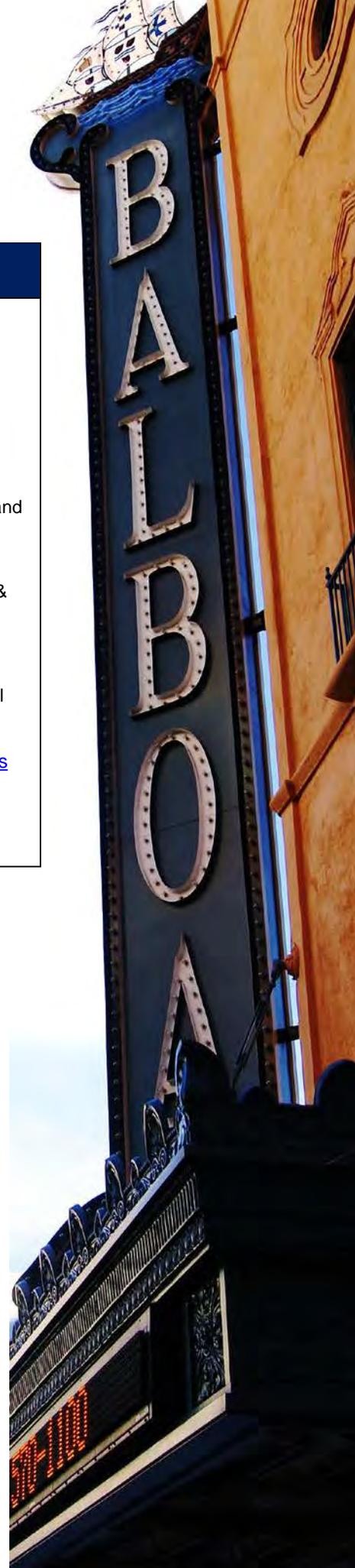
August 17–19, 2015 - 2015 Governance, Risk, and Control Conference (An IIA and ISACA Collaboration)

Sept. 28–Oct. 1, 2015 - ITAC 2015 Conference & Expo

October 19–21, 2015 - 2015 All Star Conference

November 8–11, 2015 - 2015 Southern Regional Conference

<https://na.theiia.org/training/conferences/Pages/Conferences.aspx>





February Channel – All-Day Fraud Seminar

Event Type: Chapter event

Start Date/Time: 2/11/2015 8:00 AM

End Date/Time: 2/11/2015 4:30 PM

Location: County of San Diego - 5500 Overland Ave 1st Floor, Rm 120, SD CA 92123 |

Agenda

Time	Topic	Speaker
8:00 - 8:30 AM	Registration	N/A
8:30 - 12:00 PM	Fraud Seminar	John Hall
12:00 - 12:30 PM	Registration	N/A
12:30 - 4:30 PM	Fraud Seminar	John Hall

Cost:

Member (IIA and SD ACFE)	\$120
Non-member	\$140
Student	\$80

Learning objectives:

Using a facilitated case study approach, participants will learn how to:

- Identify fraud exposures and risks
- Detect fraud and misconduct
- Manage fraud cases – both large and small
- Document fraud for presentation to management and external parties
- Work effectively and efficiently with legal, human resources and security specialists
- Build a fraud resource guide and investigative checklist
- Avoid common case handling mistakes
- Assist management with fraud prevention and detection efforts

Instructional delivery methods: Group-Live

Field of Study: Audit

CPE credit: 8 CPEs

Prerequisites: Knowledge of fraud from other seminars or work experience

Advanced preparation: None

Program level: Intermediate

Program description: As the foundation for learning points, this seminar uses actual fraud cases – many handled personally by the program instructor. Participants can find learning points in every fraud incident, and should review and adjust their approach as a result. In this program we review the details of real fraud cases covering misappropriation, manipulation of results, vendor and contractor overcharges, and corruption. We'll have examples from industry, government, education and not-for-profit organizations. You will benefit from developing action steps to take back to the job through individual and small work team brainstorming. Planned topics include fraud from:

- Cash misappropriation
- Diversion of payments received from customers and others
- Travel and entertainment reimbursement
- Misuse of purchasing cards
- Inventory theft and manipulated inventory valuation
- Diversion of used assets and scrap
- Cooking the financial books and earnings management schemes
- Manipulating non-financial and program results
- Purchasing, accounts payable and vendor schemes
- Contractor overcharges
- Technology exposures

Course registration requirements: To register for this event, click below



Refund policy and Complaint resolution policy: Registered participants who give a cancellation notice less than three (3) business days in advance of an event, or who do not attend the event, will be charged the full price of the event. It is acceptable to have a substitute attend the event on behalf of the registered participant. In addition, for luncheon events only, the participant may request to apply their payment to the following month's luncheon event. The option to apply payments to future events does not apply to seminars.

The chapter will process and issue any eligible refunds the week after the event. Please contact Dan Ebert or the Seminar Planning Chairperson, listed on our website, for additional details related to refunds, complaints or cancellation policies.

We hope that you will join us at this event. If you have any questions, please reply to this email or contact Dan Ebert at danjebert@gmail.com or Mark Rosengrant at mrosengrant@gmail.com.



Membership means **more.**

IIA Members-Only Webinar Series

Audit Committee Reporting – Trends and Best Practices

February 17, 2015

1:00–2:00 p.m. ET

An effective relationship between the audit committee and the organization’s internal auditors is fundamental to the success of the internal audit function.

To help audit leaders successfully engage executives and audit committee members, Gowell will review the results of the latest technology surveys, interviews with CAEs, and best practices from over 2,000 technology implementations to share trends, best practices, critical success factors, and tips for enhancing your audit committee relationships and reporting. This is an interactive session that includes audience feedback via polling technology.

Course Duration: 1 hour

CPE Hours Available: 1

Knowledge Level: Intermediate

Field of Study: Auditing

Prerequisites: None

Advance Preparation: None

Delivery Format: Internet Group Live

Speaker

Michael Gowell

General Manager and Senior Vice President

For more information: <https://na.theiia.org/training/eLearning/Pages/Members-only-Webinar-Audit-Committee-Reporting-Trends-and-Best-Practices.aspx>



2015 General Audit Management Conference

March 9–11, 2015

ARIA Resort & Casino / Las Vegas, NV

Improvement, Innovation, and Insight

Now in its 37th year, the General Audit Management (GAM) Conference continues bringing together CAEs and Audit Directors with Audit Committee and Board members, and other executives and stakeholders to glean exclusive insights, discuss challenges and strategies, and network with top auditing executives.

As the essential experience for CAEs, GAM is The IIA’s flagship event, providing conference delegates with an opportunity like no other.

Benefits of Attending GAM

- Improved performance in the leadership principles of internal audit.
- Best practices, data-driven insights, and trends that will position you as a seasoned professional and your organization’s competitive advantage in an increasingly globalized world.
- Expanded peer network and new connections you can turn to for sustainable ideas and strategic insights to serve you for years to come.
- Perspectives from some of the world’s leading authorities in and outside of the internal audit field.
- **16 CPE credits.**

Keynote Speakers

- **Larry Harrington, CIA, QIAL, CRMA, Vice President, Internal Audit, Raytheon Company: Beyond The Horizon: What Lies Ahead for Internal Auditing**

- **Carolyn Dittmeier**, CIA, QIAL, CRMA, Board Director and Chairman of the Audit Committee, Generali Insurance, Autogrill, Italmobiliare: **The Audit Committee: Great Expectations (A True Story)**
- **Robert E Stroud**, ISACA International President; Vice President Strategy & Innovation, CA Technologies: **Emerging Risks of Cybersecurity and the Impact on the Assurance Landscape**
- **Richard F. Chambers**, CIA, QIAL, CGAP, CCSA, CRMA, President and CEO, The IIA (moderator), and **Jeanette M. Franzel**, CIA, Board Member, Public Company Accounting Oversight Board (panelist): **Internal Audit in 2015: How Do Our Stakeholders See Us?** (*Additional panelists TBA.*)
- **Marc Goodman**, Global Strategist

Educational Tracks

Respected practitioners will lead more than 35 general and concurrent sessions that focus on:

- Talent & Resource Strategies
- Regulatory & Compliance Issues
- Risk Management
- Innovation & Technology
- Stakeholder Relationships & Expectations

Pricing and Registration

IIA Members: [Register early](#) and save! Not a member? [Join today!](#)

	Early Savings By Dec. 31*	Regular Rate As of Jan. 1*
<i>Members and Groups up to 3</i>	US\$1,345	US\$1,545
<i>Groups of 4 to 8</i>	US\$1,280	US\$1,470
<i>Groups of 9 or more</i>	US\$1,210	US\$1,390
<i>Non-members</i>	US\$1,760	US\$1,760
<i>*Per person pricing. Groups of 4 or more please contact IIA Customer Relations to register at +1-407-937-1111 or CustomerRelations@theiia.org.</i>		

Join The IIA's [Audit Executive Center](#) at the Ambassador Level and receive complimentary registration to the 2015 GAM Conference. Visit www.theiia.org/CAE for details.

Link: <https://na.theiia.org/training/conferences/GAM/Pages/2015-GAM-Conference.aspx>

The Audit Executive Center

Take a Tour of the Audit Executive
Center Website!



Your history of strong performance landed you at the top of your profession. Your vision for the future — and your ability to deliver — is what will keep you there. The IIA’s Audit Executive Center gives you the tools, resources, and information you need as a chief audit executive (CAE) to stay on top by helping you stay ahead.

Be Empowered. Be Connected. Be Relevant.

About the Center

An exclusive membership-based service developed to support CAEs in answering the demands of their evolving roles, the Audit Executive Center empowers its members to perform through delivering unparalleled access to:

- A robust members-only website, featuring a growing Knowledge Center of nearly 950 pieces of thought leadership and more than 1,200 tools, templates, and planning resources.
- Exclusive peer-to-peer knowledge sharing opportunities such as forums, roundtables, and networking events, many are CPE eligible.
- E-bulletins, news publications, and weekly alerts geared specifically for CAEs.

What Can Membership Mean for You?

Being a member of the Audit Executive Center provides you concierge level service, which means:

- You save valuable time searching for information by accessing the Center’s consolidated, credible, and comprehensive thought leadership, information, and research.
- You have a convenient, economical, and flexible way of connecting with current events, emerging trends, and best practices.
- You know what your peers are doing, what’s working for them, and how your team compares.
- You are armed with the information you need to proactively engage management in meaningful dialogue and earn your “seat at the table.”
- You have the support you need to strategically develop your team’s skill sets and operate as a value-added organizational resource.

What Sets the Audit Executive Center Apart?

The Audit Executive Center offers a distinctive value proposition:

- Peer-to-peer networking opportunities provide invaluable access to others facing the same demands and challenges. The Center strives to create bonds and relationships among CAEs worldwide.
- Intuitively organized information is delivered succinctly and is easily searchable with your members-only access to optimize your experience and save you time.
- Your Center experience is fully customizable providing you access — online, in-person, and at your convenience — allowing you to decide how you participate.

Three levels of membership — Professional, Advocate, and Ambassador — offer Center members the option to choose which tools and resources are best suited to their individual needs. Your internal audit team exists to support your organization and meet stakeholder expectations. The Audit Executive Center exists to support you — our most important stakeholder. We invite you to [tour the Center](#) and explore how we can exceed your expectations.

Contact Us

If you have questions, please contact cae@theiia.org.

Link: <https://na.theiia.org/services/cae-resources/AEC/Pages/The-Audit-Executive-Center>

Welcome New Members!

First Name	Last-named	Company Name
Nanllel	Arroyo	Sempra Energy
Julie	Ruehle	Ruehle CFO Advisory
Elizabeth	Harvit	CareFusion
Vidya	Murthy	CareFusion
Im	Yeav	Bumble Bee Foods LLC
Brooke	Funderburk	Consultant

The San Diego IIA Chapter would like to wish a warm welcome to the following new members:



Volunteering:

Interested in volunteering??? The San Diego Chapter needs you! Help us by volunteering for positions on committees like publicity and newsletter, seminars and speakers, and for governance positions like Vice President or Treasurer. If you are interested in volunteering, please contact Dan Lathus, 2013/14 Chapter Nominating Chairperson, at dan.lathus@riskopportunities.com. Aside from great networking opportunities, you may earn CPE credits for Chapter service.

Communicate with us:

In addition to our Chapter webpage, get in touch with us through LinkedIn, Twitter, and Google!



The IIA's CIA Learning System

Discount available to all IIA Members!



The IIA's CIA Learning System is a comprehensive training course designed to provide chapters, members, and the audit community of professionals with information and tools to master the global CIA exam syllabus. This premier quality program is aligned with the International Professional Practices Framework (IPPF) to ensure you stay current with the global internal auditing profession and are ready for the CIA exam. It combines printed text with online testing tools and online questions. Take advantage of the best prep materials out there by ordering yours today!

Find it at: <http://ecommerce.mylearningresource.com/stores/IIA/chapter/>

Chapter Book of the Month – A Chapter Benefit

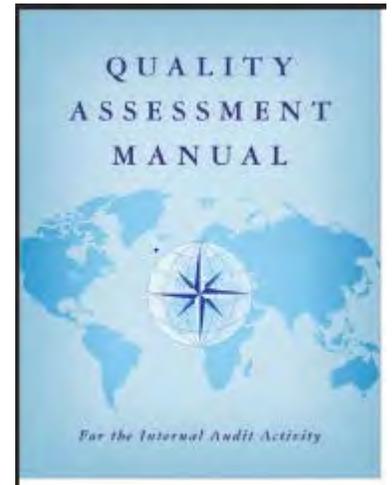
BOOK OF THE MONTH

Financial Planning and Budgets: Understanding the Auditing Process

Share this offer with your chapter: [Download a flyer here.](#)

Regardless of industry, sector, or size, implementing a comprehensive Quality Assurance and Improvement Program (QAIP) is necessary to ensure the internal audit activity is meeting expectations of clients and stakeholders.

The new *Quality Assessment Manual for the Internal Audit Activity* can help guide IA activities through the process of QAIP development, implementation, and maintenance as required by the *International Standards for the Professional Practice of Internal Auditing*.



The ever-changing profession, coupled with updates to The IIA's International Professional Practices Framework (IPPF), serves as the impetus for this new Quality Assessment Manual. Just released by The IIA's Bookstore, the new manual aligns with the components of the IPPF and the *Standards*, and offers practitioners:

- A clear outline of a quality assessment process flow that streamlines the gathering of evidence and eliminates duplication of work.
- Standardized tools that promote ease of use while achieving consistency in assessment techniques and documentation of results.
- A Road Map that highlights the alignment of specific assessment activities to assist with conformance to the *Standards*.

Chief audit executives looking to keep pace with the growing demands of stakeholders owe it to themselves and their staffs to add the new Quality Assessment Manual to their internal audit library.

Book with CD-ROM Item No: 1131 / Member Price: \$215.00 / Nonmember Price: \$260.00

CD-ROM Item No: 1132/ Member Price: \$160.00 / Nonmember Price: \$205.00

[Click here](#) to purchase.

Local Job Opportunities

Want to be noticed by a highly qualified pool of over 500 CIAs, CPAs, and MBAs? You can be by posting your job on our website. Jobs are also included here in our monthly newsletters. For more information on job postings, contact Gareth Gruffydd (Gareth.Gruffydd@protiviti.com).

Below are the December job posting. To see further detail on these and more, see the full postings at:
<https://chapters.theiia.org/san-diego/Careers/Pages/default.aspx>



ICW Group-Sr. Internal IT Auditor

The Sr. Internal IT Auditor will provide comprehensive reviews of the Company's IT processes, internal controls, and risk management practices to ensure:

- Effectiveness and efficiency of operations.
- Reliability and integrity of financial and operational information.
- Safeguarding of assets.
- Compliance with laws, regulations, and contracts.

Audits will generate recommendations to improve controls and processes, and will require follow up to ensure implementation.



LPL Internal Auditor

This individual will be responsible for executing finance and operations-related internal audits, SOX control testing, process reviews and various ad hoc projects assigned to them.

https://jobs.brassring.com/1033/asp/tq/cim_jobdetail.asp?jobId=340397&type=search&JobReqLang=1&recordstart=1&JobSiteId=5231&JobSiteInfo=340397_5231&Partnerid=25593&Siteid=5231&al=0

LPL IT - Internal Auditor

LPL Financial is looking for an IT Auditor to join our dynamic, growing team in San Diego. This is a newly created role to help support the recent growth our company has experienced.

This person will be responsible for executing IT-related internal audits, control testing, process reviews and various ad hoc projects as assigned.

https://jobs.brassring.com/1033/asp/tg/cim_jobdetail.asp?jobId=371624&type=search&JobReqLang=1&recordstart=1&JobSiteId=5231&JobSiteInfo=371624_5231&Partnerid=25593&Siteid=5231&aI=0

Bridgepoint-Internal Auditor

The Internal Audit I position is a full-time employment opportunity. The Internal Auditor is a full-time position located in San Diego, California. The Internal Auditor will have regular interaction with the Senior Management team, and external auditors for Bridgepoint Education and its subsidiaries.

<https://chapters.theiia.org/san-diego/Careers/Pages/Bridgepoint-Internal-Auditor.aspx>



Internal Auditor

The Internal Auditor will perform in a major functional audit role to support and perform financial, operational and system reviews and plan and complete tests of controls to evaluate whether risks to the company are identified and minimized, acceptable internal policies and procedures are followed, resources are used efficiently and economically, the organization's objectives are effectively achieved and compliance with Sarbanes-Oxley requirements are met. The Internal Auditor will also assist Internal Audit management with various Internal Audit department projects, development of the annual internal audit plan, and championing internal control and corporate governance concepts throughout the business.

<https://chapters.theiia.org/san-diego/Careers/Pages/Illumina-Senior-Internal-Auditor.aspx>



The power to change life.

Senior Auditor

Provide independent and objective opinions on the overall effectiveness and efficiency of NRG internal controls that mitigate business risks impacting performance objectives, and provide additional assurance services as requested by Senior Management or the Audit Committee of the Board of Directors.

<https://chapters.theiia.org/san-diego/Careers/Pages/NRG%20Position.aspx>



General Ledger Resources - Internal Auditor

The Senior Internal auditor reports directly to the director, Internal audit and is responsible for leading and executing compliance-related internal audits, process reviews and various ad hoc projects as assigned.

<https://chapters.theiia.org/san-diego/Careers/Pages/General-Ledger-Resources---Internal-Auditor.aspx>

IT Auditor

This Senior IT Auditor role is an excellent opportunity for individuals who have a strong technical IT background (CCNA, CCNE) with experience in hardware configuration for routers, switches and other hardware. We are willing to teach best practices in risk management, audit and internal controls compliance.

<https://chapters.theiia.org/san-diego/Careers/Pages/General-Ledger-Resources---IT-Auditor.aspx>

General Ledger Resources - Manager IT Audit (Contract-to-Hire)

The Internal Audit Manager of IT will lead and execute the internal audit department initiatives and audit plan to ensure goals, productivity, efficiency are achieved. Duties include oversight and execution of audit program for IT General Controls, System conversions, project implementation reviews, applications, integrated audits, and/or data privacy and vendor reviews.

[https://chapters.theiia.org/san-diego/Careers/Pages/General-Ledger-Resources---Manager,-IT-Audit-\(Contract-to-Hire\).aspx](https://chapters.theiia.org/san-diego/Careers/Pages/General-Ledger-Resources---Manager,-IT-Audit-(Contract-to-Hire).aspx)



Mountains of Change... Oceans of Opportunities

Join us for the 74th annual International Conference, July 5–8, 2015, in Vancouver, BC, Canada, as we explore **Mountains of Change...Oceans of Opportunities**. Network with 2,000+ peers from more than 100 countries, stay on the leading edge of internal audit, and enjoy the peaceful, scenic vistas of British Columbia.

As an attendee, you will benefit from:

- Sharing and discussing ideas and concepts with recognized thought leaders, practitioners, and speakers from 100+ countries.
- Participating in sessions on hot topics such as emerging global issues; tools and technologies; fraud, bribery, and corruption; financial services; corporate values; risk and controls; management skills; and public sector and non-profit challenges.
- Networking with like-minded colleagues from public and private sector organizations worldwide.
- Engaging with exhibitors that offer products and services to help you succeed.

Visit www.ic.globaliia.org for details,
and register early to save.

