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Dear San Diego IIA Chapter,

With only 3 months left of our Chapter year, we are excited to have still many great events planned for you. In March we will host an all-day seminar focused on the "Secrets of Audit Project Management for Auditors" on 3/11/15. The luncheon presentation for the same day will be discussing Organizational wide Risk Assessments for Government Entities. By registering for this event you will have the opportunity to win a \$50 gift-card, so go to our webpage and act now!

We have scheduled our joint IIA/ISACA seminar on 4/8, and it will be hosted by our generous sponsor – Qualcomm. Our last Analytics/ACL roundtable meeting for the year is also scheduled for 4/21. Lastly, we are planning a member appreciation, celebration and networking evening on April 10 at Dave & Busters (an email announcement will be coming out soon).

We will end our Chapter year with an update on COSO at our May event on 5/13.

There are still many exciting events that you won't want to miss, so please keep an eye on the event announcements coming to your inbox, as well as on our website (<https://chapters.theiia.org/san-diego/Pages/default.aspx>) for the up to date registration links. If you do not receive our email communications, please let us know ASAP so we can investigate further.

Please save the date for the following exciting events hosted by our IIA Southern California District:

- IIA District 4 Educational Conference – June 7 and June 8 in Anaheim, CA
- IIA District 4 Workshop – June 26. All incoming officers, chairs and even BOG members are encouraged to attend.

We continue to thank our Corporate Sponsors! If you are interested in hosting an event, please contact Laura Flores at laura.flores@sdcounty.ca.gov

We need you! The annual chapter program of events is only successful with the help of committed volunteers! We have a great team of volunteers who hope you will join them in the upcoming year. We are a fun team, and your involvement as a volunteer can be tailored to suit your time constraints. Please contact me directly to discuss volunteer opportunities with the Chapter (john.lifter@sharp.com).

We look forward to seeing you at our next IIA San Diego event!

John Lifter
President

Upcoming Events

IIA San Diego Chapter

March 11, 2015: All Day Seminar-Audit Project Management

See all upcoming Chapter Events on the 2015 San Diego IIA Chapter Program here:

<https://chapters.theiia.org/san-diego/Events/Pages/default.aspx>

IIA

March 9–11, 2015 - 2015 General Audit Management Conference

April 8, 2015 - 2015 Gaming Conference

July 5–8, 2015 - 2015 International Conference

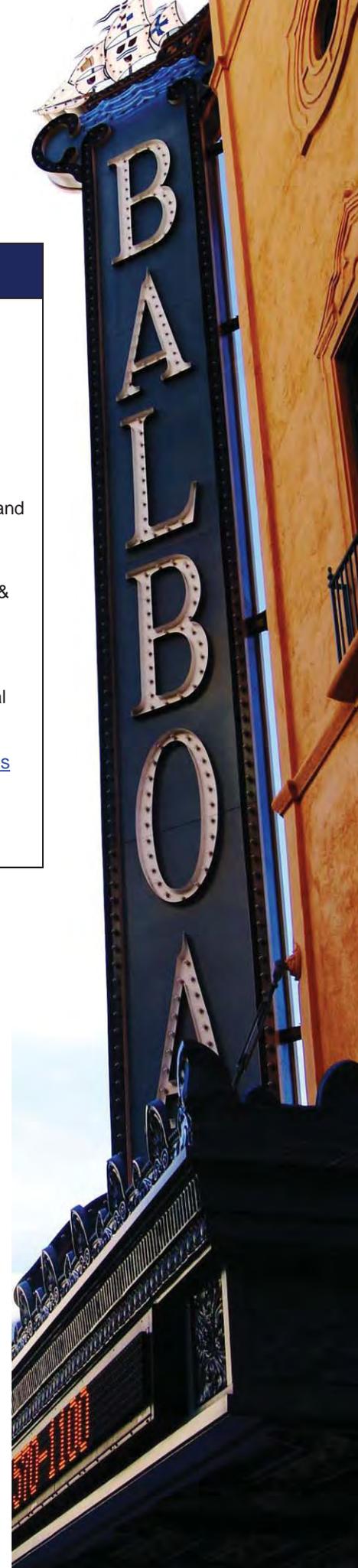
August 17–19, 2015 - 2015 Governance, Risk, and Control Conference (An IIA and ISACA Collaboration)

Sept. 28–Oct. 1, 2015 - ITAC 2015 Conference & Expo

October 19–21, 2015 - 2015 All Star Conference

November 8–11, 2015 - 2015 Southern Regional Conference

<https://na.theiia.org/training/conferences/Pages/Conferences.aspx>





March Channel – All-Day Seminar _ Audit Project Management

Event Type: Chapter event

Start Date/Time: 3/11/2015 8:00 AM

End Date/Time: 3/11/2015 5:00 PM

Location: County of San Diego - 5500 Overland Ave 1st Floor, Rm 120, SD CA 92123 |

Agenda

Time	Topic	Speaker
8:00 - 8:30 AM	Registration	N/A
8:30 - 11:30 PM	Project Management Seminar	Ken Mory
12:00 - 1:00 PM **	Lunch Presentation: Organizational wide Risk Assessment focused on government entities	N/A
1:30 - 4:30 PM	Project Management Seminar	Ken Mory

****Lunch only option available at registration**

Cost:

Member (IIA and SD ACFE)	\$120
Non-member	\$140
Student	\$80

For more details on the learning objectives, program description and registration, please visit our event webpage at: <https://chapters.theiia.org/san-diego/Events/Pages/All-Day-Seminar-Government.aspx>.

REGISTER NOW for a chance to win a **\$50 GIFT CARD**.

Refund policy and Complaint resolution policy: Registered participants who give a cancellation notice less than three (3) business days in advance of an event, or who do not attend the event, will be charged the full price of the event. It is acceptable to have a substitute attend the event on behalf of the registered participant. In addition, for luncheon events only, the participant may request to apply their payment to the following month’s luncheon event. The option to apply payments to future events does not apply to seminars.

The chapter will process and issue any eligible refunds the week after the event. Please contact Dan Ebert or the Seminar Planning Chairperson, listed on our website, for additional details related to refunds, complaints or cancellation policies.

We hope that you will join us at this event. If you have any questions, please reply to this email or contact Dan Ebert at danjebert@gmail.com or Mark Rosengrant at mrosengrant@gmail.com.



Membership means **more.**

IIA Members-Only Webinar Series

Cybersecurity: Data Breaches, Greater Frequency, Greater Cost

March 17, 2015 1:00–2:00 p.m. ET

With the recent announcement of pending legislation to create a single-national standard and a new Consumer Privacy Bill of Rights, it is more important than ever to understand the breach trends and their potential financial impact. While many discount the true threat associated with cyber-liability, the metrics on data breaches and their associated costs are staggering. In this webinar, security and privacy experts will share current trends in breach activity and best practices for prevention.

Highlights will include:

- Common attacks that have been used to breach companies over the recent year and what's on the horizon.
- The latest security programs and tools to help defend and protect your organization's network.
- Breach impacts and costs – forensics, legal counsel and more.

Course Duration: 1 hour

CPE Hours Available: 1

Knowledge Level: Intermediate

Field of Study: Auditing

Prerequisites: None

Advance Preparation: None

Delivery Format: Internet Group Live

Speaker _ Daimon Geopfert

National Leader, Security and Privacy, McGladrey LLP

For more information: <https://na.theiia.org/training/eLearning/Pages/Members-only-Webinar-Cyber-Security-Data-Breaches-Greater-Frequency-Greater-Cost-.aspx>



2015 General Audit Management Conference

March 9–11, 2015

ARIA Resort & Casino / Las Vegas, NV

Improvement, Innovation, and Insight

Now in its 37th year, the General Audit Management (GAM) Conference continues bringing together CAEs and Audit Directors with Audit Committee and Board members, and other executives and stakeholders to glean exclusive insights, discuss challenges and strategies, and network with top auditing executives.

As the essential experience for CAEs, GAM is The IIA’s flagship event, providing conference delegates with an opportunity like no other.

Benefits of Attending GAM

- Improved performance in the leadership principles of internal audit.
- Best practices, data-driven insights, and trends that will position you as a seasoned professional and your organization’s competitive advantage in an increasingly globalized world.
- Expanded peer network and new connections you can turn to for sustainable ideas and strategic insights to serve you for years to come.
- Perspectives from some of the world’s leading authorities in and outside of the internal audit field.
- **16 CPE credits.**

Keynote Speakers

- **Larry Harrington, CIA, QIAL, CRMA, Vice President, Internal Audit, Raytheon Company: Beyond The Horizon: What Lies Ahead for Internal Auditing**

- **Carolyn Dittmeier**, CIA, QIAL, CRMA, Board Director and Chairman of the Audit Committee, Generali Insurance, Autogrill, Italmobiliare: **The Audit Committee: Great Expectations (A True Story)**
- **Robert E Stroud**, ISACA International President; Vice President Strategy & Innovation, CA Technologies: **Emerging Risks of Cybersecurity and the Impact on the Assurance Landscape**
- **Richard F. Chambers**, CIA, QIAL, CGAP, CCSA, CRMA, President and CEO, The IIA (moderator), and **Jeanette M. Franzel**, CIA, Board Member, Public Company Accounting Oversight Board (panelist): **Internal Audit in 2015: How Do Our Stakeholders See Us?** (*Additional panelists TBA.*)
- **Marc Goodman**, Global Strategist

Educational Tracks

Respected practitioners will lead more than 35 general and concurrent sessions that focus on:

- Talent & Resource Strategies
- Regulatory & Compliance Issues
- Risk Management
- Innovation & Technology
- Stakeholder Relationships & Expectations

Pricing and Registration

IIA Members: [Register early](#) and save! Not a member? [Join today!](#)

	Early Savings By Dec. 31*	Regular Rate As of Jan. 1*
<i>Members and Groups up to 3</i>	US\$1,345	US\$1,545
<i>Groups of 4 to 8</i>	US\$1,280	US\$1,470
<i>Groups of 9 or more</i>	US\$1,210	US\$1,390
<i>Non-members</i>	US\$1,760	US\$1,760
<i>*Per person pricing. Groups of 4 or more please contact IIA Customer Relations to register at +1-407-937-1111 or CustomerRelations@theiia.org.</i>		

Join The IIA's [Audit Executive Center](#) at the Ambassador Level and receive complimentary registration to the 2015 GAM Conference. Visit www.theiia.org/CAE for details.

Link: <https://na.theiia.org/training/conferences/GAM/Pages/2015-GAM-Conference.aspx>

The Audit Executive Center

Take a Tour of the Audit Executive
Center Website!



Your history of strong performance landed you at the top of your profession. Your vision for the future — and your ability to deliver — is what will keep you there. The IIA’s Audit Executive Center gives you the tools, resources, and information you need as a chief audit executive (CAE) to stay on top by helping you stay ahead.

Be Empowered. Be Connected. Be Relevant.

About the Center

An exclusive membership-based service developed to support CAEs in answering the demands of their evolving roles, the Audit Executive Center empowers its members to perform through delivering unparalleled access to:

- A robust members-only website, featuring a growing Knowledge Center of nearly 950 pieces of thought leadership and more than 1,200 tools, templates, and planning resources.
- Exclusive peer-to-peer knowledge sharing opportunities such as forums, roundtables, and networking events, many are CPE eligible.
- E-bulletins, news publications, and weekly alerts geared specifically for CAEs.

What Can Membership Mean for You?

Being a member of the Audit Executive Center provides you concierge level service, which means:

- You save valuable time searching for information by accessing the Center’s consolidated, credible, and comprehensive thought leadership, information, and research.
- You have a convenient, economical, and flexible way of connecting with current events, emerging trends, and best practices.
- You know what your peers are doing, what’s working for them, and how your team compares.
- You are armed with the information you need to proactively engage management in meaningful dialogue and earn your “seat at the table.”
- You have the support you need to strategically develop your team’s skill sets and operate as a value-added organizational resource.

What Sets the Audit Executive Center Apart?

The Audit Executive Center offers a distinctive value proposition:

- Peer-to-peer networking opportunities provide invaluable access to others facing the same demands and challenges. The Center strives to create bonds and relationships among CAEs worldwide.
- Intuitively organized information is delivered succinctly and is easily searchable with your members-only access to optimize your experience and save you time.
- Your Center experience is fully customizable providing you access — online, in-person, and at your convenience — allowing you to decide how you participate.

Three levels of membership — Professional, Advocate, and Ambassador — offer Center members the option to choose which tools and resources are best suited to their individual needs. Your internal audit team exists to support your organization and meet stakeholder expectations. The Audit Executive Center exists to support you — our most important stakeholder. We invite you to [tour the Center](#) and explore how we can exceed your expectations.

Contact Us

If you have questions, please contact cae@theiia.org.

Link: <https://na.theiia.org/services/cae-resources/AEC/Pages/The-Audit-Executive-Center>

Chapter Achievement Program (CAP) Information Section

The program, referred to as CAP, requires each chapter to tally the different activities in which its members participate that promote the IIA. For example, achievement points may be earned for presentations given by Chapter members or for the number of participants that attend a sponsored or cosponsored IIA Chapter event. The CAP program is the IIA's Business Score Card used to monitor and recognize the activities of the local chapters that promote the strategic objectives of the IIA.

Throughout the year, the achievement program monitors and scores each chapter's participation in the following three service categories:

- Service to Members
- Service to the Profession
- Chapter Administration



At the end of the year, CAP evaluates the success of each chapter's efforts through use of four performance level classifications:

- BRONZE: 685 achievement credits
- SILVER: 1,060 achievement credits
- GOLD: 1,560 achievement credits
- PLATINUM: a chapter achieving GOLD status 10 of 11 years

In order to become the top scorer, compared to larger chapters in the United States, we need your assistance in tracking the CAP points we have earned.

The CAP section of our newsletter serves to provide an awareness of member activities that promote the profession and/or membership for which we can receive CAP points. Each month we will highlight the types of activities that can earn us points as well as provide an update on our progress!

If you are aware of chapter membership activities that will earn us CAP points or have any questions regarding the CAP program, please contact John Lefter at John.Lefter@sharp.com.

If you have presented any materials that resulted in the award of CPE, have published any articles or have any other activities that you believe may qualify for CAP points, please let us know and we will be more than happy to work through the detail.

Category	Minimum for Bronze	San Diego Chapter Points (Est. as of 11/06/2014)
I. Service to Members	325.00	412.33
II. Service to Profession	200.00	330.08
III. Chapter Administration	160.00	300.00
Total	685.00	1042.42



Connect

Volunteering:

Interested in volunteering??? The San Diego Chapter needs you! Help us by volunteering for positions on committees like publicity and newsletter, seminars and speakers, and for governance positions like Vice President or Treasurer. If you are interested in volunteering, please contact John Lefter at John.Lefter@sharp.com. Aside from great networking opportunities, you may earn CPE credits for Chapter service.

Communicate with us:

In addition to our Chapter webpage, get in touch with us through LinkedIn, Twitter, and Google!



The Institute of Internal Auditors (IIA) – San Diego Chapter



@IIASanDiego



iaSDChapter@gmail.com

Welcome New Members!

The San Diego IIA Chapter would like to wish a warm welcome to the following new members:

First Name	Last-named	Company Name
Chanasee Sayed Philip Albert	Phangmuangdee Hashimi Popp Trinh	Sempra Energy Student Member Kyocera International Inc Moss Adams LLP



The IIA's CIA Learning System

Discount available to all IIA Members!



The IIA's CIA Learning System is a comprehensive training course designed to provide chapters, members, and the audit community of professionals with information and tools to master the global CIA exam syllabus. This premier quality program is aligned with the International Professional Practices Framework (IPPF) to ensure you stay current with the global internal auditing profession and are ready for the CIA exam. It combines printed text with online testing tools and online questions. Take advantage of the best prep materials out there by ordering yours today! If you are interested in special pricing of these materials which are only available through our Chapter, please contact john.lefter@sharp.com.

You can also order the materials at the regular price by visiting: <http://ecommerce.mylearningresource.com/stores/IIA/chapter/>



CBOK Survey Now Open!
Practitioner Study
Survey Period: Feb 2 – March 27, 2015



Common Body of Knowledge (CBOK) Resource Exchange

The Largest Survey of Internal Auditors in the World is Now Open for Participation!

The IIA Research Foundation (IIARF) has opened the 2015 Global Internal Audit Practitioner Survey that will be used to support the expanding Global Internal Audit Common Body of Knowledge, the most comprehensive global study ever conducted on the profession of internal auditing.

TAKE THE SURVEY

*If you have trouble with the web button, please copy and paste the URL into your browser:
<http://iiasurvey.theiia.org/flashsurvey/se.ashx?s=0B87D7841A51E3FD>

There are so many reasons to participate:

- It's fast – taking only 30-60 minutes to complete, depending on your position.
- It's convenient –start your survey now. You can save your work and complete the survey later if needed.
- It's rewarding – you'll be contributing to your profession and you'll be entered into a drawing for a chance to win one of 30 US\$200 Visa® e-gift cards or one of 10 US\$200 [IIARF Bookstore credits](#).

Survey responses will be used to produce targeted, practical reports to help internal auditors improve their performance and increase their value.

Chapter Book of the Month – A Chapter Benefit

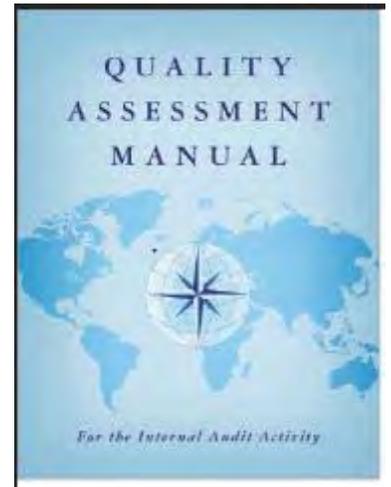
BOOK OF THE MONTH

Financial Planning and Budgets: Understanding the Auditing Process

Share this offer with your chapter: [Download a flyer here.](#)

Regardless of industry, sector, or size, implementing a comprehensive Quality Assurance and Improvement Program (QAIP) is necessary to ensure the internal audit activity is meeting expectations of clients and stakeholders.

The new *Quality Assessment Manual for the Internal Audit Activity* can help guide IA activities through the process of QAIP development, implementation, and maintenance as required by the *International Standards for the Professional Practice of Internal Auditing*.



The ever-changing profession, coupled with updates to The IIA's International Professional Practices Framework (IPPF), serves as the impetus for this new Quality Assessment Manual. Just released by The IIA's Bookstore, the new manual aligns with the components of the IPPF and the *Standards*, and offers practitioners:

- A clear outline of a quality assessment process flow that streamlines the gathering of evidence and eliminates duplication of work.
- Standardized tools that promote ease of use while achieving consistency in assessment techniques and documentation of results.
- A Road Map that highlights the alignment of specific assessment activities to assist with conformance to the *Standards*.

Chief audit executives looking to keep pace with the growing demands of stakeholders owe it to themselves and their staffs to add the new Quality Assessment Manual to their internal audit library.

Book with CD-ROM Item No: 1131 / Member Price: \$215.00 / Nonmember Price: \$260.00

CD-ROM Item No: 1132/ Member Price: \$160.00 / Nonmember Price: \$205.00

[Click here](#) to purchase.

Local Job Opportunities

Want to be noticed by a highly qualified pool of over 500 CIAs, CPAs, and MBAs? You can be by posting your job on our website. Jobs are also included here in our monthly newsletters. For more information on job postings, contact John Lefter at john.lefter@sharp.com

Below are the March job posting. To see further detail on these and more, see the full postings at:
<https://chapters.theiia.org/san-diego/Careers/Pages/default.aspx>



LPL - Internal Auditor

This individual will be responsible for executing finance and operations-related internal audits, SOX control testing, process reviews and various ad hoc projects assigned to them.

https://sjobs.brassring.com/TGWEbHost/jobdetails.aspx?jobId=340397&type=search&JobReqLang=1&recordstart=1&JobSiteId=5231&JobSiteInfo=340397_5231&Partnerid=25593&Siteid=5231&al=0

LPL IT - Internal Auditor

LPL Financial is looking for an IT Auditor to join our dynamic, growing team in San Diego. This is a newly created role to help support the recent growth our company has experienced.

This person will be responsible for executing IT-related internal audits, control testing, process reviews and various ad hoc projects as assigned.

https://jobs.brassring.com/1033/asp/tg/cim_jobdetail.asp?jobId=371624&type=search&JobReqLang=1&recordstart=1&JobSiteId=5231&JobSiteInfo=371624_5231&Partnerid=25593&Siteid=5231&al=0



Bridgepoint-Internal Auditor

The Internal Audit I position is a full-time employment opportunity. The Internal Auditor is a full-time position located in San Diego, California. The Internal Auditor will have regular interaction with the Senior Management team, and external auditors for Bridgepoint Education and its subsidiaries.

<https://chapters.theiia.org/san-diego/Careers/Pages/Bridgepoint-Internal-Auditor.aspx>



General Ledger Resources - Internal Auditor

The Senior Internal auditor reports directly to the director, Internal audit and is responsible for leading and executing compliance-related internal audits, process reviews and various ad hoc projects as assigned.

<https://chapters.theiia.org/san-diego/Careers/Pages/General-Ledger-Resources---Internal-Auditor.aspx>

General Ledger Resources - IT Auditor

This Senior IT Auditor role is an excellent opportunity for individuals who have a strong technical IT background (CCNA, CCNE) with experience in hardware configuration for routers, switches and other hardware. We are willing to teach best practices in risk management, audit and internal controls compliance.

<https://chapters.theiia.org/san-diego/Careers/Pages/General-Ledger-Resources---IT-Auditor.aspx>

General Ledger Resources - Manager IT Audit (Contract-to-Hire)

The Internal Audit Manager of IT will lead and execute the internal audit department initiatives and audit plan to ensure goals, productivity, efficiency are achieved. Duties include oversight and execution of audit program for IT General Controls, System conversions, project implementation reviews, applications, integrated audits, and/or data privacy and vendor reviews.

[https://chapters.theiia.org/san-diego/Careers/Pages/General-Ledger-Resources---Manager,-IT-Audit-\(Contract-to-Hire\).aspx](https://chapters.theiia.org/san-diego/Careers/Pages/General-Ledger-Resources---Manager,-IT-Audit-(Contract-to-Hire).aspx)

General Ledger Resources - Manager Internal Audit (Contract)

The Manager, Internal Audit is responsible for leading and executing financial and operational internal audits, SOX controls testing and other projects as assigned. The role is directly responsible for the management of internal audit staff, including internal audits and projects and performance management.

<https://recruit.zoho.com/ats/ViewJob.na?digest=VYw.NX%2anUo8cSivMadeSJhvQhenDtqIF.9leHdySSNY->



Sempra Energy – Sr. IT Auditor

Under direction of Project Manager or Principal Auditor, plan audits, determine scope and develop project specific risk and controls matrices. Perform walkthroughs, design effectiveness assessments and operational effectiveness testing, document issues for inclusion in formal audit reports, and follow-up with management on agreed correct actions. Work in teams on technology and integrated business audits, and where assigned, to supervise junior members of staff. (job #14-1033)

<http://www.sempra.com/careers/>

Sheraton Hotel, Anaheim, CA



4th Annual
Southern
California
Conference

Event Venue:
Sheraton Hotel, Anaheim
900 Disneyland Drive
Anaheim, CA 92802

SAVE THE DATE
JUNE 7-8, 2015

Please join us in beautiful Anaheim once again for
our 4th Annual Southern California District
Conference.

Please watch for additional information