About the Chapter

The San Gabriel Valley Chapter membership includes internal auditors and management from a variety of industries and organizations including healthcare, finance, utilities, and education. Membership is open to anyone with an interest in the Internal Auditing profession.

We encourage everyone to achieve Certified Internal Auditor status. The chapter serves our members in internal auditing, governance, and internal control, IT audit, education, and security. Our goal is to provide a balanced program of continuing education, and opportunities to meet fellow professionals.

Upcoming Meeting and Events

Two-day Auditing Seminar

Time: 8:00 AM - 4:30 PM
Location: One Gateway Plaza, Los Angeles, CA 90012
Speakers: Norman Marks & Jason Mefford
(More information inside)

Luncheon Program: COSO 2013

Date: 12/4/2014
Time: 12:00 – 1:00 PM
Location: Pasadena at The Women’s Club: 160 North Oakland Ave.
Speaker: Les Sussman, Resources Global Professionals
Fee: $20 for members
$25 for non-members
Hello Fellow San Gabriel Valley Audit Professionals,

Welcome to another exciting year. Last year was an amazing year for the Chapter, we gave back to the profession by awarding student scholarships and we gained valuable knowledge from our lunch speakers. We had 2 successful seminars and participated in the 2013 Southern California District Conference. However, the highlight was the Chapter obtaining the Platinum Achievement Award in recognition of 10 years of continuous Gold Awards. Thank you for your dedication and support in 2013-14 which has resulted in the achievement of Platinum for our Chapter.

I look forward to a very successful 2014-2015 year and with your help maintaining our Chapter Platinum status. We started the New Year with 2 wildly successful events, the Chief Auditors’ Panel Discussion “What’s on CAE’s Minds Today?” at our September lunch meeting and the highly attended 2014 Southern California District Conference both were awesome events. As always, our commitment is to provide you with outstanding professional development, leadership and networking opportunities, advance the internal audit profession and serve our members. Therefore, I would like to say thank you to our 2014-15 volunteers and members for supporting the Chapter because there is absolutely no way we can be successful without your support.

I encourage everyone to continue to participate in our Chapter activities and if you are not already volunteering, please get involved as a volunteer. Volunteering is an excellent way to develop and improve your leadership skills, network and give back to the audit profession. Speaking of volunteering there are still a few open committee positions so please reach-out to me or one of the Chapter’s Officers if you are interested in helping out.

Our Chapter Specific Goals for 2014-15 are:
1. Strengthening Academic Relations
2. Being a preferred source for audit training
3. Strengthening our Chapter Membership
4. Developing Future Leaders
5. Supporting the IIA Certification Program

Let’s work together to make our goal reality.

“The Chapter’s success rests entirely upon your dedication and commitment. I look forward to your continued support during the 2014-15 Season. I am very grateful and honored for the opportunity to serve as our Chapter President. So please don’t hesitate to contact me or any board member with your ideas to help us continue to grow a Chapter.”

All the Best,

Kathy Knox

Kathy Knox, President
IIA San Gabriel Valley
# BOARD & COMMITTEE MEMBERS

## 2014-15

### OFFICERS

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
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</thead>
<tbody>
<tr>
<td>President</td>
<td>Kathy Knox</td>
</tr>
<tr>
<td>V-P Programs Chair (2 year)</td>
<td>Urvashi Royjain</td>
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<tr>
<td>V-P Nominating</td>
<td>Urvashi Royjain</td>
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<tr>
<td>(President –elect)</td>
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<tr>
<td>Treasurer (2 year)</td>
<td>Lizette Espinoza</td>
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<tr>
<td>Assistant Treasurer</td>
<td>Rudy Ruvalcaba</td>
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<tr>
<td>Secretary</td>
<td>Donna Tamura</td>
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### COMMITTEES

<table>
<thead>
<tr>
<th>Committee</th>
<th>Chair</th>
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<tbody>
<tr>
<td>Academic Relations (2 year)</td>
<td>Leah Dahl</td>
</tr>
<tr>
<td>Academic Relations Co-Chair</td>
<td>Jason Nishiyama</td>
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<tr>
<td>Advocacy</td>
<td>Stephen Shokhkomyan</td>
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<tr>
<td>Audit Committee Co-Chair</td>
<td>Mark Concialdi</td>
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<tr>
<td>Audit Committee Co-Chair</td>
<td>Laurice Balian</td>
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<tr>
<td>Awards</td>
<td>Rene Montielh</td>
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<tr>
<td>Chapter Achievement Program Chair</td>
<td>Luceli Ceja</td>
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<tr>
<td>Chapter Achievement Program Co-Chair</td>
<td>Lina Magano</td>
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<tr>
<td>Certification</td>
<td>Melba (Maria) Rosal</td>
</tr>
<tr>
<td>Employment/Career Development</td>
<td>Linda Liu</td>
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<tr>
<td>Facilities Arrangement</td>
<td>Stephen Shokhkomyan</td>
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<tr>
<td>Facilities Arrangement Co-Chair</td>
<td>Phillip (Jyh Yang) Yao</td>
</tr>
<tr>
<td>Meeting Arrangements</td>
<td>Jee Kim</td>
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<tr>
<td>Meeting Arrangements Co-Chair</td>
<td>Jaana Xie</td>
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<tr>
<td>Membership Committee Chair</td>
<td>Diana Estrada</td>
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<tr>
<td>NASBA Committee Chair</td>
<td>John McNabb</td>
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<tr>
<td>Programs (2 year)</td>
<td>Sean Shockley</td>
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<tr>
<td>Seminar (2 years)</td>
<td>Yvette Suarez</td>
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<tr>
<td>Seminar (2 years) Co-Chair</td>
<td>Willie Atienza</td>
</tr>
<tr>
<td>Hospitality</td>
<td>OPEN</td>
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<tr>
<td>Hospitality Co-Chair</td>
<td>Jeffrey Lopez</td>
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<tr>
<td>Newsletter</td>
<td>Laura Gomez</td>
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<tr>
<td>Newsletter Co-Chair 1</td>
<td>Jeffrey Lopez</td>
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<td>Newsletter Co-Chair 1</td>
<td>Jeffrey Lopez</td>
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<tr>
<td>Webmaster</td>
<td>Daniel Kuskmak</td>
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<tr>
<td>Webmaster Co-Chair 1</td>
<td>Shanakay Braford</td>
</tr>
<tr>
<td>Chief Audit Executives Events</td>
<td>Ruth Holden</td>
</tr>
<tr>
<td>Chief Audit Executives Events Co-Chair</td>
<td>Lynn Mckenize</td>
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### BOARD OF GOVERNORS

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
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</thead>
<tbody>
<tr>
<td>Governor at Large</td>
<td>John Imai</td>
</tr>
<tr>
<td>Governor at Large, Financial Oversight Committee</td>
<td>Pam Koyzis</td>
</tr>
<tr>
<td>Governor at Large</td>
<td>Albert Lau</td>
</tr>
<tr>
<td>Governor at Large</td>
<td>Lynn McKenzie</td>
</tr>
<tr>
<td>Governor at Large, Financial Oversight Committee</td>
<td>Fred Stevens</td>
</tr>
<tr>
<td>Governor at Large</td>
<td>Rey Bejerano</td>
</tr>
</tbody>
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### BOARD OF GOVERNORS- PAST PRESIDENT

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
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<tbody>
<tr>
<td>Past President (1st term)</td>
<td>Heidi Tong</td>
</tr>
<tr>
<td>Past President (2nd term)</td>
<td>Ruthe Holden</td>
</tr>
</tbody>
</table>

If you wish to contact a Board Member, or Officer, please email us at
Chapter Achievements

The IIA San Gabriel Valley Chapter has held Platinum status for ten consecutive years (2005-2014).

The San Gabriel Valley Chapter is thankful for its members, leaders, committee chairs and volunteers who continuously continued to support the chapter.

**CAP Points for FY- 2014-2015**

As of September 2014

- Service to Members: 229.98
- Service to Profession: 223.38
- Chapter Administration: 107.00

**Total:**

**Targets**

- Bronze: 685.00
- Silver: 1,060.00
- Gold: 1,560.00

*Is the beginning of the FY 2014-2015 and will soon be reaching Bronze!*

The program activities for the period included the following:

- Southern California Education Conference
- Board Meeting of Chapter Leadership
- District Workshop
- Monthly meeting – CAE Panel

**Save the Dates**

**SGV chapter Seminar**
Venue: USG/MTA Building
Date: November 3rd-4th, 2014

**SGV 30th Anniversary**
Venue: TBD
Date: February 5, 2014

**IIA Luncheons**
Venue: Pasadena Women’s Center

- October 16, 2014
- December 4, 2014

**4th Annual District Conference**
Venue: Anaheim
Date: June 7th & 8th, 2015
The San Gabriel Valley Chapter of the IIA is hosting a two-day seminar. The event will focus on providing quality professional education on Governance, Risk, and Compliance/Enterprise Risk Management and Risk Based Operational/Performance Auditing and give participants a chance to network with other professionals at a reasonable price.

WHEN: Monday, November 3 and Tuesday, November 4, 2014
8:00 AM to 4:30 PM (Registration 7:15 AM to 8:00 AM)

WHERE: The Los Angeles County Metropolitan Transportation Authority (LACMTA)
One Gateway Plaza, Los Angeles, CA 90012 – Adjacent to Union Station

CPE: 16 Hours

LUNCH: Lunch box will be provided

REGISTER HERE - If you have questions, please contact: Yvette Suarez, at SuarezY@Metro.net or (213) 922-1096

COST: $125 per day or $225 for two days (IIA Members)
$175 per day (Non-members)
Parking fee not included

Check or Credit Card Accepted

Make check(s) payable to the “IIA San Gabriel Valley Chapter”
Attn: Lizette Espinoza, Treasurer
San Gabriel Valley Chapter,
The Institute of Internal Auditors, Inc.
P.O. Box 1532
Sierra Madre, CA 91025

For payment by Credit Card, visit our Website: https://chapters.theiia.org/san-gabriel-valley/Pages/default.aspx
Join us in beautiful Anaheim once again for our 4th Annual District Conference!

Venue: Sheraton Hotel, Disneyland-Anaheim
Date: June 7th and 8th, 2015

30th Anniversary– San Gabriel Chapter
February 5th, 2015

IIA –San Gabriel Chapter is 30 years STRONG!
Join us February 5th, 2015 to celebrate our 30th birthday!

Venue: TBD
Guest Speaker: Richard Chambers
Highlights
Chapter Luncheon Events

August 15th: “What’s on a CAE’s mind today”

From Left to Right: Lynn McKenzie (KPMG), John Imai (West Bank), Ruthe Holden (LACMTA) and Dom Tallerico (Wilshire Bank)

Welcome First Time Guests

From Left to Right: Shelly Cobeaga Mundweil (Parsons), Danialle McDuffe (Parsons), Kathy Knox (LACMTA) and Alan Nyberg (Sanitation District)

September 18th: Quality Assurance Review

Guest Speaker: Cecile Galvez (Deloitte)

President with V-President and Guest Speaker

From Left to Right: Urvashi Royjain (EastWestbank), Cecile Galvez (Deloitte), and Kathy Knox (LACMTA)
Describe your volunteer path.
I joined the IIA San Gabriel Valley Chapter in 2012 as the Secretary. I served two terms in that position and this year will be my first year as the Academic Relations Co-Chair. College is such an exciting time and I have great memories from my own past, so I hope to be able to share some knowledge with current students in my new role. I recently attended the Claremont Colleges Accounting and Finance Night and spoke with students and professors about opportunities in internal auditing and discussed the structure of the IIA organization. I found that a lot of professors, students and even other professionals are familiar with the CPA and CFA designation, but the CIA was pretty unknown so it was nice to be able to educate them. In October, I will be attending Cal Poly Pomona’s Meet the Firms night and in November, I will be speaking with Jason Nishiyama, my co-chair to the Cal Poly Society of Accountants. We are also trying to line up a few more speaking engagements and a student dinner, so definitely stay tuned for more events.

What makes you proud of your profession?
The diversity of the profession never ceases to amaze me. Since joining the IIA, I’ve met so many people with different work experiences, people from different countries and different industries. There is so much more to internal audit than the traditional accounting background.

Do you have any words of advice to fellow internal auditors?
Remember to be humble and open to learning new things. When I begin an audit, I try to educate myself as much as possible about a process before I even meet with the process owner. Even if it’s my fifth time doing the same audit, there are people in the room who have probably been performing their job every day for years if not decades. I think there is always room for me to learn from them and I hope to share my knowledge in return.

What are your passions outside of internal audit?
I study pretty extensively in Muay Thai (martial arts) in my spare time and I love to spend time outside - bicycling, running, hiking or reading in the park. Human beings weren’t meant to spend all day in front of a computer screen…

Fraud And The Executive:
Rapid changes in business are forcing internal auditors to adapt to the emerging risk landscape

Read the latest issues
Advantex Professional Services
Job Title: Auditor
Location: Los Angeles, CA
Salary Range: $55,000 - $75,000
http://www.advantexps.com/

Kaiser Permanente
Job Title: Auditor III-NCO
Location: Pasadena, CA
Position Requirements
Minimum Years of Experience: 5
Minimum Degree Required: Business, accounting, finance, information systems or related field, OR four (4) years of experience in a directly related field
Certification(s) (or actively pursuing 1 of these): CIA, CPA, CISA
https://kp.taleo.net/careersection/external/jobdetail.ftl?job=740310&src=7020

PricewaterhouseCoopers (PWC)
Job Title: Manager Risk Assurance Internal Audit Services
Location: Los Angeles, CA
Position Requirements
- Minimum Years of Experience: 6
- Education Level: BS or BA degree
- Area of Study: Finance, Accounting, and/or Business Administration
Certification(s) Required: CIA, CPA or CISA
http://jobs.pwc.com/us/los-angeles/internal-audit/jobid4404169-manager-risk-assurance-internal-audit-services-(los-angeles)?apstr=%26codes%3DIndeed&ss=paid

PricewaterhouseCoopers (PWC)
Job Title: Internal Audit Senior Associate
Location: Los Angeles, CA
Position Requirements
- Minimum Years of Experience: 3
- Minimum Degree Required: Bachelor’s degree in Finance, Accounting and/or Business Administration
- Certification(s) Required: CIA, CPA or CISA
http://jobs.pwc.com/us/los-angeles/accelerated-hiring/jobid5394278-internal-audit-senior-associate?apstr=%26codes%3DIndeed&ss=paid
~Welcome~
SGV Chapter New Members

On behalf of all of us at the SGV Chapter, we would like to extend a warm and hearty WELCOME to our new members!

Shanakay Brandford
Dana Brooks
Daisy Chan
Adam Diaz
Isaac Felix
Laura Gomez

Toral Govind
Patty R. Harness
Karyl Jerry
Elisabeth Lazuardi
Yun Chuan Lin
Jeffrey Lopez

Phuong Luong
Jeffrey Marsh
Michael Pate
Paulette Pollard
Sara Rad
David Rogers

Melissa Salazar
Sean Shockley
Michael Wilson
Wendy Yang
Yi Zhao
The 74th annual International Conference will be held July 5–8 in Vancouver, BC, Canada, as we explore Mountains of Change...Oceans of Opportunities.

Beautiful Location!

**Reasons to Attend**

**Networking** – Network with 2,000+ colleagues from around the globe. The 2014 International Conference in London attracted delegates from more than 100 countries.

**Outstanding Speakers** – Connect with outstanding speakers who will share insights on issues impacting the profession.

**Timely Issues** – The event will focus on timely, global issues through a vibrant and relevant program.

**Something for Everyone** – Select from 12 program tracks offering content for all levels of professionals, from chief audit executives and heads of internal audit, to directors, managers, and staff auditors.

**Earn Continuing Professional Education (CPE) Credits** – Earn up to 18 CPE in support of your IIA certifications.

**Location** – Take advantage of all that Vancouver has to offer with a vibrant business, cultural, and entertainment district right at its doorstep. It’s a location destination not to be missed!

**Venue** – The international award-winning Vancouver Convention Centre is located on Vancouver’s downtown waterfront, amid spectacular mountains and ocean views.

For more information follow the link:

http://theiia.mkt5790.com/IC/2015_Vancouver
Objective:
The 10th year, the All Star Conference will feature the IIA’s highest rated presenters from the past year of conference and cutting edge insights on issues impacting the internal audit environment and beyond. **You don’t want to miss out on this one!**

What You’ll Gain:
Essential information on how to improve internal audit’s efficiency and effectiveness, and streamline processes to drive cost savings across all business units.

Insights to offer broader risk coverage and the sense of urgency surrounding current and emerging risks.

Tools to create a competitive advantage by contributing to sustainable business improvements.

Strategic insights toward aligning internal audit’s role with the organization’s key business objectives.

Up to 18 CPE credits. Additional CPE are available by attending pre-conference sessions.

Four Unique Educational Tracks
- Track 1: Corporate Governance: Trends & Developments
- Track 2: Fraud Awareness: Prevention, Detection, & Reporting
- Track 3: IT Trends: Impact on the Audit Environment
- Track 4: Managing Risks & Compliance: Current Trends

Speakers:
- Larry Harrington — VP of Internal Audit, Raytheon Rising Stakeholder Expectations
- Richard Chambers— President and CEO, The IIA, CIA, QIAL, CGAP, CCSA, CRMA
- Carman Lapointe — Under-Secretary-General for the United Nations Office of Internal Oversight CIA,CCSA
- Adil Buhariwalla VP of Emirates Group,CIA, CRMA

To register please follow the link:
[www.theiia.org/goto/AllStar2014.com](http://www.theiia.org/goto/AllStar2014.com)
Prepare to Pass the 3-Part CIA Exam

Why become certified?

- Distinguish you from peers
- Demonstrate your proficiency with internal staff and external clients
- Develop you knowledge of best practices in the industry
- Demonstrate your proficiency and professionalism
- Lay a foundation for continued improvement and advancement.

The IIA’s CIA Learning is The Institute of Internal Auditor’s own premier-quality CIA review course. It was designed by the experts to teach the entire 3-Part global Certified Internal Auditor exam syllabus to CIA candidates and internal auditors looking to enhance their knowledge and skills.

The IIA’s CIA Learning System for the 3-Part CIA exam combines printed and e-book reading materials, interactive online tests and study tools, and optional facilitator-led courses to help you prepare to pass the CIA exam.

Networking

Join Our Community

IIA San Gabriel Valley Chapter

SGV LinkedIn

Become an IIA Member Today

IIA Facebook Page

IIA Twitter

For Information or to try demo click here