The Bottom Line
The San Gabriel Valley Chapter

Upcoming events:

Event Type:
- Next Lunch Program, 3/16/2017
- Board Meeting: 3/23/2017
- CAE Roundtable Breakfast: 3/24/2017
- Upcoming Lunch Program: 4/20/2017 & 5/18/2017

At the Women’s City Club in Pasadena

Western Regional Conference
June 6-9, 2017
The Anaheim Marriott / Anaheim, CA

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About the San Gabriel Valley Chapter:

The SGV Chapter membership includes internal auditors and management from a variety of industries and organizations including healthcare, finance, utilities, and education.

Membership is open to anyone with an interest in the Internal Auditing profession.

We encourage everyone to achieve Certified Internal Auditor status. The chapter serves our members in internal auditing, governance, and internal control, IT audit, education, and security.

Our goal is to provide a balanced program of continuing education, and opportunities to meet fellow professionals.
Welcome to another exciting year at the IIA San Gabriel Valley Chapter! This year marks the 75th anniversary of the Institute of Internal Auditors and we are thrilled to be celebrating this remarkable journey with all of our members. Internal Audit has come a long way. We have attained the level of importance within all of our members’ organizations and industry-wide due to the contribution each and every one of you make on a daily basis to the internal audit profession. Time and time again, we overcome obstacles to deliver high caliber professional internal audit service to stakeholders.

The San Gabriel Valley Chapter has also come a long way, from a struggling Chapter in the early 90’s to a Platinum Chapter with consistent high attendance in meetings and seminars/conferences. Our Chapter’s success is attributed to so many members’ active participation and volunteers who take on tasks willingly and at their own personal time. Without any one of you, our Chapter will not be at where it is today. I sincerely hope that we keep on this gathering spirit to bring the best internal audit program to our members; provide networking opportunities; reach out to new colleagues in our community; and expand our outreach to students.

I look forward to seeing all of you at our lunch meetings, seminars, conferences, and networking events. On behalf of the IIA SGVC Board of Governors and Chapter leaders, we welcome comments/suggestions on how to bring even better service to our members. Together, let’s make this another successful Chapter year!

Heidi Tong, CPA, CRMA
President, IIA SGVC 2016-2017
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Seminars Co-Chair: Josh Margraf/ Willie Atienza
Webmaster: Shanakay Brandford
Our first meeting kicked off in August 2016 with a Chief Audit Executive (CAE) panel discussion around “What is on CAEs’ minds today?” We were fortunate to have Pam Koyzis (Caltech) moderate the event, along with panelists Dom Tallerico (Bank of Hope), Diana Estrada (Metro), and Aila Palera (KPMG). The discussion was well-attended with over 60 participants.

Among the questions asked was whether the CAE’s believe internal audit should be reactive or proactive. The CAE’s agreed that internal audit that is risk-based and proactive places greater emphasis on the internal auditor’s role in mitigating and providing assurance over risk. Along with this trend, there is a need for more well-rounded staff auditors, who have a better understanding of the business overall. Panelists talked about

The panelists also described some of the character attributes CAE’s look for in a staff auditor. Some traits that were mentioned include integrity, passion, and leadership. This led to a conversation on continuous improvement efforts such as keeping staff auditors up-to-date on technical skills through continuing education and reimbursing related fees. Audience members were able to learn how the CAEs themselves came into their roles and the challenges that they faced.

Needless to say, the event was very interactive, engaging, and informative to everyone that attended. A special thank you to our panelists and moderator, and thank you to all who made our first meeting a great success!

Panelist: Diana Estrada; LACMTA, Aila Palera; KPMG, Dom Tallerico; Bank of Hope

Article by Gina Byun, VP of Programs
Two-Day Seminar February 6 and 7, 2017
Report Writing, Data Analytics, & Managerial Behavior

Seminar day 1 – Report Writing
Peter Hughes, PhD, CPA and Steve Danley
Authors: “Management Diseases and Disorders”

Free book with paid registration

Seminar Day 2 – Data Analytics
The San Gabriel Valley Chapter of the IIA invites you to join us

March 16, 2017 11:30AM-1:00PM

DRIVING ORGANIZATIONAL VALUE FROM ENTERPRISE RISK MANAGEMENT (ERM)

This presentation will describe the approach for maturing your ERM program beyond protecting the organization to also delivering value for the business and improving organizational performance.

Learning objectives include:

⇒ Balancing risk and reward to create value
⇒ Key attributes of risk-enabled performance management
⇒ Integrating risk-enabled performance management with the rhythm of the business
⇒ Value of risk-enabled performance management

Lunch Program Topic Presented by:

John Rogula

Register by March 9, 2017.

To register go to:

http://www.etouches.com/233132
About:
The 2017 Western Regional Conference offers attendees cutting-edge, relevant information on core competencies, general audit practices, with specific, new information on audit activities and Industry hot topics such as regulatory compliance, business intelligence, cyber security, mobile computing, and fraud presented by prominent internal audit leaders from the industry and government.

Who Should Attend?
IIA members and nonmembers at every level in their career will benefit from this event, providing cost-effective training with a regional focus.

Training sessions will address current and emerging audit challenges facing professionals and how to build valuable and applicable skill proficiencies. Co-hosted by seven of IIA chapters in Southern California, the conference will focus on networking between the chapters and sharing best practices and techniques in use within organizations.

The educational program consists of four general sessions, pre-conference workshops, and breakout sessions in the following five educational tracks: Technology; Governance, Risk, Compliance, and Fraud; Government and Public Sector; Industry (Finance and Health Care); and The IIA’s CIA Learning System® Review Course*. Details are available on the Program Information page.

Featured Speaker:
Mr. Danny M. Goldberg

Earn up to 18 CPE credits by attending the conference. Additional CPE available when you attend a pre-conference workshop.

https://na.theiia.org/training/conferences/wrc/Pages/Western-Regional-Conference.aspx
CIA® REVIEW SEMINARS
WESTERN REGIONAL CONFERENCE
JUNE 6 - 9, 2017 / ANAHEIM, CA

CIA® Review Seminars – Western Regional Conference
Anaheim, June 6 - 9, 2017

Don't miss this opportunity to kick-start your CIA preparations with a live, instructor-led CIA exam prep course at Western Regional Conference in Anaheim!

• Dates:
  o CIA Part 3: Tuesday, June 6, 1:00 - 5:00 p.m. & Wednesday, June 7, 10:15 a.m. - 5:10 p.m.
  o CIA Part 2: Thursday, June 8, 10:15 a.m. - 5:10 p.m.
  o CIA Part 1: Friday, June 9, 12:00 - 5:00 p.m.
  o Location: The Anaheim Marriott, Anaheim, CA

Receive Version 4.0 of The IIA’s CIA Learning System including self-study printed books, e-book, online software access and a slide book for each part.

  o Get a high level introduction and overview of the topics covered on the CIA exam.
  o Reinforce your CIA knowledge, clarify exam topics, and build exam-day confidence.
  o Enjoy expert instruction and discussion led by a CIA-certified expert instructor.
  o Work through practice exam questions.
  o Learn test taking tips.

Cost: US $315/part*

Enrollment is limited. Pre-register now!
2017 Gaming & Hospitality Conference

April 19–20, 2017
The Mirage / Las Vegas, NV

Driving Success in Gaming and Hospitality Audit

As the only event of its kind, The IIA is driven to ensure casino, tribal, and online gaming, as well as hospitality, hotel, and entertainment industry attendees continue Driving Success in Gaming and Hospitality Audit.

This high-quality, cutting-edge, and cost-effective program includes four general and 24 concurrent educational sessions focused on the emerging issues you care about most.

Who Should Attend?

Gaming and hospitality internal auditors who yearn to gain insights, experience hands-on tools and techniques, discuss the impact of emerging Internet technology, and build new and lasting relationships with industry peers, should attend this conference.

Earn up to 15.6 CPE credits with an educational program consisting of four general and 24 concurrent sessions in the following areas of focus:

Audit and Risk; Compliance/Regulatory; Data Analytics; Fraud; Hospitality/Non-gaming; Leadership and Communication; and Technology.

Make connections and share best practices with peers during the many networking opportunities, including a welcome reception Wednesday evening.

Earn up to 15.6 CPE credits

Save US$100* Today – Register Now


What's in it For You?

- **Experience customized learning** — Choose the sessions that matter most to you and your enterprise.
- **Interact face-to-face** — Gain insights and share ideas with colleagues who are experts in the gaming and hospitality industries and are experiencing similar challenges and opportunities.
- **Update your knowledge and skills** — Earn valuable CPE credits and leave prepared to take on the challenges facing today's gaming and hospitality auditors.

What's in it For Your Organization?

- **Exceptional value for your training dollars** — Receive documentation that can be utilized to share insights with and train your audit team.
- **Access to industry experts** — Industry leaders will be sharing insights and best practices you'll be able to implement immediately for greater impact.
Tell us about yourself:

I have been with Management Audit Services Department of Los Angeles Metro as an Audit Manager since 2009. In October 2015, I was named the Chief Auditor. I am proud to lead a group of highly competent, talented and dedicated audit professionals. Our team of twenty professionals (3 of them in Admin. Role) has an interesting mix of audit experience ranging from those with less than 2 years to over 30 years of auditing. As the Chief Auditor, I oversee a department responsible for ensuring the integrity and efficiency of Metro policies and practices, the protection of assets and revenues, compliance with law, contracts and grants, adequacy of internal controls and continuous improvements.

What skills and experiences helped you get where you are now? - Communication, people skills, leadership, CIA, CFE

What do you enjoy the most about your profession? - Inter-acting with all levels of internal and external staff, management and the Board

What are some challenges you come across with the profession? - Meeting stakeholders’ expectations given limited resources

What do you recommend to young people entering the profession? - Love your work, enjoy the journey, continue to embrace change, and take some risks by trying new things
In the Chapter Positions side you will find job opportunities available locally. If you would like the San Gabriel Valley Chapter to post a job opportunity, please e-mail the details to the Webmaster:

webmaster@theiia-sgvc.org

The Audit Career Center side contains information shared by The IIA headquarters for Job Seekers, Employers and Agencies, IIA Career Opportunities, and the IIA Fel-

CURRENT POSITIONS:

Audit Manager - Metrolink

Location: Metrolink, Downtown Los Angeles
Date Posted: January 27, 2017
Open

Audit Career Center

If you are looking to launch your internal audit career or are seeking qualified employees, you’ve come to the right place. The IIA’s Audit Career Center is a proven source for résumé posting and focused candidate searches. The Career Center receives 10,000 unique visitors monthly.

To contact The IIA’s Audit Career Center, please call +1-888-575-9675 or email ClientServ@YourMembership.com.

Job Seekers

Are you seeking a job in internal auditing? IIA members may post their résumés and search for job opportunities in the Audit Career Center.

Employers and Agencies

Are you an employer or agency looking to fill open positions in your audit department? Post job openings and search résumés matching your criteria in the Audit Career Center. If you have any questions, please email ClientServ@YourMembership.com.

IIA Career Opportunities

Check out the latest job openings at The IIA and find out why the Institute is selected year after year as one of the top 100 companies for working families in Central Florida.

IIA Fellowship Program

Learn more about The IIA’s Fellowship Program: Developing Tomorrow’s Thought Leaders Today.
Internal Auditor magazine, the world's leading publication covering the internal audit profession, is launching a scholarship program. We are offering six, US$1,000 scholarships for undergraduate and graduate students who write the most informative and intuitive essays on internal audit subjects. Once the student winners are announced, we will publish the winning essay on InternalAudi-tor.org.

Download the scholarship application form to get started. Once you have completed your essay, submit both the completed application form and your essay to Scholarship@theiia.org.

The scholarship essay topics and submission periods are as follows:

1 February 2017 – 31 March 2017:
Why are the International Standards for the Professional Practice of Internal Auditing important?
(read "Breaking Down the Standards" in the February issue of Internal Auditor.)
Download the question PDF

1 April 2017 – 31 May 2017:
What is internal audit’s role in making the organization more resilient?
(read "Internal Audit's Role in Making the Organization More Resilient" in the April issue of Internal Auditor.)
Download the question PDF

1 August 2017 – 30 September 2017:
What are the ethical issues that can arise in an organization’s collection, use, and analysis of data?
(read "Ethical Issues in the Collection, Use, and Analysis of Data" in the August issue of Internal Auditor.)
Download the question PDF

1 October 2017 – 30 November 2017:
How can business acumen help internal audit?
(Read "Developing Business Acumen Within the Audit Function" in the October issue of Internal Auditor.)
Download the question PDF

1 December 2017 – 31 January 2018:
What is internal audit’s role in ensuring employees’ personal information is protected?
(read "Protecting Employee Personal Information" in the December issue of Internal Auditor.)
Download the question PDF
CALL FOR NOMINATION for FY2017-2018

The Institute of Internal Auditors – San Gabriel Chapter (the Chapter) invites all members to participate in the process of nominating (or self-nominating) individuals with the skills, abilities and willingness to serve the Chapter. Positions available are:

- Treasurer
- Assistant Treasurer
- Secretary
- Academic Relations
- Advocacy
- Certification
- Membership
- NASBA
- Newsletter
- Seminars
- Programs
- Publicity

Candidates for consideration should be persons who have demonstrated the willingness to devote the necessary time and effort to be effective members of the Board. Please attach a brief biography to help the Nominating Committee identify candidates.

Two methods of nomination are possible; an individual may self-nominate and provide their qualifications to the Nominating Committee. Alternatively, a person may nominate a Chapter member; in doing so we request that you confirm the nominee’s availability prior to making the nomination. All nomination materials should be submitted to the Nominating Committee by March 15, 2017 by email at htong@lacsd.org.

The nomination should include contact information, and a brief statement describing any special skills, talents, background or expertise that would be of particular value to the position. If a self-nomination, a nominee may attach his/her resume for convenience.

The Chapter encourages ALL interested individuals to become involved.

2017-2018 Nominating Committee Members: Heidi Tong, Linda Liu, Monica Raffety, Terry Koshy
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THE CIA® EXAM
With The IIA’s CIA
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THE 3-PART
CIA EXAM
WITH THE IIA’S
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How to
BECOME A CIA
The Definitive Guide

https://chapters.theiia.org/san-gabriel-valley/Pages/default.aspx
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IIA San Gabriel Valley Chapter

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Become an IIA Member Today

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