About the SGV Chapter

The San Gabriel Valley Chapter membership includes internal auditors and management from a variety of industries and organizations including healthcare, finance, utilities, and education. Membership is open to anyone with an interest in the Internal Auditing profession.

We encourage everyone to achieve Certified Internal Auditor status. The chapter serves our members in internal auditing, governance, and internal control, IT audit, education, and security. Our goal is to provide a balanced program of continuing education, and opportunities to meet fellow professionals.
As this year is wrapping up I would like to say Thank You to the 2014-15 board of directors and committee members for volunteering their time and accomplishing another fantastic season. An IIA volunteer is one who takes pride in the profession and wants to make a difference for the future; one who is energetic, creative and innovative, one who takes a stand for professionalism and competency; and one committed to elevating the practice of internal audit. Thanks for your tremendous volunteer commitment to serve our chapter and the internal audit profession. Also, Thank You very much members for your willingness to participate in our chapter events. Most of our monthly lunch meetings were successful and our training events were well attended.

Once again we achieved Platinum Status for our chapter. Here are a few of our goal accomplishments:

- **Strengthen Academic Relations** – Our academic relations team participated in several student events at Claremont College and Cal Poly Pomona. Our goal was to present two $500 student scholarships. In May 2014 we awarded three $500 student scholarships.
- **Be a preferred source of audit training** – Our Seminar Committee arranged two successful seminars during the season. We held a joint lunch meeting with the IIA San Fernando Valley Chapter. Chapter members helped plan the Southern California IIA District Conference and help our region successfully help win the bid for 2017 IIA Regional Conference.
- **Develop Future Leaders** – Our goal was to send 4 people to IIA Leadership Academy. We were able to send 5 of our leaders this year.
- **30th Anniversary Celebration** - This event was wildly successful. We were honored Richard Chambers as our keynote speaker. All in attendance recurred a signed copy of Richard Chamber’s book “Lessons Learned on the Audit Trail”. We also, met a few of our chapter original founders.

I look forward to the continued good works in the 2015-16 seasons and I am grateful to be a part of such a great team. See you around and again THANK YOU!

All the Best,

*Kathy Knox*

Kathy Knox, IIA SGV Chapter President
### Board & Committee Members

#### 2014-2015

#### OFFICERS

<table>
<thead>
<tr>
<th>Role</th>
<th>Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>President</td>
<td>Kathy Knox</td>
</tr>
<tr>
<td>V-P Programs Chair (2 year)</td>
<td>Urvashi Royjain</td>
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<tr>
<td>V-P Nominating</td>
<td>Urvashi Royjain</td>
</tr>
<tr>
<td>Treasurer (2 year)</td>
<td>Lizette Espinoza</td>
</tr>
<tr>
<td>Assistant Treasurer</td>
<td>Rudy Ruvalcaba</td>
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<tr>
<td>Secretary</td>
<td>Donna Tamura</td>
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#### COMMITTEES

<table>
<thead>
<tr>
<th>Committee</th>
<th>Chair</th>
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<tbody>
<tr>
<td>Academic Relations (2 year)</td>
<td>Leah Dahl</td>
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<tr>
<td>Academic Relations Co-Chair</td>
<td>Jason Nishiyama</td>
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<tr>
<td>Advocacy</td>
<td>Stephen Shokhkomyan</td>
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<tr>
<td>Audit Committee Co-Chair</td>
<td>Mark Concialdi</td>
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<td>Audit Committee Co-Chair</td>
<td>Laurice Balian</td>
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<td>Awards</td>
<td>Rene Montielh</td>
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<tr>
<td>Chapter Achievement</td>
<td>Luceli Ceja</td>
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<td>Program Chair</td>
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<td>Certification</td>
<td>Melba (Maria) Rosal</td>
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<td>Employment/Career</td>
<td>Linda Liu</td>
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<td>Facilities Arrangement</td>
<td>Stephen Shokhkomyan</td>
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<td>Phillip (Jyh Yang) Yao</td>
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<td>Meeting Arrangements</td>
<td>Jee Kim</td>
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<td>Meeting Arrangements</td>
<td>Jaana Xie</td>
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<td>Membership Committee Chair</td>
<td>Diana Estrada</td>
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<td>NASBA Committee Chair</td>
<td>John McNabb</td>
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<td>Programs (2 year)</td>
<td>Sean Shockley</td>
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<td>Seminar (2 years)</td>
<td>Yvette Suarez</td>
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<td>Seminar (2 years) Co-Chair</td>
<td>Willie Atienza</td>
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<td>Hospitality</td>
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<td>Newsletter</td>
<td>Laura Gomez</td>
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<td>Webmaster</td>
<td>Shanakay Bradford</td>
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<td>Chief Audit Executives Events</td>
<td>Ruth Holden</td>
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<tr>
<td>Chief Audit Executives Events</td>
<td>Lynn Mckenize</td>
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#### BOARD OF GOVERNORS

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<thead>
<tr>
<th>Role</th>
<th>Name</th>
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<tbody>
<tr>
<td>Governor at Large</td>
<td>John Imai</td>
</tr>
<tr>
<td>Governor at Large, Financial</td>
<td>Pam Koyzis</td>
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<tr>
<td>Governor at Large</td>
<td>Albert Lau</td>
</tr>
<tr>
<td>Governor at Large</td>
<td>Lynn McKenzie</td>
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<tr>
<td>Governor at Large, Financial</td>
<td>Fred Stevens</td>
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<tr>
<td>Oversight Committee</td>
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<tr>
<td>Governor at Large</td>
<td>Rey Bejerano</td>
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#### BOARD OF GOVERNORS– PAST PRESIDENT

<table>
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<tr>
<th>Role</th>
<th>Name</th>
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<tbody>
<tr>
<td>Past President (1st term)</td>
<td>Heidi Tong</td>
</tr>
<tr>
<td>Past President (2nd term)</td>
<td>Ruthe Holden</td>
</tr>
</tbody>
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Companies/Organizations in Attendance to Chapter’s Anniversary
February 5, 2015

1. Bandai Namco Holdings USA Inc.
2. California Institute of Technology
3. Clark M. Palmer, CPA
4. Disbursements Analysis & Recovery Group, Inc.
5. Douglas Hileman Consulting LLC
6. East West Bank
7. Enterprise Risk Solutions
8. Kaiser Permanente
9. KPMG
10. Sanitation Districts of Los Angeles County
11. LACMTA
12. LAUSD
13. Los Angeles County Office of Education
14. OneWest Bank
15. County of Orange
16. Parsons
17. PwC
18. RGP
19. Robert Half
20. Safeway
21. Semtech
22. Sharp HealthCare
23. Southern California Edison
24. Tetra Tech
25. Wescom Credit Union
26. Wilshire Bank
27. Wolters Kluwer
28. On Assignment
The San Gabriel Valley Chapter celebrating its 30th year anniversary in 2015 and achieving its 2nd Platinum award have awarded 3 scholarship to deserving students the amount of $500.00 each and also coinciding with the May International Internal Auditor awareness month. Professor Meihua Koo of Cal Poly Pomona assisted the SGV Chapter in the selection of the scholarship recipient submitting 10 candidates. The three awardees are:

- Norman Huang – Graduating student with a Summa Cum Laude (GPA 3.96). President of Beta Alpha Psi
- Alfonso Martinez – Graduating Students with a GPA of 3.89 and a hard working student who self-financed his college education, also served in the military for 4 years.
- Noel Diaz – Graduating Students with a GPA of 3.11 and the only candidate who wrote an interesting article about Internal Audit. Noel is on the President’s and Dean’s List.

*Article by: Rey Bejerano*

Board of Governor and CAP Co-Chair
Scholarship Recipients

Nominee: Norman Huang, President of Beta Alpha Psi and Rey Bejerano, Board of Governor and CAP Co-Chair

Alfonso Martinez – Graduating Student, and Rey Bejerano, Board of Governor of CAP Co-Chair

The three awardee wishes to thank the SGV Chapter for supporting the students for the second time in two years and the sharing awareness of the Internal Audit profession as a whole.

Left to Right: Noel Diaz – Graduating Students and Rey Bejerano, Board of Governor and CAP Co-Chair

Left to Right: Norman Huang and Rey Bejerano, Board of Governor and CAP Co-Chair
2—Day Seminar “Fraud and IT Auditing”

February 23–24, 2015

Day 1—Auditing Fraud

Day 2—IT Auditing
The Sanitation Districts of Los Angeles County invites applications for the position of: Accountant (Internal Audit) I, II - Whittier

DESIRABLE QUALIFICATIONS: The most competitive candidate will have two years’ experience performing audits and reconciliations; preparing written reports; possess strong verbal and written communication skills; strong analytical skills; and the ability to create/modify sophisticated spreadsheets or data files.

The Sanitation Districts of Los Angeles County invites applications for the position of: Accountant I, II - Whittier

DESIRABLE QUALIFICATIONS: Strong technical skills in Microsoft Excel (advanced formulas, pivot tables, macros, etc.) Experience writing reports using Business Intelligence software. Experience using and/or implementing Oracle E-Business Suite. Ability to learn new technology quickly and efficiently.

https://chapters.theiia.org/san-gabriel-

OneWest Bank
Senior Internal Auditor—Pasadena

AFEX
JR. Internal Auditor

GREEN DOT CORPORATION
Senior Internal Auditor—Pasadena
http://chc.tbe.taleo.net/chco/ats/careers/requisition.jsp?org=GREENDOT&cws=1&rid=1825

Matching Principles
Senior Internal Auditor—Century City
https://www.ziprecruiter.com/jobs/matching-principles-1d3e9096/sr-internal-auditor-eb79b601?mid=1595&source=feed-linkedin&_zat=VV4QaH8AAAEAGGvbSEAAAAd
Chapter Achievements

The IIA San Gabriel Valley Chapter has held Platinum status for ten consecutive years (2005-2015). The San Gabriel Valley Chapter is thankful for its members, leaders, committee chairs and volunteers who continuously continued to support the chapter.

**CAP Points As of May 2015**

<table>
<thead>
<tr>
<th>Category</th>
<th>Chapter Points</th>
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<tbody>
<tr>
<td>Service to Members</td>
<td>1,558.37</td>
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<tr>
<td>Service to Profession</td>
<td>410.99</td>
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<tr>
<td>Chapter Administration</td>
<td>372</td>
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Total from Master Template 2,341.36

**Targets:**
- Bronze 685.00
- Silver 1060.00
- Gold 1560.00

Current CAP Status: The SGV Chapter is at Gold (Platinum) status.

The program activities for the period included the following:
- Southern California Education Conference
- Board Meeting of Chapter Leadership
- District Workshop
- Monthly meeting– CAE Panel
- 30th Anniversary

Thank You for your continuous support
What makes you proud of your profession?

Being part of a team that is always striving to make a difference.

How did you choose your profession?

I was highly influence by one of my accounting professors and I have chosen to continue in this profession as I enjoy the interaction with various people across my organization and always learning something new.

Do you have any words of advice to fellow internal auditors?

“Be the best that you can be”, it is a quote from my first career mentor. These are the best words of advice I can pass to fellow internal auditors.

Describe your volunteer path?

I’ve been a volunteer of the IIA – San Gabriel Valley Chapter for about 4 years. I first held the position of Programs Committee, followed by Awards Committee and I’m currently the Chapter’s Treasurer.

What are your passions outside of internal audit?

I have been fortunate to travel to the beaches of Mexico. The rain forest in Costa Rica and Nicaragua as well the country site of England and the Amalfi Coast in Italy. My most recent adventure trip was to Southern Spain, where I dared to drive from Southern to the Northern region of Spain.
Knowledge Sharing: Small audit functions can learn a lot about best practices from their larger counterparts, and vice versa.

For more information follow the link: https://iaonline.theiia.org/

Prepare to Pass the 3-Part CIA Exam

Why become certified?

- Distinguish you from peers
- Demonstrate your proficiency with internal staff and external clients
- Develop your knowledge of best practices in the industry
- Demonstrate your proficiency and professionalism
- Lay a foundation for continued improvement and advancement.

The IIA’s CIA Learning is The Institute of Internal Auditor’s own premier-quality CIA review course. It was designed by the experts to teach the entire 3-Part Global Certified Internal Auditor exam syllabus to CIA candidates and internal auditors looking to enhance their knowledge and skills.

The IIA’s CIA Learning System for the 3-Part CIA exam combines printed and e-book reading materials, interactive online tests and study tools, and optional facilitator-led courses to help you prepare to pass the CIA exam.
For the fourth consecutive year, all seven Southern California chapters of The Institute of Internal Auditors (IIA) have partnered together to bring an exciting training and networking opportunity to the region. This year’s IIA Southern California Conference brings a dynamic program and cost-effective conference that is designed with your professional development and success in mind.

You and your colleagues are invited to join an anticipated 200+ delegates in sunny Anaheim, California for the conference. Our conference theme of “Adding Value through Audit Excellence” sets the stage for two days of exciting skill development and learning for all participants. As an attendee, you will benefit from:

- Sharing and discussing ideas and concepts with thought leaders, practitioners, and speakers from Southern California.
- Participating in sessions on hot topics such as: Cyber Security: Current Breach Trend & Financial Impacts; Agile Auditing; Audit Interviewing Best Practices; and Audit Committee Reporting - Trends and Best Practices.
- Networking with an anticipated 200+ like-minded colleagues from public and private sector organizations from throughout Southern California at a welcome breakfast, luncheon, networking breaks, and a post-conference networking reception.
- Engaging with exhibitors that offer products and services to help you succeed.

2015’s conference program will consist of a Sunday pre-conference Audit Tools and Techniques workshop followed by multi-track sessions on Monday, June 8. The conference includes general keynote sessions from highly-rated speakers Raven Catlin, Michael Fucilli, and Danny Goldberg.

Unique to this year’s conference program is the opportunity to register for a two-day detecting fraud using data analytics program that spans both the conference and pre-conference days. In addition, there will be 16 individual breakout sessions spanning four different learning tracks, including: Audit Committee & Governance, Risk Management, & Compliance (AC & GRC), Audit Fundamentals (AF), IT & Technology (IT), and Ethics & Fraud (EF) tracks. Including participation in Sunday’s pre-conference workshop or the data analytics two-day program, attendees can earn up to 16.0 CPE.

This year's conference will again be held at the Sheraton Anaheim Hotel conveniently located adjacent to an exciting mix of shopping, dining, and theme parks. A discounted room rate of $129 plus tax will be offered to conference attendees for rooms booked by May 1, 2015.

Network with an anticipated 200+ peers from throughout Southern California, stay on the leading edge of internal audit, and enjoy the excitement and fun of Anaheim. **Early registration savings end April 20.**

Visit [WRD4EC.org](http://WRD4EC.org) to register today!
Networking

Join Our Community

IIA San Gabriel Valley Chapter

SGV LinkedIn

Become an IIA Member Today

IIA Facebook Page

IIA Twitter