About The Chapter

The San Gabriel Valley Chapter membership includes internal auditors and management from a variety of industries and organizations including healthcare, finance, utilities, and education. Membership is open to anyone with an interest in the Internal Auditing profession.

We encourage everyone to achieve Certified Internal Auditor status. The chapter serves our members in internal auditing, governance, and internal control, IT audit, education, and security. Our goal is to provide a balanced program of continuing education, and opportunities to meet fellow professionals.

Upcoming Meeting and Events

**Luncheon Program: Government Auditing Related**

Date: 1/15/2015

Time: 12:00 p.m.—1:00 p.m.

Location: Pasadena at the Women’s Club: 160 North Oakland Ave.

Speaker: Jodi Wakefield

CPE: 1 hour

Register:

**CAE Roundtable Breakfast Discussion**

Date: 1/16/2015

Time: TBD

Location: Pasadena at the Women’s Club: 160 North Oakland Ave.

Speaker: CAE’s
Hello Fellow San Gabriel Valley Audit Professional

As we enjoy this holiday season and take advantage of the many shopping opportunities I would like to remind you of the possibility of personal information exposure. So before you whip out that credit card and power up your laptop or tablet, here are some suggested tips that may help protect your personal information.

- Update your computer’s anti-virus and anti-spyware software and download the latest security updates. If you’re using a wireless connection, make sure it’s encrypted with a password.
- Strengthen your online passwords by making them unique and difficult to steal. Avoid predictable passwords such as pet and spouse names, birthdays and consecutive numbers. Instead, incorporate random numbers, symbols, and capitalized letters.
- Use credit cards instead of debit cards. A stolen debit card gives an identity thief a direct line to your bank account; by using a credit card, you’ll be better protected from fraud and face less liability in the event your card number is stolen. Review your credit card statements monthly for unauthorized charges.
- Shop at trusted brands with secure websites. Before making a purchase, check your browser’s status bar for “https://” URLs that indicate secure connections when placing orders. Look for delivery guarantees from online retailers and keep hard copies of order confirmations.
- Watch what you post online. Avoid sharing your address and phone numbers on social networking sites, or storing credit card numbers and passwords in your email account.
- Be on the lookout for phishing and email scams. Don’t open any attachments; instead, delete the emails.
- Be wary of fake online stores. California Atty. Gen. Kamala D. Harris said in a consumer alert on safely shopping online for the holidays. Many online scammers steal information by redirecting shoppers to Web pages that look like the checkout pages of legitimate shopping sites.
- Be careful of what links you click. Set your browser to block pop-up windows, and make sure you type in the store’s Web address into your browser window instead of clicking links from email or other websites.
- If you have children, talk to them about the dangers of online shopping. Kids are major targets for identity thieves, who know that children are often comfortable online.
- And finally, use common sense. Beware of suspicious emails that request information such as your social security number or that promise a special deal. If something sounds too good to be true, it probably is. (Andrea Chang, Los Angeles Times)

As we look forward to the New Year 2015, please mark your calendar for February 23rd, 24th for our chapter seminar on IT Auditing. Also, our 30th Anniversary Celebration February 5th is quickly approaching and Mr. Richard Chambers, IIA’s President and CEO, will be our honorable guest speaker.

Happy Holidays to All!

Kindest Regards

Kathy Knox,
IIA President
San Gabriel Valley Chapter
# BOARD & COMMITTEE MEMBERS

## 2014-15

### OFFICERS

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<tr>
<td>President</td>
<td>Kathy Knox</td>
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<tr>
<td>V-P Programs Chair (2 year)</td>
<td>Urvashi Royjain</td>
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<td>V-P Nominating (President –elect)</td>
<td>Urvashi Royjain</td>
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<td>Treasurer (2 year)</td>
<td>Lizette Espinoza</td>
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<tr>
<td>Assistant Treasurer</td>
<td>Rudy Ruvalcaba</td>
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<td>Secretary</td>
<td>Donna Tamura</td>
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### COMMITTEES

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<td>Academic Relations (2 year)</td>
<td>Leah Dahl</td>
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<td>Academic Relations Co-Chair</td>
<td>Jason Nishiyama</td>
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<td>Advocacy</td>
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<td>Audit Committee Co-Chair</td>
<td>Mark Concaldy</td>
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<td>Audit Committee Co-Chair</td>
<td>Laurice Balian</td>
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<td>Awards</td>
<td>Rene Montielh</td>
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<td>Chapter Achievement Program Chair</td>
<td>Luceli Ceja</td>
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<tr>
<td>Chapter Achievement Program Co-Chair</td>
<td>Lina Magano</td>
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<td>Certification</td>
<td>Melba (Maria) Rosal</td>
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<td>Employment/Career Development</td>
<td>Linda Liu</td>
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<td>Facilities Arrangement</td>
<td>Stephen Shokkomyan</td>
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<td>Phillip (Jyh Yang) Yao</td>
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<td>Meeting Arrangements</td>
<td>Jee Kim</td>
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<td>Jaana Xie</td>
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<td>Membership Committee Chair</td>
<td>Diana Estrada</td>
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<td>NASBA Committee Chair</td>
<td>John McNabb</td>
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<td>Programs (2 year)</td>
<td>Sean Shockley</td>
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<td>Seminar (2 years)</td>
<td>Yvette Suarez</td>
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<td>Willie Atienza</td>
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<td>Hospitality</td>
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<td>Hospitality Co-Chair</td>
<td>Jeffrey Lopez</td>
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<td>Newsletter</td>
<td>Laura Gomez</td>
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<td>Newsletter Co-Chair 1</td>
<td>Jeffrey Lopez</td>
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<td>Webmaster</td>
<td>Shanakay Bradford</td>
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<td>Chief Audit Executives Events Co-Chair</td>
<td>Ruth Holden</td>
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<td>Chief Audit Executives Events</td>
<td>Lynn Mckenize</td>
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If you wish to contact a Board Member, or Officer, please email us at webmaster@theiia-sgvc.org
Chapter Achievements

The IIA San Gabriel Valley Chapter has held Platinum status for ten consecutive years (2005-2014).

The San Gabriel Valley Chapter is thankful for its members, leaders, committee chairs and volunteers who continuously continued to support the chapter.

**CAP Points for FY- 2014-2015**

*As of September 2014*

- **Service to Members**: 411.09
- **Service to Profession**: 294.07
- **Chapter Administration**: 177.00

**Chapter points (to date) : 882.16**

**Targets**

- **Bronze**: 685.00
- **Silver**: 1,060.00
- **Gold**: 1,560.00

The program activities for the period included the following:

- Southern California Education Conference
- Board Meeting of Chapter Leadership
- District Workshop
- Monthly meeting– CAE Panel

**Save the Date**

**SGV Chapter’s 30th Anniversary Celebration**

*Venue: Pasadena Hilton*

*Date: February 5, 2014*

**SGV chapter Seminar**

*Venue: Caltrans District 7 Building*

*IT Audit Fraud Auditing*

*Date: Feb 23rd, 24th 2015*

**IIA Luncheons**

*Venue: Pasadena Women’s Center*

*Dates: January 15, 2015 March 19, 2015*

**4th Annual District Conference**

*Venue: Anaheim*

*Date: June 7th & 8th, 2015*
The San Gabriel Valley Chapter of the IIA is hosting a two-day seminar. The event will focus on providing quality professional education on Governance, Risk, and Compliance/Enterprise Risk Management and Risk Based Operational/Performance Auditing and give participants a chance to network with other professionals at a reasonable price.

**WHEN:** Monday, February 23 and Tuesday, February 24, 2015
8:00 AM to 4:30 PM (Registration 7:15 AM to 8:00 AM)

**WHERE:** Caltrans District 7 Building
100 S Main St. Los Angeles, CA 90012

**CPE:** 16 Hours

**LUNCH:** Lunch and snacks will be provided

**REGISTER HERE** - If you have questions, please contact: Yvette Suarez, at SuarezY@Metro.net or (213) 922-1096

**COST:** $125 per day or $225 for two days (IIA Members)
$175 per day (Non-members)
Parking fee not included

Check or Credit Card Accepted

Make check(s) payable to the “IIA San Gabriel Valley Chapter”
Attn: Lizette Espinoza, Treasurer
San Gabriel Valley Chapter,
The Institute of Internal Auditors, Inc.
P.O. Box 1532
Sierra Madre, CA 91025

For payment by Credit Card, visit our Website; https://chapters.theiia.org/san-gabriel-valley/Pages/default.aspx
Major Events

SAVE THE DATE

JUNE 7-8, 2015

Please join us in beautiful Anaheim once again for our 4th Annual Southern California District

Event Venue:
Sheraton Hotel, Anaheim
900 Disneyland Drive
Anaheim, CA 92802

4th Annual
Southern California Conference

30th Anniversary– San Gabriel Chapter
February 5th, 2015

IIA –San Gabriel Chapter is 30 years STRONG!

Join us February 5th, 2015 to celebrate our 30th birthday!

Venue: Pasadena Hilton

Guest Speaker: Richard Chambers
Chapter Highlights

October Luncheon Meeting:
*Applying Data Analytics for Continuous Controls Monitoring*

Left to Right: Parm Lalli, Sunera; Kathy Knox, IIA President; Susan Ko-lassa, Sunera; Urvanish Royjain, IIA VP Program

Enterprise Risk Management: Risk Based & Operational Auditing Seminar November 2014

Instructor: Jason Mefford  Risk Based & Operational/Performance
Linda Liu is the new Employment Officer for our SGV Chapter. She is a Manager at Ernst & Young’s Advisory Services, with over 8 years of experience in Internal Audit. Part of her role as the Employment Officer is to serve as a resource for members seeking positions and organizations seeking personnel. If you or your organization is looking for Internal Audit professionals or if you are looking for a new position, please contact Linda directly at Linda.Liu1@ey.com.

Another great resource is our very own IIA Career Center Online. This site provides job seekers the ability to look for jobs, post their resumes online, and set up personal job alerts if a preferred job comes up. It is also a great tool for employers looking to fill open positions to post their job opening online and search for matching candidates. Currently, there are over 200 positions posted from staff level internal auditors to VP level positions. Take advantage of this great tool!

2015 The Year Ahead

Experts predict that internal auditors will rely more on analytics in 2015, and that stakeholder expectations will continue to rise. **Read more:** [https://iaonline.theiia.org/](https://iaonline.theiia.org/)

Fraud at the top

Ethical lapses at the executive level provide unique challenges for the organization. **Read more:** [https://iaonline.theiia.org/](https://iaonline.theiia.org/)
One West Bank
Employment Opportunities
Job Title: Senior Internal Auditor
Closing Date: Open Until Filled
Location: Pasadena, CA

https://www.linkedin.com/jobs2/view/25724326?trk=jsre_job_details_text

Caltech
Employment Opportunities
Job Title: Associate Auditor
Closing Date: Open Until Filled
Location: Pasadena, CA

https://jobs.caltech.edu/postings/2544

KPMG
Employment Opportunities
Job Title: Associate Auditor
Closing Date: Open Until Filled
Location: Pasadena, CA

http://www.respondhr.com/37147140

Century Group
Employment Opportunities
Job Title: Associate Auditor
Closing Date: Open Until Filled
Location: Los Angeles, CA

http://www.indeed.com/viewjob?jk=8453c0f6a0b351f7&q=Auditor&l=California&tk=1972lvuij1d3h3su

Matching Principles
Employment Opportunities
Job Title: Senior Internal Auditor
Closing Date: Open Until Filled
Location: Burbank, CA


King County Auditor’s Office
Employment Opportunities
Senior or Principal Management Auditor (Transit)

http://www.kingcounty.gov/operations/auditor.aspx
KCAO@kingcounty.gov
Follow @KCAuditor
Congratulations To Our Members

CIA
Nicholas Tehrani
Danialle McDuffie

Congratulations!

CRMA
Vivien Ai
The 74th annual International Conference will be held July 5–8 in Vancouver, BC, Canada, as we explore Mountains of Change... Oceans of Opportunities. Beautiful Location!

Reasons to Attend

**Networking** – Network with 2,000+ colleagues from around the globe. The 2014 International Conference in London attracted delegates from more than 100 countries.

**Outstanding Speakers** – Connect with outstanding speakers who will share insights on issues impacting the profession.

**Timely Issues** – The event will focus on timely, global issues through a vibrant and relevant program.

**Something for Everyone** – Select from 12 program tracks offering content for all levels of professionals, from chief audit executives and heads of internal audit, to directors, managers, and staff auditors.

**Earn Continuing Professional Education (CPE) Credits** – Earn up to 18 CPE in support of your IIA certifications.

**Location** – Take advantage of all that Vancouver has to offer with a vibrant business, cultural, and entertainment district right at its doorstep. It’s a location destination not to be missed.

For more information follow the link:

Internal Auditing in the Spotlight

Academic Relations Update by Leah Dahl

With a mission to educate the next generation of internal auditors by sharing the profession with interested university faculty and staff, the San Gabriel Valley Academic Relations committee is well on their way to furthering this endeavor. Students are back in session and so far their interest in Internal Audit has been strong. On September 10, 2014, IIA San Gabriel Valley attended Accounting and Finance Night at Claremont McKenna College for the first time, which invited students to attend from not only Claremont McKenna, but also Pomona College, Scripps College, Harvey Mudd College, Pitzer College and the Claremont Graduate University. In addition, the San Gabriel Valley IIA chapter was joined by the Inland Empire Chapter of IIA for a Meet the Firms night at Cal Poly Pomona on October 9, 2014.

In addition, the chapter presented at two meetings of the Cal Poly Pomona Accounting Society and Beta Alpha Psi, an honorary organization for financial information students and professionals. On October 30, 2014, Rey Bejerano, Board of Governors and Leah Dahl, Academic Relations Co-Chair presented to a group of about 100 students about the role of Internal Audit within various types of organizations. Using an interactive platform of questions and answers, students were informed of the different pathways that can lead to a career in internal audit and also the resources available through IIA. In an effort to increase participation by students, the Academic Relations committee, in partnership with the 30th year anniversary committee, is sponsoring a one year student membership to a group of graduating seniors.

On November 20, 2014, Academic Relations Co-Chairs Leah Dahl and Jason Nishiyama along with Advocacy Chair Steve Shokhkomyan presented “IIA in the Spotlight” to the Cal Poly Accounting Society and Beta Alpha Psi. In this presentation, students were shown how internal audit departments add value to their organizations and also the broad array of skills required by internal auditors. An overview of the certification programs available through the IIA was given as well as an explanation of the various types of audit, including a comparison between internal and external auditors. At the conclusion of both sessions, students are always eager to meet one on one and ask questions about how they can better prepare themselves for life after school.

If your company has an internship program or would be interested in hiring eligible undergraduate students interested in Internal Audit, please feel free to contact Leah Dahl Leah.Dahl@cnb.com and we can promote your organization during upcoming student events.
Why become certified?

- Distinguish you from peers
- Demonstrate your proficiency with internal staff and external clients
- Develop you knowledge of best practices in the industry
- Demonstrate your proficiency and professionalism
- Lay a foundation for continued improvement and advancement.

The IIA’s CIA Learning is The Institute of Internal Auditor’s own premier-quality CIA review course. It was designed by the experts to teach the entire 3-Part global Certified Internal Auditor exam syllabus to CIA candidates and internal auditors looking to enhance their knowledge and skills.

The IIA’s CIA Learning System for the 3-Part CIA exam combines printed and e-book reading materials, interactive online tests and study tools, and optional facilitator-led courses to help you prepare to pass the CIA exam.

Let’s Network

Join Our Community

IIA San Gabriel Valley Chapter

SGV LinkedIn

Become an IIA Member Today

IIA Facebook Page

IIA Twitter