As we approach the last few months of our fiscal 2013-2014 year, I’d like to look back at some of the highlights and services we have provided to our members. We had successful monthly meetings with relevant internal audit topics since August 2013 with an average attendance of 60 to 80 members each month. We held two very successful two-day seminars in October 2013 and February 2014, with speakers from a variety of industries speaking on important internal audit matters. Both seminars had turnouts of 60 to 100 people each day. A big round of applause for our Programs and Seminars Committee for all their hard work in putting all these useful sessions together. I personally attended these events and found them to be useful for my professional career as well as for networking. It is always a pleasure to see fellow internal auditors and discuss our jobs and exchange ideas to improve the work we do. Our post seminar and post meeting survey gave us good feedback on what members wish to learn. Our Platinum Celebration Committee is busily preparing for our celebration on April 16, 2014, at the CalTech Athenaeum. We hope all of you can join us in this celebration. We value all of our members and volunteers in making the San Gabriel Valley Chapter a success.

Heidi Tong, CRMA, CPA
IIA San Gabriel Valley Chapter President
2013-2014
Upcoming Events

**WEDNESDAY**
March 19th.
"The ACL Road in Long Beach"
From 2-6 PM
Fee Event — See our Website for more information.

**THURSDAY**
March 20th.
"An Overview of COSO 2013"
March Luncheon Meeting
11:30 – 1:00, Pasadena’s Women’s City Club
Chapter Luncheon

**WEDNESDAY**
April 16th.
Platinum Event Celebration
11:30 – 1:00, CALTECH Athenaeum, Pasadena

**THURSDAY**
May 15th.
11:30 – 1:00, Pasadena’s Women’s City Club
Chapter Luncheon

**June 1st and 2nd.**
So Cal Education Conference
Anaheim Sheraton Hotel
Sunday – Report Writing Workshop
Monday – Several tracks from where to choose
http://wrd4ec.org

Prepare to Pass the 3-Part CIA Exam.
The IIA’s CIA Learning System is an interactive print and online self-study review program designed by CIA-certified industry experts to help you learn, review, and apply the key CIA content. This approach will help you build on foundational elements of internal auditing to master key concepts you need to know to pass the CIA exam. This three-part program includes:

- **Comprehensive reading materials**
  teaching the entire 3-part global CIA exam syllabus in both printed and e-book formats.

- **Interactive online study tools:**
  - Pre- and post-tests to gauge your starting knowledge and advancements.
  - SmartStudy™ tools to help you create a customized study plan based on pre-test results.
  - Chapter quizzes to test your comprehension and retention.
  - Flashcards and glossary.
  - CIA practice exam to build confidence for the computer-based CIA exam.
  - Online reports to track your progress and performance.

For more information or to try a demo, visit www.LearnCIA.com/3partcia/overview.

Wondering how long it will take you to prepare for the exam? Create your free, personalized study plan at www.LearnCIA.com/3partcia/my-cia-review-plan.

Members save up to US $100! Order today and save!

The Institute of Internal Auditors - San Gabriel Valley Chapter is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors through its website - www.learningmarket.org.

Congratulations to the Chapter Members who have obtained their certification:

<table>
<thead>
<tr>
<th>Name</th>
<th>Certification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Huang Haibei</td>
<td>CRMA</td>
</tr>
<tr>
<td>Sylvia Botros</td>
<td>CRMA</td>
</tr>
<tr>
<td>Vivien Ai</td>
<td>CRMA</td>
</tr>
<tr>
<td>Steven La France</td>
<td>CRMA</td>
</tr>
<tr>
<td>Theodore Granger</td>
<td>CRMA</td>
</tr>
<tr>
<td>Maria Rosal</td>
<td>CRMA</td>
</tr>
<tr>
<td>Noory Song</td>
<td>CRMA</td>
</tr>
<tr>
<td>Anna Shum</td>
<td>CIA</td>
</tr>
<tr>
<td>Sal Rodriguez</td>
<td>CIA</td>
</tr>
<tr>
<td>Ashish Kotecha</td>
<td>CIA</td>
</tr>
<tr>
<td>Naira Akopyan</td>
<td>CIA</td>
</tr>
<tr>
<td>Artemio Valdez</td>
<td>CIA</td>
</tr>
<tr>
<td>Yo Yi Chang</td>
<td>CIA</td>
</tr>
<tr>
<td>Danialle McDuffie</td>
<td>CIA</td>
</tr>
<tr>
<td>Kaylee Kong</td>
<td>CIA</td>
</tr>
<tr>
<td>Alyssa Phung</td>
<td>CIA</td>
</tr>
<tr>
<td>Eileen Donahue</td>
<td>CIA</td>
</tr>
<tr>
<td>Nicholas Tehrani</td>
<td>CIA</td>
</tr>
</tbody>
</table>

You can also get certified! Enhance your credibility, and unlock your next opportunity with a Certification from the IIA.

By earning a certification, your clients and employer know that you are a valuable team asset who is highly motivated, knowledgeable, and committed to ensuring quality is part of everything you do. IIA certifications set you apart from other professionals, unlocking your full potential and opening up countless doors of opportunity for career growth and success.

See what awaits you on the other side of the door. Visit the IIA Certifications page today!
Platinum Event

Join us in celebrating a milestone achievement for the Chapter Honoring Past Presidents and members with awards and memorabilia. Raffle drawings of Mini Ipads and free seminars await the lucky winners. Come join the fun and be part of the Platinum history.

April 16, 2014 11:30 a.m.
CALTECH Athenaeum
Pasadena, California
COST: $ 20.00

San Gabriel Valley Chapter
Visit the SGV website for more details
This year the chapter hosted two 2-day seminars. The first one, High Impact Auditing Seminar was on October 28th and 29th, 2013, and was attended by over 100 IIA members and non-members. The two day seminar was full of information, needed in all auditing fields. The speakers provided all sorts of relevant information ranging from Audit Root Cause Analysis, Data Analytics, Fraud and Forensics, and Cyber-crimes, to the fantastic presentation on White Collar and Financial Crimes presented by detectives from the Los Angeles Police Department, Commercial Crimes Unit. The Highlight of the second day of the seminar was the special appearance of André Birotte, U.S. Attorney for the Central District of California. In the words of one of the attendees: “I had never met a U.S Attorney before, and the fact that he took time out of his schedule to speak to us was a gift, thank you for bringing him.”

The second 2-day seminar was on February 4th and 5th 2014. The first day on Information Technology: IT Overview and Suggested Practices was presented by Jonathan Bronson from Protiviti. The second day on Performance Auditing: Adding Value with Performance Auditing was presented by Randall Coxworth from Protiviti. Both speakers with a great background and experience brought a wealth of knowledge to the more that 80 attendees to the sessions.

The Chapter has had a successful year thanks to our members and volunteers. We achieved Gold Status once again, and since it is for the 10th time in a row, the chapter got accreditation as a Platinum Chapter for bringing the most up-to-date and relevant information to our members.

Join us in the celebration of this great accomplishment on April 16. Visit our website for more information.

Remember our luncheon meetings are now held on the third Thursday of the month, at the Women’s Club in Pasadena.

On June 1st and 2nd, the seven chapters of the district will join to bring you the Southern California Conference that will be held at the Sheraton Hotel in Anaheim. The site is up and running with all the information for the tracks, sponsors, registration, etc. Visit the Conference Website today, and register!

Chapter Members, join us and stay in touch

SPOTLIGHT ON OUR MEMBERS

Yvette Suarez—Contract Audit Manager at LACMTA and IIA-SGVC Membership Chairperson

How did you become an internal auditor? I began auditing right out of college. My first job was working at a major health insurance company in California, auditing one of their major clients. I furthered my career by joining a local public accounting firm. I was exposed to a variety of audits from financial audits to governmental audits. I remember my first assignment at the CPA firm. I was assigned to perform an inventory audit for a fortune cookie manufacturing company. I had no idea of the amount of raw materials, packaging and finished goods that need to be counted. It was eye opening.

What makes you proud of your profession? I am proud of the fact that management is now taking notice of our profession. They are open to what we have to offer. We are no longer viewed as “those auditors” but as a resource for improvement.

What are your passions or hobbies outside of internal audit? Tell us anything about yourself that you would like to share with us.

Outside of work I enjoy spending time with my family and friends. I find keeping up with a teenage daughter and her high school activities is the most enjoyable.

Do you have any words of advice to fellow internal auditors? My advice to fellow auditors is to enjoy what you do, take advantage of all the training that is offered to you, and do the best job you can do. Take advantage of professional organizations like the IIA as a networking tool and professional resource. Since joining the IIA I have met many people I feel comfortable asking their opinion on audit issues I maybe having.
Chapter Achievement Program

I am pleased to present the report for the three months ended February 27, 2014 for the Chapter Achievement Program (CAP). The total CAP points earned for the San Gabriel Valley Chapter are shown below:

<table>
<thead>
<tr>
<th>Category</th>
<th>Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>Service to Members</td>
<td>1,189</td>
</tr>
<tr>
<td>Service to Profession</td>
<td>219</td>
</tr>
<tr>
<td>Chapter Administration</td>
<td>265</td>
</tr>
<tr>
<td>Total</td>
<td>1,672.80</td>
</tr>
</tbody>
</table>

The program activities for the period included the following:
- Two-day Seminar held at MWD on October 28 and 29, 2013
- Chapter meeting held on December 12, 2013 at the Pasadena Women’s Club
- Chapter meeting held on January 16, 2014 at the Pasadena Women’s Club
- Chapter meeting held on February 19, 2014 at the Pasadena Women’s Club

With three months left in the fiscal year, we have already reached Gold status and have attained Platinum Status for having had Gold Status for 10 consecutive years.

Thank you to all the Leaders, Committee Chairs and Volunteers who helped achieve the programmed activities for the Chapter on the first three months.

Rey Bejerano
Chapter Achievement Program (CAP) - Chair

---

Internal Auditor Magazine

Cover Story: Whistleblower

Former Olympus CEO Michael Woodford shares what he’s learned since blowing the whistle on billion-dollar fraud. Read the latest issue

---

A great opportunity for audit executives, directors, managers, and staff to benefit from a 2 day educational event, comprised of 20 individual sessions.

Obtain up to 16 hours of CPE from subject matter experts presenting multiple learning tracks.

**Educational Programs:** The 2-day event will provide up to 16 hours of CPE that will include a pre-conference session on report writing and keynote presentations by Danny Goldberg and Raven Catlin. You can then tailor the balance of the education to your specific needs, choosing from 18 breakout sessions across the following 5 tracks:

1. Executive Leadership Insights
2. Risk and Strategic Insights
3. Information Technology Insights
4. Ethics & Fraud Insights
5. Detecting Fraud Using Data Analytics

**Networking Opportunities:** Build and maintain your network of professional support as you interact with other internal audit professionals. In addition to numerous opportunities during the day, we will have a special post-conference Networking Reception.

This conference venue provides a large Sponsor area that will host our breaks and Networking Reception. As a conference attendee, you will have unique access to leading providers of the some of the latest in services and products for the progressive internal audit functions and professionals.

**Registration Benefits:**
- Ability to customize your training across 5 tracks (18 breakout sessions)
- Chance to learn the latest trends in auditing
- Avoid travel costs and save money @ less than $45 per CPE
- Opportunity to network with leading companies and top professionals

WHERE IN SAN GABRIEL VALLEY?

Did you guess? It’s Pio Pico State House Park.

Pío de Jesus Pico is one of California’s most remarkable historical figures. He witnessed, shaped and influenced nearly a century of California history in the 1800’s. Pío Pico was the governor of California in 1832 and again in 1846 before and during the Mexican-American War. His adobe home at “El Ranchito” has been completely restored to how it appeared in the 1880’s letting visitors experience and celebrate his life and times. Born at the San Gabriel mission in 1801, his ancestry included a mixture of Spanish, African, Indian and Italian, and he lived in a time when the Spanish, Mexican and American flags flew over California. Former Governor Pico began to build his home here in about 1853, and it has seen several changes over the last 150 years. The “little ranch” and adobe home at Pio Pico State Historic Park is now surrounded by the communities of Whittier and Pico Rivera east of Los Angeles. Much has changed since Pico’s time, but for local and state-wide history, Pío Pico State Historic Park is a shining gem and testament to life in early California. Volunteers keep this fascinating heritage alive by preserving and protecting it with learning opportunities and service projects. For more information call (565) 695-1217 or go to http://www.parks.ca.gov/?page_id=621.

Next edition we will be talking about: Can you identify where in San Gabriel Valley this picture was taken? Hint: It’s located in the City of Whittier Park.

JOB OPPORTUNITIES

J.F. Shea Co., Inc. is a diversified general construction contractor based in Walnut, California. The company has a Senior Manager for Internal Audit position open. Some travel as directed. CPA, CIA, CISA, desired. Visit the Job Details website for more details on the position and how to apply.

DCAA—Auditor (Recent Graduate)
Openings in Redondo Beach, apply soon because they will close the opportunity once they have 200 applications. Full time position. Click here for more information

The California State University, is seeking an Internal or Senior Auditor to conduct operational and compliance audits at the system office and each of the 23 campuses. For more information and to apply visit the Job Description website.

City National Bank in Los Angeles is looking for an IT Senior Auditor. Minimum 5 years experience, bachelor’s degree, Certified in any of the following: CISA, CISM, CISSP. For more information, visit the Website.

Epson America, Inc. is looking for a Regional Audit Manager – Latin America. Primary responsibilities are in the company’s Latin American subsidiaries. Feel it is time for something different? Check the Website for more details.

Technicolor, home to industry leading creative and technology professionals, is looking to hire an Internal Audit Specialist. Great room for growth internally and the opportunity to move within. If you believe you have team spirit and would like the challenge, Apply thru the LinkedIn job offer.

To post job opportunities in the Newsletter, please e-mail information to Claudia Casasola at webmaster@theiia-sqvc.org
NEW!! From The IIA Research Foundation

The Internal Auditor’s Guide to Risk Assessment

Item No. 1134
ISBN: 978-0-89413-739-6
Publisher: The IIA Research Foundation
Publish Date: November 2013
Media: Hardcover
Member Price: $110.00

Risk assessment is a core activity that impacts internal auditors on a daily basis. That is why it is so important for everyone in the internal audit function to have the skills and knowledge to assess risk. This book will show you how to conduct a risk assessment, step by step, use the risk assessment to create the audit plan, and align risk assessment to business objectives, among others.

To order > The IIA Bookstore

Continuing Professional Education (CPE) Requirements

CPE is facilitated through a self-certification process with the completion and reporting of required CPE hours on an annual basis.

The reporting deadline is December 31 each year. CPE reporting by IIA members is free; non-members must submit a US $100 processing fee with their report for the CIA designation and a US $25 fee with their report for any/all specialty certifications. IIA-certified professionals who fail to meet their CPE requirements by the reporting deadline will automatically be placed in inactive status and may not use their designation.

New CIAs are awarded 80 CPE hours; new CCSAs, CGAPs, CFSAs, and CRMAs are awarded 40 CPE hours. Half of the awarded CPE hours (40 or 20) are for the year in which the exam is passed, and the other half are for the subsequent year.

As an IIA certified professional, you are responsible for ensuring that the CPE information in your report is accurate. You must include your membership or customer identification number when reporting your CPE.

Log in to the Certification Candidate Management System (CCMS) to complete the CPE Reporting Form.

Please call IIA Customer Relations at +1-407-937-1111 or e-mail certification@theiia.org for further assistance.

Now celebrating its 16th year!

2014 Gaming Conference

April 14–16, 2014
The Mirage / Las Vegas, NV, USA

The IIA’s Gaming Conference remains the gaming industry’s only dedicated conference for internal audit, risk, and related professionals. Delegates of the 16th annual Gaming Conference will receive essential knowledge and gaming audit know-how from esteemed professionals involved in every aspect of the industry.

This year’s Gaming Conference doubles down on benefits, including:

- Cutting-edge, relevant information from esteemed speakers on emerging opportunities and challenges in the gaming industry.
- Hands-on tools and techniques you can apply immediately.
- Greater understanding of the impact of emerging Internet technology on the gaming industry.
- Building new and lasting career-strengthening relationships with industry peers.
- Insight into the ever-changing and complex aspects of commercial and Indian gaming.

Confirmed Keynote Sessions and Speakers

- **Global and Domestic Expansion of the Gaming Industry**: Geoff Freeman, President and CEO, American Gaming Association
- **Social Networking and Social Engineering**: Emerging Threats Every Auditor Needs to Know About: Nejolla Korris, Chief Executive Officer, InterVeritas International Ltd.
- **Revised COSO Framework and its Impact on Your Organization**: Robert B. Hirth, Chairman, Committee of Sponsoring Organizations of the Treadway Commission (COSO)
- **We Gotta Fail...To Succeed!**: Milo Shapiro, Motivational Speaker, Team Builder, Public Dynamics Speaking Coach, IMPROVentures, Creative Energy Officer, IMPROVentures and Public Dynamics
- **Gaming Industry Executive Panel: Idea Sharing Session**

Not an IIA member? Join now and save.
The Institute of Internal Auditors
San Gabriel Valley Chapter

The San Gabriel Valley Chapter of the IIA invites you to join us
Thursday, March 20, 2014 11:30AM-1:00PM

“An Overview of COSO 2013”
An overview of the components, principles and points of focus under the 2013 COSO Framework

Learning Objectives

- Understand key changes of COSO 2013 Framework
- Overview of each of the 17 principles linked to the five components
- Discuss new points of focus
- Understand additional activities an organization should be considering to implement the COSO 2013 Framework

Lunch Program Topic Presented by:

Lynn McKenzie
Partner, KPMG LLP

Lynn is a partner with KPMG’s Advisory Services Practice with more than 30 years of business experience, working in both line management and risk management functions. Lynn’s experience includes providing risk management and advisory services for the last 20+ years. She has extensive experience performing risk assessments to develop internal audit plans and then leading the execution of those audit plans and reporting to management and the Audit Committee. Lynn also leads projects to assist public companies in their compliance with the Sarbanes-Oxley act. In conjunction with her internal audit and SOX activities, Lynn has developed a focus on COSO 2013 gap implementation and gap assessments. Additionally, she frequently serves as instructor/speaker covering a variety of topics, including Emerging Internal Audit Trends and Enterprise Risk Management/GRC Trends.

Delivery Method: Group-Live
Program Level: All
Field of Study: Auditing
Prerequisites or Advanced Preparation: None

Come join us in Pasadena at The Women’s City Club, 160 North Oakland Ave (free parking behind building on Madison off of Walnut St).
Board Members and Officers

If you wish to contact a Board Member, or Officer, please e-mail [Contact Board Member or Officer]

OFFICERS
President: Heidi Tong
V-P and Programs Chair: Kathy Knox
V-P Nominating: Kathy Knox
Treasurer: Mimi Htut
Assistant Treasurer: Leah Ton
Secretary: Leah Dahl

COMMITTEES
Academic Relations: Aurora McNabb
Academic Relations Co-Chair: Fariba Arab
Audit: Mark Concialdi
Awards: Lizzette Espinoza
CAP Chair: Rey Bejerano
CPA Co-Chair: Luceli Ceja
Certification: Phyllis Doe
Employment/Career Development: Francis Mubanga
Facilities Arrangements: Stephen Shokhkomyan
Facilities Arrangements Co-Chair: Phillip (Jyh Yang) Yao
Meeting Arrangements: Rachel Hsieh
Meeting Arrangements Co-Chair: Lina Magano
Membership: Yvette Suarez
NASBA: John McNabb
Programs: Urvashi Royjain
Programs Co-Chair: Vivien Ai
Seminars Chair: Diana Estrada
Seminars Co-Chair: Williie Atienza
Hospitality: Donna Tamura
Hospitality Co-Chair: Susan Wong
Newsletter: Claudia Casasola
Newsletter Co-Chair: Ruth Montes de Oca
Publicity and Public Relations: Available
Webmaster: Claudia Casasola
Webmaster Co-Chair: Ruth Montes de Oca
Chief Audit Executive Events: Ruthe Holden
Chief Audit Executive Events Co-Chair: Lynn McKenzie

Board Of Governors
Governor at Large: John Imai
Governor at Large, Financial Oversight Committee: Pam Koyzis
Governor at Large: Albert Lau
Governor at Large: Lynn McKenzie
Governor at Large—Financial Oversight Committee: Fred Stevens

Board of Governors-Past Presidents
Past President (1st Term): Ruthe Holden
Past President (2nd Term): Monica Raffety

The Essential Experience for CAEs

Prepare to Pass the 3-Part CIA Exam