President’s Message

To the Members of Southwest Florida Chapter and Friends,

On Saturday July 17, we were glad to attend the virtual District Workshop on Zoom with our District Representative Lily Li, and members from Central Florida, Florida Space Coast, Florida West Coast, Miami, North Central Florida, Northeast Florida Palm Beach County Chapters. Southwest Florida Chapter had Secretary Paul Cohen and myself attending. As usual, Lily had plenty of good information to help us make this new year successful.

Welcome to the beginning of fiscal year 2021-22.

Our next Governors Meeting is Tuesday August 3, 2021, on Zoom at 5 pm.

Register in advance for this meeting: https://theiia.zoom.us/meeting/register/tJcldu2oqTMvHtP2zz-sYjdVs4b8_frRsuUc

After registering, you will receive a confirmation email containing information about joining the meeting.

Since our last Newsletter and Governors meeting, our 2021-22 schedule has filled in a bit. Beginning September 7, 2021, we have Karen Russell, Senior Stakeholder Liaison from the Tampa office of the IRS giving a presentation on Advance Child Tax Credits as recently passed in the American Rescue Plan Act (ARPA) of 2021. The IRS asked if they could use this topic due the nature of several of our members in government related entities to encourage getting information on this Federal program to our various constituencies and public we serve.

Please see the CPE and meeting schedule on Page 3 for more details.

With my Regards,
Bill Foster
President – Southwest Florida Chapter #226 2021-22
OFFICERS/GOVERNORS/PAST PRESIDENTS

<table>
<thead>
<tr>
<th>OFFICE</th>
<th>NAME</th>
<th>EMAIL</th>
</tr>
</thead>
<tbody>
<tr>
<td>President</td>
<td>Bill Foster</td>
<td><a href="mailto:wfoster@fgcu.edu">wfoster@fgcu.edu</a></td>
</tr>
<tr>
<td>President Elect</td>
<td>Jeff Pigott</td>
<td><a href="mailto:jeffrey.pigott@leehealth.org">jeffrey.pigott@leehealth.org</a></td>
</tr>
<tr>
<td>Secretary</td>
<td>Paul Cohen</td>
<td><a href="mailto:cohenbank@yahoo.com">cohenbank@yahoo.com</a></td>
</tr>
<tr>
<td>Treasurer</td>
<td>Robin Sheley</td>
<td><a href="mailto:robin.sheley@collierclerk.com">robin.sheley@collierclerk.com</a></td>
</tr>
<tr>
<td>Governor</td>
<td>Bharat Vallarapu</td>
<td><a href="mailto:bvallarapu@leeclerk.org">bvallarapu@leeclerk.org</a></td>
</tr>
<tr>
<td>Governor</td>
<td>Viviana Lauke</td>
<td><a href="mailto:vlauke@fgcu.edu">vlauke@fgcu.edu</a></td>
</tr>
<tr>
<td>Governor</td>
<td>Jackie Conrecode</td>
<td><a href="mailto:jconreco@fgcu.edu">jconreco@fgcu.edu</a></td>
</tr>
<tr>
<td>Governor</td>
<td>Tim Parks</td>
<td><a href="mailto:tparks@leeclerk.org">tparks@leeclerk.org</a></td>
</tr>
<tr>
<td>Governor</td>
<td>Tamera Anderson</td>
<td><a href="mailto:tanderson@leeclerk.org">tanderson@leeclerk.org</a></td>
</tr>
<tr>
<td>Past President</td>
<td>James Molenaar</td>
<td><a href="mailto:jdm664@aol.com">jdm664@aol.com</a></td>
</tr>
<tr>
<td>District Advisor</td>
<td>Seth Peterson</td>
<td><a href="mailto:swpeterson@fnbsf.com">swpeterson@fnbsf.com</a></td>
</tr>
<tr>
<td>District Representative</td>
<td>Lily Li</td>
<td><a href="mailto:lilyly@aa.ufl.edu">lilyly@aa.ufl.edu</a></td>
</tr>
</tbody>
</table>

COMMITTEE MEMBERS:

- **Academic Relations**
  - Jackie Conrecode - jconreco@fgcu.edu
    (Chair)

- **Advanced Technology/Newsletter/Web Site**
  - Bharat Vallarapu – bvallarapu@leeclerk.org
    (Chair Website)
  - Paul Cohen – Cohenbank@yahoo.com
    (Chair Newsletter)

- **Attendance**
  - Viviana Lauke – vlauke@fgcu.edu
    (Chair)

- **Audit**
  - Bharat Vallarapu – bvallarapu@leeclerk.org
    (Chair)

- **Budget**
  - Robin Sheley – robin.sheley@collierclerk.com
    (Chair)

- **Certification/CIA Program**
  - Bill Foster – wfoster@fgcu.edu
    (Chair)

- **Membership**
  - Tim Parks – tparks@leeclerk.org
    (Chair)
  - Tammy Anderson – tanderson@leeclerk.org
    (Back-up for Membership)

- **Nominating**
  - Current President
  - Two Members (selected by Board)

- **Program**
  - Bill Foster

- **Strategic Planning**
  - Jeff Pigott – President Elect
  - Bill Foster Current President
## Dates for 2021-2022 Chapter Meetings

<table>
<thead>
<tr>
<th>Description</th>
<th>Location</th>
<th>Date</th>
<th>Speaker &amp; Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Governor's Meeting</td>
<td>Zoom</td>
<td>06/08/2021</td>
<td>Board of Governors Year End, Annual Planning, Budget</td>
</tr>
<tr>
<td>Governor's Meeting</td>
<td>Zoom</td>
<td>07/06/2021</td>
<td>Board of Governors Year End, Annual Planning, Budget</td>
</tr>
<tr>
<td>Governor's Meeting</td>
<td></td>
<td>08/03/2021</td>
<td>Board of Governors Year End, Annual Planning, Budget</td>
</tr>
<tr>
<td>September Meeting</td>
<td></td>
<td>09/07/2021</td>
<td>Karen L. Russell Internal Revenue Service Advance Child Tax Credits and</td>
</tr>
<tr>
<td>November Meeting</td>
<td></td>
<td>11/09/2021</td>
<td>Amir Neto Director Regional Economic Research Institute at FGCU</td>
</tr>
<tr>
<td>December Meeting</td>
<td></td>
<td>12/07/2021</td>
<td>Betsy Moriarty Federal Bureau of Investigation</td>
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<tr>
<td>January Meeting</td>
<td></td>
<td>01/11/2022</td>
<td>TBD</td>
</tr>
<tr>
<td>February All Day</td>
<td></td>
<td>02/01/2022</td>
<td>Peter S. Vogel Foley &amp; Lardner LLP</td>
</tr>
<tr>
<td>March Meeting</td>
<td></td>
<td>03/01/2022</td>
<td>Ben Siegel, CPA Executive Director Lee County Port Authority</td>
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<tr>
<td>April Meeting</td>
<td></td>
<td>04/05/2022</td>
<td>TBD</td>
</tr>
</tbody>
</table>

### 2021-2022 Payment Fee Schedule

Due to adjusting our events from in person to online, we are adjusting our fees downward to encourage as many members to attend as possible.

- Payments can be made online via the Chapter link: [https://chapters.theiia.org/southwest-florida/Events/Pages/default.aspx](https://chapters.theiia.org/southwest-florida/Events/Pages/default.aspx)
- Or, a check can be mailed in advance to the Treasurer, Robin Sheley, Collier County FL Clerk of the Circuit Court & Comptroller; 3315 Tamiami Trail East; Naples, Florida 34112. If mailed, please allow sufficient time for the payment to be received prior to the meeting.
Meeting Minutes (DRAFT)
Southwest Florida Chapter IIA #226
Board of Governors Meeting
July 13, 2021

The July 2021 Regular Board of Governors Meeting of the Southwest Florida Chapter #226 of the Institute of Internal Auditors was held online via Zoom at 5:04 pm.

Board Members, Officers and Chairs

Present:
President Bill Foster
President Elect Jeff Pigott
Treasurer Robin Sheley
Secretary Paul Cohen
Membership Tim Parks
Governor Tamera Anderson
Governor Viviana Lauke

Absences:
Governor Bharat Vallarapu
Governor Jackie Conrecode
Past President James Molenaar

Other Members Present:
None

Proceedings:

Bill Foster presided over the meeting. This is the second Board meeting for the 2021-22 Chapter Year.

1. Welcome – Opening Remarks – Attendance
3. Budget Report – Due later, after the financial report Sep 30
4. Meeting Minutes
   a. June 8, 2021 Meeting Minutes
      i. Motion to Approve – Robin Sheley
      ii. Second – Jeff Pigott
      iii. Vote Results - unanimous
5. District Work Shop – To be held on July 17, 2021 from 8:30 AM to 12:30 PM via Zoom. Bill Foster, Jeff Pigott, and Paul Cohen plan to attend.
6. Speakers –
   a. Bill spoke with representatives from the IRS and they agreed to speak but the Chapter will need to identify topics of interest. This event is planned for either November or December.
   b. mentioned that there is a Human Resources person at Lee County available to speak about soft skills for either November or December. She awaits confirmation.
   c. Bill contacted a Professor in the Business School at FGCU who offered the Interim Director of the Regional Economic Institute regarding a number of projects of interest to the group. This is hopefully a topic for the September meeting.
5  THE AUDIT SCOPE

d. Tim Parks contacted Ben Siegel, Lee County Port Authority to speak in March.
e. Other possible speakers/topics discussed were:
   i. Medical audit/fraud (Jeff will try to identify a speaker);
   ii. Clerk of Courts (Tim will speak with her);
   iii. Eric Miller (Inspector General),
   iv. Social Media/News effect on the workplace (Darren);
   v. IIA Speaker Bureau;
   vi. Partnering with other Chapters;
   vii. Ethics;
   viii. SEC;
   ix. FBI; and
   x. Report Writing.

7. Meetings format - as mentioned previously, most events will be on Zoom
8. Meeting Start Times: Evening meetings 5 pm since no commuting
9. CPE’s for CPA’s - Seems to be working ok.
10. Adjourn
   a. Motion to Adjourn – Tim Parks
   b. Second – Jeff Pigott
   c. Vote Results - unanimous
   d. Meeting adjourned at: 05:34 pm

Secretary Paul Cohen
IIA Southwest Florida Chapter 2021-22

Here are a few housekeeping ideas to keep in mind:
 Update your member profile - Have you moved, changed jobs, changed your name, etc.
 Invite someone to the next meeting - You would be amazed by the number of members who have not been to a meeting.
 Submit topics and/or speakers for CPE sessions - The Program committee could use your knowledge and expertise on potential speakers or topics of interest.
 Attain a Certification - The IIA offers multiple certifications that, upon attainment, will help you to distinguish yourself professionally from your peers and throughout the profession.
 Meeting Registration - Please adhere to the deadlines for signing-up for meetings. It is our policy that no-shows will be billed unless at least one-day notice is given to the Chapter.
 Meeting Etiquette - Please respect our speakers by remaining seated, minimizing chatter, and silencing mobile phones during presentations. We understand there may be emergencies and cannot dissuade anyone from attending to those situations.

Help the Chapter. Report Your Speaking and Writing Presentations!

Just a reminder to our Chapter members! If you have submitted articles to a trade publication or spoken on an internal audit topic at a meeting, seminar, or CPE class, please report your activities so we can earn Chapter Achievement Program (CAP) points. The current CAP system will help us meet the activity for event partnership with another chapter or District collaboration. If you have participated in one or more of these activities, please e-mail the details to the Chapter President.
Membership Committee Corner

We have 75 active members currently listed. To Members who have terminated membership – please tell us why? To our new members – Welcome, to renewed members, Thank you.

<table>
<thead>
<tr>
<th>July Anniversaries</th>
<th>Years</th>
</tr>
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<tbody>
<tr>
<td>James Molenaar</td>
<td>6</td>
</tr>
<tr>
<td>Timothy DiSano</td>
<td>6</td>
</tr>
<tr>
<td>Elena Beattie</td>
<td>1</td>
</tr>
<tr>
<td>Andrea McNamee</td>
<td>3</td>
</tr>
<tr>
<td>Curtis Barnhill</td>
<td>20</td>
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</table>

<table>
<thead>
<tr>
<th>July Anniversaries</th>
<th>Years</th>
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New Members

<table>
<thead>
<tr>
<th>Date Joined</th>
<th>New Members</th>
<th>Date Joined</th>
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<tbody>
<tr>
<td>None</td>
<td></td>
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Job Openings
(Click on Link)

- Florida Clerk and Comptrollers Website - [https://www.flclerks.com/](https://www.flclerks.com/) (look for available auditor positions)
- Skillsource Staffing - Internal Auditor - [http://www.simplyhired.com/a/jobs/list/q/Internal+Auditor/l-Florida](http://www.simplyhired.com/a/jobs/list/q/Internal+Auditor/l-Florida)
- Raymond James (Tampa/St. Petersburg) – Check for Auditing positions (type auditor in key search) - [http://www.raymondjames.com/careers/](http://www.raymondjames.com/careers/)
- IIA Website – FLA Auditor Positions - [http://jobs.theiia.org/c/search_results.cfm?site_id=2831&quick=state;Florida](http://jobs.theiia.org/c/search_results.cfm?site_id=2831&quick=state;Florida)
- Indeed Website - (Florida Area) - [http://www.indeed.com/q-Internal-Auditor-l-FL-jobs.html](http://www.indeed.com/q-Internal-Auditor-l-FL-jobs.html)
- USAJobs - [US Government Jobs](http://www.usajobs.gov/)

The Palm Beach County IIA Chapter posts job openings on their website - [https://chapters.theiia.org/palm_beach_county/Careers/Pages/default.aspx](https://chapters.theiia.org/palm_beach_county/Careers/Pages/default.aspx)

The Florida Audit Forum posts job openings on their website - [http://floridaauditforum.org/Job-Board.php](http://floridaauditforum.org/Job-Board.php)

Appeal to Members

Get involved with the Chapter! Start thinking about serving on the Board of Governors and Committees. Or serve on an IIA International Committee or as a speaker at our meetings. The Chapter receives extra CAP credits when you do so. Our chapter is a great one because of its members’ support. Let’s continue its growth and future. Become more involved! Contact our President Bill Foster. (wfoster@fgcu.edu)

News for Chapter Members
The Southwest Florida (SWFL) Chapter of the IIA now has a group presence on LinkedIn, the premiere professional networking site. Today's professional has the opportunity to maintain an online career profile on LinkedIn that is becoming increasingly popular and have the SWFL IIA displayed prominently on their profile. Membership is voluntary as there is no obligation to join the group or register on LinkedIn.

What is LinkedIn - http://learn.linkedin.com/what-is-linkedin/
Join LinkedIn - https://www.linkedin.com/reg/join
Add to your profile http://www.linkedin.com/groups?gid=2488161&trk=anetsrch_name&goback=%2Egdr_1260595133758_4

There is also a sub-group of the IIA, called the Certified Internal Auditor (CIA). This official IIA-sponsored LinkedIn group is for those who have earned, or are interested in earning, The IIA's Certified Internal Auditor® (CIA®) designation. The CIA is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal audit field. CIA sub-group link - http://www.linkedin.com/groups?gid=2705761

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### IIA Volunteer Program

Get Involved! Have you thought about taking your involvement with The IIA to a new level? The Institute has many opportunities for internal auditors to participate beyond the membership level.

- Give the gift of time - volunteer your audit services to many non-profit/not for profit organizations.
- Help guide the future - create guidelines for practitioners, serve on an international committee, or guide the next generation of auditors through the Internal Auditing Education Partnership Program.
- Write exam questions for one of The IIA's four exams. You can earn CPE credit hours at the same time.
- Become an instructor at a seminar.
- Develop research topics and reports for The IIA Research foundation.
- Write an article for the Internal Auditor magazine.
- Participate at local level as a leader or speaker.

Find out more details by clicking here - http://www.theiia.org/membership/get-involved/

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### Fellowship Program

For more than 75 years, The Institute of Internal Auditors has provided dynamic leadership for the profession of internal auditing. As the profession's global voice, recognized authority, chief advocate, and principal educator, The Institute stays intimately connected to the profession and the topics that matter most. By uniting practitioners, business partners and thought leaders, The IIA Fellowship Program supports The Institute’s mission to proactively develop guidance and research on leading trends and practices in the internal auditing profession.
THE AUDIT SCOPE

The IIA Fellowship Program seeks to expand the capability and capacity of The Institute to serve its members in a proactive, timely manner. To that end, The IIA calls on internal auditing leaders, partners, and audit executives to lend their best and brightest employees to The Institute for a six-month rotational fellowship in Research, Professional Guidance and the Audit Executive Center.

Specifically, internal audit practitioners at various levels of experience and achievement will use their expertise and energy to help conduct an array of research, develop guidance in response to legislative and internal auditing issues, and create innovative tools and services for internal audit professionals.

More information is available at www.theiia.org/careers/iia-fellowship-program/.

IIA Training

<table>
<thead>
<tr>
<th>Online Now</th>
<th><a href="https://na.theiia.org/training/conferences/GAM/Pages/On-Demand.aspx">https://na.theiia.org/training/conferences/GAM/Pages/On-Demand.aspx</a></th>
</tr>
</thead>
<tbody>
<tr>
<td>All of the sessions streamlined from the 2020 General Audit Management (GAM) Virtual Conference are available OnDemand. Up to 13.8 CPE hours.</td>
<td></td>
</tr>
<tr>
<td>On Demand</td>
<td>2021 GAM On Demand</td>
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<tr>
<td><a href="https://na.theiia.org/training/conferences/GAM/Pages/On-Demand.aspx">https://na.theiia.org/training/conferences/GAM/Pages/On-Demand.aspx</a></td>
<td></td>
</tr>
<tr>
<td>Online Now</td>
<td>2022 International Conference Sign up for Volunteers</td>
</tr>
<tr>
<td>July 27 &amp; 29, 2021</td>
<td>Diversity, Equity &amp; Inclusion: Leaders Driving Change Virtual Symposium</td>
</tr>
<tr>
<td>Register</td>
<td></td>
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<tr>
<td>July 28, 2021</td>
<td>Florida West Coast Chapter hosts Tim Berichon “Ready and Relevant”.</td>
</tr>
<tr>
<td><a href="http://www.eiseverywhere.com/ehome/611073">http://www.eiseverywhere.com/ehome/611073</a></td>
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</tr>
<tr>
<td>Aug 12, 2021</td>
<td>Florida West Coast Chapter “Why Good People Do Abd Things and Servant Leadership for Auditors.</td>
</tr>
<tr>
<td>How to Create Attention-grabbing Audit Reports that Drive Action</td>
<td></td>
</tr>
<tr>
<td>Young Professionals Webinar Part 2 – Strategies to Be Even More Ready</td>
<td></td>
</tr>
</tbody>
</table>
**IIA Certifications**

According to IIA’s records, 36 of our Chapter members have at least 1 Certification from the IIA. How about you?

Certifications Available:

- **IIA Practitioner**
- **Certified Internal Auditor – CIA**
- **Qualification in Internal Audit Leadership - QIAL**
- **Risk Management - CRMA**

If you have just earned a new certification, please let us know at: president.swflia@gmail.com.
IIA and Junior Achievement

Have you ever wondered how you could invest your time and get involved in inspiring future business professionals?

In 2005, The IIA signed a Memorandum of Understanding (MOU) with Junior Achievement (JA) Worldwide to partner in support of good governance and strong ethics in the business community. This partnership is helping to educate K-12 students on business issues such as ethics, integrity, corporate accountability, and financial literacy. Through age-appropriate curricula, JA programs begin at the elementary school level, teaching children how they can impact the world around them as individuals, workers and consumers.

IIA headquarters staff takes time to work as JA volunteers in the community to raise awareness of The IIA and how internal auditors bring value to an organization. Members are encouraged to volunteer with their local JA to make a difference to tomorrow's business professionals.

Why Volunteer?

- The students need you! You can make a difference by helping shape someone's future.
- It is a chance to mentor young people who will soon be part of the work force.
- It is an opportunity to share your experiences and personal stories with young people.
- You can contribute something of value to your community.
- It can be a learning and self-development experience for you, too.
- Your profession needs you! You can explain to tomorrow's professionals what internal auditors do and illustrate the value they bring to an organization.

CAP Points for JA Involvement

Members who volunteer for JA can help their chapter earn Chapter Achievement Program (CAP) points. Each hour volunteered in a classroom teaching a JA sponsored activity is worth points. Even if you're involved with JA through your workplace, it can still be counted as a JA activity for your chapter. At the end of the school year, the chapters with the highest level of participation with JA will receive the "Most Inspiring Chapter" award and recognition on The IIA Web site. Points can also be earned by hosting a fundraising event for JA.

Program Director
9530 Marketplace Road, Suite 104
Fort Myers, FL 33912
(239) 225-2590
(239) 225-2565 (fax)
www.JASWFL.org (web)
**CIA Study Materials**

The IIA's CIA Learning System is a comprehensive training course designed to provide chapters, members, and the audit community of professionals with information and tools to master the global CIA exam syllabus. This premier quality program is aligned with the International Professional Practices Framework (IPPF) to ensure you stay current with the global internal auditing profession and are ready for the CIA exam. It combines printed text with online testing tools and online questions. Take advantage of the best prep materials out there by ordering yours today!

**NEWS: The IIA’s CIA Learning System Version 7.0** [https://www.learnicia.com/v7-prerelease/]

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**Partnership**

The Southwest Florida IIA Chapter has partnered with Gleim Publications to ensure your success on the CIA exam! Working together, we will provide you the necessary study tools to prepare for this difficult exam.

The Gleim CIA Review System Is the most widely used CIA prep on the market and includes everything you need to pass the CIA Exam on your first try. This system features flexible yet structured study steps and comes with the mentorship and guidance of a Personal Counselor.

As a member of the chapter, you are eligible for 20% off all Gleim's CIA Review materials, as well as Gleim CPE. To take advantage of the reduced prices, please contact our chapter representative, Melissa Leonard.

- By phone: (800) 874-5346, Ext. 131
- By email: ryan.bergh@gleim.com
- By fax: (888) 375-6940, Attn: Ryan Bergh

Visit [http://www.gleim.com/accounting/cia/](http://www.gleim.com/accounting/cia/) for more information on the CIA Exam or how Gleim can help you pass

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**UCF Continuing Education – CIA® Review Course**

As a member of your local IIA Chapter, you represent the profession and industry to which the highest of standards are set and maintained. In partnership with the IIA Central Florida Chapter, **UCF Continuing Education** offers the Certified Internal Auditor® (CIA®) Review Program for candidates who are seeking to prepare for CIA® Exam Parts 1, 2, and 3.

Our comprehensive review program is taught under the expertise of instructors who are Certified Internal Auditors with over thirty years of accounting, internal audit and management experience, as well as active chapter members of the IIA. Program participants will improve their internal audit skills and knowledge, build confidence in the knowledge of the profession, and have more opportunities for advancement. We are the only provider in the Central Florida area that offers an extensive live review course with online components. Link - [https://careertraining.ed2go.com/ucf/training-programs/certified-internal-auditor/](https://careertraining.ed2go.com/ucf/training-programs/certified-internal-auditor/)
FAU with IIA Southwest Florida Chapter – CIA® Review Classes Sep 11 – Oct 23, 2021

Florida Atlantic University will be partnering with The IIA to offer CIA® review classes starting April 24th using the IIA’s CIA Learning System®. Our expert instructor will lead you through the global CIA exam syllabus in a structured and interactive live class/online class environment to ensure you understand and can apply the critical CIA topics.

For more information or to register, please visit: https://business.fau.edu/executive-education/professional-development/course-offerings/accounting-and-auditing/certified-internal-auditor-cia/

NEWS LINKS

IIA News Releases  www.theiia.org/theiia/newsroom/news-releases/
IIA News  na.theiia.org/news/Pages/News-Archive.aspx
Collier County Sheriff’s Office  www.colliersheriff.org/news/ccso-newsroom
ACFE Fraud Library  www.acfe.com/resource-library.aspx

The Southwest Florida IIA Chapter Newsletter is a monthly publication. Please forward the Newsletter to your co-workers and peers. If you are a recipient (member/non-member) of the Chapter’s newsletter/other notifications and would like to be removed from the distribution list, please send an e-mail to Bharat Vallarapu bvallarapu@leeclerk.org.