To the Members of Southwest Florida Chapter and Friends,

Welcome to the beginning of fiscal year 2020-21! The Corona Virus has definitely changed many things in our work and personal lives. On Saturday, June 20, we had a District Workshop, online, with our newly aligned District. Our new District Representative Lily Li, Audit Manager at the University of Florida had a lot of good information. Your Chapter was represented by myself and Robin Sheley. We also have a new District Advisor, Seth Peterson, Internal Audit Manager at First National Bank of Sioux Falls, South Dakota.

May 26, we had a conference call for Elections with our new officers:

Secretary           Mark Pasek  
Treasurer           Robin Sheley  
Vice President   Jeff Pigott  
President           Bill Foster

With the disruptions from the Corona Virus, I missed having a June Governors meeting. We plan to have our summer Governor’s Meetings on Tuesday, July 14, at 4:30 pm, and August 4, also at 4:30, both online. At this point, it will be done via Microsoft Teams with a phone conference call option. We will have a blast email or two with more details. Please think of potential speakers we can have and submit them to us. With social distancing guidelines, we are only planning online meetings for this year. That may offer some advantages without a hotel venue, such as changing the time of the meetings, with commuting time eliminated, and possible cost reductions for training.

We will need everyone’s help and contributions to make this year successful. Let’s re-think and re-imagine how we can adapt.

With my Regards,

Bill Foster  
President – Southwest Florida Chapter #226 2020-21

Progress through Sharing!
2020-2021 Chapter Leadership

OFFICERS/GOVERNORS/PAST PRESIDENTS

<table>
<thead>
<tr>
<th>OFFICE</th>
<th>NAME</th>
<th>EMAIL</th>
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</thead>
<tbody>
<tr>
<td>President</td>
<td>Bill Foster</td>
<td><a href="mailto:wfoster@fgcu.edu">wfoster@fgcu.edu</a></td>
</tr>
<tr>
<td>Vice President</td>
<td>Jeff Pigott</td>
<td><a href="mailto:jeffrey.pigott@leehealth.org">jeffrey.pigott@leehealth.org</a></td>
</tr>
<tr>
<td>Secretary</td>
<td>Mark Pasek</td>
<td><a href="mailto:mark.pasek@collierclerk.com">mark.pasek@collierclerk.com</a></td>
</tr>
<tr>
<td>Treasurer</td>
<td>Robin Sheley</td>
<td><a href="mailto:Robin.sheley@collierclerk.com">Robin.sheley@collierclerk.com</a></td>
</tr>
<tr>
<td>Governor</td>
<td>Bharat Vallarapu</td>
<td><a href="mailto:bvallarapu@leeclerk.org">bvallarapu@leeclerk.org</a></td>
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<tr>
<td>Governor</td>
<td>Viviana Lauke</td>
<td><a href="mailto:vlauke@fgcu.edu">vlauke@fgcu.edu</a></td>
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<td>Jackie Conrecode</td>
<td><a href="mailto:jconreco@fgcu.edu">jconreco@fgcu.edu</a></td>
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<tr>
<td>Governor</td>
<td>Paul Cohen</td>
<td><a href="mailto:cohenbank@yahoo.com">cohenbank@yahoo.com</a></td>
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<tr>
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<td><a href="mailto:tparks@leeclerk.org">tparks@leeclerk.org</a></td>
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<td>Past President</td>
<td>James Molenaar</td>
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<td>District Advisor</td>
<td>Seth Petersen</td>
<td><a href="mailto:swpetersen@fnbsf.com">swpetersen@fnbsf.com</a></td>
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<td>District Rep.</td>
<td>Lily Li</td>
<td><a href="mailto:lilyly@aa.ufl.edu">lilyly@aa.ufl.edu</a></td>
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** Retired in December 2016

COMMITTEE MEMBERS:

- **Academic Relations**
  - Jackie Conrecode - jconreco@fgcu.edu
    (Chair)

- **Advanced Technology/Newsletter/Web Site**
  - Bharat Vallarapu – bvallarapu@leeclerk.org
    (Chair Website)
  - Paul Cohen – Cohenbank@yahoo.com
    (Chair Newsletter)

- **Attendance**
  - Viviana Lauke – vlauke@fgcu.edu
    (Chair)

- **Audit**
  - Bharat Vallarapu – bvallarapu@leeclerk.org
    (Chair)

- **Budget**
  - Robin Sheley – robin.sheley@collierclerk.com
    (Chair)

- **Certification/CIA Program**
  - Bill Foster – wfoster@fgcu.edu
    (Chair)

- **Membership**
  - Tim Parks – tparks@leeclerk.org
    (Chair)
  - Tammy Anderson – tanderson@leeclerk.org
    (Back-up for Membership)

- **Nominating**
  - Current President
  - Two Members (selected by Board)

- **Program**
  - Current Governing Board

- **Strategic Planning**
  - Jeff Pigott – Vice President
  - Bill Foster Current President
### Dates for 2020-2021

#### Chapter Meetings

<table>
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<tr>
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<th>Location</th>
<th>Date</th>
<th>Speaker &amp; Topic</th>
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<td>Governor's Meeting</td>
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<td>Board of Governors Year End, Annual Planning, Budget</td>
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<td>08/04/2020 4:30 pm</td>
<td>Board of Governors Year End, Annual Planning, Budget</td>
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<td>10/06/2020 8 am to 5 pm</td>
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<td>November Meeting</td>
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<td>February All Day Meeting</td>
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<td>02/02/2021 8 am to 5 pm</td>
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<td>March Meeting</td>
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<td>03/02/2021 Time TBD</td>
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<td>April Meeting</td>
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<td>04/06/2021 Time TBD</td>
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### 2020-2021 Payment Fee Schedule

(NOTE: At this time, the Payment Fee Schedule has not been determined for the 2020-2021 chapter year)

As of 2019-2020, the payment fees are as follows:

- Regular meetings - $35 for members, $40 for walk-ins and non-members, $30 for retirees and students.
- Seminars - $150 for members, $175 for non-members/walk-ins and $135 for retirees and students.
- The Package Plan was again approved for regular meetings at $180 (6 meetings @ $30 per person). The Package Plan for seminars would now be $270.00 per person for both seminars. Package Plans must be purchased in advance before the first Chapter meeting. Link - [https://chapters.theiia.org/southwest-florida/Events/Pages/default.aspx](https://chapters.theiia.org/southwest-florida/Events/Pages/default.aspx)

Payments can be made at the meetings by either cash or check or a check can be mailed in advance to the Treasurer, Robin Sheley, Collier County FL Clerk of the Circuit Court & Comptroller; 3315 Tamiami Trail East; Naples, Florida 34112. If mailed, please allow sufficient time for the payment to be received prior to the meeting. Beginning June 2017, we now use the IIA’s Event Manager Program, for registration and payment. We will have more information on this at the July meeting.
Meeting Minutes (DRAFT)
Southwest Florida Chapter IIA #226
Election of Officers
May 26, 2020

A Conference call meeting was held by phone for the Board of Governors of the Southwest Florida Chapter of the Institute of Internal Auditors was held beginning May 26, 2020 at 9:30 a.m.

Officers:

Present:
President       Jim Molenaar
Vice President  Bill Foster
Governor        Jeff Pigott
Member          Robin Sheley
Member          Mark Pasek

Absent:
Treasurer       Gabriela Molina
Secretary       Chris Stanisci

Officer Candidates for 2020-21:

President       Bill Foster
Vice President  Jeff Pigott
Secretary       Mark Pasek
Treasurer       Robin Sheley

Any additional candidates nominated – none.

Results of the Election:
As listed, unanimous.

Due to technical difficulties, I was not able to keep Robin Sheley on the conference call. After the call, I placed a separate call to Robin, discussed the same items, she concurred and voted in approval.

Motion to accept results of the Election:       Jim Molenaar
2nd by                                      Jeff Pigott

The subsequent phone call to Robin Sheley was complete at 10:05 a.m. Adjourned.

Bill Foster, for Chris Stanisci Secretary  05/26/20
IIA Southwest Florida Chapter #226 2019-20

Attested by:

Jim Molenaar, President 05/28/20
IIA Southwest Florida Chapter #226 2018-20
A Board of Governors meeting of the Southwest Florida Chapter of the Institute of Internal Auditors was held at Florida Gulf Coast University on August 29, 2019 beginning at 4:30 p.m.

In attendance: James Molenaar, President; Bill Foster, Vice President; Chris Stanisci, Secretary; Tim Parks, Governor and Jackie Conrecode, Governor. (5)

In attendance over the phone: Gabriela Molina, Treasurer; Tammy Anderson, Governor; Bharat Vallarapu, Governor. (3)

Old Business:
The last Governors meeting was held on July 30, 2019. It was moved and seconded to approve the prior minutes.

New Business:

Item I – Review roles and responsibilities of each new officer position. Chris to do the newsletter. Need current one by Friday, August 30, 2019.

Item II – Holiday Inn Contract Execution, $200 per room. Need 24 individuals confirmed for the buffet. Otherwise, limited to menu items w/ $35 - $40 per person for food.

Item III – Review meeting dates. Cancel September meeting due to hurricane and no speaker confirmed. Change October meeting from October 3rd to October 8th. October 8th speaker presumed to be NASBA certified. Due to cancellation, keep same rate with Holiday Inn, just pro rate down.

Item IV (nothing in draft)

Item V – Line up speakers. Christy Sushil for December 3rd and April 3rd, Crystal Kinsel for March 3rd, Jeff Pigott for January 14th, canned program for November 5th.

Item VI – Table to next meeting.

Item VII – Bharat completed the audit. Gabriela to submit to IIA. Motioned and seconded to approve.

Item VIII – Financial grant from Miami Chapter. (Bill and James to discuss by phone).

Item IX – Miami Chapter will help subsidize the Southwest Florida Chapter. Supposedly they have lots of money to spend.

Item X – No new business.

Item XI – Motion and seconded to adjourn meeting at 5:01 PM.
Here are a few housekeeping ideas to keep in mind:

- **Update your member profile** - Have you moved, changed jobs, changed your name, etc.
- **Invite someone to the next meeting** - You would be amazed by the number of members who have not been to a meeting.
- **Submit topics and/or speakers for CPE sessions** - The Program committee could use your knowledge and expertise on potential speakers or topics of interest.
- **Attain a Certification** - The IIA offers multiple certifications that, upon attainment, will help you to distinguish yourself professionally from your peers and throughout the profession.
- **Meeting Registration** - Please register in advance, as it helps with the functions of processing CPE certificates. As always, if your schedule opens up, everyone is always welcome.
- **Invite a Colleague** - This is one of the reasons for the meetings. In addition to valuable and needed CPE, the chance to meet someone else in the profession, or interested in the profession is always a plus.
- **Meeting Etiquette** - Please respect our speakers by remaining seated, minimizing chatter, and silencing mobile phones during presentations. We understand there may be emergencies, and new realities of working from home that need attention.

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**Help the Chapter. Report You're Speaking and Writing!**

Just a reminder to our Chapter members! If you have submitted articles to a trade publication or spoken on an internal audit topic at a meeting, seminar, or CPE class, please report your activities so we can earn Chapter Achievement Program (CAP) points:

- Each speaking engagement on internal auditing that a Chapter member completes can earn CAP points. This includes members who speak at their own Chapter’s monthly meetings.
- Each full article, Roundtable article, Fraud Finding, Computers & Auditing, etc. submitted that meets basic editorial guidelines earns points.
- For each full article published,
- For each Chapter who submits over 10 Roundtable articles during a year, additional points are earned.
- Each internal audit related article published in any other trade or professional journal that is authored by a chapter member.

If you have participated in one or more of these activities, please e-mail the details to the Chapter President.

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**Membership Committee Corner**

We have 86 active members currently listed. Next month’s newsletter will have an update for Anniversaries and New Members.
We want to encourage student support to attend chapter functions. This chapter year, the chapter looks forward to exposing more students to our chapter and increasing awareness of the Institute of Internal Auditors to our local college students in the future.

If you know a student who would like to attend the meeting for free please email Professor Conrecode (jconreco@fgcu.edu) five days before the meeting to see if the chapter has free meeting slots available. Professor Conrecode will confirm the student’s free meeting attendance and will register the student for the meeting.

We look forward to our new chapter year starting in September!

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**Job Openings**

(Click on Link)

- Florida Clerk and Comptrollers Website - [https://www.flclerks.com/](https://www.flclerks.com/) (look for available auditor positions)
- Skillsource Staffing - Internal Auditor - [http://www.simplyhired.com/a/jobs/list/q-Internal+Auditor/l-Florida](http://www.simplyhired.com/a/jobs/list/q-Internal+Auditor/l-Florida)
- Raymond James (Tampa/St. Petersburg) – Check for Auditing positions (type auditor in key search) - [http://www.raymondjames.com/careers/](http://www.raymondjames.com/careers/)
- IIA Website – FLA Auditor Positions - [http://jobs.theiia.org/c/search_results.cfm?&site_id=2831&quick=state|Florida](http://jobs.theiia.org/c/search_results.cfm?&site_id=2831&quick=state|Florida)
- Indeed Website - (Florida Area) - [http://www.indeed.com/q-Internal-Auditor-l-FL-jobs.html](http://www.indeed.com/q-Internal-Auditor-l-FL-jobs.html)
- USAJobs - [US Government Jobs](http://www.usajobs.gov/)
- The Palm Beach County IIA Chapter posts job openings on their website - [https://chapters.theiia.org/palm_beach_county/Careers/Pages/default.aspx](https://chapters.theiia.org/palm_beach_county/Careers/Pages/default.aspx)
- The Florida Audit Forum posts job openings on their website - [http://floridauditforum.org/Job-Board.php](http://floridauditforum.org/Job-Board.php)
The Southwest Florida (SWFL) Chapter of the IIA now has a group presence on LinkedIn, the premiere professional networking site. Today's professional has the opportunity to maintain an online career profile on LinkedIn that is becoming increasing popular and have the SWFL IIA displayed prominently on their profile. Membership is voluntary as there is no obligation to join the group or register on LinkedIn.

What is LinkedIn - http://learn.linkedin.com/what-is-linkedin/
Join LinkedIn - https://www.linkedin.com/reg/join
Add to your profile http://www.linkedin.com/groups?gid=2488161&trk=anetsrch_name&goback=%2Egdr_1260595133758_4

There is also a sub-group of the IIA, called the Certified Internal Auditor (CIA). This official IIA-sponsored LinkedIn group is for those who have earned, or are interested in earning, The IIA's Certified Internal Auditor® (CIA®) designation. The CIA is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal audit field. CIA sub-group link - http://www.linkedin.com/groups?gid=2705761

IIA Volunteer Program

Get Involved! Have you thought about taking your involvement with The IIA to a new level? The Institute has many opportunities for internal auditors to participate beyond the membership level.

- Give the gift of time - volunteer your audit services to many non-profit/not for profit organizations.
- Help guide the future - create guidelines for practitioners, serve on an international committee, or guide the next generation of auditors through the Internal Auditing Education Partnership Program.
- Write exam questions for one of The IIA's four exams. You can earn CPE credit hours at the same time.
- Become an instructor at a seminar.
- Develop research topics and reports for The IIA Research foundation.
- Write an article for the Internal Auditor magazine.
- Participate at local level as a leader or speaker.

Find out more details by clicking here - http://www.theiia.org/membership/get-involved/
For more than 60 years, The Institute of Internal Auditors has provided dynamic leadership for the profession of internal auditing. As the profession’s global voice, recognized authority, chief advocate, and principal educator, The Institute stays intimately connected to the profession and the topics that matter most. By uniting practitioners, business partners and thought leaders, The IIA Fellowship Program supports The Institute’s mission to proactively develop guidance and research on leading trends and practices in the internal auditing profession.

The IIA Fellowship Program seeks to expand the capability and capacity of The Institute to serve its members in a proactive, timely manner. To that end, The IIA calls on internal auditing leaders, partners, and audit executives to lend their best and brightest employees to The Institute for a six-month rotational fellowship in Research, Professional Guidance and the Audit Executive Center.

Specifically, internal audit practitioners at various levels of experience and achievement will use their expertise and energy to help conduct an array of research, develop guidance in response to legislative and internal auditing issues, and create innovative tools and services for internal audit professionals.

More information is available at www.theiia.org/careers/iiia-fellowship-program/.

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**IIA Training**

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<tr>
<td>21-July-2020 and 27-July-2020</td>
<td>Members only Webinar: The Role of Internal Audit in Fraud Precention</td>
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<tr>
<td>26-August-2020</td>
<td>New Member Webinar: Learn to Take Advantage of All Your Benefits (No CPE)</td>
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<tr>
<td>29-August-2020 to 14-November 2020</td>
<td>The IIA's CIA Learning System can be accessed through the below link: <a href="http://business.fau.edu/executive-education/open-enrollment/course-offerings/finance/course2899/index.aspx#.WKtCnDsrLid">http://business.fau.edu/executive-education/open-enrollment/course-offerings/finance/course2899/index.aspx#.WKtCnDsrLid</a></td>
</tr>
</tbody>
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Have you ever wondered how you could invest your time and get involved in inspiring future business professionals?

In 2005, The IIA signed a Memorandum of Understanding (MOU) with Junior Achievement (JA) Worldwide to partner in support of good governance and strong ethics in the business community. This partnership is helping to educate K-12 students on business issues such as ethics, integrity, corporate accountability, and financial literacy. Through age-appropriate curricula, JA programs begin at the elementary school level, teaching children how they can impact the world around them as individuals, workers and consumers.

IIA headquarters staff takes time to work as JA volunteers in the community to raise awareness of The IIA and how internal auditors bring value to an organization. Members are encouraged to volunteer with their local JA to make a difference to tomorrow's business professionals.

Why Volunteer?

- The students need you! You can make a difference by helping shape someone's future.
- It is a chance to mentor young people who will soon be part of the work force.
- It is an opportunity to share your experiences and personal stories with young people.
- You can contribute something of value to your community.
- It can be a learning and self-development experience for you, too.
- Your profession needs you! You can explain to tomorrow's professionals what internal auditors do and illustrate the value they bring to an organization.

CAP Points for JA Involvement

Members who volunteer for JA can help their chapter earn Chapter Achievement Program (CAP) points. Each hour volunteered in a classroom teaching a JA sponsored activity is worth points. Even if you're involved with JA through your workplace, it can still be counted as a JA activity for your chapter. At the end of the school year, the chapters with the highest level of participation with JA will receive the "Most Inspiring Chapter" award and recognition on The IIA Web site. Points can also be earned by hosting a fundraising event for JA.
CIA Study Materials

The IIA's CIA Learning System is a comprehensive training course designed to provide chapters, members, and the audit community of professionals with information and tools to master the global CIA exam syllabus. This premier quality program is aligned with the International Professional Practices Framework (IPPF) to ensure you stay current with the global internal auditing profession and are ready for the CIA exam. It combines printed text with online testing tools and online questions. Take advantage of the best prep materials out there by ordering yours today!

Simply place your order at www.learncia.com/chapters

Partnership

The Southwest Florida IIA Chapter has partnered with Gleim Publications to ensure your success on the CIA exam! Working together, we will provide you the necessary study tools to prepare for this difficult exam.

The Gleim CIA Review System is the most widely used CIA prep on the market and includes everything you need to pass the CIA Exam on your first try. This system features flexible yet structured study steps and comes with the mentorship and guidance of a Personal Counselor.

As a member of the chapter, you are eligible for 20% off all Gleim's CIA Review materials, as well as Gleim CPE. To take advantage of the reduced prices, please contact our chapter representative, Melissa Leonard.

- By phone: (800) 874-5346, Ext. 131
- By email: ryan.bergh@gleim.com
- By fax: (352) 375-6940, Attn: Ryan Bergh

Visit http://www.gleim.com/accounting/cia/ for more information on the CIA Exam or how Gleim can help you pass
### NEWS LINKS

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The Southwest Florida IIA Chapter Newsletter is a monthly publication. Please forward the Newsletter to your co-workers and peers. If you are a recipient (member/non-member) of the Chapter’s newsletter/other notifications and would like to be removed from the distribution list, please send an e-mail to Bharat Vallarapu bvallarapu@leeclerk.org.