President’s Message

To the Members of Southwest Florida Chapter and Friends,

Tuesday, August 4th we had a Board of Governor’s meeting. Your Board is hard at work to bring you a great year of speakers and programs. The first event is Tuesday September 1, 2020 at 5 pm. Since we are doing this presentation online (Zoom), the fee is only $10. We will have some blast emails going out with links to register. Our speaker is Tim Parks, Chief Internal Audit Officer and Inspector General for Lee County Clerk of Courts. Tim has will be presenting “Janitorial Services Audit & Investigation Case Study (Who Ya Gonna Call)”. This presentation qualifies for CPE credit for CIA, but not yet for CPA. To address this CPE problem, we have filed an application with the Department of Business & Professional Regulation to begin the process for our presentations to qualify for CPE credit for Florida CPA’s. More to come on that later. In addition, we have partnered with the IIA’s Florida West Coast Chapter where our Chapter members who attend their CPE sessions can obtain NASBA CPE credits, and your Chapter receives financial support for our members who attend. If anyone attended the presentation on “Total Quality Auditing” held July 30 and 31, please let me know.

With my Regards,

Bill Foster
President – Southwest Florida Chapter #226 2020-21

Progress through Sharing!
OFFICERS/GOVERNORS/PAST PRESIDENTS

<table>
<thead>
<tr>
<th>OFFICE</th>
<th>NAME</th>
<th>EMAIL</th>
</tr>
</thead>
<tbody>
<tr>
<td>President</td>
<td>Bill Foster</td>
<td><a href="mailto:wfoster@fgcu.edu">wfoster@fgcu.edu</a></td>
</tr>
<tr>
<td>Vice President</td>
<td>Jeff Pigott</td>
<td><a href="mailto:jeffrey.pigott@leehealth.org">jeffrey.pigott@leehealth.org</a></td>
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<tr>
<td>Secretary</td>
<td>Mark Pasek</td>
<td><a href="mailto:mark.pasek@collierclerk.com">mark.pasek@collierclerk.com</a></td>
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<tr>
<td>Treasurer</td>
<td>Robin Sheley</td>
<td><a href="mailto:Robin.sheley@collierclerk.com">Robin.sheley@collierclerk.com</a></td>
</tr>
<tr>
<td>Governor</td>
<td>Bharat Vallarapu</td>
<td><a href="mailto:bvallarapu@leeclerk.org">bvallarapu@leeclerk.org</a></td>
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<tr>
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<td>Viviana Lauke</td>
<td><a href="mailto:vlauke@fgcu.edu">vlauke@fgcu.edu</a></td>
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<tr>
<td>Governor</td>
<td>Jackie Conrecode</td>
<td><a href="mailto:jconreco@fgcu.edu">jconreco@fgcu.edu</a></td>
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<tr>
<td>Governor</td>
<td>Paul Cohen</td>
<td><a href="mailto:cohenbank@yahoo.com">cohenbank@yahoo.com</a></td>
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<tr>
<td>Governor</td>
<td>Tim Parks</td>
<td><a href="mailto:tparks@leeclerk.org">tparks@leeclerk.org</a></td>
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<tr>
<td>Governor</td>
<td>Tamera Anderson</td>
<td><a href="mailto:tanderson@leeclerk.org">tanderson@leeclerk.org</a></td>
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<tr>
<td>Governor</td>
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<tr>
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<tr>
<td>Past President</td>
<td>James Molenaar</td>
<td><a href="mailto:jdm664@aol.com">jdm664@aol.com</a></td>
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<tr>
<td>District Advisor</td>
<td>Seth Peterson</td>
<td><a href="mailto:swpeterson@fnbsf.com">swpeterson@fnbsf.com</a></td>
</tr>
<tr>
<td>District Representative</td>
<td>Lily Li</td>
<td><a href="mailto:lilyly@aa.ufl.edu">lilyly@aa.ufl.edu</a></td>
</tr>
</tbody>
</table>

** Retired in December 2016

COMMITTEE MEMBERS:

- Academic Relations
  - Jackie Conrecode - jconreco@fgcu.edu (Chair)

- Advanced Technology/Newsletter/Web Site
  - Bharat Vallarapu – bvallarapu@leeclerk.org (Chair Website)
  - Paul Cohen – Cohenbank@yahoo.com (Chair Newsletter)

- Attendance
  - Viviana Lauke – vlauke@fgcu.edu (Chair)

- Audit
  - Bharat Vallarapu – bvallarapu@leeclerk.org (Chair)

- Budget
  - Robin Sheley – robin.sheley@collierclerk.com (Chair)

- Certification/CIA Program
  - Bill Foster – wfoster@fgcu.edu (Chair)

- Membership
  - Tim Parks – tparks@leeclerk.org (Chair)
  - Tammy Anderson – tanderson@leeclerk.org (Back-up for Membership)

- Nominating
  - Current President
  - Two Members (selected by Board)

- Program
  - Current Governing Board

- Strategic Planning
  - Jeff Pigott – Vice President
  - Bill Foster Current President
### Dates for 2020-2021

#### Chapter Meetings

<table>
<thead>
<tr>
<th>Description</th>
<th>Location</th>
<th>Date</th>
<th>Speaker &amp; Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Governor’s Meeting</td>
<td>Online Microsoft Teams &amp; Phone Conference Call</td>
<td>07/14/2020 4:30 pm</td>
<td>Board of Governors Year End, Annual Planning, Budget</td>
</tr>
<tr>
<td>Governor’s Meeting</td>
<td>Online Microsoft Teams &amp; Phone Conference Call</td>
<td>08/04/2020 4:30 pm</td>
<td>Board of Governors Year End, Annual Planning, Budget</td>
</tr>
<tr>
<td>September Meeting</td>
<td>Online Zoom</td>
<td>09/01/2020 5:00 pm</td>
<td>Tim Parks, Lee County Clerk of Courts Janitorial Services Audit &amp; Investigation Case Study</td>
</tr>
<tr>
<td>October All Day Meeting</td>
<td></td>
<td>10/06/2020 8 am to 5 pm</td>
<td>TBD</td>
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<tr>
<td>November Meeting</td>
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<td>11/10/2020 Time TBD</td>
<td>TBD</td>
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<tr>
<td>December Meeting</td>
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<td>12/01/2020 Time TBD</td>
<td>TBD</td>
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<tr>
<td>January Meeting</td>
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<td>01/12/2021 Time TBD</td>
<td>TBD</td>
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<tr>
<td>February All Day Meeting</td>
<td></td>
<td>02/02/2021 8 am to 5 pm</td>
<td>TBD</td>
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<tr>
<td>March Meeting</td>
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<td>03/02/2021 Time TBD</td>
<td>TBD</td>
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<tr>
<td>April Meeting</td>
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<td>04/06/2021 Time TBD</td>
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### 2020-2021 Payment Fee Schedule

At the August 4 Governors Meeting, it was also decided to charge $10 for September’s meeting, and once we have a more complete schedule and the costs involved, we will look at how we may be able to reduce our fees.

- Payments can be made online via the Chapter link: [https://chapters.theiia.org/southwest-florida/Events/Pages/default.aspx](https://chapters.theiia.org/southwest-florida/Events/Pages/default.aspx)

Or, a check can be mailed in advance to the Treasurer, Robin Sheley, Collier County FL Clerk of the Circuit Court & Comptroller; 3315 Tamiami Trail East; Naples, Florida 34112. If mailed, please allow sufficient time for the payment to be received prior to the meeting.
A Board of Governors meeting of the SWFL Chapter of the Institute of Internal Auditors was held via Microsoft Teams on July 14, 2020 beginning at 4:30pm.

Officers:

Present: 
President  Bill Foster  
Vice President  Jeff Pigott  
Treasurer  Robin Sheley  
Secretary  Mark Pasek  
Governor  Viviana Lauke  
Governor  Tim Parks  
Governor  Jackie Conrecode  
Governor  Paul Cohen  
Member  Gabriela Molina 

Not Present:  
Governor  Bharat Vallarapu  
Governor  Tammy Anderson 

Proceedings:

Bill Foster, President, presided over the meeting. This was the first board meeting for the 2020-2021 Chapter Year, since the June meeting was missed due to the Corona virus.

1. Bill Foster: Welcome – Opening Remarks – Attendance
2. Bill Foster: Discussion of all meetings for this year are likely to be held online/virtual
3. Bill Foster: No Contract with Holiday Inn. Contract expired at the end of last Chapter Year, due to COVID-19 concerns a new contract was not signed. This will save the Chapter significant expense that can be used to lower membership dues or hire speakers.
4. Event Manager (IIA Platform) – All officers assigned by Bill Foster. All officers have been certified. If anyone has difficulty accessing, please let Bill know so he can complete the access.
5. Governor’s Meetings, dates presented same as chapter newsletter August 2020 through April 2021, no objections noted from members present.
6. Financial Report – Due in August. Gabriela Molina will be sending the draft report to Bharat within the next two weeks. Gabriela will verify due date and submit the report in time.
   a. Discussion: Significant revisions needed because contract with Holiday Inn was canceled which will allow for increased allocations for outreach and other activities.
   b. Bill Foster: There will usually be some type of fee associated with speakers, no matter how the presentation is delivered, please note that the (2) all day events are usually the largest contributors to the budget, how will this change for this year due to COVID-19?
   c. Balance of Funds? Discussion: Gabriela did not have the exact balance on hand, however, account balance is high, received some donations from the IIA and because of the canceled meetings, therefore we are able to spend on speakers and presentations.
8. Meeting Minutes
   a. August 29, 2019 Meeting Minutes
      i. Motion to Approve – Jeff Pigott
ii. Seconded – Gabriela Molina
iii. All members present voted in favor, none opposed; meeting minutes approved

b. May 26, 2020 Meeting Minutes of Election
   i. Jackie Conrecode abstained
   ii. Motion to Approve – Jeff Pigott
   iii. Seconded – Mark Pasek
   iv. All members present voted in favor, none opposed, one abstained; meeting minutes approved.

9. Committees – Jackie Conrecode informed the Board that Joshua is no longer a student.
   a. Discussion regarding having students serving on a committee, added value, student gains experience and networking, questionable value to the chapter

10. Speakers – Open discussion for suggestions
   a. Gabriela Molina – Recorded IIA Presentations or Webinars
   b. Robin Sheley – Attended ‘Conforming to the Standards’ in Tampa
   c. Jeff Pigott – Meeting with President of the Tampa Chapter
   d. Tim Parks – Presentation on the Audit and Fraud at the Port Authority
   e. Bill Foster – DHS, Cyber Security, CISA;
      i. 1-4 government officials for the October Event -or-
      ii. Inspector General from the Governor’s Office, Melissa Miguel or Eric Miller.
      iii. Past years: Supervisor of Elections, Lee Co Sheriff, Collier County Sheriff, ACFE
      iv. More Possibilities now that everything is online
   f. Tim Parks – Can present for 1 or 2 hours, whatever is needed
   g. Bill Foster: Open to other in house talent, opportunity for other members to present
   h. Jackie Conrecode: FGCU Speakers Bureau or the Speaker’s List?

11. Meeting Platforms
   a. MS Teams or Zoom? Discussion: Security Concerns for Zoom, looking for one common platform that everyone has, are there copyright concerns for presentations across platforms?
   b. Tim Parks has a professional subscription for Zoom, can host up to 100 participants

12. Meeting Start Times:
   a. Discussion regarding earlier start time since meeting will be online, travel to venue is eliminated, start earlier and finish earlier.
   b. Jeff Pigott: Suggested start time at 5:00 pm, (3) members voice support
   c. Discussion on the All Day Events: Start time 8:00am? Due to no travel and no breakfast, usually starting around 9:00am. Must meet the 400 minutes for 8 hours of CPE.

13. CPE’s for CPA?
   a. Discussion regarding earning CPE’s through the IIA Chapter that are transferable to CPA CPE requirements.
   b. Florida Department of Professional Regulations, oversight and approval
   c. Bill Foster with follow up with Meagan Galliard for additional information

14. Discussion regarding what else is needed for financial reports and budgets:
   a. Reduced membership fees to increase attendance?
   b. Jeff Pigott: Suggest scheduling speakers and events before deciding to reduce membership fees
   c. Robin Sheley: In favor of reducing fees to increase membership
   d. Noted that there is lots of competition for free CPE’s

15. Other:
   a. Mark Pasek: Follow up with IT for Zoom
   b. Mark Pasek and Bill Foster: will look into web based presentation platforms such as WebEx or GoToMeeting

16. Adjourn
A Board of Governors meeting of the SWFL Chapter of the Institute of Internal Auditors was held via Microsoft Teams on August 4, 2020 beginning at 4:30pm.

**Board Members, Officers, and Chairs:**

Present: By Phone – Tim Parks, Bharat Vallarapu, Tammy Anderson
By MS Teams – Bill Foster, Jeff Pigott, Gabriela Molina, Jackie Conrecode, Viviana Luke, Mark Pasek

Not Present: Robin Sheley, Paul Cohen

**Proceedings:**

Bill Foster, President, presided over the meeting. This was the second board meeting for the 2020-2021 Chapter Year, since the June meeting was missed due to the Corona virus.

1. Welcome – Opening Remarks – Attendance
2. Financial Report – Due August 31
   a. Gabriela Molina: Report is in progress, will next go to Robin Sheley for audit, Bill Foster to upload.
   b. Robin is Treasurer and also conducting the audit – discussion: there is no conflict with independence because Robin is not auditing her own work.
   a. Due after Financial Report according to IIA calendar
4. Meeting Minutes
   a. July 14, 2020 Meeting Minutes
      i. Motion to Approve – Jeff Pigott
      ii. Seconded – Jackie Conrecode
      iii. Discussion - None
      iv. Vote Results - Unanimous
5. Committees – as stated in the July 2020 newsletter – No changes noted
6. Speakers – Sept Tim Parks, Chief Internal Audit Officer / Inspector General, presentation “Janitorial Services Audit & Investigation Case Study (Who Ya Gonna Call)”
   a. Discussion: Anyone have ideas for potential speakers? Please contact Bill Foster or any of the other officers
7 THE AUDIT SCOPE

b. Questions: We will try to do all events and meetings online this year, we did not sign a contract with Holiday Inn for this year, this will save money by meeting online (bulk of the expense is the venue).
c. Discussion: We are really competing for attendees with all of the Free CPE’s available online
d. Bill Foster will contact Melinda Miguel, inquire with FBI & Lee Co Sheriff.
e. Question: Can we still get CAP Points for having an elected official speak at our events? – Yes, according to Revised CAP Requirements (3 excel spreadsheets)
f. Question: Are we still grandfathered in for GOLD CAP? Bill Foster will inquire with IIA/Regional

7. Meeting Platforms - Zoom Enterprise for a consistent platform
8. Meeting Start Times: Evening meetings 5 pm since no commuting
9. CPE’s for CPA? - application mailed 07/20, returned today. Bill will follow up with DBPR. – Anyone that attended an event (east coast or west coast) please email Bill Foster

10. Other:
   a. Mark Pasek: Follow up with IT for Zoom – Collier County Clerk is cleared to use Zoom with standard security protocols. CC Clerk is also able to use MS Teams. Any other can be requested to our IT Department
   b. Everyone please log into the IIA Event Manager portal, make sure you have access, please report back any problems.
c. Discussion: Zoom and Teams, it appears that everyone is able to use Zoom, please request install on your computers, please be mindful of basic security settings, Zoom event should be by invite only
d. IIA and Lee County have the Enterprise Zoom that can handle up to 100 attendees
e. Dates listed in the Newsletter – Any Conflicts?
   i. January meeting is scheduled for 2nd Tuesday to accommodate Lee County
f. Need Speakers for September and October Meetings
   i. Tim Parks will be prepared to present in September
   ii. Open to suggestion for October
   iii. All meetings online, start time 5:00pm
g. Question regarding payments? Do we have a proposal for new event cost?
   i. Jackie Conrecode proposes $10/meeting due to no venue or food cost
   ii. Gabriela Molina raised a question regarding accumulated cash – since there will be relatively little expenses this year can/should we consider disbursing cash as scholarships?
   iii. Jackie Conrecode discussed that we are a professional organization and we should be able to pay speakers fees, less reliance on pro-bono, recommends building the cash savings to pay for future speakers
   iv. Bill Foster opened the $10/meeting proposal to discussion and comment
11. New Business
   a. No other new business brought forward
12. Adjourn
   a. Motion to Adjourn – Bharat Vallarapu
   b. Seconded – Tim Parks
   c. Vote Results - unanimous
   d. Meeting adjourned at: 05:06 pm

Mark Pasek, Secretary
IIA Southwest Florida Chapter #226 2020-21
Here are a few housekeeping ideas to keep in mind:

- **Update your member profile** - Have you moved, changed jobs, changed your name, etc.
- **Invite someone to the next meeting** - You would be amazed by the number of members who have not been
to a meeting.
- **Submit topics and/or speakers for CPE sessions** - The Program committee could use your knowledge and expertise on potential speakers or topics of interest.
- **Attain a Certification** - The IIA offers multiple certifications that, upon attainment, will help you to distinguish yourself professionally from your peers and throughout the profession.
- **Meeting Registration** – Please register in advance, as it helps with the functions of processing CPE certificates. As always, if your schedule opens up, everyone is always welcome.
- **Invite a Colleague** – This is one of the reasons for the meetings. In addition to valuable and needed CPE, the chance to meet someone else in the profession, or interested in the profession is always a plus.
- **Meeting Etiquette** - Please respect our speakers by remaining seated, minimizing chatter, and silencing mobile phones during presentations. We understand there may be emergencies, and new realities of working from home that need attention.

Help the Chapter. Report You're Speaking and Writing!

Just a reminder to our Chapter members! If you have submitted articles to a trade publication or spoken on an internal audit topic at a meeting, seminar, or CPE class, please report your activities so we can earn Chapter Achievement Program (CAP) points:

- Each speaking engagement on internal auditing that a Chapter member completes can earn CAP points. This includes members who speak at their own Chapter's monthly meetings.
- Each full article, Roundtable article, Fraud Finding, Computers & Auditing, etc. submitted that meets basic editorial guidelines earns points.
- For each full article published,
- For each Chapter who submits over 10 Roundtable articles during a year, additional points are earned.
- Each internal audit related article published in any other trade or professional journal that is authored by a chapter member.

If you have participated in one or more of these activities, please e-mail the details to the Chapter President.

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**Membership Committee Corner**

We have 73 active members currently listed.

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<th>June Anniversaries</th>
<th>Years</th>
<th>June Anniversaries</th>
<th>Years</th>
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<td>Mihaela Stefanovici</td>
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<td>Bharat Vallarapu</td>
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<td>Orlando Solarte</td>
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<td>Jeff Pigott</td>
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<td>Misti Payette</td>
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THE AUDIT SCOPE

July Anniversaries | Years | Aug Anniversaries | Years | Aug Anniversaries | Years
---|---|---|---|---|---
Curt Barnhill | 19 | Raymond Kane | 21 | Samuel Moyer | 1
Timothy DiSano | 5 | Heather Smith | 16 | Bill Foster | 6
Andrea McNamee | 2 | Samantha Brockman | 2 | Arianna Pinello | 20
James Molenaar | 5 | Deborah McEwan | 13 | Jeremiah Hartz | 13

New Members

<table>
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<th>Name</th>
<th>Date Joined</th>
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<tbody>
<tr>
<td>Mike Harder</td>
<td>06/02/20</td>
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Academic Relations Committee Corner

We want to encourage student support to attend chapter functions this chapter year. The chapter looks forward to exposing more students to our chapter and increasing awareness of the Institute of Internal Auditors to our local college students in the future.

If you know a student who would like to attend the meeting for free please email Professor Conrecode (jconreco@fgcu.edu) five days before the meeting to see if the chapter has free meeting slots available. Professor Conrecode will confirm the student’s free meeting attendance and will register the student for the meeting.

We look forward to our new CPE programs starting in September!
Job Openings
(Click on Link)

- Florida Clerk and Comptrollers Website - https://www.flclerks.com/ (look for available auditor positions)
- Skillsource Staffing - Internal Auditor - http://www.simplyhired.com/a/jobs/list/q-Internal+Auditor/l-Florida
- Raymond James (Tampa/St. Petersburg) – Check for Auditing positions (type auditor in key search) - http://www.raymondjames.com/careers/
- Monster.com - http://jobsearch.monster.com/ - Type Internal Auditor/State
- IIA Website – FLA Auditor Positions - http://jobs.theiia.org/c/search_results.cfm?&site_id=2831&quick=state|Florida
- Indeed Website - (Florida Area) - http://www.indeed.com/q-Internal-Auditor-l-FL-jobs.html

The Palm Beach County IIA Chapter posts job openings on their website - https://chapters.theiia.org/palm_beach_county/Careers/Pages/default.aspx

The Florida Audit Forum posts job openings on their website - http://floridaauditforum.org/Job-Board.php

Appeal to Members

Get involved with the Chapter! Start thinking about serving on the Board of Governors and Committees. Or serve on an IIA International Committee or as a speaker at our meetings. The can’t run on its own. We need member involvement. Our chapter has a great history because of its members’ support. Let’s continue its growth and future. Become more involved! Contact our President Bill Foster. (wfoster@fpcu.edu) or any of the Governors.
THE AUDIT SCOPE

The Southwest Florida (SWFL) Chapter of the IIA now has a group presence on LinkedIn, the premiere professional networking site. Today’s professional has the opportunity to maintain an online career profile on LinkedIn that is becoming increasing popular and have the SWFL IIA displayed prominently on their profile. Membership is voluntary as there is no obligation to join the group or register on LinkedIn.

What is LinkedIn - [http://learn.linkedin.com/what-is-linkedin/](http://learn.linkedin.com/what-is-linkedin/)
Join LinkedIn - [https://www.linkedin.com/reg/join](https://www.linkedin.com/reg/join)
Add to your profile [http://www.linkedin.com/groups?gid=2488161&trk=anetsrch_name&goback=%2Egdr_1260595133758_4](http://www.linkedin.com/groups?gid=2488161&trk=anetsrch_name&goback=%2Egdr_1260595133758_4)

There is also a sub-group of the IIA, called the Certified Internal Auditor (CIA). This official IIA-sponsored LinkedIn group is for those who have earned, or are interested in earning, The IIA's Certified Internal Auditor® (CIA®) designation. The CIA is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal audit field. CIA sub-group link - [http://www.linkedin.com/groups?gid=2705761](http://www.linkedin.com/groups?gid=2705761)

IIA Volunteer Program

Get Involved! Have you thought about taking your involvement with The IIA to a new level? The Institute has many opportunities for internal auditors to participate beyond the membership level.

- Give the gift of time - volunteer your audit services to many non-profit/not for profit organizations.
- Help guide the future - create guidelines for practitioners, serve on an international committee, or guide the next generation of auditors through the Internal Auditing Education Partnership Program.
- Write exam questions for one of The IIA's four exams. You can earn CPE credit hours at the same time.
- Become an instructor at a seminar.
- Develop research topics and reports for The IIA Research foundation.
- Write an article for the Internal Auditor magazine.
- Participate at local level as a leader or speaker.

Find out more details by clicking here - [http://www.theiia.org/membership/get-involved/](http://www.theiia.org/membership/get-involved/)

Fellowship Program

For more than 60 years, The Institute of Internal Auditors has provided dynamic leadership for the profession of internal auditing. As the profession’s global voice, recognized authority, chief advocate, and principal educator, The Institute stays intimately connected to the profession and the topics that matter most. By uniting practitioners, business partners and thought leaders, The IIA Fellowship Program supports The Institute’s mission to proactively develop guidance and research on leading trends and practices in the internal auditing profession.
The IIA Fellowship Program seeks to expand the capability and capacity of The Institute to serve its members in a proactive, timely manner. To that end, The IIA calls on internal auditing leaders, partners, and audit executives to lend their best and brightest employees to The Institute for a six-month rotational fellowship in Research, Professional Guidance and the Audit Executive Center.

Specifically, internal audit practitioners at various levels of experience and achievement will use their expertise and energy to help conduct an array of research, develop guidance in response to legislative and internal auditing issues, and create innovative tools and services for internal audit professionals.

More information is available at www.theiia.org/careers/iiia-fellowship-program/.

## IIA Training

<table>
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<tr>
<th>Date</th>
<th>Webinars</th>
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<tr>
<td>21-July-2020 and 27-July-2020</td>
<td>Members only Webinar: The Role of Internal Audit in Fraud Prevention</td>
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<tr>
<td>09/21/ August 26, 2020</td>
<td>New Member Webinar: Learn to Take Advantage of All Your Benefits (No CPE)</td>
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<tr>
<td>August 18, 2020 or August 21, 2020</td>
<td>Members only Webinar: Advancing Audits Using Data Analytics</td>
</tr>
<tr>
<td>September 15, 2020</td>
<td>Members only Webinar: Cracking the Decency Code, the Leader’s Path to Integrity and Trust</td>
</tr>
<tr>
<td>29-August-2020 to 14-November 2020</td>
<td>The IIA's CIA Learning System can be accessed through the below link:</td>
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<tr>
<td>September 21, 2020</td>
<td>IIA Palm Beach Chapter (Free for IIA Members)</td>
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Gaining Efficiencies through Automation – Robotics and Other Automation Tools

http://chapters.theiia.org/palm_beach_county/Pages/Default.aspx?redirectTo=Registration&eventid=562857
AuditNet® News Update - New Templates
Webinar featuring Dr. Mark Nigrini!
IIA Members Can Register Attend and Earn Free CPE
Sign Up for a Free Subscription

Opt in required for Webinars! Check Details!
It's Never Too Early to Start Earning CPE
REGISTER NOW!

AuditNet® continues adding new templates and Webinars. We added templates in Spanish and will be adding a series of GRC Templates for internal auditors. Paid subscribers have unlimited downloads from more than 3,200 templates.

NEW - For a limited time IIA members can register and attend all AuditNet® hosted Webinars and earn CPE. This is a special offer to IIA members due to the continued impact of COVID-19 on the global audit community. If you are an IIA member, complete the registration and make sure you answer the question related to IIA membership. Visit www.AuditNet.org to check out the schedule of Webinars in the queue!

NEW! How to get auditors performing basic analytics using Excel, as a first step to getting them doing more and deeper analytics August 13, 2020 1 PM EST (1 CPE) FREE Opt-In Required. It has been said that the definition of crazy is doing the same thing over and over again and expecting a different result. If your audit analytics program is still not meeting your expectations, you are going to have to do something different to change that outcome. The biggest hurdle organizations need to overcome is getting auditors to think differently about what analytics is. Excel might not be the ultimate analytics tool for your organization but attend this webinar to see how you can use it as a catalyst for change throughout the audit team.
NEW! Implementing and Auditing GDPR Series (8 of 10) August 20, 2020, 12:00 PM EST (2 CPE) Cost: $50 (free for subscribers and site license users) Protecting personal data has been an important issue for many years. The EU GDPR extends the data rights of individuals, and requires organizations to develop clear policies and procedures to protect personal data, and adopt appropriate technical and organizational measures. UK organizations have had to comply with the Regulation since 25 May 2018, or potentially face fines of up to 4% of annual turnover or €20 million – whichever is greater.

NEW! The Future of Internal Audit - How to Stay Relevant in a Risky Economy August 25, 2020 12:00 PM EST (1 CPE) Cost $0. Risks are increasing, time are uncertain and the business landscape is changing. Internal audit is at a cross-roads and must transform itself or risk losing relevance and become simply another compliance function in organizations. cRisk Academy Webinar.

NEW! How to Recognize Fraud Patterns Like a Pro Detective featuring Dr. Mark Nigrini) August 26, 2020 1:00 PM EST (1 CPE) Cost $0 Opt-in required. A recent survey report, Fraud in the Wake of COVID-19: Benchmark Report, prepared by the ACFE, explains that recent events have opened the door to increased pressure, reasonings and opportunities that can lead to occupational fraud. Across all classes of fraud schemes 68% of survey respondents reported increases in fraudulent activity as of May 2020 and 93% reported they expect an increase in fraud over the next 12 months. To guide auditors in running detective controls, join Mark Nigrini, West Virginia University Professor and author, and Jeffrey Sorensen, Industry Strategist, for an exclusive review of the fingerprints of fraud numbers. This two-person team will review seven categories of fraud numbers and will demonstrate how to identify these types of numbers using audit software.

NEW! Implementing and Auditing GDPR Series (9 of 10) September 17, 2020 12:00 PM EST (2 CPE) Cost: $50 (free for subscribers and site license users) Protecting personal data has been an important issue for many years. The EU GDPR extends the data rights of individuals, and requires organizations to develop clear policies and procedures to protect personal data, and adopt appropriate technical and organizational measures. UK organizations have had to comply with the Regulation since 25 May 2018, or potentially face fines of up to 4% of annual turnover or €20 million – whichever is greater. Register Today!

NEW! Touchstone Survey Results Webinar; October 8, 2020 1:00 PM EST (1 CPE) Cost: $0. The 2020 Wolters Kluwer TeamMate Touchstone Study will accomplish two distinct goals. First, it will provide Wolters Kluwer with insights into the current status and aspirational goals of Internal Audit Departments globally and where supporting technology needs to match aspirational goals. Second, it will provide a benchmark for Internal Audit Departments that invest the time in this survey to see how their methodology
and maturity stack up against their peers based on the size of the team; industry; location; and years of operation. Opt-in required to attend and earn CPE.

**NEW!** Implementing and Auditing GDPR Series (10 of 10) October 15, 2020 12:00 PM EST (2 CPE) Cost: $50 (free for subscribers, site license users and IIA members) Protecting personal data has been an important issue for many years. The EU directives to protect personal data, and adopt appropriate technical and organizational measures. UK organizations have had to comply with the Regulation since 25 May 2018, or potentially face fines of up to 4% of annual turnover or €20 million – whichever is greater.

**NEW!** Ethics and the Internal Auditor October 22, 2020 12:00 PM EST (2 CPE) Cost $50 (free for subscribers, site license users and IIA members) From time-to-time internal auditors are faced with situations which call for them to make an ethical decision. In addition, they may, in the middle of auditing, come across circumstances which themselves appear to be violations of a corporate code-of-conduct. Several laws now specifically state that internal auditors, in terms of the act, will be bound by the IIA Code of Ethics. Opt-in required to attend and earn CPE (limited seating).
Have you ever wondered how you could invest your time and get involved in inspiring future business professionals?

In 2005, The IIA signed a Memorandum of Understanding (MOU) with Junior Achievement (JA) Worldwide to partner in support of good governance and strong ethics in the business community. This partnership is helping to educate K-12 students on business issues such as ethics, integrity, corporate accountability, and financial literacy. Through age-appropriate curricula, JA programs begin at the elementary school level, teaching children how they can impact the world around them as individuals, workers and consumers.

IIA headquarters staff takes time to work as JA volunteers in the community to raise awareness of The IIA and how internal auditors bring value to an organization. Members are encouraged to volunteer with their local JA to make a difference to tomorrow's business professionals.

Why Volunteer?

- The students need you! You can make a difference by helping shape someone's future.
- It is a chance to mentor young people who will soon be part of the work force.
- It is an opportunity to share your experiences and personal stories with young people.
- You can contribute something of value to your community.
- It can be a learning and self-development experience for you, too.
- Your profession needs you! You can explain to tomorrow's professionals what internal auditors do and illustrate the value they bring to an organization.

CAP Points for JA Involvement

Members who volunteer for JA can help their chapter earn Chapter Achievement Program (CAP) points. Each hour volunteered in a classroom teaching a JA sponsored activity is worth points. Even if you're involved with JA through your workplace, it can still be counted as a JA activity for your chapter. At the end of the school year, the chapters with the highest level of participation with JA will receive the "Most Inspiring Chapter" award and recognition on The IIA Web site. Points can also be earned by hosting a fundraising event for JA.

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**CIA Study Materials**

The IIA's CIA Learning System is a comprehensive training course designed to provide chapters, members, and the audit community of professionals with information and tools to master the global CIA exam syllabus. This premier quality program is aligned with the International Professional Practices Framework (IPPF) to ensure you stay current with the global internal auditing profession and are ready for the CIA exam. It combines printed text with online testing tools and online questions. Take advantage of the best prep materials out there by ordering yours today!

Simply place your order at www.learn西亚.com/chapters

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**Partnership**

The Southwest Florida IIA Chapter has partnered with Gleim Publications to ensure your success on the CIA exam! Working together, we will provide you the necessary study tools to prepare for this difficult exam.

The Gleim CIA Review System is the most widely used CIA prep on the market and includes everything you need to pass the CIA Exam on your first try. This system features flexible yet structured study steps and comes with the mentorship and guidance of a Personal Counselor.

As a member of the chapter, you are eligible for 20% off all Gleim's CIA Review materials, as well as Gleim CPE. To take advantage of the reduced prices, please contact our chapter representative, Melissa Leonard.

- By phone: (800) 874-5346, Ext. 131
- By email: ryan.bergh@gleim.com
- By fax: (352) 375-6940, Attn: Ryan Bergh

Visit http://www.gleim.com/accounting/cia/ for more information on the CIA Exam or how Gleim can help you pass
The Southwest Florida IIA Chapter Newsletter is a monthly publication. Please forward the Newsletter to your co-workers and peers. If you are a recipient (member/non-member) of the Chapter’s newsletter/other notifications and would like to be removed from the distribution list, please send an e-mail to Bharat Vallarapu bvallarapu@leeclerk.org.