To the Members of Southwest Florida Chapter and Friends,
I wanted to thank those that were able to attend our Chapter’s first online event held September 1st with a special thanks to Tim Parks, Chief Internal Audit Officer and Inspector General for Lee County Clerk of Courts as our presenter. We had 18 of us attend his informative and interactive presentation on Zoom. We have a schedule change for our next event, which was originally planned for Tuesday October 6. Due to a partnership opportunity with the IIA and Dr. Toby Groves, we have a two day online event Thursday October 8 and Friday October 9. The CPE is NASBA approved and includes 2 hours of Ethics. Please note, these hours in Ethics do not qualify for the Florida CPA 4 hour ethics requirement. You can sign up for any of the 4 hour sessions, one all day session or both days. The event will be on the GoToWebinar platform. Since the event will be broadcast to all of the US, with the west coast time differences, the sessions go from 10:30 am to 2:10 pm and 2:50 pm to 6:30 pm (Eastern time) each day with a lunch break from 2:10 pm to 2:50 pm. To meet NASBA requirements, there will be poll questions and surveys to document attendance to qualify for CPE.

Here is a brief introduction:
“A two-day event filled with industry experts with multiple session formats such as interview style, presentations and panel discussions.

Disruptive change launched us from our world overnight into the space of uncertainty and ambiguity. Join us as we adapt, explore and discover “new ways of working” that work in culture, technology, compliance and audit.”
The Audit Scope

<table>
<thead>
<tr>
<th>Registration Options</th>
<th>Link to Register</th>
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<tbody>
<tr>
<td>Full Conference (Both Days)</td>
<td>Full Conference right click open hyperlink</td>
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<tr>
<td>All Day 1</td>
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<tr>
<td>All Day 2</td>
<td>Day 2 Right Click open hyperlink</td>
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<tr>
<td>Session 1 “Imagine”</td>
<td>Session 1 Right Click open hyperlink</td>
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<tr>
<td>Session 2 “Expand”</td>
<td>Session 2 Right Click open hyperlink</td>
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<tr>
<td>Session 3 “Pioneer”</td>
<td>Session 3 Right Click open hyperlink</td>
</tr>
<tr>
<td>Session 4 “Scout”</td>
<td>Session 4 Right Click open hyperlink</td>
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</tbody>
</table>

With my Regards,

Bill Foster
President – Southwest Florida Chapter #226 2020-21

Progress through Sharing!

2020-2021 Chapter Leadership

Officers/Governors/Past Presidents

<table>
<thead>
<tr>
<th>Office</th>
<th>Name</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>President</td>
<td>Bill Foster</td>
<td><a href="mailto:wfoster@fgcu.edu">wfoster@fgcu.edu</a></td>
</tr>
<tr>
<td>Vice President</td>
<td>Jeff Pigott</td>
<td><a href="mailto:jeffrey.pigott@leehealth.org">jeffrey.pigott@leehealth.org</a></td>
</tr>
<tr>
<td>Secretary</td>
<td>Mark Pasek</td>
<td><a href="mailto:mark.j.pasek@gmail.com">mark.j.pasek@gmail.com</a></td>
</tr>
<tr>
<td>Treasurer</td>
<td>Robin Sheley</td>
<td><a href="mailto:robin.sheley@collierclerk.com">robin.sheley@collierclerk.com</a></td>
</tr>
<tr>
<td>Governor</td>
<td>Bharat Vallarapu</td>
<td><a href="mailto:bvallarapu@leeclerk.org">bvallarapu@leeclerk.org</a></td>
</tr>
<tr>
<td>Governor</td>
<td>Viviana Lauke</td>
<td><a href="mailto:vlauke@fgcu.edu">vlauke@fgcu.edu</a></td>
</tr>
<tr>
<td>Governor</td>
<td>Jackie Conrecode</td>
<td><a href="mailto:jconreco@fgcu.edu">jconreco@fgcu.edu</a></td>
</tr>
<tr>
<td>Governor</td>
<td>Paul Cohen</td>
<td><a href="mailto:cohenbank@yahoo.com">cohenbank@yahoo.com</a></td>
</tr>
<tr>
<td>Governor</td>
<td>Tim Parks</td>
<td><a href="mailto:tparks@leeclerk.org">tparks@leeclerk.org</a></td>
</tr>
<tr>
<td>Governor</td>
<td>Tamera Anderson</td>
<td><a href="mailto:tanderson@leeclerk.org">tanderson@leeclerk.org</a></td>
</tr>
<tr>
<td>Governor</td>
<td>TBD</td>
<td></td>
</tr>
<tr>
<td>Past President</td>
<td>James Molenaar</td>
<td><a href="mailto:jdm664@aol.com">jdm664@aol.com</a></td>
</tr>
<tr>
<td>District Advisor</td>
<td>Seth Peterson</td>
<td><a href="mailto:swpeterson@fnbsf.com">swpeterson@fnbsf.com</a></td>
</tr>
<tr>
<td>District Representative</td>
<td>Lily Li</td>
<td><a href="mailto:lilyly@aa.ufl.edu">lilyly@aa.ufl.edu</a></td>
</tr>
</tbody>
</table>
COMMITTEE MEMBERS:

- **Academic Relations**
  - Jackie Conrecode - jconreco@fgcu.edu (Chair)

- **Advanced Technology/Newsletter/Web Site**
  - Bharat Vallarapu – bvallarpu@leeclerk.org (Chair Website)
  - Paul Cohen – Cohenbank@yahoo.com (Chair Newsletter)

- **Attendance**
  - Viviana Lauke – vlauke@fgcu.edu (Chair)

- **Audit**
  - Bharat Vallarapu – bvallarpu@leeclerk.org (Chair)

- **Budget**
  - Robin Sheley – robin.sheley@collierclerk.com (Chair)

- **Certification/CIA Program**
  - Bill Foster – wfoster@fgcu.edu (Chair)

- **Membership**
  - Tim Parks – tparks@leeclerk.org (Chair)
  - Tammy Anderson – tansderson@leeclerk.com (Back-up for Membership)

- **Nominating**
  - Current President
  - Two Members (selected by Board)

- **Program**
  - Current Governing Board

- **Strategic Planning**
  - Jeff Pigott – Vice President
  - Bill Foster Current President

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### Dates for 2020-2021 Chapter Meetings

<table>
<thead>
<tr>
<th>Description</th>
<th>Location</th>
<th>Date</th>
<th>Speaker &amp; Topic</th>
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<tbody>
<tr>
<td>Governor’s Meeting</td>
<td>Online Microsoft Teams</td>
<td>07/14/2020 4:30 pm</td>
<td>Board of Governors Year End, Annual Planning, Budget</td>
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<tr>
<td></td>
<td>&amp; Phone Conference Call</td>
<td></td>
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<tr>
<td>Governor’s Meeting</td>
<td>Online Microsoft Teams</td>
<td>08/04/2020 4:30 pm</td>
<td>Board of Governors Year End, Annual Planning, Budget</td>
</tr>
<tr>
<td></td>
<td>&amp; Phone Conference Call</td>
<td></td>
<td></td>
</tr>
<tr>
<td>September Meeting</td>
<td>Online Zoom</td>
<td>09/01/2020 5:00 pm</td>
<td>Tim Parks, Lee County Clerk of Courts Janitorial Services Audit &amp; Investigation Case Study</td>
</tr>
<tr>
<td>October 2 Day Event</td>
<td>GoToWebinar</td>
<td>10/08/2020 10:30 am to 10/09/2020 6:30 pm</td>
<td>The Explorers Conference Please See Pages 11 – 18 for more information</td>
</tr>
<tr>
<td>November Meeting</td>
<td>Online</td>
<td>11/10/2020 Time TBD</td>
<td>TBD</td>
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<tr>
<td>December Meeting</td>
<td>Online</td>
<td>12/01/2020 Time TBD</td>
<td>TBD</td>
</tr>
<tr>
<td>January Meeting</td>
<td>Online</td>
<td>01/12/2021 Time TBD</td>
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</tbody>
</table>
2020-2021 Payment Fee Schedule

At the August 4, 2020 Governors Meeting, it was decided to charge $10 for September’s meeting. The presenter requested was that we charge no fee for the presentation. All were in agreement. For the October event, we were able to use a fee schedule in association with an IIA partnership. As future events are added to our schedule and know more of the costs involved, we will review how we may be able to reduce our fees.

- Payments can be made online via the Chapter link:
  [https://chapters.theiia.org/southwest-florida/Events/Pages/default.aspx](https://chapters.theiia.org/southwest-florida/Events/Pages/default.aspx)

Or, a check can be mailed in advance to the Treasurer, Robin Sheley, Collier County FL Clerk of the Circuit Court & Comptroller; 3315 Tamiami Trail East; Naples, Florida 34112. If mailed, please allow sufficient time for the payment to be received prior to the meeting.
A Board of Governors meeting of the SWFL Chapter of the Institute of Internal Auditors was held via Microsoft Teams on August 4, 2020 beginning at 4:30pm.

**Board Members, Officers, and Chairs:**

**Present:** By Phone – Tim Parks, Bharat Vallarapu, Tammy Anderson  
By MS Teams – Bill Foster, Jeff Pigott, Gabriela Molina, Jackie Conrecode, Viviana Luke, Mark Pasek

**Not Present:** Robin Sheley, Paul Cohen

**Proceedings:**

Bill Foster, President, presided over the meeting. This was the second board meeting for the 2020-2021 Chapter Year, since the June meeting was missed due to the Corona virus.

1. Welcome – Opening Remarks – Attendance
2. Financial Report – Due August 31  
   a. Gabriela Molina: Report is in progress, will next go to Robin Sheley for audit, Bill Foster to upload.  
   b. Robin is Treasurer and also conducting the audit – discussion: there is no conflict with independence because Robin is not auditing her own work.
   a. Due after Financial Report according to IIA calendar
4. Meeting Minutes  
   a. July 14, 2020 Meeting Minutes  
      i. Motion to Approve – Jeff Pigott  
      ii. Seconded – Jackie Conrecode  
      iii. Discussion - None  
      iv. Vote Results - Unanimous
5. Committees – as stated in the July 2020 newsletter – No changes noted
6. Speakers – Sept Tim Parks, Chief Internal Audit Officer / Inspector General, presentation “Janitorial Services Audit & Investigation Case Study (Who Ya Gonna Call)”  
   a. Discussion: Anyone have ideas for potential speakers? Please contact Bill Foster or any of the other officers  
   b. Questions: We will try to do all events and meetings online this year, we did not sign a contract with Holiday Inn for this year, this will save money by meeting online (bulk of the expense is the venue).  
   c. Discussion: We are really competing for attendees with all of the Free CPE’s available online  
   d. Bill Foster will contact Melinda Miguel, inquire with FBI & Lee Co Sheriff.  
   e. Question: Can we still get CAP Points for having an elected official speak at our events? – Yes, according to Revised CAP Requirements (3 excel spreadsheets)  
   f. Question: Are we still grandfathered in for GOLD CAP? Bill Foster will inquire with IIA/Regional
7. Meeting Platforms - Zoom Enterprise for a consistent platform
8. Meeting Start Times: Evening meetings 5 pm since no commuting
9. CPE’s for CPA? - application mailed 07/20, returned today. Bill will follow up with DBPR. – Anyone that attended an event (east coast or west coast) please email Bill Foster

10. Other:
   a. Mark Pasek: Follow up with IT for Zoom – Collier County Clerk is cleared to use Zoom with standard security protocols. CC Clerk is also able to use MS Teams. Any other can be requested to our IT Department
   b. Everyone please log into the IIA Event Manager portal, make sure you have access, please report back any problems.
   c. Discussion: Zoom and Teams, it appears that everyone is able to use Zoom, please request install on your computers, please be mindful of basic security settings, Zoom event should be by invite only
   d. IIA and Lee County have the Enterprise Zoom that can handle up to 100 attendees
   e. Dates listed in the Newsletter – Any Conflicts?
      i. January meeting is scheduled for 2nd Tuesday to accommodate Lee County
   f. Need Speakers for September and October Meetings
      i. Tim Parks will be prepared to present in September
      ii. Open to suggestion for October
      iii. All meetings online, start time 5:00pm
   g. Question regarding payments? Do we have a proposal for new event cost?
      i. Jackie Conrecode proposes $10/meeting due to no venue or food cost
      ii. Gabriela Molina raised a question regarding accumulated cash – since there will be relatively little expenses this year can/should we consider disbursing cash as scholarships?
      iii. Jackie Conrecode discussed that we are a professional organization and we should be able to pay speakers fees, less reliance on pro-bono, recommends building the cash savings to pay for future speakers
      iv. Bill Foster opened the $10/meeting proposal to discussion and comment
         1. Tim Parks and Jeff Pigott agreed the fee is reasonable

11. New Business
   a. No other new business brought forward

12. Adjourn
   a. Motion to Adjourn – Bharat Vallarapu
   b. Seconded – Tim Parks
   c. Vote Results - unanimous
   d. Meeting adjourned at: 05:06 pm

Mark Pasek, Secretary
IIA Southwest Florida Chapter #226 2020-21
Here are a few housekeeping ideas to keep in mind:

- **Update your member profile** - Have you moved, changed jobs, changed your name, etc.
- **Invite someone to the next meeting** - You would be amazed by the number of members who have not been to a meeting.
- **Submit topics and/or speakers for CPE sessions** - The Program committee could use your knowledge and expertise on potential speakers or topics of interest.
- **Attain a Certification** - The IIA offers multiple certifications that, upon attainment, will help you to distinguish yourself professionally from your peers and throughout the profession.
- **Meeting Registration** – Please register in advance, as it helps with the functions of processing CPE certificates. As always, if your schedule opens up, everyone is always welcome.
- **Invite a Colleague** – This is one of the reasons for the meetings. In addition to valuable and needed CPE, the chance to meet someone else in the profession, or interested in the profession is always a plus.
- **Meeting Etiquette** - Please respect our speakers by remaining seated, minimizing chatter, and silencing mobile phones during presentations. We understand there may be emergencies, and new realities of working from home that need attention.

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Help the Chapter. Report You're Speaking and Writing!

Just a reminder to our Chapter members! If you have submitted articles to a trade publication or spoken on an internal audit topic at a meeting, seminar, or CPE class, please report your activities so we can earn Chapter Achievement Program (CAP) points:

- Each speaking engagement on internal auditing that a Chapter member completes can earn CAP points. This includes members who speak at their own Chapter's monthly meetings.
- Each full article, Roundtable article, Fraud Finding, Computers & Auditing, etc. submitted that meets basic editorial guidelines earns points.
- For each full article published,
- For each Chapter who submits over 10 Roundtable articles during a year, additional points are earned.
- Each internal audit related article published in any other trade or professional journal that is authored by a chapter member.

If you have participated in one or more of these activities, please e-mail the details to the Chapter President.

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Membership Committee Corner

We have 74 active members currently listed.

<table>
<thead>
<tr>
<th>September Anniversaries</th>
<th>Years</th>
<th>September Anniversaries</th>
<th>Years</th>
</tr>
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<tr>
<td>Kristine Kuhn</td>
<td>25</td>
<td>Pamela Swinney</td>
<td>11</td>
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<tr>
<td>Joe Jones</td>
<td>4</td>
<td>Michael Stark</td>
<td>1</td>
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<tr>
<td>Darren Hutton</td>
<td>1</td>
<td>Sahar Dajani-Jones</td>
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</tr>
<tr>
<td>Barbara Jeter</td>
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**THE AUDIT SCOPE**

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<th>New Members</th>
<th>Date Joined</th>
<th>None</th>
<th></th>
<th></th>
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</tr>
</thead>
</table>

**Academic Relations Committee Corner**

We want to encourage student support to attend chapter functions this chapter year. The chapter looks forward to exposing more students to our chapter and increasing awareness of the Institute of Internal Auditors to our local college students in the future.

If you know a student who would like to attend the meeting for free please email Professor Conrecode (jconreco@fgcu.edu) five days before the meeting to see if the chapter has free meeting slots available. Professor Conrecode will confirm the student’s free meeting attendance and will register the student for the meeting.

We look forward to our new CPE programs!

---

**Job Openings**

*(Click on Link)*

- Florida Clerk and Comptrollers Website - [https://www.flclerks.com/](https://www.flclerks.com/) (look for available auditor positions)
- Skillsource Staffing - Internal Auditor - [http://www.simplyhired.com/a/jobs/list/q-Internal+Auditor/l-Florida](http://www.simplyhired.com/a/jobs/list/q-Internal+Auditor/l-Florida)
- Raymond James (Tampa/St. Petersburg) – Check for Auditing positions (type auditor in key search) - [http://www.raymondjames.com/careers/](http://www.raymondjames.com/careers/)
- Finance Jobs - [http://finance.jobs.net/jobs/florida.aspx](http://finance.jobs.net/jobs/florida.aspx)
- IIA Website – FLA Auditor Positions - [http://jobs.theiia.org/c/search_results.cfm?site_id=2831&quick=state|Florida](http://jobs.theiia.org/c/search_results.cfm?site_id=2831&quick=state|Florida)
- Indeed Website - (Florida Area) - [http://www.indeed.com/q-Internal-Auditor-l-FL-jobs.html](http://www.indeed.com/q-Internal-Auditor-l-FL-jobs.html)

The Palm Beach County IIA Chapter posts job openings on their website - [https://chapters.theiia.org/palm_beach_county/Careers/Pages/default.aspx](https://chapters.theiia.org/palm_beach_county/Careers/Pages/default.aspx)

The Florida Audit Forum posts job openings on their website - [http://floridaauditforum.org/Job-Board.php](http://floridaauditforum.org/Job-Board.php)
**Appeal to Members**

Get involved with the Chapter! Start thinking about serving on the Board of Governors and Committees. Or serve on an IIA International Committee or as a speaker at our meetings. The can’t run on its own. We need member involvement. Our chapter has a great history because of its members’ support. Let's continue its growth and future. Become more involved! Contact our President Bill Foster. (wfoster@fgcu.edu) or any of the Governors.

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**LinkedIn**

The Southwest Florida (SWFL) Chapter of the IIA now has a group presence on LinkedIn, the premiere professional networking site. Today’s professional has the opportunity to maintain an online career profile on LinkedIn that is becoming increasing popular and have the SWFL IIA displayed prominently on their profile. Membership is voluntary as there is no obligation to join the group or register on LinkedIn.

What is LinkedIn - [http://learn.linkedin.com/what-is-linkedin/](http://learn.linkedin.com/what-is-linkedin/)
Join LinkedIn - [https://www.linkedin.com/reg/join](https://www.linkedin.com/reg/join)
Add to your profile - [http://www.linkedin.com/groups?gid=2488161&trk=anetsrch_name&goback=%2Egdr_1260595133758_4](http://www.linkedin.com/groups?gid=2488161&trk=anetsrch_name&goback=%2Egdr_1260595133758_4)

There is also a sub-group of the IIA, called the Certified Internal Auditor (CIA). This official IIA-sponsored LinkedIn group is for those who have earned, or are interested in earning, The IIA’s Certified Internal Auditor® (CIA®) designation. The CIA is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal audit field. CIA sub-group link - [http://www.linkedin.com/groups?gid=2705761](http://www.linkedin.com/groups?gid=2705761)

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**IIA Volunteer Program**

Get Involved! Have you thought about taking your involvement with The IIA to a new level? The Institute has many opportunities for internal auditors to participate beyond the membership level.

- Give the gift of time - volunteer your audit services to many non-profit/not for profit organizations.
- Help guide the future - create guidelines for practitioners, serve on an international committee, or guide the next generation of auditors through the Internal Auditing Education Partnership Program.
- Write exam questions for one of The IIA’s four exams. You can earn CPE credit hours at the same time.
- Become an instructor at a seminar.
- Develop research topics and reports for The IIA Research foundation.
- Write an article for the Internal Auditor magazine.
- Participate at local level as a leader or speaker.
**Fellowship Program**

For more than 60 years, The Institute of Internal Auditors has provided dynamic leadership for the profession of internal auditing. As the profession’s global voice, recognized authority, chief advocate, and principal educator, The Institute stays intimately connected to the profession and the topics that matter most. By uniting practitioners, business partners and thought leaders, The IIA Fellowship Program supports The Institute’s mission to proactively develop guidance and research on leading trends and practices in the internal auditing profession.

The IIA Fellowship Program seeks to expand the capability and capacity of The Institute to serve its members in a proactive, timely manner. To that end, The IIA calls on internal auditing leaders, partners, and audit executives to lend their best and brightest employees to The Institute for a six-month rotational fellowship in Research, Professional Guidance and the Audit Executive Center.

Specifically, internal audit practitioners at various levels of experience and achievement will use their expertise and energy to help conduct an array of research, develop guidance in response to legislative and internal auditing issues, and create innovative tools and services for internal audit professionals.

More information is available at [www.theiia.org/careers/iaa-fellowship-program/](http://www.theiia.org/careers/iaa-fellowship-program/).

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**IIA Training**

<table>
<thead>
<tr>
<th>Webinars</th>
<th>URL</th>
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<tr>
<td>Data &amp; Internal Audit Virtual Symposium: Practical Applications</td>
<td><a href="https://na.theiia.org/training/eLearning/Pages/Virtual-Symposium-Data-Internal-Audit-Practical-Applications.aspx?utm_source=SilverpopMailing&amp;utm_medium=email&amp;utm_campaign=20201244_NA_LeadersLink_092120%20(1)&amp;utm_content=&amp;spMailingID=32548680&amp;spUserID=OTEzMzM5MTg4MzcS1&amp;spJobID=1784002085&amp;spReportId=MTC4NDAwMjA4NQS2">https://na.theiia.org/training/eLearning/Pages/Virtual-Symposium-Data-Internal-Audit-Practical-Applications.aspx?utm_source=SilverpopMailing&amp;utm_medium=email&amp;utm_campaign=20201244_NA_LeadersLink_092120%20(1)&amp;utm_content=&amp;spMailingID=32548680&amp;spUserID=OTEzMzM5MTg4MzcS1&amp;spJobID=1784002085&amp;spReportId=MTC4NDAwMjA4NQS2</a></td>
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<tr>
<td>October 13 – 15, 2020</td>
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<tr>
<td>October 19, 2020</td>
<td>Members only Webinar:</td>
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<td><a href="https://na.theiia.org/training/eLearning/Pages/Members-only-Webinar-Governance-Over-AI-and-Robotics.aspx">https://na.theiia.org/training/eLearning/Pages/Members-only-Webinar-Governance-Over-AI-and-Robotics.aspx</a>  Governance Over AI and Robotics</td>
</tr>
<tr>
<td>October 21, 2020 OR December 9, 2020</td>
<td><a href="https://na.theiia.org/training/eLearning/Pages/The-Start-Smart-Advantage-New-IIA-Member-Webinar-No-CPE-102120.aspx">https://na.theiia.org/training/eLearning/Pages/The-Start-Smart-Advantage-New-IIA-Member-Webinar-No-CPE-102120.aspx</a>  The Start Smart Advantage: New IIA Member Webinar (No CPE)</td>
</tr>
</tbody>
</table>
**NEWS**

Registration for IIA Southwest Florida Chapter’s Event “The Explorers Conference” is open for registration.

Disruptive change launched us from our world overnight into the space of uncertainty and ambiguity. Join us as we adapt, explore and discover “new ways of working” that work in culture, technology, compliance and audit.

**October 8-9, 2020 10:30 am – 6:30 pm EST**

**Up to 16 NASBA CPE Credits!**

Early bird pricing ends **September 30th**!

A refreshingly different and engaging virtual conference format hosted by Dr. Toby Groves. Experience interview-style sessions and panel discussions.

Registration for IIA–Florida Southwest Chapter’s **The Explorers Conference** event, scheduled for 10:30 am on Thursday, October 8, 2020, is now open.

The IIA Southwest Florida Chapter has partnered up with “Explorer 2020” and is able to offer the following options:

- **16 hours of CPE credit (Full Conference 2 days – October 8/9, 2020- 10:30 am- 6:30 pm Eastern)** for **$249** - Click [here](mailto:registration) to register

- **8 hours of CPE credit ( Day 1 – October 8 - 10:30 am- 6:30 pm Eastern)** for **$149** - Click [here](mailto:registration) to register

- **8 hours of CPE credit ( Day 2- October 9 - 10:30 am- 6:30 pm Eastern)** for **$149** - Click [here](mailto:registration) to register

- **4 hours of CPE credit (Section 1 only- “Imagine” October 8, 2020 - 10:30 am-2:10 pm Eastern )** for **$79** - Click [here](mailto:registration) to register

- **4 hours of CPE Credit (Section 2 only- “Expand” October 8, 2020 -2:50 pm-6:30 pm Eastern )** for **$79** - Click [here](mailto:registration) to register

- **4 hours of CPE credit (Section 3 only- “Pioneer” October 9 - 10:30 am-2:10 pm Eastern) for **$79** - Click [here](mailto:registration) to register

- **4 hours of CPE credit (Section 4 only- “Scout” October 9 - 2:50 pm-6:30 pm Eastern)** for **$79** - Click [here](mailto:registration) to register

We look forward your participation!

If you have any questions, please feel free to reply to this email or contact our Chapter at **president.swflilia@gmail.com**
Note:
Due to the contract with the vendor, the prices listed here are “Early Bird Prices” and are only valid until 09/30/2020. For Registrations received between 10/01/2020 and 10/07/2020, the full conference is $289, one day is $169 and one session is $89.

The Explorers Conference Program Outline
October 8 & 9, 2020

Foresight & Futurethink: Making Intelligent Predictions
(Interview-style session and panel discussion)
Dr. Amy Zalman
October 8, 2020 10:30 AM-12:15 PM Eastern
Hours: 2
Field of Study: Specialized Knowledge
Prerequisites: None
Level: Basic
Join us for this compelling exploration of ways we can read and understand complex systems. Learn strategies for spotting and understanding emerging relationships that can impact your work and your life. This robust discussion will include live audience interaction.
Major subjects:
• How to develop foresight
• The benefits and pitfalls of prediction
• Identifying and defining “business-intelligence”

Learning objectives:
• Identify two or more strategies used to spot emerging issues
• Identify two or more benefits and pitfalls of prediction-making
• Identify three characteristics of complex systems

Ethics and Equity: Uncomfortable Conversations and Inconvenient Truths (Interview-style session and panel discussion)
Maya Rockeymoore Cummings, Ozo Nnamadim and Rashmi Airan
October 8, 2020 12:25-2:10 PM Eastern
Hours: 2
Field of Study: Behavioral Ethics
Prerequisites: None
Level: Basic
This session will challenge us to explore deeper ethical questions related to diversity, equity and inclusion, and matters currently impacting everyone in the audience. Hear perspectives from different speakers that have varied backgrounds and experiences on inclusion and equity and ways that organizations can examine their culture and address needed change.
Major subjects:
• New research in the field of diversity and inclusion
• Equity and corporate culture
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- Change management in the area of diversity and inclusion
- Organizational psychology and diversity in teams

Learning objectives:
- Define equity and its role in corporate culture
- Identify steps that leaders can take to achieve a diverse culture
- Identify methods to build a more inclusive culture

The Intersection of Technology and Wellness
(IInterview-style session and panel discussion)
Amy Vetter
October 8, 2020 2:50 PM -4:35 PM Eastern
Hours: 2
Field of Study: Personal Development
Prerequisites: None
Level: Basic
A timely discussion about the new ways we are interacting with technology, current challenges in the workplace, and how to employ mindfulness to be more present and effective in our work and lives. Hear how to unlearn past routines, embrace technology in healthy ways, and learn how to take advantage of some of the latest developments that focus on connecting people in business and improving company culture.

Major subjects:
- Unlearning past routines, embracing technology and learning how to take advantage of some of the latest developments that focus on connecting people in business and improving company culture
- Self-discovery and mindfulness techniques
- Integrate mindfulness into how you work yourself and with your teams
- Develop healthy technology practices for better human connections

Learning objectives:
- Identify two or more methods of changing habits and replacing routines
- Identify two or more methods of integrating mindfulness into your work
- Identify two or more practices for using technology that benefits human connection

Leveraging Change and Inspiring Innovation
(IInterview-style session and panel discussion)
Nick Tasler
October 8, 2020 4:45 PM -6:30 PM Eastern
Hours: 2
Field of Study: Personal Development
Prerequisites: None
Level: Basic
Successful adaptation to change and adversity is the rule of human existence, not the exception. It is the one thing each and every one of us has been doing, literally, since the day we squirmed out of our mother’s womb. Never before has this truth been more relevant. Never before has this message been more essential. This session will deliver practical tools and decision-making guidance so you will be ready to cut through the chaos with a laser-like focus on organizational objectives, team priorities, and personal growth opportunities.

Major subjects:
- How to develop creativity and resilience
- Decision-making guidance for growth and adaptability
- Inspiring innovation in your team

Learning objectives:
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• Identify 5 decision-making characteristics that accelerate growth and innovation
• Identify at least two approaches for recognizing hidden opportunities
• Identify effective methods for setting priorities

The Future is Now!
(Interview style session)
Kimberly Ellison-Taylor
October 9, 2020 10:30 AM – 11:20 AM Eastern
Field of Study: Business Management and Organization
Prerequisites: None
Level: Basic
The accelerating pace of change is affecting all facets of an organization. From business model disruption to heightened customer expectations and transformational process changes, technology-powered innovation relies on an energized and empowered team. As a result, the role of innovator and disruptor not only falls on the organization’s leader, but also on every individual member of the team. We each have a role to play in analyzing data, developing digital strategies, and unleashing greater value for clients and business partners.
Major subjects:
• Future of work
• Business model disruption
• Customer expectations
• Change initiatives
• Transformational process changes

Learning objectives:
• Recognize the changing expectations of clients and business partners for tech powered solutions and services

• Define the Future of Work and characteristics of Digital Organizations
• Discover Emerging Technologies and how they enable and align with growth and strategic initiatives of the organization

Blazing a Digital Trail: Making Friends with Robots
(Interview-style session and panel discussion)
Jody Padar and Byron Patrick
October 9, 2020 11:25 AM – 1:15 PM Eastern
Field of Study: Information Technology
Prerequisites: None
Level: Basic
Adopting new technology can be a challenge, but this session will help us gain a clearer understanding of ways we can interact with artificial intelligence and use automation to work more effectively, allowing us to perform higher-value functions. The session will include demonstrations of new technologies and live, audience interaction.
Major subjects:
• Emerging technologies
• Spotting opportunities to use automation
• Resources to learn more about specific technologies

Learning objectives:
• Identify at least two ways to spot automation opportunities
• Identify at least three automations that can be employed immediately
• Identify at least two emerging technologies
**Authentic Leadership: Developing a Trailblazer’s Mindset**

*(Interview style session)*

**Dr. Jen Welter**

October 9, 2020 1:20 PM – 2:10 PM Eastern  
Field of Study: Personal Development  
Prerequisites: None  
Level: Basic

With a master’s in sports psychology and a doctorate in psychology, trailblazing NFL coach, Dr. Jen Welter truly knows what it takes to develop a winning mindset. Drawing on her academic and professional experiences, including on the field training, Dr. Welter reveals her proven techniques for identifying certain mental characteristics, attitudes and behaviors to effectively train your own team to achieve success. Take away new perspective on what it really takes to effectively motivate and improve the performance of individuals and groups in the most competitive environments and applicable tactics for cultivating winning mindsets within your organization.

Major subjects:
- Building strong bonds
- Mental characteristics, attitudes and behaviors associated with authenticity
- Motivation and performance

Learning objectives:
- Identify at least two characteristics important in developing authenticity
- Identify at least one counterintuitive way motivation affects performance
- Identify at least two methods that can be used to build strong bonds

**Fraud Recon: A New Look at Fraud, Data Analytics and Behavioral Analysis**

*(Interview-style session and panel discussion)*

**John Hall and Steve Biskie**

October 9, 2020 2:50 PM – 5:35 PM Eastern  
Field of Study: Auditing  
Prerequisites: At least 2 years of education or experience in auditing, accounting or governance and at least some exposure to and knowledge of fraud related terminology.  
Level: Intermediate

In this program we’ll address how to replace outdated theories on fraud, and what we should be using instead. We’ll tackle advanced methods of detection and deterrence in a new world of exposure to fraud risk. Learn three steps of handing fraud exposure, and what to do (and not do!) once wrongdoing is suspected or found. Our emphasis will be on steps leaders, managers and auditors can and should take every day – regardless of industry, organization culture, years of experience, or leadership style.

Major subjects:
- Replacing outdated theories and tools of fraud detection and deterrence
- Steps for handling suspected fraud
- Everyday actions that can be taken to detect and deter fraud
- Data and behavioral analysis in fraud detection and deterrence

Learning objectives:
- Identify three steps for handling fraud risk
- Identify at least one outdated theory related to fraud and what we should use instead
- Identify at least one data analysis tool and one behavioral tool for fraud detection

**Speaker Lineup and Brief Bios:**

Kimberly Ellison-Taylor, CPA, CGMA, CISA
Kimberly Ellison-Taylor is a transformational leader with a compelling background of strategy, finance, people leadership, digital engagement, business development, and technology. In her most recent role at Oracle, Kimberly served as Global Executive Director - Finance Thought Leadership to promote transformation and digital strategies in organizations of all sizes. Kimberly Ellison-Taylor is a former Chairman of the Board for the American Institute of CPAs (AICPA), Association of International Certified Professional Accountants, and the Maryland Association of Certified Public Accountants. She continues to serve as a leader in the profession as a member of the AICPA Assurance Services Executive Committee and also as the Vice Chairman for AICPA's National Commission for Diversity and Inclusion.

Maya Rockeymoore Cummings
A noted speaker, author and strategist, Dr. Rockeymoore Cummings areas of expertise include health, social insurance, economic security, education, technology, women’s issues and youth civic participation. Maya has also served as the vice president of research and programs at the Congressional Black Caucus Foundation (CBCF), senior resident scholar at the National Urban League, chief of staff to Congressman Charles Rangel (D-NY), professional staff on the House Ways and Means Committee, and as a CBCF legislative fellow in the office of Congressman Melvin Watt (D-NC) among other positions. She is the author of The Political Action Handbook: A How to Guide for the Hip-Hop Generation and co-editor of Strengthening Community: Social Insurance in a Diverse America among many other articles and chapters. She has been quoted extensively in publications such as the Washington Post and New York Times and has appeared on MSNBC, CNN, and C-SPAN among other news outlets. Her frequent speaking engagements have included invitations from the Centers for Disease Control and Prevention, Economic Policy Institute, U.S. Senate, U.S. House of Representatives, Congressional Progressive Caucus, Drexel University, Women Donors Network, National Association of Black Journalists.

Nick Tasler
An internationally acclaimed thought leader, organizational psychologist and the #1 best-selling author of four counter-intuitive books on the art and science of making decisions and leading change. He is also an expert writer for the Harvard Business Review and his work has been covered by The New York Times, Bloomberg Business Week, The Atlantic, Inc., U.S. News & World Report, The Financial Times, Fox Business, and Forbes; as well as NPR, the BBC, NBC, ABC, and CBS. Nick’s original insights and dynamic style have benefited the world’s most respected organizations ranging from General Electric, Microsoft, JP Morgan, Novo Nordisk, Wells Fargo, the Royal Bank of Canada, and UnitedHealth Group to the Wharton School, Yale University, and many more.

Dr. Jen Welter
Dr. Jen Welter is the first female to coach in the NFL. In the summer of 2015, she served as a linebackers coach for the Arizona Cardinals, a period of time defined by the bond she has formed with the players. Widely known as a trailblazer and pioneer in professional football, becoming the first woman to coach in the NFL was only her most recent first. She most recently served as a defensive specialist for the Alliance of American Football (AAF). Dr. Welter had a highly decorated 14-year career in women’s professional football which included four World Championships, two gold medals as a member of Team USA in the 2010 and 2013 International Federation of American Football’s (IFAF) Women’s World Championship, and eight all-star selections. She was inducted into the first class of the Women’s Football Hall of Fame on November 30, 2018.

Dr. Amy Zalman
Dr. Amy Zalman is the founder and CEO of Prescient, a foresight consultancy that helps Fortune 500 firms, governments and non-profit organizations to anticipate and prepare for the future. She is a professor of strategic foresight in the Culture, Communications & Technology Program at Georgetown University, and the founder and
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director of the Foresight Sandbox, a collaboration with Arizona State University’s School for the Future of Innovation in Society to provide strategic foresight training to business and government leaders. Huffington Post called her one of the world’s seven top futurists in 2015, and she was cited in Forbes as one of the world’s 50 leading female futurists in 2020. Dr. Zalman’s previous roles include Chief Executive Officer and President of the World Future Society, and the Chair of Information Integration at the U.S. National War College. She earned her doctorate from the Department of Middle Eastern & Islamic Studies at New York University.

Rashmi Airan

Rashmi Airan is an internationally recognized corporate and motivational speaker, a “recovering lawyer and investment banker”, a woman leader and an entrepreneur. She has a unique and vulnerable story that is a game-changer. Rashmi now shares her story to illustrate the factors that can influence our choices. Her clients include Hershey’s, SHRM, the FBI, Sotheby’s Int’l, MGM Resorts, and Columbia Law School. Rashmi sets forth the business discipline necessary for decision-making and uncovers the mental process of problem-solving that leads to organizational cultures with integrity. Rashmi is determined to create a culture of conversation around ethics, diversity and inclusion, and resilience into all aspects of our lives.

John J. Hall, CPA

John Hall, CPA is the founder and President of Hall Consulting, Inc., the creator of FraudPreventionPro.com and the author of the award-winning book Do What You Can! Simple Steps – Extraordinary Results. He consults management groups, government and internal auditors, CPAs, Board members, and professional associations. John is a member of the National Speakers Association, the Institute of Internal Auditors, and the American Institute of CPAs.

Jody Padar, CPA

Jody Padar, CPA, is Vice President of Strategy at Botkeeper.com. She has been on the Accounting Today Top 100 Most Influential People List for the last nine years. She is the author of From Success to Significance: The Radical CPA Guide and The Radical CPA: New Rules for the Future-Ready Firm. After venturing out of a traditional firm and achieving 13 years of successful growth as CEO of New Vision CPA Group, her new challenge is leading Botkeeper as Vice President of Strategy.

Amy Vetter, CPA, CITP, CGMA

Amy Vetter, CPA and Yogi, is the CEO of The B3 Method Institute and Drishtiq Yoga and the podcast host of Breaking Beliefs. She specializes in Technology Innovation and wellness, inspiring financial professionals to implement and use technology in a thoughtful way, freeing them to develop collaborative, lasting relationships. Author of Integrative Advisory Services: Expanding Your Accounting Services Beyond the Cloud and Business, Balance & Bliss: How the B3 Method Can Transform Your Career and Life, Amy has been repeatedly recognized as a “Most Powerful Women in Accounting” by the AICPA and CPA Practice Advisor, and Accounting Today’s “Top 100 Most Influential Person.” She is a board member for the Ohio Society of CPAs and a contributor to AICPA’s Journal of Accountancy, Accounting Today, and CPA Practice Advisor.

Jan Hargrave

Jan Hargrave, author and educator in body language is the nation’s leading behavioral authority and body language expert. Multiple media outlets and publications call on her expertise to comment on body language, persuasion and influence. Jan is the author of Let Me See Your Body Talk, Freeway of Love, Judge the Jury, Strictly Business Body Language, and Poker Face. Ms. Hargrave provides you with the skills you need to “read” your family, your associates, your customers, in fact, everyone around you.

Byron Patrick, CPA, CITP

Byron Patrick, CPA and industry technology leader, is the VP of Growth & Success at Botkeeper where he works with organizations to bridge the knowledge gap between accounting and technology. As co-writer, Byron has contributed to the Journal of Accountancy Tech. He is a member of AICPA Council and PCPS Executive Committee.
and was previously a member of the Information Management and Technology Assurance Executive Committee, the Future of Peer Review task force and the CPA Ambassador program.

**Steve Biskie**

Steve Biskie has worked in audit, compliance and IT risk management for over 25 years. As a thought leader in the audit analytics and continuous monitoring space, he has helped both compliance and audit functions at some of the largest global organizations implement sustainable technology-enabled programs. He has authored numerous articles on audit analytics, teaches data analytics courses for the MIS Training Institute, and is a four-time Institute of Internal Auditors All-Star speaker on data analytics and automation topics.

**Tammy Daugherty**

Tammy Daugherty, Change Management expert and Talent Strategist. From disruptive technologies to a global pandemic, we are required to get comfortable with the lightning speed of change. As a change evangelist who is passionate about talent development, Tammy has spent over 20 years advising and training Fortune 100 companies on how to create change-ready organizations.

**Ozo Nnamadim, CPA**

Ozoemena "Ozo" Nnamadim has a passion for diversity, equity, and inclusion. A Financial Reporting Associate, Mr. Nnamadim has 5 years of experience delivering excellent customer service and meaningful insights into financial management and governance practices to the public and private sectors. His philanthropic involvement mirrors his passion as he serves in leadership capacities for several nonprofits focused on addressing social justice, civic engagement, diversity, equity, and inclusion. He is the 1st Vice President of the Metropolitan DC chapter of the National Association of Black Accountants, the Fundraising and Development Chair for the Gay Professional Men of Color in Washington, DC.

**Your Host, Dr. Toby Groves**

Dr. Toby Groves is a researcher on social cognitive psychology. He has spent the past decade researching critical thinking, transparency, authenticity, culture, and diversity. Toby authored a first of its kind scientific study on dynamic thinking focused on auditors. Toby speaks to audiences of leaders and decision makers teaching a new approach to critical thinking that is the culmination of nearly 20 years of study.
AuditNet® continues adding new templates and Webinars. We added templates in Spanish and will be adding a series of GRC Templates for internal auditors. Paid subscribers have unlimited downloads from more than 3,200 templates.

NEW - For a limited time IIA members can register and attend all AuditNet® hosted Webinars and earn CPE. This is a special offer to IIA members due to the continued impact of COVID-19 on the global audit community. If you are an IIA member, complete the registration and make sure you answer the question related to IIA membership. Visit www.AuditNet.org to check out the schedule of Webinars in the queue!

NEW! Touchstone Survey Results Webinar; October 8, 2020 1:00 PM EST (1 CPE) Cost: $0. The 2020 Wolters Kluwer TeamMate Touchstone Study will accomplish two distinct goals. First, it will provide Wolters Kluwer with insights into the current status and aspirational goals of Internal Audit Departments globally and where supporting technology needs to match aspirational goals. Second, it will provide a benchmark for Internal Audit Departments that invest the time in this survey to see how their methodology and maturity stack up against their peers based on the size of the team; industry; location; and years of operation. Opt-in required to attend and earn CPE.

NEW! Implementing and Auditing GDPR Series (10 of 10) October 15, 2020 12:00 PM EST (2 CPE) Cost: $50 (free for subscribers, site license users and IIA members) Protecting personal data has been an important issue for many years. The EUdures to protect personal data, and adopt appropriate technical and organizational measures. UK organizations have had to comply with the Regulation since 25 May 2018, or potentially face fines of up to 4% of annual turnover or €20 million – whichever is greater.

NEW! Ethics and the Internal Auditor October 22, 2020 12:00 PM EST (2 CPE) Cost $50 (free for subscribers, site license users and IIA members) From time-to-time internal auditors are faced with situations which call for them to make an ethical decision. In addition, they may, in the middle of auditing, come across circumstances which themselves appear to be violations of a corporate code-of-conduct. Several laws now specifically state that internal auditors, in terms of the act, will be bound by the IIA Code of Ethics. Opt-in required to attend and earn CPE (limited seating).
Have you ever wondered how you could invest your time and get involved in inspiring future business professionals?

In 2005, The IIA signed a Memorandum of Understanding (MOU) with Junior Achievement (JA) Worldwide to partner in support of good governance and strong ethics in the business community. This partnership is helping to educate K-12 students on business issues such as ethics, integrity, corporate accountability, and financial literacy. Through age-appropriate curricula, JA programs begin at the elementary school level, teaching children how they can impact the world around them as individuals, workers and consumers.

IIA headquarters staff takes time to work as JA volunteers in the community to raise awareness of The IIA and how internal auditors bring value to an organization. Members are encouraged to volunteer with their local JA to make a difference to tomorrow's business professionals.

Why Volunteer?

- The students need you! You can make a difference by helping shape someone's future.
- It is a chance to mentor young people who will soon be part of the work force.
- It is an opportunity to share your experiences and personal stories with young people.
- You can contribute something of value to your community.
- It can be a learning and self-development experience for you, too.
- Your profession needs you! You can explain to tomorrow's professionals what internal auditors do and illustrate the value they bring to an organization.

CAP Points for JA Involvement

Members who volunteer for JA can help their chapter earn Chapter Achievement Program (CAP) points. Each hour volunteered in a classroom teaching a JA sponsored activity is worth points. Even if you’re involved with JA through your workplace, it can still be counted as a JA activity for your chapter. At the end of the school year, the chapters with the highest level of participation with JA will receive the "Most Inspiring Chapter" award and recognition on The IIA Web site. Points can also be earned by hosting a fundraising event for JA.

Program Director
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(239) 225-2565 (fax)
www.JASWFL.org (web)
CIA Study Materials

The IIA's CIA Learning System is a comprehensive training course designed to provide chapters, members, and the audit community of professionals with information and tools to master the global CIA exam syllabus. This premier quality program is aligned with the International Professional Practices Framework (IPPF) to ensure you stay current with the global internal auditing profession and are ready for the CIA exam. It combines printed text with online testing tools and online questions. Take advantage of the best prep materials out there by ordering yours today!

Simply place your order at www.learncia.com/chapters

Partnership

The Southwest Florida IIA Chapter has partnered with Gleim Publications to ensure your success on the CIA exam! Working together, we will provide you the necessary study tools to prepare for this difficult exam.

The Gleim CIA Review System is the most widely used CIA prep on the market and includes everything you need to pass the CIA Exam on your first try. This system features flexible yet structured study steps and comes with the mentorship and guidance of a Personal Counselor.

As a member of the chapter, you are eligible for 20% off all Gleim's CIA Review materials, as well as Gleim CPE. To take advantage of the reduced prices, please contact our chapter representative, Melissa Leonard.

- By phone: (800) 874-5346, Ext. 131
- By email: ryan.bergh@gleim.com
- By fax: (352) 375-6940, Attn: Ryan Bergh

Visit http://www.gleim.com/accounting/cia/ for more information on the CIA Exam or how Gleim can help you pass
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Collier County Sheriff’s Office Newsroom / Media Relations  www.colliersheriff.org/news/ccso-newsroom

ACFE Fraud Library  www.acfe.com/resource-library.aspx

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