Greetings SWVA (Southwest Virginia) IIA Colleagues!

I am proud to present a summary of our 2020-21 chapter year. The report provides an overview of who we are as a chapter and highlights some of the main accomplishments during the past year.

Despite the challenges of distance and navigating a global pandemic, I am proud to say our chapter found new ways to collaborate and bring additional training opportunities to our members. This was due to the efforts of several individuals within the chapter - they are highlighted throughout this report in bold. Thank you to the entire board and officers who volunteered for your efforts and contributions!

As we look forward, my hope is we can build upon some of the collaboration that was developed in the past year while building stronger networks locally. Without meeting in person, we have missed the opportunity to connect and share in a way that benefits the profession. We also have an opportunity to build the Internal Audit profession through academic outreach in our area and advocacy efforts. I encourage any member – please consider volunteering and joining us in these efforts!

All the Best,

Brian Pendleton - President
About the Southwest Virginia IIA

The IIA Southwest Virginia Chapter is committed to improving the internal audit profession through the following primary activities:

- Continuing Professional Education
- Certification
- Advocacy
- Academic relations.

The chapter serves internal audit professionals in a wide area from East Tennessee to Lynchburg with Roanoke being the central location. Our members work and live in Virginia, Tennessee, and West Virginia.
Membership

114 - Chapter Members
as of May 31, 2021

40 - Certified Members
CIA or other IIA designations (~35%)

4 - New Members
Joined Chapter in 2021
Excludes former Mountain Empire Chapter

This map shows membership by location of work headquarters – larger circle shows more members in the area.
Chapter Achievement Program (CAP)

CAP is an achievement/recognition program to recognize chapter activities that align with the IIA’s *North American Strategic Plan*. 

**CAP Achievement Levels**

- **Bronze**: On-time completion of Chapter Health
- **Silver**: On-time Completion of Chapter Health + additional activities
- **Gold**: On-time completion of all activities
- **Platinum**: 10 years with Gold achievement
- **Ruby**: 20 years of Gold achievement
- **Sapphire**: 25 years of Gold achievement
- **Diamond**: 35 years of Gold achievement

SWVA has been Platinum since 2013

All requirements were met for the 2020-21 Chapter year to maintain Platinum status

In addition, SWVA was recently announced as one of 41 chapters nationwide to receive the IIA CIA Premier Partner Award
Training Events

LOCAL EVENTS

Given social-distancing restrictions, no in-person events were held during the 2021 Chapter Year. On September 29, 2020, our chapter did host a virtual CAE Roundtable as a local event made available FREE to chapter members. Thank you to our panelists:

- Sharon Kurek – Virginia Tech
- Margaret McManus – Radford University
- Derek Bonnet – First Citizens Bank
- Mike Taylor – Virginia OSIG

MID-ATLANTIC VIRTUAL SERIES

Instead, a large variety of virtual events was offered in conjunction with the other chapters in the Mid-Atlantic Region:

- Central VA
- Tidewater VA
- Northern VA
- Washington DC

97 CPEs were made available to participants at a cost-effective rate to members.
## Mid-Atlantic Virtual Training Series Events

<table>
<thead>
<tr>
<th>Event</th>
<th>Date</th>
<th>Host Chapter</th>
<th># CPEs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Virginia District Training Academy</td>
<td>Oct 26&lt;sup&gt;th&lt;/sup&gt; – 27&lt;sup&gt;th&lt;/sup&gt; 2020</td>
<td>SWVA, Central, Tidewater VA</td>
<td>16</td>
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<tr>
<td>Fraud Prevention w/ Goli Trump</td>
<td>November 5&lt;sup&gt;th&lt;/sup&gt;</td>
<td>DC</td>
<td>2</td>
</tr>
<tr>
<td>2020 Winter Crowe Event</td>
<td>December 8&lt;sup&gt;th&lt;/sup&gt;</td>
<td>Central VA</td>
<td>4 - 8</td>
</tr>
<tr>
<td>Ethics and Cyber Crime - Protiviti</td>
<td>December 11&lt;sup&gt;th&lt;/sup&gt;</td>
<td>DC</td>
<td>2</td>
</tr>
<tr>
<td>Auditor Bootcamp – Raven Catlin</td>
<td>Jan 25&lt;sup&gt;th&lt;/sup&gt; – Feb 5&lt;sup&gt;th&lt;/sup&gt;</td>
<td>Northern VA</td>
<td>24</td>
</tr>
<tr>
<td>Auditing Diversity &amp; Recruitment - VA OSIG</td>
<td>February 18&lt;sup&gt;th&lt;/sup&gt;</td>
<td>Tidewater VA</td>
<td>1</td>
</tr>
<tr>
<td>Business Continuity Panel</td>
<td>February 25&lt;sup&gt;th&lt;/sup&gt;</td>
<td>Southwest VA</td>
<td>1</td>
</tr>
<tr>
<td>Managing Risk in Uncertain Times – Paul Walker</td>
<td>March 5&lt;sup&gt;th&lt;/sup&gt;</td>
<td>Tidewater VA</td>
<td>2</td>
</tr>
<tr>
<td>Event</td>
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<tr>
<td>Auditor in Charge Bootcamp – Raven Catlin</td>
<td>March 9&lt;sup&gt;th&lt;/sup&gt; – 12&lt;sup&gt;th&lt;/sup&gt;</td>
<td>Northern VA</td>
<td>16</td>
</tr>
<tr>
<td>Digital Auditing – Cross Country</td>
<td>March 18&lt;sup&gt;th&lt;/sup&gt;</td>
<td>Northern VA</td>
<td>1</td>
</tr>
<tr>
<td>IT/Data Analytics – Steve Mar, Pooja Sund</td>
<td>March 25&lt;sup&gt;th&lt;/sup&gt;</td>
<td>Tidewater VA</td>
<td>4</td>
</tr>
<tr>
<td>Next Generation Internal Audit – Protiviti</td>
<td>April 13&lt;sup&gt;th&lt;/sup&gt;</td>
<td>Central VA</td>
<td>2</td>
</tr>
<tr>
<td>30&lt;sup&gt;th&lt;/sup&gt; Annual Williamsburg Fraud Academy</td>
<td>April 28&lt;sup&gt;th&lt;/sup&gt; – 29&lt;sup&gt;th&lt;/sup&gt;</td>
<td>Tidewater VA</td>
<td>16</td>
</tr>
<tr>
<td>May Internal Audit Awareness Month &amp; Member Appreciation Event</td>
<td>May 20&lt;sup&gt;th&lt;/sup&gt;</td>
<td>DC</td>
<td>2</td>
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<tr>
<td><strong>Total Events - 14</strong></td>
<td></td>
<td></td>
<td><strong>97</strong></td>
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Virginia District Training Academy

The Virginia District Training Academy was continued in a virtual format in October 2020. The event was planned as part of the Mid-Atlantic Virtual Training Series with the Central VA and Tidewater VA Chapters.

Thank you to the following members who served as part of the planning committee:

- **Ben Sutphin** - OSIG (District Representative & Event Chair)
- **Jeremy Croy** – Commerce Bancshare
- **Brian Pendleton** – City of Roanoke

Special thank-you as well to **Debbie Rogers** (OSIG) and **April MacInnis** (First Community Bank) who assisted with handling event registration, emails, and technical support for the event and **Keith Gatzke** (Prosperity Life) for assisting with collecting payments.

**Total Attendees – 155**

**CPE Hours – 16**

Please note the 2021 Conference is scheduled for October 25th-26th in Virginia Beach. Check out the Virginia DTA website for more information as we get closer to the event: [https://www.iiavirginiadta.org/](https://www.iiavirginiadta.org/)
CIA Exam Prep Course

The Southwest Virginia Chapter was also able to coordinate a CIA Exam Prep Course this past fall and winter. Materials were made available at cost with a small charge added to recover some of the instructor costs:

- **8** Participants from the SWVA Chapter

This prep class was made possible through the efforts of members of the Southwest VA, Central VA, and Tidewater Chapter. A big thank you is owed to **Ben Sutphin** for coordinating this professional development opportunity.

Moving forward, CIA Exam Prep courses will be handled exclusively by IIA North America Headquarters. While we will not be able to offer exam prep classes, we encourage all of our members to seek certifications as a way to continue to develop professionally and ensure your work is aligned with best practice standards.
Newly Certified Members

Congratulations to each of the following members who obtained their CIA certifications during chapter year 2021!

Derek Bonnett – First Community Bank

April MacInnis – First Community Bank

Did you know – Chapter Members who achieve IIA certification are provided $50 off any local training for their hard work and dedication!
**Chapter Board of Governors**

The following individuals serve as Board Members on the Southwest Virginia IIA:

These board members have a combined 111 years of IIA Membership.

<table>
<thead>
<tr>
<th>Role</th>
<th>Name</th>
<th>Affiliation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Board of Governors</td>
<td>Cindy Mullen-Gartland</td>
<td>Carilion</td>
</tr>
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<td>Margaret McManus</td>
<td>Radford University</td>
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<td>Dawn Taylor</td>
<td>Radford University</td>
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<tr>
<td>Past President</td>
<td>Jeremy Croy</td>
<td>Commerce Bancshares</td>
</tr>
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</table>
Chapter Officers

The following individuals serve currently as Chapter Officers – thank you for your contributions!

Special thank you to Keith Gatzke (Prosperity Life Insurance) who has graciously volunteered to assume the President role after the next chapter year, keeping a succession plan in-place for the chapter!

- **President** Brian Pendleton
  - City of Roanoke, VA

- **President-Elect / Treasurer** Keith Gatzke
  - Prosperity Life Insurance Group

- **Financial Secretary** Tasha Burkett
  - City of Roanoke, VA

- **Secretary / Certifications Officer** April MacInnis
  - First Community Bank

- **Membership Officer** Ashley Stover
  - First Community Bank

- **Programming Officer** Elisabeth Duberry
  - US Department of Justice
Special Acknowledgments

Ben Sutphin

For the previous 4.5 years, Ben served as the District Representative for Mid Atlantic District 1. He worked as part of the Chapter Relations Committee, providing guidance to local chapters in Virginia, DC, and Maryland on ways they can better serve their members. The Virginia District Training Academy was started during his time as DR to provide additional training and networking to members across the state.

Thank you Ben for your leadership!

Debbie Rogers

Debbie has been an integral part of the day-to-day success of the SWVA Chapter. Since 2017, Debbie has volunteered as Chapter Secretary. Debbie also has the longest membership tenure with the chapter – her membership dates back to 1988! Her efforts has been key in ensuring the chapter stays up-to-date with Chapter Achievement Program deadlines. She has worked tirelessly to ensure events are appropriately planned, keeping things running behind the scenes.

Debbie may be stepping back out of the Secretary role but remains onboard as a Board of Governor. Thank you Debbie for your continued support!
## Looking Forward – Priorities for Chapter Year 2022

<table>
<thead>
<tr>
<th>Continuing Professional Education</th>
<th>Advocacy</th>
<th>Certification</th>
<th>Academic Relations</th>
</tr>
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</table>
| • Provide quality training opportunities to local professionals  
• 1-2 In-person events to for training & networking  
• Leverage Zoom and regional alliances to offer a greater variety of virtual training & networking | • Support IIA HQ Advocacy initiatives with chapter involvement  
• Highlight relevant research that promotes the profession through social media channels | • Promote the value of IIA Certifications towards the health of the profession  
• Support members when possible in their certifications journey  
• Celebrate newly certified members | • Seek opportunities to visit colleges and universities in the area to promote the profession to students, building the pipeline of future professionals  
• Strengthen ties to academia |
Stay Connected & Up-to-Date

Primary communications are provided through the chapter email address – Chapter175@iiachapters.org

In addition, check out our Chapter Website at https://chapters.theiia.org/southwest-virginia

Note – you can expect to see updates during 2021-22 on our chapter website as IIA HQ rolls out a newly designed website intended to be member-friendly

Follow us on Social Media!

https://www.facebook.com/SWVirginialIA/

https://www.linkedin.com/company/iia-southwest-virginia-chapter/