President’s Message
Nikki Lanier, CPA

Chapter Members, I have had the honor of serving as the Springfield Chapter President for the last two years. It has been an interesting journey! We successfully transitioned to a virtual training platform as we navigated the global pandemic. The virtual platform allowed us to continue to provide high quality training to our members. A huge shout out goes to Leighann Manning for coordinating and hosting these training sessions. She made the transition seem flawless and deserves many thanks. With that being said, the Chapter requires many volunteers to ensure it runs smoothly. I would like to acknowledge the many years that Amy DeWeese Macklin has contributed to the Board as Co-Treasurer. She has proved to be a very valuable member of the Board. Sally Burton, Chapter Secretary, will also be stepping down. Sally has done a great job of ensuring things were on track behind the scenes for training registrations and issuance of CPE (among many other things). Thank you for your contributions, Amy and Sally! I also send sincere appreciation to all of our Board members for their volunteerism.

I am pleased to hand over the Chapter leadership to our new President, Leighann Manning. She has been an active volunteer for many years and will bring extensive knowledge to her leadership role. Congratulations to Katrina Woodcock who will be taking over Leighann’s position and coordinate the training. Lastly, I would like to congratulate the newly elected and re-elected members of the Board:

Officers
2nd Vice President: Staceyann Cabey-Kaufmann
Secretary: Angela Bartlett
Co-Treasurer 1: Joe Kuhlman
Co-Treasurer 2: Ron Teichman

Governors
Nick Barnard – 3-year term
Denise Behl – 3-year term
Amy Lyons – 3-year term
Doug Tinch – 3-year term

Thank you for the opportunity to serve as your Chapter President!

Internal Audit Awareness Month

The Institute of Internal Auditors (IIA) encourages members, chapters, and Institutes around the world to actively promote internal auditing’s value during International Internal Audit Awareness Month each May and year-round.

We should all be proud of the internal audit profession. We gain in-depth, up-close understanding of processes, policies and procedures of our agencies and organizations. When it comes to adding value across the board, there’s no better resource than internal auditing. See more details provided by Katrina Woodcock on p. 3.

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May Roundtables

Leighann Manning, CIA, CGAP

In partnership with the Illinois State Audit Advisory Board (SIAAB), we will once again have our Spring Roundtables! The last Spring Roundtables were held 2 years ago in 2019! We will host them virtually via Zoom on Monday, May 24th, starting at 8:30 a.m. Just like in previous years, there will be 3 separate roundtables: Internal Audit Staff, IT Auditors and Chief Internal Auditors. If you have topics you would like to hear discussed, please notify me or any other chapter leader! Participants can earn up to 4 CPE.

The roundtables in May will wrap up our Chapter’s year of training. Pandemic related difficulties aside, the Chapter has had a successful and positive training year. The Springfield IIA Chapter offered a total of 48 CPEs across 6 different presenters and a myriad of topics, not including the SIAAB Fall Conference.

This concludes my year for programming. While it was challenging at times to say the least, it pushed me to grow and learn. I am always grateful for member feedback, whether positive or negative on the trainings and trainers. Please continue providing feedback because while we all have differing opinions, it is how we grow and improve! I would like to personally thank those who attended our trainings over the year. You had growing pains and learning curves thrown at you via using a virtual platform and everyone did great! I hope you learned as much as I did this year. I do look forward to being with everyone again in person and hope that is someday soon! I would also like to thank Nikki Lanier for her dedication as our Chapter President for the last two years. Her dedication and persistence were a guiding force in the Chapter making it through such an unprecedented year.

Mark your calendars and please join us for the virtual roundtables on Monday, May 24th at 8:30 a.m. Register here: https://na.eventscloud.com/ereg/index.php?eventid=617360

Healthy Ways to Cope with Stress

The following is from the CDC coronavirus webpages, but the advice is true for any time – not just during this pandemic:

**Take breaks from watching, reading, or listening to news stories**, including those on social media. It is good to be informed but hearing about the pandemic constantly can be upsetting. Consider limiting news to just a couple times a day and disconnecting from phone, tv, and computer screens for a while.

**Take care of your body.**
- Take deep breaths, stretch, or meditate.
- Try to eat healthy, well-balanced meals.
- Exercise regularly.
- Get plenty of sleep.
- Avoid excessive alcohol, tobacco, and substance use.
- Continue with routine preventive measures (such as vaccinations, cancer screenings, etc.) as recommended by your healthcare provider.
- Get vaccinated with a COVID-19 vaccine when available.

**Make time to unwind.** Try to do some other activities you enjoy.

**Connect with others.** Talk with people you trust about your concerns and how you are feeling.

**Connect with your community- or faith-based organizations.** While social distancing measures are in place, try connecting online, through social media, or by phone or mail.

Proud to be an Internal Auditor!
Katrina Woodcock, CPA

May is International Internal Audit Awareness Month. The Institute of Internal Auditors (IIA) encourages its members to promote the value internal audit can bring to their respective entities every May. As internal auditors, we promote the value of internal audit year-round with our audits and special projects assigned by the Director or the Board if your audit shop reports to one. Our work brings value to our organizations by identifying and managing risks, strengthening processes through recommendations, and ensuring controls are in places and working appropriately.

Not only do we bring value to our organizations, but another reason to like being an internal auditor is learning about the organization’s processes, systems, control areas, or even new undertakings, traveling for site visits, and meeting new contacts within our organizations. To me, I find it inspiring to meet new contacts in our organizations especially those who are passionate and proud about their work and how it impacts people’s lives. It encourages me to be a better internal auditor knowing there are people who work in our organization who love what they do.

If you are proud to be an internal auditor, let it show in your work and when you meet new business contacts and people who are interested in what internal audit is about. Enthusiasm is infectious. When you show you are someone who genuinely cares about their work and who can help improve current processes through recommendations, the more people will remember you and want to work with you.

There are few professions who can contribute to the success of their organizations like internal audit does! I hope you find a way this May to promote the valuable work of internal audit. For ideas to build awareness of internal audit, feel free to visit the following links:
https://na.theiia.org/about-us/about-ia/Pages/Promoting-the-Profession.aspx

Springfield Chapter of the Institute of Internal Auditors
2020-2021 PROGRAM SCHEDULE

<table>
<thead>
<tr>
<th>Date</th>
<th>Speaker</th>
<th>Program</th>
<th>Hours</th>
<th>CPE</th>
<th>Members</th>
<th>Non-Members</th>
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<tbody>
<tr>
<td>Monday, May 24, 2021</td>
<td>Roundtables</td>
<td>Chief/Staff/IT Roundtables</td>
<td>8:30 – 12:30</td>
<td>4</td>
<td>Free</td>
<td>Free</td>
</tr>
</tbody>
</table>

Cancellations should be made at least 24 hours before the meeting to avoid a charge.
Meetings are currently being held remotely for the 2020-2021 Program Year.
Internal Audit’s Digital Transformation Imperative: Advances Amid Crisis, Analyzing the Impact of 2020 on Internal Audit Functions’ Implementation of Technology

In November 2020, the Internal Audit Foundation, in collaboration with AuditBoard, conducted a survey to understand how internal audit leveraged technology to respond to the year’s challenging and fast-changing conditions, in addition to examining how technology was used prior to the pandemic. The survey was designed to gather data and provide answers to three key questions:

- Did audit functions’ use of technology accelerate, decelerate, or stay the same during the business disruption of 2020?
- How has the use of technology helped internal audit functions explore and adapt to the changing conditions?
- What have been the most effective technologies used?

You can download a complimentary copy from:
http://theiia.mkt5790.com/Foundation_IAsDigitalTransformation_ImperativeReport

Learning, Growth, and Inclusion

By Lauressa Nelson

Just weeks after accepting his position as IIA President and CEO, Anthony Pugliese’s schedule was jam packed with IIA-related activities. That whirlwind will no doubt intensify as Pugliese officially takes the helm, replacing longtime leader Richard Chambers. He says he is enthusiastic about the potential for a more vibrant, innovative, and future-ready internal audit profession — and IIA. His vision prioritizes new approaches to learning and training; technological advancement and acumen; human intelligence skills; and diversity, equity, and inclusion (DE&I) — all vital to internal audit’s long-term growth and relevance, he says.

"Internal auditors get to see the whole organization in a way that not many others do," Pugliese says. "That can be challenging, but it’s also exciting because it never stops changing and our profession gets to be in the middle of it, advising management and giving assurance to shareholders and audit committees."

Pugliese’s broad experience includes seven years at Deloitte, 21 years at the Association of International Certified Professional Accountants (AICPA), and more than two years in his most recent position, president and CEO of the California Society of CPAs (CalCPA), the largest state CPA organization in the U.S. The IIA’s Global Executive Search Committee selected him after a meticulous, stakeholder-informed global search. "Anthony has the breadth, depth, and scale of experience, business acumen, and strategic thinking that will facilitate the growth of The IIA and ready it for the future of the internal audit profession — from membership and global advocacy to digital transformation and technological innovation," says Mike Joyce, Blue Cross Blue Shield Association vice president, chief auditor and compliance officer, who chaired the committee.

You can read the entire article introducing Anthony Pugliese at
https://iaonline.theiia.org/2021/Pages/Learning-Growth-and-Inclusion.aspx
This Month in Chapter History

May 2016

Tracy Allen, CPA, CISA, served as Chapter President.
- Training. The chapter met at Northfield Center for the afternoon seminar “The Auditor’s Highlight Reel: Preparing For Your Next Assignment.”
- Presenter: Mr. Jim Dahlquist, from the Office of the Auditor General.

May 2011

Coleen Jordan, CIA, CPA, served as Chapter President.
- Training. The chapter met at the Northfield Center for an afternoon seminar on “Risk Assessments and COSO.”
- Presenter: Chris Mower from Crowe Horwath.
- New Position. Former Chapter President Jay Wagner was appointed the new Chief Internal Auditor for the Department of Veterans’ Affairs. Doug Tinch was appointed the Chief Internal Auditor for Capital Development Bd.
- Condolences. Chapter member Dave Williams passed away at age 46. He worked for the State for many years, most recently at HFS. He has two small children.

May 2006

Tina Neely, CIA, CISA, CGAP, served as Chapter President.
- Training. The chapter met at the Northfield Center for a half-day seminar on “Personality Types – Dealing with Them.”
- Presenter: Rick Owens, State Farm.
- Recognition. This annual “Chapter Recognition” thanked: Tina Neely, Brian Bond, Doug Tinch, Jay Wagner, Ray Piiparinen, Steve Kirk, Cary Franks.
- New Position. Dan Kahle accepted a job with the ICC. Dan was the auditor for DCCA before he joined the Bronner Group. Barb Minton took a position outside with DCMS.

May 2001

Deanna Marvin, CIA, CISA, served as Chapter President.
- Training. The chapter met at the Northfield Center and held a Chapter Recognition Night.
- Presenter. Special Agent Robert C. Anderson of the FBI presented the afternoon seminar on “Fraud Detection and Investigation.”
- Dinner. Connie Klutnick, Supervisor of Training at the Illinois Department of Revenue presented “Seeking Consensus.”
- Member Profile. Elaine J. Lyons, CIA, CISA, MCSE, MCDBA, CEO for the EISIS Company.
- Gold. Our chapter achieved the coveted “Gold” Chapter Achievement Program award.
- Exam. President Deanna Marvin and Historian Harry Thurau passed CGAP exam.
- New Members. Kelly L. Donovan, Ted Tracy, Department of Agriculture, Valerie Koch, Attorney General, Melissa Oller, Board of Education, Michael Taylor Parker.

May 1996

Mark Krell, CIA, served as Chapter President.
- Training. The chapter met at the Hilton for a pre-dinner seminar that consisted of two concurrent sessions:
  - “Creating the future – Internal Audit Strategic Planning,” presented by Daniel Levenson, CPA, CISA, and Mark Hamill, with Deloitte & Touche in Chicago.
- Dinner. Alfred Arkley, Ph.D., Professor of Management at UIS, spoke on “Negotiating Effective Agreements.”
- Exam. John M. Ostrander and Kay F. Summers of Horace Mann and Harry J. Thurai of DNR passed the CIA exam.
- Prizes. $20 to $100 prizes were awarded to Merlyn Hepperly, Jeff Anderson, Andrea Stallings, Larry Ragel, and Nick Marsters.

May 1991

David W. Oehmke, CIA, CPA, served as Chapter President.
- Presenter. Terrence Hake, Inspector General for the Regional Transportation Authority and former Agent of the FBI.
- Member News. Special thanks went to Judy Lazar and Jane Locascio for a terrific series of dinner meetings; Jim Kincaid, the year’s “Full-Day Seminars” Chairman; and Sharon Stapleton for being the Continuing Professional Development Committee’s first Chairperson.

May 1986

William B. Winberg, CPA, served as Chapter President.
- Training. The chapter met at the Sheraton for an after-dinner seminar on “Internal/External Audit Relationships.”
- Officers. The proposed slate of officers for 1987 were: President – Dan Bedell, CIA; Vice President – Brad Hammond; Secretary – Nita Wilson; Treasurer – Lillian Stevens; and Governors – Paul Armento, Ed Buckles, CPA, Judy Parker, and Bob Schwarz, CISA.

May 1981

Vincent G. Brackett served as Chapter President.
- Training. The chapter met for an after-dinner seminar on “White Collar Crime.”
- Presenter. James A. Bourke, Supervisor at the FBI.
Another IIA Chapter year is coming to an end. This means there will be a short pause in training provided by the Chapter until Katrina takes the training reigns in the fall from Leighann who did a wonderful job despite the pandemic. I am looking forward to seeing what Katrina has in store for us. This also means there will not be another Audit Trails Newsletter until training starts up again. The training will start as online training at the start of the new Chapter year, but most of us cannot wait for things to start getting back to normal.

Speaking of getting back to normal: I was so happy I was able to visit one of my daughters earlier this week. It has been about a year and a half since I saw any of our three children in person, and I still do not know when I will be able to visit my parents in Finland. It seems the international travel rules change from moment to moment, and no website can keep up with the updates of who can enter each country and what is needed to board the plane. I am in no hurry to travel until I hear an “all clear.” I am truly fortunate that my elderly parents have learned to use videoconferencing. We video conference weekly.

I hope you all will have a safe and wonderful summer! If you have any ideas for the newsletter, please email me at satu.allen2@illinois.gov.

A picture of my flowering cactus