It’s spring! I’m sure everyone has been enjoying this warmer weather, longer days, and the beginning of mowing season...well at least two of those three hopefully!

We are winding down to only a few more chapter events left this program year before we can all take a break and enjoy summer.

The month of April will bring us two events: an Audit Roundtable session on April 10th and a joint meeting with the Central Illinois Chapter on April 19th.

We will end the program year in May with a full day of training with one of our Chapter favorites, Leita Hart.

With the arrival of Spring, it’s also time to vote on new chapter Officers. Now is the time to nominate yourself or others to lead our chapter into excellence during the next program year and beyond. Nominations will be open until April 10th. Please send any nomination requests to me at dbehl@isbe.net. Be sure to watch your email in mid April for electronic ballots to vote on your new Officers and Governors.

This month’s training seminar will be about “Implementing Preventative Fraud Controls and Conducting Fraud Investigations”. It will be a joint seminar between the Central Illinois Chapter and the Springfield IIA Chapter.

The seminar will be held on April 19, 2012 at the Northfield Center. It will be presented by Rubin Brown. Lunch will be served at 12:00 noon and the seminar will begin at 1:00 p.m.

Earlier in the month, on April 10th, our chapter will be offering a free 2-hour CPE seminar for the second time this chapter year. It will be a roundtable at the Illinois Emergency Management Agency, which is located at 2200 South Dirksen Parkway in Springfield. The seminar will begin at 2:30 p.m. and will end at 4:30 p.m. Snacks will be provided. Items for discussion may include the following:

- SB3794 and SIAAB’s response to Senator Holmes
- The proposed changes to the International Standards
- Peer Review/External Validator FAQ/discussion on how agency’s should prepare (best practices).
- FCIAA – checklist and best practices to make it a better tool for the agencies

To register, please visit the following address: www.theiia.org/chapters/index.cfm/view.tellus/cid/145.
5 Years Ago – April 2007

Tina Neely, CIA, CGAP, served as the Chapter’s President. A joint meeting with the Central Illinois Chapter was held this month at the Hilton Inn & Garden Suites. The half-day seminar was titled “Corporate Social Responsibility,” and was presented by Jim Key, Principle Partner with the Shenandoah Group, a global risk management and assessment firm which helps audit organizations add value to their organization.

The IIA recognized the need to offer training specific to the public sector and scheduled a three-day seminar in Springfield on August 6-9.

10 Years Ago – April 2002

Brian Bond, CPA, was the Chapter President. This month’s meeting was held at Northfield Center. The pre-dinner speakers were Nancy Wong, Kathleen Kenyon, and Tom Bello of the national Critical Infrastructure Assurance Office who spoke on “Information Security and Audit Community’s Role.” The after-dinner speaker was Brian Kruk, IIA Field Services Manager, who spoke on “The IIA Standards.”

Congratulations were once again extended to the Chapter for completing the Chapter Achievement Program and earning the “GOLD” designation. The Springfield Chapter has obtained this coveted status since the inception of the Chapter Achievement Program in 1987.

This month’s member profile was on Doug, Tinch, CIA, CPA, CISA, Supervisor, Clifton Gunderson, LLP.

15 Years Ago – April 1997

Larry Ragel, CPA, was the Chapter President. A joint meeting was held with ISACA at the Ramada Inn and it was the “Chapter and CIA Recognition Night.”

The pre-dinner seminar was “New Challenges to Auditing Systems Development Life Cycle,” presented by Al Marcella Jr., CISA, Professor at Millikin University. The after-dinner presentation was by Fred Jarosz, President Speechmakers International who spoke on “Eight Mistakes You Can’t Afford to Make.” Generosity! An Act used to describe this month’s program award recipient, Brad Hammond, from DNR after receiving a silver plated presentation pointer; Brad offered it back to the college students: the winner was David Mills, From Illinois College in Jacksonville.

20 Years Ago – April 1992

Ronald Omer was the Chapter President. This month’s meeting was held at the Best Western.

The after-dinner meeting topic was “Emerging Technologies, Overview Needed Strategy Shifts, and a Detailed Look at High Impact Technology Trends.” The speaker was James M. Barrett, CPA, Price Waterhouse, Chicago.

New Chapter members were Robert Dawes, Maurice Graham, Daniel Kahle, Carol Kraus, and Greg Wilson.

25 Years Ago – April 1987

Dan Bedell, CIA, was the Chapter President. This month’s meeting was held at the Sheraton Inn.

The pre-meeting seminar speaker was John Inhoff, Chief Criminal Investigations for the Federal Internal Revenue Service, who spoke on his work and its relationship to the concerns of Internal Auditors.

The after-dinner program was a discussion of the changes and provisions of the “Tax Reform Act of 1986” and its impact on taxpayers. The presentation was given by the accounting firm of Kerber, Eck and Braeckel.

30 Years Ago – April 1982

Rudy Davenport was the Chapter President. The April meeting was held at the Hilton’s Top of the Arch in Springfield. The pre-meeting seminar was titled “Effective Report Writing” and was presented by Rudy Davenport, CIA, Illinois Department of Rehabilitation Services. The after-dinner speaker was Jim Stricker, Illinois Department of Law Enforcement, Special Agent, who spoke on “Fraud Detection.”

Congratulations were extended to Sandy Kirchner, who was appointed the Internal Auditor for Sangamon State University, Springfield, Illinois. She formerly worked with the Public Affairs Department of the city of Springfield where she served as an internal auditor in the mayor’s office.

Also, congratulations were extended to Ben Zemaitis, CIA, CPA who became the Chairman of The IIA’s International Membership Committee, in addition to serving as the District Director.
Intelligence agencies are instruments of national power although no one knows exactly what intelligence agencies really do. The very nature of intelligence often means that successes are not public knowledge for years. Still, from what little has emerged, one can have an idea of some of the better intelligence services:

10. Australia

The Australian Secret Intelligence Service (ASIS) is responsible for collecting foreign intelligence, undertaking counter-intelligence, and cooperating with overseas intelligence agencies. For more than 20 years, the existence of the agency was a secret even from its own government.

9. India

The Research and Analysis Wing (RAW) was formed in 1968 after wars with China in 1962 and Pakistan 1965. It is responsible for obtaining and analyzing information about foreign governments, corporations, and persons, in order to advise Indian foreign policymakers.

8. France

The Directorate General for External Security (DGES) works with the Central Directorate of Interior Intelligence in providing intelligence, national security, and paramilitary, and counterintelligence operations abroad. The agency employs more than 5,000 people.

7. Russia

The Federal Security Service is the main successor of the Soviet KGB. It is involved in counter-intelligence, internal and border security, counter-terrorism, and surveillance. The number of personnel and budget remain state secrets, although the budget reportedly jumped nearly 40% in 2006.

6. Germany

The Bundesnachrichtendienst (BND) acts as an early warning system to alert the government to threats from abroad. It depends heavily on wiretapping and electronic surveillance of international communications. As Germany’s only overseas intelligence service, the BND gathers both military and civil intelligence.

5. China

The Ministry of State Security (MSS) is China’s intelligence agency. Its mission is to ensure that “effective measures are taken against enemy agents, spies, and counter-revolutionary activities designed to sabotage or overthrow China’s socialist system.” The law gives the MSS the same authority to arrest people as regular police. Its agents have operated in the U.S., Canada, Western and Northern Europe, and Japan as businessmen, bankers, scholars, and journalists.

4. USA

The Central Intelligence Agency (CIA) is the largest intelligence agency in the world. It is highly funded and technologically the most advanced intelligence set-up. The agency conducts covert operations and paramilitary actions, and exerts foreign political influence through its Special Activities Division. The CIA also engages in covert activities at the request of the President. The CIA was formed in 1947 and employees 20,000 people.

3. United Kingdom

The UK’s military intelligence (MI-6) has long had a public perception of being an effective intelligence agency due to the success of the James Bond movies. The British Official Secrets Act also prevents leaks. In the Cold War, MI6 recruited Oleg Penkovsky, who played a key part in the Cuban Missile Crisis, and sabotaged the Tu-144 supersonic airliner program by altering documents for Russian KGB agents.

2. Israel

The Mossad has a long list of successes. It is responsible for intelligence collection and covert operations, including paramilitary activities. It is one of the main entities in the Israeli Intelligence Community, along with Aman (military intelligence) and Shin Bet (internal security).

1. Pakistan

The best known intelligence agency so far is Pakistan’s Inter-Services Intelligence (ISI). It was created in 1948 to strengthen the performance of Pakistan’s Military Intelligence during the Indo-Pakistani War of 1947. Its success in achieving its goal without leading to a full scale invasion of Pakistan by the Soviets is a feat unmatched by any other. It has had no double agents or defectors through its history. Its policies are made by the army. Its personnel have never been caught on camera. It is believed to have the highest number of agents worldwide, close to 10,000.
# Springfield IIA Chapter
## 2011-2012 PROGRAM SCHEDULE

<table>
<thead>
<tr>
<th>Date</th>
<th>Speaker</th>
<th>Topic/Program</th>
<th>Hours</th>
<th>CPE</th>
<th>Members</th>
<th>Non-Members</th>
</tr>
</thead>
<tbody>
<tr>
<td>09/27/11 to 09/28/11</td>
<td>Various</td>
<td>State Internal Audit Advisory Board</td>
<td>8:30 - 4:30</td>
<td>14 (Gov’t)</td>
<td>$70</td>
<td>$70</td>
</tr>
<tr>
<td>10/27/11</td>
<td>Jeff Thompson</td>
<td>Vulnerability Assessment and Cybercrime</td>
<td>12:30 - 4:30</td>
<td>4</td>
<td>$35</td>
<td>$50 (Students: $20)</td>
</tr>
<tr>
<td>11/08/11</td>
<td>Chief Audit Executives</td>
<td>Audit Roundtable</td>
<td>2:30 - 4:30</td>
<td>2</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>11/17/11</td>
<td>Chris Bauer</td>
<td>Professional Ethics</td>
<td>8:00 - 12:00</td>
<td>4</td>
<td>$50</td>
<td>$75 (Students: $20)</td>
</tr>
<tr>
<td>01/27/12</td>
<td>Connie Valencia</td>
<td>Risk Assessments and IT Compliance</td>
<td>8:00 - 3:00</td>
<td>7</td>
<td>$100</td>
<td>$125 (Students: $20)</td>
</tr>
<tr>
<td>02/16/12</td>
<td>Jeffrey Berk</td>
<td>Champions of Change</td>
<td>8:30 - 4:30</td>
<td>7.5</td>
<td>$100</td>
<td>$125 (Students: $20)</td>
</tr>
<tr>
<td>03/22/12</td>
<td>Steve Minder</td>
<td>Audit Program Development; Diagnosing the Enablers of Fraud; and Breaking the Fraud Triangle</td>
<td>8:00 - 4:30</td>
<td>7.5</td>
<td>$100</td>
<td>$125 (Students: $20)</td>
</tr>
<tr>
<td>04/10/12</td>
<td>Chief Audit Executives</td>
<td>Audit Roundtable</td>
<td>2:30 - 4:30</td>
<td>2</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>04/19/12</td>
<td>Rubin Brown</td>
<td>Implementing Preventative Fraud Controls and Conducting Fraud Investigations</td>
<td>1:00 - 4:30</td>
<td>4</td>
<td>$50</td>
<td>$75 (Students: $20)</td>
</tr>
<tr>
<td>05/18/12</td>
<td>Leita Hart</td>
<td>“The Art of the Finding”</td>
<td>8:00 - 4:30</td>
<td>7.5</td>
<td>TBD</td>
<td>TBD</td>
</tr>
</tbody>
</table>

Cancellations should be made at least 24 hours before the meeting to avoid a charge. Meetings will be held at the **Northfield Center**, unless noted differently.
One of my favorite TV ads is the one that Monster.com aired some years ago. The ad periodically comes in to my head and makes me smile. It begins with kids saying what they want to become when they grow up:

When I grow up, I want to:
- Work 14-hour days
- Reach middle management
- Have a big head
- Be washed up by age 40
- Be considered overhead
- Have no backbone
- Be a hack
- Use terms no one understands
- Take early retirement!
- Bust my a _ _ _ for a $1,500 job
- Be a kiss-up
- Have a bad temper.

If you are smiling, you’re not alone!

President Lincoln

As we approach the death anniversary of President Lincoln in April, you may be interested in some things about his life:

- He patented a system to alter buoyancy of steamboats in 1849.
- He was the first President to have a beard
- He suffered from deep depression.
- He died on April 15, 1865 at the age of 56.
- One week before his death he had a dream of someone crying in the White House. When he found the room and asked who had passed away, the man in the room said the President. When he looked in the coffin it was his own face that he saw.

The Board of Governors consists of all Officers, Governors, and the President(s) in the past two years who are chapter members.
CPE Reporting
Steve Kirk, CIA

As reported in February’s newsletter, the IIA is changing the way CPE is required to be reported. When contacted, the IIA Headquarter provided the following information:

- Certified individuals will be required to report CPE every year, rather than every other year. The reporting date has been moved from May to December. The IIA is still in the process of moving everyone’s date before the May 2012 reporting deadline and said not to worry if yours still reflects a May date as all should be changed to December soon.

- If you have an even numbered (0 is considered even) IIA Member Identification number (not your certificate number), you will be reporting by December 31, 2012 the hours for calendar years 2010, 2011, and 2012 for this first reporting period. If you have an odd number IIA Member Identification number, you will be reporting by December 31, 2012 hours for calendar years 2011 and 2012. Starting with Calendar Year 2013, everyone will report their CPE by December 31 of each year.

- They expect to have the revisions to the on-line reporting system made by the end of August so people can begin reporting as early as September if they wish.

Ethics
www.bauerethicsseminars.com, Submitted by Cary Franks

Research shows that fraud is discovered more often by chance than by formal oversight or audits. Many folks’ response to this seems to be that this means everybody should constantly be looking over everybody’s shoulder.

It is important to increase oversight and train employees to be vigilant. However, there are dangers to this as well. If your employees are constantly feeling stalked by peers and co-workers, goodwill will be far from the most likely results. (And among the highest risk factors for fraud? Employee alienation and ill-will.)

Here’s a different spin you can use. During employee orientation, set a tone that your organization places a high value on cooperation and peer support. Should a worker notice another worker doing something that doesn't seem quite right, the expectation is they will share it with the coworker so both can do the best job possible for the organization.

In this light, peer vigilance becomes a tool for everyone's success. Mind you, the fraudsters won't like this, but that’s part of the point!