President’s Message
Tracy Allen, CPA, CISA
Chapter President

As the elections come up, you can get to know the Chapter Officer candidates:

President – Tracy Allen, CPA, CISA, has previously served the Chapter as 2nd Vice President, 1st Vice President and President. Tracy has a Bachelors of Science in Accountancy from SIU Carbondale. He has over 22 years of experience in accounting and auditing during which he worked at the Illinois Environmental Protection Agency, Department of Public Aid, Department of Human Services, Department of Children and Family Services, Illinois Office of Internal Audit and is currently the Chief Internal Auditor for the Illinois Office of the Comptroller. He is the father of 3 children; Anna, Hannalisa and John, and lives with his wife Satu in Petersburg.

1st Vice President – Jay Wagner, CIA, CISA, has served the Springfield IIA Board since 2005, including two years as president. Jay is currently the Chief Internal Auditor for the Illinois Office of the Attorney General, and previously served as the Chief Internal Auditor for the Department of Veterans’ Affairs. Jay was also previously employed as staff auditor for both the Attorney General and Auditor General. He and his wife, Catrina, have identical twin daughters, Kenleigh and Addison.

2nd Vice President - Leighann Brown-Manning is the Chief Internal Auditor for the Illinois Department of Veterans’ Affairs. Leighann has a Masters of Public Health degree from the University of Illinois at Springfield and Bachelors of Arts degrees in Business Management, Public Administration, Psychology and Political Science from Blackburn College. She has over 13 years of auditing experience during which she also worked for the Illinois Office of the Auditor General. She lives with her husband Jeremy in Springfield.

Secretary – Emily Durbin, CIA, CGAP, has served two terms as the Chapter’s secretary beginning in 2013. She is an alumna of the University of Illinois at Springfield, where she earned a Masters of Arts in Accountancy.

Fraud Risk Management for Internal Auditors
Jay Wagner, CIA, CISA
Chapter First Vice President

On April 23, 2015, we are excited to welcome Mr. John Hall to teach “Fraud Risk Management for Internal Auditors.” This will be our annual joint meeting with the Central Illinois IIA.

All business entities have fraud risks. This program targets the fraud risk expectations of internal auditors. Management, the public, and the press all expect that auditors possess fraud prevention and detection expertise. But even very experienced professionals acknowledge that handling wrongdoing, misconduct and fraud issues, while interesting, is an area of great uncertainty and risk. This ‘how to’ will help you bridge the gap between expectations and your ability to confidently audit the risks of fraud in your areas of responsibility.

John J. Hall, CPA, is an author, speaker and results expert who presents around the world at conventions, corporate meetings and association events. Throughout his 35-year career as a business consultant, corporate executive and professional speaker, John has helped organizations and individuals achieve measurable results. He inspires audience members in corporations, not-for-profit organizations and professional associations to step up, take action and “do what you can.” John balances his demanding travel schedule with extended stays at home in Colorado where he and his wife Kris enjoy biking, hiking, snowshoeing and snowboarding.

We hope you will join us for this important seminar, and mingle with our friends from the Central Illinois IIA.
## Springfield Chapter of the Institute of Internal Auditors
### 2014-2015 PROGRAM SCHEDULE

<table>
<thead>
<tr>
<th>Date</th>
<th>Speaker</th>
<th>Program</th>
<th>Hours</th>
<th>CPE (Members)</th>
<th>Non-Members</th>
</tr>
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<tbody>
<tr>
<td>9/11/14</td>
<td>Nejolla Korris</td>
<td>Pinocchio Effect, Linguistic Lie Detection, and Ethics</td>
<td>8:30 – 4:30</td>
<td>7 (Ethics 4 hours)</td>
<td>$100 $125</td>
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<td>$100</td>
<td>$125</td>
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<tr>
<td>10/29/14</td>
<td>Various</td>
<td>Annual SIAAB Fall Government Auditing Conference</td>
<td>8:30 – 4:30</td>
<td>14 (Gov’t)</td>
<td>$75  $75</td>
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<tr>
<td>11/20/14</td>
<td>Bill Jelen</td>
<td>Power Excel with Mr. Excel – 567 Excel Mysteries Solved</td>
<td>8:30 –11:30</td>
<td>3</td>
<td>$60  $75</td>
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<tr>
<td></td>
<td>✓ Seminar at UIS</td>
<td></td>
<td></td>
<td>$60</td>
<td>$75</td>
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<td></td>
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<td></td>
<td></td>
<td>$60</td>
<td>$75</td>
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<tr>
<td>December 2014</td>
<td>N/a</td>
<td>No Training Planned</td>
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<tr>
<td>01/22/15</td>
<td>Rob Cameron, CPA</td>
<td>Enhancing Internal Audit’s Effectiveness in the Organization – A Look at the Proposed Enhancements to the Internal Professional Practices Framework</td>
<td>12:30 - 4:30</td>
<td>4</td>
<td>$50  $50</td>
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<tr>
<td>02/26/15</td>
<td>Brad Rachmiel and Brennan Warren</td>
<td>Auditing a Modern Cyber Program</td>
<td>12:00 - 4:00</td>
<td>3</td>
<td>$60  $60</td>
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<tr>
<td>03/25/15</td>
<td>Dr. Joan Pastor</td>
<td>Adding Value through Communication and Collaboration in Your Organization ✓ Joint Meeting with ISACA</td>
<td>8:00 – 4:30</td>
<td>7</td>
<td>$125 $125</td>
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<td>$125</td>
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<tr>
<td>04/23/15</td>
<td>John Hall</td>
<td>Fraud Risk Management for Internal Auditors ✓ Joint Meeting with Central Illinois Chapter</td>
<td>8:00 – 4:30</td>
<td>7</td>
<td>$125 $125</td>
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<tr>
<td>05/28/15</td>
<td>Steven Minder, Carol Kraus</td>
<td>Reporting, findings, and Follow up Grants Requirements</td>
<td>8:00 – 4:30</td>
<td>7</td>
<td>$60  $60</td>
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Cancellations should be made at least 24 hours before the meeting to avoid a charge. Meetings will be held at the Northfield Center, unless noted differently (i.e., November 2014 at UIS).
This Month in Chapter History

5 Years Ago (April 2010)

Larry L. Stone, CISA, CCP, CRPC, served as the Chapter President.
• The Central Illinois and Springfield Chapters of the IIA presented Paul Rozek, Security Advisor for Jefferson Wells International, on April 15, 2010. His training was titled “Social Engineering – Hacking the Human Trust” and was held at the Northfield Center.
• Congratulations to Jane Hewitt Cullen and Ron Faith who recently received their CGAP certifications and to Ted Tracy who received his CIA Certification. They all worked for the IOIA.

10 Years Ago (April 2005)

Stephen Kirk, CIA, CGAP, served as the Chapter President.
• A joint meeting with the Central Chapter was held at the Abraham Lincoln Presidential Library. The Chapter’s morning training seminar was titled “Project Management” and was presented by Ed Robinson, CSP, CPA. IIA International Chairman Betty McPhilimy, CIA, CPA, CFE, was our lunch speaker and discussed the unprecedented attention that internal auditing was receiving from senior management, boards, shareholders, and regulators.
• Congratulations to Kristal Berck, the Chapter’s Secretary, who accepted a position with CMS.

15 Years Ago (April 2000)

Rusti Cummings, CPA, served as the Chapter President.
• This month was a joint meeting with ISACA. The afternoon seminar was “Microsoft Windows 2000” presented by Jim Doedtman, Technical Director, and Jack Reynolds, Manager of Network Consulting for Levi, Ray and Shoup. The after-dinner speaker was Ron Sherman of State Farm who presented “Auditing Information Technology.”
• The Member Profile this month was on Tom Fitzgerald, Supervisor, Management Request Audit Unit for the Illinois Department of Human Services.
• Chapter member Dave Looby accepted a new internal auditing position in St. Louis and Rita Crim accepted a new job in accounting.

20 Years Ago (April 1995)

Nancy Hilger, CPA, served as the Chapter President.
• This month’s meeting was held at the Best Western Springfield East. It was a joint meeting with ISACA. The after-dinner presentation was given by Jim Wavering, Senior Account Manager, S.E.A. Group, who spoke on “Connectivity Trends.”
• New Chapter members were: John Winterbauer, Susan Pappas, and Andrea Stallings.
• The Chapter recognized the following members for their years of membership:
  - Frank Phillips – 25 Years
  - Terry Radliff – 15 Years
  - Karl Betz – 10 Years
  - Ed Scholfield – 10 Years

25 Years Ago (April 1990)

Nita K. Wilson served as the Chapter President.
• This month’s meeting was held at the Sheraton Inn and was a joint meeting with the EDP Auditor’s Association. The first seminar topic was “EDP Pre-Implementation Reviews – Practitioners’ Viewpoints” presented by Ed Schofield, CIA, CISA, CPA, of the Illinois Department of Revenue. The second seminar topic was “Expert Systems and Artificial Intelligence – A Primer (Impact of CEDP Auditing)” presented by Dr. Al Marcella, CPA, COAP, CQA, CISA, Millikin University Tabor School of Business and Engineering, Decatur, IL. Randy Berg of State Farm Insurance Company presented the after-dinner presentation on “Accounting Procedures – Implementation of Local Area Network.”
• President Nita Wilson and Second Vice President Ron Omer accompanied District Director Bob Schwarz on a visit to St. Louis IIA Chapter’s monthly meeting on March 8, 1990 where Bob gave a District status report.
• The Chapter welcomed the following new members:
  - Richard Begando – State Teachers’ Retirement System
  - Don Bullerman – Department of Rehabilitation Services
  - Mark Kaye – Department of Revenue
  - Lynn Kirchoff – Department of Professional Regulation
  - George Nielson – Department of Professional Regulation
  - Dan Olivero – Department of Revenue
  - Kevin Smith – Office of the Comptroller

30 Years Ago (April 1985)

Sandra K. King, CIA, served as the Chapter President.
• This month’s meeting was “The Bosses Luncheon” and along with a joint meeting with the Association of Government Accountants and State Internal Audit Managers.
• The meeting was held at Baur’s Restaurant in Springfield. The luncheon speaker was Dr. Robert Mandeville who has been the Director of Budget since 1977. Dr. Mandeville spoke on “Financial Outlook – FY 1986.”
As an undergrad she received a Bachelors of Arts in Accountancy and Bachelors of Business Administration. Emily has been auditing for the State since 2011, first at the Illinois State Board of Education and currently for the Illinois Department of Central Management Services. Emily resides in Chatham with her husband Jeremy and their four children.

- **Co-Treasurer – Paula Sorensen** has been a member of the Springfield IIA chapter since 2010 and has been auditing for the State of Illinois since 2005. She audited for five years with the Office of the Auditor General and almost two years with the State Board of Education before being appointed Chief Internal Auditor at the Capital Development Board (CDB) in September 2012. CDB was a very familiar place to Paula because she worked as a Graduate Public Service Intern (GPSI) in their fiscal division from 2003 - 2005. Paula is originally from Louisiana and prior to moving to Illinois she worked for a small Civil Engineering firm as an assistant bookkeeper for approximately 10 years. Paula and her husband Kraig have two children and a granddaughter.

- **Co-Treasurer – Joelle Egger** has been a member of the Springfield Chapter of IIA since October 2006 and currently is employed as an Internal Auditor at the Illinois Supreme Court. Joelle graduated from Springfield College in Illinois in May 2001 with an Associate of Science Degree in Business. She attended the University of Illinois in Springfield and obtained a Bachelors of Science Degree in Accountancy in December 2003. Joelle began her auditing career in May 2003 as an intern with the Illinois Office of the Auditor General. She obtained a full-time position as a compliance auditor in January 2004, after graduation. In October 2006, Joelle began her current internal auditing position at the Illinois Supreme Court. Joelle is married and has three boys A.J., Kole and Brayden.

- **Governor – Bill Sampias**, CISA, has been the Director of Information Systems Audits for the Illinois Auditor General for over 25 years. Bill has a Bachelors of Arts degree from Illinois College and a Masters in Business Administration from the University of Illinois at Springfield. He has co-authored multiple works, including the CFSA and CGAP Examination Study Guides. He is the father of 3 and lives with his wife Sandy in Chatham.

- **Governor – Denise Behl**, CIA, is currently a member of the State of Illinois Gaming Board Audit team working on regulation and compliance of Video Gaming in Illinois. She has served in each Officer position in the Springfield IIA Chapter during the period of 2008 to 2013, serving two consecutive years as Chapter President. She has been an Auditor for the State of Illinois for 14 years at various agencies including the Department of Human Services, Illinois Office of Internal Audit, Attorney General’s Office, Illinois Supreme Court, State Board of Education, and the Department of Revenue. Denise has a Bachelors of Science in Accountancy from SIU Edwardsville and a Masters of Business Administration from the University of Illinois at Springfield. Denise is a proud mom of two daughters, Isabella and Gabriella, and resides in Chatham with her husband Nick.

- **Governor – Amy Lyons** has been a member of the Springfield Chapter of IIA since March 2008 and currently is employed as an External Liaison at the Illinois Department of Healthcare and Family Services. Amy graduated from the University of Illinois-Springfield where she obtained a Bachelors of Science in Accountancy in May 2000. Amy began her career in accounting and auditing at a local Springfield CPA Firm in 2000 performing audits and consulting services for governmental entities. Amy and her husband Kevin have five children – Braden, Breckyn, Brooke, Bridget and Brody.

- **Governor – Larry Stone**, CISA, CCP, is retired from internal auditing after 36 years most recently with Central Management Services and the State Retirement Systems. He obtained a Bachelors Degree at St. Louis University and conducted post-graduate work at University of Illinois at Springfield. He was an Adjunct Professor at University of Illinois at Springfield and Millikin University in Accounting Information Systems for 16 years. He lives in Sherman with his wife Lisa.

- **Governor – Cary Franks** is retired from internal auditing after 26 years of service to the State of Illinois where he held positions with the Department of Insurance, Secretary of State, Department of Corrections, Department of Public Health, and Central Management Services. He has been a member of the Springfield Chapter for over 27 years, serves as the Chapter’s photographer and has served the Chapter in every Office except Treasurer. Cary has also worked with the McLean County Red Cross, Horace Mann, Peoria County Auditor and as an audit consultant for various other companies. He received his Bachelors of Science in Business Administration from Illinois State University and his Masters of Science in Management Information Systems from the University of Illinois, Springfield. Cary and his wife reside in Sherman and have 3 children Chad, Collin and Catie.
Congratulations to our chapter’s officers for ensuring that we continued to remain a Gold chapter! Since the IIA created the award in the late 1980’s, our chapter has achieved the Gold status every year. If a chapter continues to be Gold for 10 consecutive years, it becomes a Platinum chapter, as we continue to be.

The award is based on points for service to members, service to profession, and for administration (e.g., training, attendance, membership, financial records, newsletters, certifications, IIA workshops). Specifically, thanks go to:

- President Tracy Allen for ensuring that we earned the required points for the coveted Gold status, which takes considerable time, work, organizing, and knowledge. Tracy said it was “a big team effort to make sure the business of the Chapter is done and that we maintain our status so we can offer low cost training.”
- First Vice President Jay Wagner for finding interesting and different training topics, finding good trainers at reasonable prices that were willing to come to Springfield at the right time, and having the topics approved by the Board.
- Secretary Emily Durbin for registering all the seminar attendees and giving them CPE certificates.
- Treasurers Paula Sorensen and Joelle Egger for keeping complete financial records.
- Former President Amy Lyons for filing the required monthly reports.

Every year at the first board meeting someone invariably whispers you don’t want to be the first president who fails to achieve Gold. In our October 2007 newsletter, I wrote the following:

“Our chapter has achieved the prestigious “Gold” award for 19 consecutive years and we are now making a run for the 20th year. The Gold award is based on Chapter Achievement Points (CAP) provided for service to members. . . . Every president since then has made achieving Gold their number 1 priority, felt the pressure to achieve it, and organized chapter activities to get to Gold.”
Nominations for Chapter Officials

The following individuals have been nominated to serve our Chapter for program year 2015–16. They will all remain in their current position. Let any officer know if you would also like to serve the chapter.

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
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<tbody>
<tr>
<td>President</td>
<td>Tracy Allen</td>
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<tr>
<td>1st Vice President</td>
<td>Jay Wagner</td>
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<tr>
<td>2nd Vice President</td>
<td>Leighann Brown</td>
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<tr>
<td>Secretary</td>
<td>Emily Durbin</td>
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<tr>
<td>Co – Treasurer</td>
<td>Paula Sorenson</td>
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<td>Joelle Egger</td>
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<tr>
<td>Board of Governors</td>
<td>Cary Franks – 2017 term</td>
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<td>Denise Behl – 2018 term</td>
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<td></td>
<td>Amy Lyons – 2018 term</td>
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<td>Bill Sampias – 2018 term</td>
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<td></td>
<td>Larry Stone – 2018 term</td>
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Great Jobs of Today

www.NYTimes.com

The way we are working today isn’t working. Even if you’re lucky enough to have a job, you’re probably not excited to get to the office in the morning. You don’t feel appreciated, you find it difficult to get your work done amid the distractions, and you don’t believe that what you’re doing makes much of a difference anyway. This experience is increasingly becoming more common.

State Workers Offered $15,000 Buyout

Erin Cox and Michael Dresser, The Baltimore Sun

Maryland is offering state workers $15,000 to leave their jobs as part of a cost-saving plan to shrink the government workforce and save millions. In addition, eligible employees will also receive a lump sum of $200 for every year of service. AFSCME predicted that it would attract many employees.

Maggie McIntosh, Baltimore Democrat who heads the House Appropriations Committee, said the approach is preferable to layoffs. “We’ve done this before. It’s been successful.” The buyout offer does not extend to employees working in public universities, police or corrections officers, judges, political appointees, or state lawmakers.

The governor’s office said the buyouts “are a standard method used to reduce spending and find efficiencies in government,” both of which are among the new Republican governor’s goals. There is no statewide limit on the number of employees who can receive buyouts.

Over the past decade, the state has trimmed its workforce. In a 2010 buyout, the Board of Public Works eliminated 667 jobs which cost $21.5 million in severance pay but saved $39.3 million a year in salaries.