**President’s Message**

Amy Lyons

Happy New Year!!!

As we welcome in 2014, why not make a New Year resolution to become more involved in the Chapter or attend more meetings and events in the coming year if you are not doing so already? It’s a good way to network, build leadership skills and it doesn’t hurt the old resume either.

If you would like to get involved in a committee or become an officer next year, just contact a current officer and we will help you find a way to volunteer that suits you.

I am looking forward to the second half of our Program Year, be sure to check the schedule! We have exciting seminars scheduled beginning with welcoming back Al Marcella in January, Fraud and Ethics in February, Leita Fanta-Hart in March, Courageous Dialogue in April, followed by Risk Assessments in May.

I hope to see many of you at the seminars; our members help make our Chapter a success.

Speaking of success, as of November 30, 2013, the Springfield Chapter has reached the GOLD achievement rank and another year of PLATINUM status related to the Chapter Achievement Program (CAP). This would not be possible without each and every one of you.

THANK YOU!

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**Training Seminar**

Privacy in a Globally Wired World

Tracy Allen, CPA, CISA

The January training will be a joint meeting with the Illini chapter of ISACA. This seminar will be titled *Establishing and Maintaining Privacy Compliancy in a Globally Wired World*. The course will be a timely examination of a variety of privacy issues facing auditors.

The trainer is a local favorite Dr. Albert Marcella Jr. He is the president of Business Automation Consultants, LLC a global information technology (IT) and management-consulting firm. He is an internationally recognized public speaker, researcher, workshop and seminar leader with 36 years of experience in IT audit, security, and internal controls. Dr. Marcella, has authored numerous articles and 26 books on various IT, audit, and security related subjects. His most recent book, *Cyber Forensics: From Data to Digital Evidence*, published by John Wiley & Sons, provides insights into how data are stored, processed, identified, analyzed, and eventually end up as evidential matter.

Research conducted by Dr. Marcella on cyber extortion, workplace violence, Electronic Stored Information (ESI), privacy risks in multifunctional devices (MFDs), cyber forensics, incident management planning and ethics, has appeared in the ISACA Journal, Disaster Recovery Journal, Journal of Forensic & Investigative Accounting, EDPACS, ISSA Journal, Continuity Insights, and the Internal Auditor Magazine.

Dr. Marcella is the IIA Leon R. Radde Educator of the Year Award recipient for 2000. He has taught IT audit seminar courses for the IIA, continues to teach for the Information Systems Audit and Control Association (ISACA), and has been recognized by the IIA as a Distinguished Adjunct Faculty Member.

If you plan to attend, please register by January 16, 2014 by emailing springfield.ilia@comcast.net or by calling Chapter Secretary Emily Durbin at 782-3324.
January 2009 – Five Years Ago

Jay Wagner, CIA, CISA, served as the President of our chapter.
- **SEMINAR.** The chapter held an all day training seminar at Illinois Department of Agriculture.
- **TOPIC.** The seminar titled “Internal Control and Monitoring Federal and State Contracts and Grants” was presented by Carol Kraus.
- **LUNCH.** The seminar participants received a boxed lunch since the seminar was held at the site of a State agency.
- **MEMBER PROFILE.** This month’s Member Profile was on Gene Fruit, CIA, CISA, Director of IT audits at the University of Illinois.
- **MEMBER NEWS.** Barb Minton got engaged to a wonderful guy in St. Thomas (US Virgin Islands!)

January 2004 – Ten Years Ago

Cary Franks, MS MIS, served as the President of our chapter.
- **SEMINAR.** The chapter held an all day training session which was located at Northfield Center.
- **TOPIC.** The seminar titled “Fraud Audit Techniques and Assessing Risk at the Audit Level” was presented by Larry Rosipajla, the North American Director of Forensic Accounting and Investigative Services for Jefferson Wells International.
- **LUNCH.** The lunch presentation by John Cressman, Chief Internal Auditor of the Governor, was titled “The Impact of Fraud, it’s Greater Than You Might Think.”
- **INTERVIEW.** The Springfield Audit Trails included an extensive interview with Mr. Cressman at his office on December 11, 2003.
- **RETIREMENT.** Congratulations and best wishes were sent to Larry Blum, who worked as an internal auditor for most of his 38 years with the Illinois Department of Transportation; and to Eappen Thomas, Senior Public Service Administrator for the Department of Public Aid, on their retirement.

January 1999 – Fifteen Years Ago

Lesslie D. Morgan, CIA, CPA, CGFM, CISA, served as the President of our chapter.
- **SEMINAR.** This month’s meeting was at the Sheraton.
- **TOPIC.** At the pre-meeting seminar, Deborah Shotts of the Department of Public Aid gave a presentation on “Automating Working Papers.”
- **DINNER SPEAKER.** The after-dinner presentation was given by Richard Newston, Vice-President of A.G. Edwards. His topic was “Investment for the 80’s and 90’s, Stocks, Bonds, & Securities.”

January 1994 – Twenty Years Ago

Bill Coons, CFE, served as the President of our chapter.
- **SEMINAR.** This month’s meeting was held at the Best Western – East.
- **TOPIC.** A half-day seminar was presented by Fred Neumann, CIA, CPA. Dr. Neumann was a Price Waterhouse Professor of Auditing and Associate Dean for Academic Affairs at the University of Illinois at Urbana-Champaign. The seminar was titled “Internal Control – Integrated Framework.”
- **DINNER SPEAKER.** The after-dinner speaker was William G. Bishop, President of the IIA. Mr. Bishop Discussed the Future direction of the IIA and the internal auditing profession.

January 1989 – Twenty-Five Years Ago

Kevin Carhill, CIA, served as the President of our chapter.
- **SEMINAR.** This month’s meeting was held at the Sheraton.
- **TOPIC.** At the pre-meeting seminar, Deborah Shotts of the Department of Public Aid gave a presentation on “Automating Working Papers.”
- **DINNER SPEAKER.** The after-dinner presentation was given by Richard Newston, Vice-President of A.G. Edwards. His topic was “Investment for the 80’s and 90’s, Stocks, Bonds, & Securities.”

January 1984 – Thirty Years Ago

James R. Donkin served as the President of our chapter.
- **SEMINAR.** This month’s meeting was held at the Sheraton.
- **TOPIC.** This month’s speaker was Roland F. Watts, partner with Price Waterhouse, who spoke on “EDP Auditing and Experience in Conducting Data Security Reviews.”
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<th>Date</th>
<th>Speaker</th>
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| 8/29/13      | Christopher Trifiletti (FBI) Tim Hungerford (Hungerford, Vinton, LLC)   | • Morning: Cyber-Security  
• Afternoon: Fraud | 8:30 - 4:30 | 7   | $100   | $125        |
|              |         |                                                                        |           |     | Students: $20 |             |
| 8/29/13      |         | • Meet Chapter Officers and Board Members  
• Light Hors d'oeuvres and Cash Bar (Northfield Center). RSVP by 8/27/2013 | 4:30 - 6:30 |     |         |             |
| September 2013 | N/a  | No Training Planned                                                     |           |     |         |             |
| 10/29/13 to 10/30/13 | Various | Annual SIAAB Fall Government Auditing Conference | 8:30 - 4:30 | 14 (Gov't) | $55   | $55         |
| 11/21/13 ✓ Student Day | Rob Cameron, CPA | The Impact of SAS 115 - Communication of Internal Control Related Matters Identified in an Audit. | 12:30 - 4:30 | 4   | $60   | $75 Students: $15 |
| December 2013 | N/a  | No Training Planned                                                     |           |     |         |             |
| 01/23/14 ✓ Joint meeting with ISACA | Al Marcella | Privacy Compliance                                                       | 8:30 - 4:30 | 7   | $100   | $125        |
| 02/27/14     | George Heyman Lucas Morris | • Ethics and Fraud (morning)  
• Avoid Headlines (afternoon) | 8:15 - 4:15 | 7   | $100   | $125        |
| 03/20/14     | Leita Hart-Fanta | How to Conduct Performance/Operational Audits | 8:30 - 4:30 | 7 (Gov't) | $100   | $125        |
| 04/17/14 ✓ Joint meeting with Central Illinois Chapter | Tom Esch | Courageous Dialogue – Tools for Talking When Stakes are High | 8:30 - 4:30 | 7   | $100   | $125        |
| 5/22/14      | Pam Dill-McCoy (Team Mate) | Risk Assessment                                                          | 8:00 - 12:00 | 4   | $60   | $75 Students: $15 |

Cancellations should be made at least 24 hours before the meeting to avoid a charge. Meetings will be held at the Northfield Center, unless noted differently.
Sleep Alters the Body
James Gallagher, www.bbc.com

A run of poor sleep can have a potentially profound effect on the internal workings of the human body. The activity of hundreds of genes was altered when people’s sleep was cut to less than six hours a day for a week. Heart disease, diabetes, obesity, and poor brain function have all been linked to substandard sleep.

Researchers at the University of Surrey analyzed the blood of people after they had plenty of sleep each night for a week, and compared the results with those who slept fewer than six hours a day. They found that more than 700 genes were altered by lack of sleep. Each gene contained the instructions for building a protein, changing the chemistry of the body.

Professor Colin Smith, from the University of Surrey, told BBC that “There was quite a dramatic change in activity in many different kinds of genes.” Areas such as the immune system and how the body responds to damage and stress were affected.

Professor Smith added that “Clearly sleep is critical to rebuilding the body and maintaining a functional state, all kinds of damage appear to occur - hinting at what may lead to ill health. If we can’t actually replenish and replace new cells, then that’s going to lead to degenerative diseases.”

The study showed the effects on inflammation and the immune system, including a link to diabetes.

Pay by Credit Card
Mary Jo Manzanares www.FlyAwayCafe.com

Paying by credit card affords you the protection offered by the issuing institution and provides you the best chance of recouping your loss.

- If you have to recover from a defunct business, immediately dispute the charge. You then have the credit card company fighting the battle as well, a force that you will want on your side.
- If a company requires pre-payment, it doesn’t mean they are a scam artist, but it places you at a disadvantage if something goes wrong.
- There are a lot of scam artists in the world. If you pay by cash and a business fails, you are not going to have much luck recovering your money.

Top 10 Weird Foreign Laws
Jayne Clark, USA TODAY

Feeding pigeons in Venice’s St. Mark’s Square is illegal. It’s one of many foreign laws that might surprise American visitors. Ignorance may be bliss, but it can cause you to unknowingly run afoul of local laws.

And there are plenty of legal oddities. No word on how often these ordinances are actually enforced, but consider yourself warned.

1. It is illegal to feed pigeons in St. Mark’s Square in Venice, Italy. The practice was outlawed in 2008, much to the dismay of seed vendors there, because the historical buildings (and many sightseers) were bearing the brunt of the byproduct.
2. It is illegal to run out of gas in Germany. More precisely, it’s verboten to stop on the nation’s fast-paced autobahns, where German motorists tend to get all Fahrvergnugen behind the wheel.
3. It is illegal to drive while wearing flip-flops in Spain. It’s also illegal to drive with groceries on the back seat of a convertible.
4. It is illegal to drive in Scandinavia during daylight without headlights switched on. The reason is that daylight hours are limited during long northern winters.
5. It is illegal to spit in public in Barcelona. A few more cities might want to consider doing the same.
6. It is illegal to wear high heels at Greek archaeological sites such as the Acropolis. Officials determined in 2008 that pointy heels pierce the delicate “skin” of these antiquities. Indeed, experts say stilettos can transmit more pressure per square inch than an elephant.
7. It is illegal to chew gum in Singapore, which instituted the ban in 1992 in response to sticky wads gumming up the subway system and other public spots. It has resisted occasional calls to revoke the ban, though medically therapeutic gum is OK.
8. It is illegal to eat during the day in Ramadan in Saudi Arabia or the United Arab Emirates. Muslims abstain from food and drink between sunrise and sunset during the month of Ramadan.
9. It is illegal to step on currency in Thailand. Thai baht bears the picture of the King of Thailand. Defaming, insulting or threatening the royal family is illegal — and so is stepping on paper currency.
10. It is illegal to pee in the ocean in Portugal. No word on whether anyone has ever actually gotten busted for this.

Things that could be preventing us from getting rest at night.
One of the benefits of being a member of the IIA is the opportunity to network with other auditors. Chapter Second VP Jay Wagner has made the tab “Careers” on our home page to allow members to post and find local career opportunities at the following address: www.chapters.theiia.org/springfield.

Congratulations to Chapter Secretary Emily Durbin on being promoted to Senior Auditor by the Illinois State Board of Education – great job Emily!

Congratulations to Chapter First VP Tracy Allen on passing the CISA exam!

Congratulations to former Chapter President and current Board member Deanna Marvin and her husband Bill on their 30th anniversary. In October they took a trip to Rome, Naples, and Pompeii, Italy for 10 days. Deanna said they “… had an audience with Pope Francis along with over 100,000 people. The whole trip was a wonderful experience and history lesson.”

If you work for the State and want to know the reduction in your pension, see www.weareoneillinois.org/news/illinois-legislature-passes-sb1. The default example shows a person with 30 years of service with an initial pension of $50,000 losing 22.43% in COLA only (for those with Social Security).

Below is an edited excerpt of an interesting article on running government like a business that you may like: www.illinoisopportunity.org:

- It is not possible to run government like a profit-maximizing business (also see James Buchanan’s work on public choice theory that earned him a Nobel in Economics.)
- Politicians who are viewed as “running government like a business”, often like a family business, are not generally seen in positive light. That phrase is just as often used to describe politicians who enrich themselves at the public’s expense.

The Board of Governors consists of all Officers, Governors, and the past two Presidents.
Breaking Free from Ransomware

Steve Johnson, San Jose Mercury News

Thousands of consumers are getting a nasty shock when they turn on their computers these days. They find their screen frozen with an alarming note from what appears to be a government agency claiming they’ve accessed child pornography or committed other crimes and demanding money to unlock their machines.

Here’s how to use a free Symantec service that the company says often removes the virus:

1. If the computer is Internet connected, shut it off by holding down the power button for about 10 seconds.
2. Turn it back on while repeatedly tapping the F8 key.
3. When it brings up the “advanced boot options,” use the down arrow to select “safe mode with networking” and hit “enter.” You should see a screen that says “safe mode.”
4. Open a browser — such as Google Chrome, Mozilla Firefox or Internet Explorer — and go to www.norton.com/npe
5. Click the button to download the Norton Power Eraser, save it to your desktop and double-click the icon to run the file.
6. After reading the user license and clicking “agree,” click “scan for risks.”
7. As Power Eraser restarts the computer, repeatedly hit the F8 button and again select safe mode with networking.
8. Click “run” so Power Eraser can scan for the virus.
9. Once it finishes, you'll see “scan complete” in a window with the results. Then click the “fix” button.
10. Click “restart” to reboot the computer again. You should see a confirmation that the threat has been removed.

Source: Symantec
Submitted by Cary Franks

Privacy in a Wired World

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The Institute of Internal Auditors
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