President's Message

H. Jay Wagner, CIA, CFE, CISA

I cannot believe I’m writing my final president’s message. As you may have heard, this is my last month on the Springfield IIA Board. I have been elected the District Representative for IIA Central Region #3. Central Region #3 consists of six chapters: Central Illinois; Central Kentucky; Indianapolis, IN; Louisville, KY; Springfield, IL; and Tri-State.

As I leave, the Springfield IIA has earned its second Sapphire award, a status we were promoted to following 15 consecutive years as a Platinum chapter. We have been a Gold chapter every year since 1986! There may be two Platinum awards on my wall bearing my name, and a Sapphire award to follow, but these achievements were not my success. Through my 12 years on the Board, including three as president, I have been blessed to be part of a great team.

First, I would like to thank our officers. First Vice President Leighann Manning did a great job with programs this year, and will be a great chapter president next year. Second Vice President Satu Allen will line up some great seminars as our First Vice President next year. Co-Treasurers Amy De Weese and Paula Sorensen did a commendable job with our finances this year, and I am grateful to Tad Huskey for coming on board to finish Paula’s term when she accepted a position outside of auditing. The last officer I would like to thank is Secretary Emily Durbin. While the president gets the glory with their name on the awards, the president and program chair (1st VP) depend greatly on the secretary. Our chapter has been blessed that Emily has not only been an excellent secretary, but has done so for the past four years, and has agreed to serve a fifth consecutive term!

I am also very grateful to our Board of Governors, who are always supportive and helpful. Rusti Cummings, Cary Franks, Stephen Kirk, and Barb Ringler were on the Board when I first came on board, and Amy Lyons joined shortly after, and all are still here serving our chapter.

On May 25, 2017, from 8:30am to 12:30pm, we will hold our final seminar of the chapter year. The topic will be “Investigating the Defrauding of Government.”

As a Chapter first, we have the privilege and are overwhelmed with excitement to welcome the President of the Association of Certified Fraud Examiners (ACFE), Jim Ratley. Mr. Ratley will discuss “Ethics and the Anti-Fraud Profession.” Anti-fraud professionals must not only help organizations create an ethical culture, they must also have unimpeachable personal ethics. This session will explore the differences between personal and organizational ethics, and will provide practical tips and illustrations to help anti-fraud professionals ensure they are continuously strengthening both their individual and their organizations’ ethics.

Following Mr. Ratley’s presentation, we will welcome Scott Abbott. Scott is a retired Lieutenant Colonel from the Illinois State Police and currently the President and CEO of the Fidelis Protection Agency. Scott will discuss investigation and observation techniques.

The training will take place at the Northfield Center, with registration beginning at 8am. The cost is $50 for members and $65 for non-members. Please sign up at:

http://www.etouches.com/may2017seminar

- Congratulations to our chapter’s co-treasurer Amy DeWeese, CPA on her new position as the Chief Internal Auditor for the Department of Human Services!
- Congratulations to Nick Barnard on his new position as the Chief Internal Auditor for the Department on Aging.
History of the Springfield Chapter

Our IIA Chapter has been in existence for nearly 40 years since 1978. It was one of the earlier chapters of the IIA and has been very successful because of the involvement of all of us. Below is a summary of some key events from an article in our membership directory:

- **The First Year 1978.** Several Springfield area individuals, auditors, and members of the Central IIA Chapter conducted a survey and found sufficient interest in developing a chapter to serve the Springfield area. On February 15th, a Resolution of Authorization as an IIA chapter was signed by 20 members and Lisa Hall, Robert Schwarz, John Cain, and Vincent Brackett became the charter officers. The Institute issued a Chapter Certification to the Springfield Chapter on March 9th. During this year, the Chapter was visited by District Vice President Larry Harris; Chicago Chapter President Gerald Goldberg; and IIA Regional Vice President Bill Swanson. The Chapter also had the Comptroller and the Director of Bureau of the Budget as speakers.

- **Program Year 1979-80.** The Chapter began providing service to its members and introduced pre-meeting seminars and an EDP auditing seminar. The Chapter also hosted three United Nations Fellows from Thailand.

- **Program Year 1980-81.** During the Chapter's second program year, two special meetings were held: a joint meeting with the State Internal Audit Managers and a Bosses’ Luncheon. In addition, the first membership directory and newsletter were published.

- **August 1980.** The Chapter's Articles of Incorporation, signed by Vincent Brackett, James Donkin, Dan Bedell, and Rudy Davenport, were filed with the Illinois Office of the Secretary of State under the General Not For Profit Corporation Act. Dan Bedell was the first registered agent. In addition to these individuals, the Board of Directors included Judy Howell, Mark Krell, Sandra Kerchner, John Cain, Lillian Stevens, Lisa Hall, and Bob Schwarz.

- **November 19, 1987.** The IIA Chairman of the Board, Ron Bell, was the guest speaker as the Chapter celebrated its Tenth Anniversary. This meeting was held at the Illinois State Governor’s Mansion.

- **October 15 – 17, 1989.** The Midwestern Region District Conference was hosted by the Springfield Chapter. This meeting was attended by 112 participants.

- **March 19, 1998.** The Springfield Chapter celebrated its Twentieth Anniversary with guest speaker, Fred Jarosz. In addition, the evening included a proclamation by Mayor Karen Hasara, a champagne toast, and a presentation of membership and special chapter service awards. Also, each member received a limited edition Twentieth Anniversary memento.

- **October 19 & 20, 1998.** The Springfield Chapter and IIA's Government Relations Committee hosted the State and Local Government Conference. The theme “Charting Your Course to the New Millennium – Risks and Opportunities” included four informative tracks: risk management, ethics and fraud, communication and creativity, and embracing technology. With over 200 participants, this conference was one of the most successful. In addition, the Chapter coordinated exhibits of several vendor’s audit products and services.

- **March 2001.** Chapter members Bill Sampias and Jim Kincaid authored both the CGFM and CFSA Examination Study Guides published by the IIA. Contributing Editor was Dr. Albert J. Marcella.

- **September 23 & 24, 2002.** The Springfield Chapter hosted the State and Local Government Conference. The theme “New Horizons – New Frontier” was about the changing role of Internal Auditors. It also included topics such as HIPPA, legislation, development life cycles, business continuity, subrecipient monitoring, as well as other topics which impact how auditors view and perform their jobs.

- **May 15, 2008.** The Springfield Chapter celebrated its 30th Anniversary with keynote speaker Auditor General William G. Holland. Various service awards were presented at the ceremony to thank board members, committee chairs, and past presidents for their contributions to the Chapter.

President’s Message...................continued

I’m double grateful to Denise Behl, who did an excellent job as secretary during my first run as president, and who remains on the Board after serving a great term as president. I’d also like to thank two governors who I at one time called “boss”: Bill Sampias for first recruiting me to the Board in 2005, and Lessie Morgan for putting up with me supporting me during my first two terms as president in 2007-09. The last governor I would like to thank is Ameen Dada, who is not only a long-serving Board member, he has prepared the Springfield Audit Trails newsletter for 25 consecutive years!

Generally, after a president’s term ends, they remain on the Board and assist their successor in transition. I would like to thank my predecessor Tracy Allen for accepting a Governor position on the Board starting next year, and for agreeing to continue serving in the past president capacity as I depart to serve as DR. It was a privilege to serve under you during your two terms as president, and it was an honor to present you with our chapter’s first Sapphire award last year.

Lastly, I would like to thank the chapter members for their continued support of the Springfield IIA and its training program. It has been a privilege to serve the Springfield IIA for the past 12 years.
May 2012

Denise Behl, CIA, served as Chapter President.
- Training. The chapter met at the Northfield Center for a full-day seminar on “The Art of the Finding.”
- Presenter. Leita Hart-Fanta.
- New Position. Former Chapter President Larry Stone, CISA, CCP, CRPC, accepted a position at CMS as IT audit manager.

May 2007

Tina Neely, CIA, CGAP, served as Chapter President.
- Training. The chapter met at the Northfield Center for a full-day seminar on “Control Objectives for Information and Related Technology for the Non-IT Auditor.”
- Presenter. John W. Beveridge, CGFM, CISA, CISM, CFE, CQA, Deputy State Auditor for IT Audits in Massachusetts.
- New Position. Former Chapter President Tina Neely transferred to the Shared Services Project Team.
- Exam. The following passed the CIA Exam:
  - Scott Blankenship
  - Courtney Dziwerwa
  - Jessica L. Hoppe
  - Aaron Rife
  - Jared Ross

May 2002

Brian Bond, CPA, served as Chapter President.
- Training. The chapter met at the Northfield Center for a morning roundtable discussion on the IIA Chapter Year and how the IIA can better meet your needs.
- Lunch. After lunch was “Chapter Recognition” to recognize members for their hard work and dedication to the Chapter.

May 1997

Larry Ragel, CPA, served as Chapter President.
- Training. The chapter met at the Ramada Inn for a joint meeting with the Central Illinois Chapter for a pre-dinner seminar on “COSO Implementation.”
- Presentation. Dr. John Nosari, CPA, University of Illinois at Springfield.
- After-Dinner. The after-dinner presentation was the “Chairman’s Annual Message,” by Anthony Ridley, IIA Board Chairman.

May 1992

Ronald Omer served as Chapter President.
- Training. The chapter met with the Association of Government Accountants at the Renaissance for Bosses’ Luncheon for a seminar on “Intriguing Investigations Conducted by the Attorney General.”
- Presenter. The Honorable Robert G. Cronson, Illinois’ first Constitutional Auditor General who assumed this post in 1974 and was reappointed by the legislature to a second ten-year term in 1984.
- IIA. Our chapter won first place among all IIA chapters in our size for growth in membership. Between 1986 and 1987, our membership increased 35% from 69 to 93 members. Also our Chapter was awarded second place in the North American Chapter Recognition Program, which recognized chapter service to members.

May 1987

Dan Bedell, CIA, served as the Chapter President.
- Training. The chapter met with the Association of Government Accountants at the Sheraton Inn for the annual “Bosses’ Luncheon.”
- Presenter. The Honorable Robert G. Cronson, Illinois’ first Constitutional Auditor General who assumed this post in 1974 and was reappointed by the legislature to a second ten-year term in 1984.

May 1982

Rudy Davenport served as Chapter President.
- Training. The chapter met at the Top of the Arch in Springfield for a seminar on “Reorganization of Departments of Personnel and Administrative Services and its Impact on Internal Auditing.”
- Presenter. Sally Ward, Assistant to the Governor.
- New Members. The chapter welcomed the following:
  - William Coons, Director of Internal Auditing for the Illinois Department of Registration and Education.
  - Mary Laswell, Internal Auditor with the Illinois Department of Public Aid.
  - Mark Wade, Director of Auditing for Gem City Savings in Quincy, Illinois.
Resignation Do’s and Don’ts
How (and How Not) to Resign From a Job
Alison Doyle, www.management.about.com

How should you resign from your job? What should you not do when resigning? I’ve seen resignations handled in many ways. One employee left a note on her manager’s desk saying she quit and wouldn’t be back. Another employee got mad, yelled at the boss, and walked out. Neither option is the best way to resign, if you can help it.

The employee who resigns gracefully gives two weeks notice, writes a polite resignation letter, and thanks the employer for the opportunities they had during their tenure. Here’s what you should and should not do when resigning your job.

1. **Do Clean Up Your Computer.** Even if you give notice, your employer may decide that you should leave right now and they may show you the door. Before you turn in your resignation, clean up your computer. Delete personal files and email messages. Make sure you have the contact information for everyone you need to keep in touch with after you’re gone.

2. **Don’t Put it in Writing.** Regardless of how much you hate your job, don’t say it. Even if quitting is the best career move you’ve made, keep it to yourself. Your resignation letter will be placed in your employment file and it can come back to haunt you – even years after you have resigned. It honestly isn’t worth venting.

3. **Do Give Notice When Possible.** Unless the situation is untenable, two weeks’ notice is standard practice. If you want to leave sooner, it’s appropriate to ask if you can leave sooner.

4. **Do Write a Resignation Letter.** When writing a resignation letter, it’s important to keep it as simple, brief, and focused as possible. It’s a good idea to write a formal resignation letter for your employment file even if you resign via email.

5. **What to Write.** Although under some circumstances, such as a cross-country move or parenting may make sense to disclose, sharing the details about why you are resigning is not necessary. You don’t need to say much more than you’re leaving and when your last day of work will be.

6. **Do Be Positive.** The letter should be positive. If you have made the decision to move on, there’s no point in criticizing your employer or your job. Regardless of why you are resigning or how you feel about it, if you mention the reason you are leaving, do not include anything negative or disparaging.

7. **Do Be Polite.** It can be particularly difficult to resign diplomatically if you hate your job and can’t wait to start your new job. But having a well-written resignation letter can help ensure your resignation goes smoothly. It sets the tone for both your transition and how you’ll be remembered by your employer.

8. **Don’t be Negative with Co-workers.** When you’re talking about your resignation with co-workers, try to emphasize the positive and talk about how the company has benefited you. There’s no point in being negative – you want to leave on good terms. This letter will be included in your employment file and could be shared with potential future employers; therefore, it should be professional and polite.

9. **Don’t Brag About Your New Job.** Even if you just found the best new job in the world, don’t brag about it.

10. **Do Say Goodbye.** Before you leave, you can send a farewell email to co-workers to let them know that you are moving on to a new position, retiring, or doing something else with your life. You can include contact information to stay in touch.
Every year that I do this newsletter I learn that I can only do it with the help of our chapter officers. They not only provide information for the newsletter but also review it before it is finalized. So let me take this opportunity to thank them for their articles, pictures, reviews and support:

- President Jay Wagner
- First Vice President Leighann Manning
- Second Vice President Satu Allen
- Secretary Emily Durbin
- Treasurers Amy DeWeese, Paula Sorensen, and Tad Huskey
- Cary Franks.

A Little Light Humor

I received an email from a friend who made fun of the English skills of people like me from Pakistan (the friend is also from Pakistan). Thought you might enjoy it.

A Pakistani applied to medical school but never made it because of the following answers he gave:

- Antibody – against everyone
- Artery – the study of fine paintings
- Bacteria – back door to a cafeteria
- Bowel – letters like a, e, i, o, u
- Cat Scan – searching for lost kitty
- Chronic – neck of a crow
- Coma – punctuation mark (“,”
- Cyst – short for sister
- Diagnosis – person with slanted nose
- Enema – not a friend
- False Labor – pretending to work
- Hernia – she is close by
- Labor Pain – hurt at work
- Lactose – people without toes
- Lymph – walk unsteadily
- Microbes – small dressing gowns
- Protein – in favor of teens
- Red Blood Count – Dracula
- Subcutaneous – not cute enough
- Urine – opposite of you’re out
- Varicose – very close

The Board of Governors consists of all Officers, Governors, and the past president.
<table>
<thead>
<tr>
<th>Date</th>
<th>Speaker</th>
<th>Program</th>
<th>Hours</th>
<th>CPE</th>
<th>Members Members</th>
<th>Non-Members</th>
</tr>
</thead>
<tbody>
<tr>
<td>09/15/16</td>
<td>Joint meeting with Central IIA and ISACA</td>
<td>Agent Federhofer</td>
<td>Fraud Detection and Investigation</td>
<td>8:30 – 11:30</td>
<td>3</td>
<td>$50</td>
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<tr>
<td></td>
<td></td>
<td>Tim Hungerford</td>
<td>Fraud</td>
<td>12:30 – 4:30</td>
<td>4</td>
<td>$60</td>
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<td></td>
<td></td>
<td>Both sessions combined</td>
<td>8:30 – 4:30</td>
<td>7</td>
<td>$90</td>
<td>$125</td>
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<tr>
<td>10/25/16</td>
<td>Various Joint meeting to 10/27/16</td>
<td>Various</td>
<td>Annual SIAAB Fall Government Auditing Conference</td>
<td>8:30 – 4:30 (last day until 11:30)</td>
<td>17</td>
<td>$95</td>
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<tr>
<td>10/27/16</td>
<td>S. Blankenship Joint meeting</td>
<td>proposal</td>
<td>Standards Update</td>
<td>12:30 – 4:30</td>
<td>4</td>
<td>$40</td>
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<tr>
<td>11/17/16</td>
<td>Joint meeting</td>
<td>FBI and the Mako Group</td>
<td>Cybersecurity</td>
<td>9:30 – 4:30</td>
<td>6</td>
<td>$90</td>
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<td>1/26/17</td>
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<td>Stephen Minders</td>
<td>Organizational and Internal Controls</td>
<td>8:30 – 4:30</td>
<td>7</td>
<td>$90</td>
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<td>2/23/17</td>
<td>At the IDOT Auditorium</td>
<td>Kirk Lonbom, Chief Info. Security Officer, DoIT</td>
<td>IT Risk Assessment Methodology</td>
<td>8:15 – 11:45</td>
<td>3.5</td>
<td>Free for Chapter Members &amp; CIOs</td>
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<td>3/27/17</td>
<td>(Monday)</td>
<td>Danny Goldberg</td>
<td>Risk Assessment and Audit Program Development</td>
<td>8:30 – 4:30</td>
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<td>$90</td>
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<td>4/20/17</td>
<td></td>
<td>Nejolla Korris</td>
<td>Human Auditing, Social Engineering, and Ethics</td>
<td>8:30 – 4:30</td>
<td>7</td>
<td>$90</td>
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Cancellations should be made at least 24 hours before the meeting to avoid a charge. Meetings will be held at the Northfield Center on Thursdays unless noted differently.

Springfield Chapter
The Institute of Internal Auditors
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