President’s Message

By: Flerida Rivera-Alsing,
TCIIA Chapter President

Thank you for allowing me to serve you as the Chapter’s President for the fiscal year 2015-16. It is indeed an honor and a privilege. With your help and the support of the Chapter’s Officers and Board of Governors (collectively referred to as BOG), we are going to have another great year!

We held the first meeting of the BOG on June 22nd. Our plan for the year includes:

- three two-day trainings,
- student outreach, and
- The IIA certification scholarships initiatives. Our VP-Programs, Elizabeth Scott, is putting together a solid plan for the quarterly training luncheons.

We will also continue to offer the government auditors’ program (GAP) membership. If you are a government employee, please take advantage of the GAP membership to enjoy an almost 50% discount on membership fee. If you have questions, please do not hesitate to contact our GAP Chair, Torry McClellan.

Our quarterly newsletters will continue under the leadership of Marie Walker and our finances will continue to be audited quarterly by the Audit Committee chaired by Kim Mills.

I also want to take this opportunity to welcome our new Chapter Webmaster John Milton and to thank our former Chapter Webmaster Scott Armstrong.

It really does take a village to run our Chapter!! Thanks to the selfless men and women on the BOG and the different committees who continue to volunteer!

Board Members and Officers

We are starting a new fiscal year at the TCIIA! With a new year comes new board members and officers. Also, some will be staying from the prior fiscal year, either as a board member or in a new officer position.

- **President**: Flerida Rivera-Alsing
- **Vice President**: Loveleen Verma
- **VP-Programs**: Elizabeth Scott
- **Treasurer**: Connie Davis
- **Secretary**: Ron King
- **Ex-Officio**: John Greene

Board Members:

- Kitty Aggelis
- Laure Boyd
- Pace Callway
- Mandi Cohen
- Bonnie Deering
- Destin Dubose
- Joe Maleszewski
- Torry McClellan
- Sharita McKinnon
- Deanna Sablan
- Kim Stirner
New Professional Guidance

The IIA released changes to the IPPF. This includes a mission of internal auditing, 10 Core Principles, Implementation Guidance, and Supplemental Guidance.

Mission
To enhance and protect organizational value by providing risk-based and objective assurance, advice, and insight.

10 Core Principles
1. Demonstrates integrity.
2. Demonstrates competence and due professional care.
3. Is objective and free from undue influence (independent).
4. Aligns with the strategies, objectives, and risks of the organization.
5. Is appropriately positioned and adequately resourced.
6. Demonstrates quality and continuous improvement.
7. Communicates effectively.
8. Provides risk-based assurance.
10. Promotes organizational improvement.

Implementation Guidance issued to date
- 1000: Purpose, Authority and Responsibility
- 2110: Governance

Eventually, the IIA is planning on replacing the Practice Advisories with the Implementation Guidance. Be on the lookout for these new issues here.

In the meantime, the IIA has updated several of its Existing Practice Advisories in May 2015:
- 1300-1: Quality Assurance and Improvement Program
- 1311-1: Internal Assessments
- 1312-1: External Assessments
- 1312-2: External Assessments: Self-assessment with Independent External Validation
- 1312-3: Independence of the External Assessment Team in the Private Sector
- 1312-4: Independence of the External Assessment Team in the Public Sector
- 1320-1: Reporting Results of the Quality Assurance and Improvement Program
- 1321-1: Use of “Conforms with the International Standards for the Professional Practice of Internal Auditing”
- 1322-1: Disclosure of Nonconformance with the International Standards for the Professional Practice of Internal Auditing (Standards)

Download these from the IIA website.
GAP Membership Process

It is easier than ever to become a Government Audit Program (GAP) member of the Tallahassee Chapter of the Institute of Internal Auditors (TCIIA). The TCIIA GAP provides a way for current and prospective IIA members to receive membership benefits at a reduced annual cost. Please note that the GAP program is only available to government employees.

To join, please visit www.chapters.theiia.org/tallahassee to print a GAP membership application or email torrymcclellan@hotmail.com to request an application to be sent via email.

The process is easy, complete the GAP membership application and email a copy of the application and check to

Princess.Stephens@theiia.org and cc torrymcclellan@hotmail.com.

Additionally, mail the check or money order to:
Institute of Internal Auditors Inc.
SunTrust Bank Inc.
P.O. Box 919460
Orlando, FL 32891-9460

Note: If an invoice is required prior to payment, request Princess.Stephens@theiia.org to provide an invoice.

Member Accomplishments

As we start our new fiscal year, we would first like to congratulate all our members who have earned an IIA certification during the last fiscal year:

- **Dewayne Baxley** earned the CGAP designation
- **Jared Deason** earned the CGAP designation
- **Joe Maleszewski** earned the CGAP designation
- **Bradley Myers** earned the CIA designation
- **John Parker** earned the CIA designation
- **Richard Pearson** earned the CIA designation
- **Elizabeth Scott** earned the CIA designation
- **Cameisha Smith** earned the CGAP designation

We would also like to extend warm congratulations on the accomplishments of our members:

- **Daniel Leggett**, IT Auditor with the Florida Department of Revenue, received the Kirby Mole Memorial Scholarship to attend the Certified Inspector General Auditor Institute in Jacksonville.
- **Andrew Gardner**, of the Florida Department of Children and Families, was awarded a scholarship to attend the Chief Inspector General Investigator Institute.

Member Spotlight

Congratulations to **Joe Maleszewski** for his recently-earned Certified Compliance and Ethics Professional (CCEP) designation! Although Joe is a seasoned auditor, he’s broadening his depth and breadth to include the relatively new profession of Compliance. The CCEP designation is awarded by the Society of Corporate Compliance and Ethics (SCCE), which offers week-long training academies culminating in a 2 ½ hour exam.

In addition to furthering his education, Joe enjoys opportunities to provide training. He recently gave a presentation, Florida Higher Education Governance, for the IIA Tallahassee Chapter June luncheon. He is becoming a featured presenter at orientations and workshops for the State University System of Florida Board of Governors on topics dear to all Inspectors Generals, auditors, and compliance professionals. We appreciate Joe’s service and dedication to the profession and the state of Florida!

Please notify us of your career accomplishments and certifications, or the accomplishments of fellow members at newsletter103@iiachapter.org.
The 2015 IIA Leadership Academy was held April 19-21, 2015, at Disney’s Yacht & Beach Club Resorts in Lake Buena Vista, Florida. During the 2015 Leadership Academy, attendees from all the North America chapters participated in sessions which offered presentations by experts, round table discussions with peers, and the sharing of best practices.

One of the speakers, Beth Ziesens, known by the name of “Your Nerdy Best Friend” discussed technical tools of today. This speaker was humorous, and her session made the entire conference worthwhile. She spoke about some of the following tools that could make one’s life tech savvy, creative, simple, well-planned and manageable.

Recent and Upcoming Training and Events

1. **Sway**: This application allows users to create websites. It is an intelligent application to build elegant websites.

2. **Evernote**: This is a web clipper note-taking tool that lets you capture full page articles, images, selected text, important emails, and any web pages that inspire you. It saves everything to Evernote.

3. **Waze**: This is a navigation tool. Waze is the largest community-based traffic and navigation application that allows drivers to share real-time traffic and road information.

4. **IFTTT**: This is a multi-application automation tool for daily personal tasks. It creates simple connections between applications like Facebook, Dropbox, Twitter, Instagram, and Gmail.

5. **Triplt**: This is a travel organizer and trip planner. It automatically creates a master itinerary for every trip for instant access to your travel plans.

6. **Trello**: This is a project management tool. Trello lets individuals or teams see everything about a project in a single glance by using boards, lists, and cards.

   Here’s what tracking a project in Trello would look like:

7. **Magisto shot**: This tool turns a single photo and text into a colorful micro-movie perfect for messaging.

8. **Wordfoto**: The application turns photos and words into stunning and amazing works of art.

9. **Wordswag**: This tool contains hundreds of quotes, thoughts, and jokes so you’re never at a loss for words.

10. **Canvas**: This application converts pictures into lively posters.
Recent and Upcoming Training and Events

(continued)


The TCIIA Board of Governors is charged with providing chapter members with tools and resources to aid in their professional development. In May 2015, the TCIIA sponsored a three-day course, Investigating Allegations of Workplace Discrimination, conducted by trainers from the Equal Employment Opportunity Commission (EEOC) Training Institute.

Participants learned about the different types of workplace discrimination, related federal laws, and the models of proof for discrimination claims that must be addressed when investigating these complaints. Participants acquired valuable knowledge, tools, and resources to assist them with successfully carrying out their duties and responsibilities related to investigating allegations of workplace discrimination.

EEOC

The EEOC is the federal agency responsible for enforcing the federal laws prohibiting job discrimination. These include:

- Title VII of the Civil Rights Act of 1964 (as amended)
- Age Discrimination in Employment Act of 1967
- Equal Pay Act of 1963
- Title I and Title V of the Americans with Disabilities Act of 1990 (as amended)
- Section 501 and 505 of the Rehabilitation Act of 1973
- Civil Rights Act of 1991
- Title II of the Genetic Information Nondiscrimination Act (GINA)
- Lilly Ledbetter Fair Pay Act of 2009

The EEOC also provides oversight and coordination of all federal equal employment opportunity regulations, practices and policies. (Source: www.eeotraining.eeoc.gov)

EEOC Training Institute

The EEOC Training Institute offers seminars, courses and on-site customer-specific training programs presented by EEOC experts in enforcing the law. Trainers are subject-matter experts and have substantial experience in both enforcing the laws and providing high-quality training. Educational products contain the technical and legal guidance prepared by EEOC attorneys, administrative judges, investigators, managers and policy experts. (Source: www.eeotraining.eeoc.gov)

Upcoming Training Events

TCIIA Quarterly Training Luncheon, 9/24/15 & 12/17/15 — Agendas will be finalized and posted on the TCIIA website 1-2 weeks prior to the events.

Don’t forget to take advantage of the FREE webinars offered by the IIA! For more information, visit https://na.theiia.org.
**CAP Corner (as of July 14, 2015)**

*by Kim Stirner, CAP Committee Chair*

**What is CAP?** The Chapter Achievement Program (CAP) helps officers/board members plan the course of the Chapter year, especially service-oriented events for members and guests. For each CAP event, our Chapter receives CAP performance points from The IIA. We proudly celebrate that, for over a decade, the TCIIA Chapter has achieved the CAP points needed for the PLATINUM performance level. This current designation confirms our Chapter’s status as a prestigious, service-oriented organization for the Tallahassee audit community.

**How are we doing?** It’s a new year! Let’s make every effort to achieve our CAP goal of 1,560 points and maintain our PLATINUM status for another year. Registered attendance at our upcoming training events is very important.

**How Can I Help?** In addition to attending our upcoming training events, please review the table below and let us know if you or someone you know has earned CAP points. Your participation and support throughout the year is greatly appreciated! For more information, please contact Kim Stirner, CAP Committee Chair.

### Chapter Members

<table>
<thead>
<tr>
<th>CAP Opportunities</th>
<th>CAP Points</th>
<th>Additional Info</th>
</tr>
</thead>
<tbody>
<tr>
<td>Attendance at quarterly training lunches</td>
<td>1 point per CPE</td>
<td>No maximum</td>
</tr>
<tr>
<td>Attendance at training events</td>
<td>1 point per CPE</td>
<td>No maximum</td>
</tr>
<tr>
<td>New IIA certifications</td>
<td>5 points per member per certification</td>
<td>No maximum</td>
</tr>
<tr>
<td>Articles published in the <em>Internal Auditor</em> magazine</td>
<td>20 points per article</td>
<td>No maximum</td>
</tr>
<tr>
<td>Articles submitted to the <em>Internal Auditor</em> magazine</td>
<td>5 points per article</td>
<td>No maximum; must follow established guidelines for submitting articles at: <a href="http://www.theiia.org/intAuditor/about-internal-auditor/writers-guidelines/">http://www.theiia.org/intAuditor/about-internal-auditor/writers-guidelines/</a></td>
</tr>
<tr>
<td>Articles published in other professional journals</td>
<td>5 points per article</td>
<td>No maximum</td>
</tr>
<tr>
<td>Writing exam questions for IIA Certifications: CIA, CCSA, CFSA, CGAP, CRMA</td>
<td>5 points for each accepted question</td>
<td>No maximum; must adhere to exam-writing criteria at: <a href="https://na.theiia.org/certification/certified/Pages/write-an-exam-question.aspx">https://na.theiia.org/certification/certified/Pages/write-an-exam-question.aspx</a></td>
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TCIIA NewsLine
IIA Tallahassee Chapter
July 2015, Issue 7

Articles From Our Members

Is there a topic or issue that you would like to share with your fellow members? Has an internal audit-related article caught your eye? If your answer is “yes” to either of these, you may be the perfect contributing writer for TCIIA NewsLine! If you would like to contribute an article, please send the article to newsletter103@iiachapter.org.

If you have any questions, feel free to contact us!

Article Submission Deadlines

During the first month of each quarter, a new issue of TCIIA NewsLine will be published. If you’re interested in submitting an article, the upcoming submission deadlines are:
- September 30, 2015
- December 31, 2015
- March 31, 2016

Contact Information

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Vice President of Training
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Board of Governors

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Laure Boyd
Pace Callway
Mandi Cohen
Bonnie Deering
Destin Dubose

John Greene
Joe Maleszewski
Torry McClellan
Deanna Sablan
Kimberly Stirner

Important Links

IIA—Tallahassee Chapter
IIA
AGA—Tallahassee Chapter
AIG—Florida Chapter
Florida Audit Forum
ISACA - Tallahassee Chapter