President’s Message:

Call for Volunteers – District, Regional, National and International Representatives, Advisors, Boards, Committees

As you know, volunteers are the lifeblood of the IIA. Every year, the IIA puts out a call for volunteers to staff district, regional, national and international positions. If you have ever thought about serving at a level beyond the local chapter level, please e-mail or call me so we can get you nominated. Nominations are due by October 2, so don’t wait to act. Not only can this type of service be great learning and networking opportunities, it can also open doors to other opportunities and advancement. The IIA is looking for candidates for the following:

- Board of Directors/North American Directors
- Internal Audit Foundation Board of Trustees
- Committees:
  - Academic Relations
  - Committee of Research and Education Advisors
  - EHS Certification Advisory
  - Financial Services Guidance
  - Global Advocacy
  - Global Professional Development
  - Guidance Development
  - Information Technology (IT) Guidance
  - Institute Relations
  - Public Sector Guidance
  - Learning Solutions (North American only)
  - North American Advocacy (North American only)
  - Publications Advisory (North American only)
  - Regional Conference (North American only)
  - Exam Development (IIA certified only)
  - International Internal Audit Standards Board (IIA certified only)
  - Professional Certifications Board (IIA certified only)
  - Professional Responsibility and Ethics (IIA certified only)

October Meeting

Date:  
Tuesday, October 3, 2017

Place:  
Washburn University
Bianchino Pavilion
McGivern Hospitality Room

Time:  
11:30 a.m. – 1:00 p.m.

Menu:  
Tuscan Chicken
Penne Pasta
Green Beans w/garlic,tomato,basil
Garden Salad
Rolls
Iced Tea and Water

RSVP:  
By noon, Friday, September 29, 2017

Reservations: Brenda Kindle
FHLBank Topeka
brenda.kindle@fhlbtopeka.com
785-554-4506

Please email Brenda Kindle at brenda.kindle@fhlbtopeka.com if you have any dietary restrictions.

Registration:  

<table>
<thead>
<tr>
<th></th>
<th>Member</th>
<th>Non-member</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lunch &amp; meeting</td>
<td>$15</td>
<td>$20</td>
</tr>
</tbody>
</table>

- District Representative
- District Advisor

CPE 1 hours  
Speaker – Suzanne Smith  
Topic – Data Analytics Certification
Suzanne will be speaking about data analytics and a new certification Johnson County Community College is offering.

Suzanne Smith is an Assistant Professor at Johnson County Community College. She has a Master of Science from Kansas State University.

Data volumes are exploding with more data created in the past two years than in the entire previous history of the human race. This tidal wave of data is driving unprecedented demand for those with the skills required to manage and leverage these very large data sets into a competitive advantage. As a result, there is now significant demand for professionals who can synthesize meaningful narratives from data to transform operation aspects of an organization. The Data Analytics Certificate at JCCC teaches each stage of the data science pipeline, as well as, how to tell a story with the data to impact the organizational status quo.

Event Refund Policy – The Institute of Internal Auditors (Topeka Chapter):

The purpose of this policy is to ensure event cancellations are refunded appropriately. Events include, but are not limited to: monthly meetings, luncheons, and seminars.

Cancellations made prior to the event registration deadline will be refunded at 100% of the event fee (or would not be billed if the attendee did not pay in advance).

Cancellations made after the event registration deadline will not be refunded (or would be billed at 100% of the event fee if the attendee did not pay in advance)

*IMPORTANT NOTICE FOR PAYMENTS*

Payments for all meeting and seminars need to be sent to the following address:

Capitol Federal  
Attention: Joe Beasley, Audit Services Department  
700 S Kansas Ave  
Topeka, KS 66603-3818

Topeka Chapter IIA 2017 Fall Seminar  
Save the Date

Speaker: John Gatto  
Topic: IT General Controls  
Date: Friday, November 17, 2017  
Location: TBD  
Time: 8:00 – 4:00, registration starts at 7:30  
CPE: 8 hours

Summary of Fall Seminar Topic

All auditors, either financial, operational or IT come into contact with IT processes and outputs. Understanding how this impacts the area being auditing is crucial to performing a comprehensive and valuable engagement. For the new auditors (or possibly individuals pursuing a degree in accounting / auditing) this training will discuss the various aspects of being an auditor, roles and responsibilities, day in the life of an auditor and career advancement. For the more seasoned auditor, numerous companies are focusing their reviews on the latest in technology, cloud computing, cybersecurity, etc. The entire concept of general controls has been overlooked so this is a perfect primer for these individuals to get “back to basics” and remember some of things they may have “forgotten”.

After the general audit and IT overviews are completed, the training will shift to Information Technology and look at the various control models, the need for controls and risk management. This last section will be devoted to the details for the general control framework needed in any IT Organization and discuss 12 IT General controls. Each control section will describe the need for the control, how it should be developed and what the auditor should look for during any involvement in those areas.
**IIA Chat:**

**Name:** Coleen Wenger  
**Employer:** FHLBank Topeka  
**Position:** Director of Compliance/Financial Auditing  
**Time in this position:** 19 years at FHLBank  
**Education:** Kansas State University  

**What was your first job?** Mowing a cemetery in the middle of nowhere. It’s a very small cemetery, but many generations of my relatives are buried there. Bank when I mowed, we had to hand clip grass around each stone. String trimmers later made that part of the job easier.

**What is your favorite food?** Hamburger on a homemade bun

**What is your dream vacation?** I really enjoy Yellowstone

**What is your favorite TV show?** Special Victims Unit

**Name one person (dead or living) you would like to meet?** Abraham Lincoln.

**What talent would you most like to have?** A good singing voice

**What is your prized possession?** My grandmother’s gold confirmation cross necklace. She was confirmed in Sweden and later immigrated to the U.S. all by herself through Ellis Island.

**What do you try to avoid?** Poison ivy

**If you could have any job you wanted, what would it be?** I did public relations for a parochial school and really enjoyed that.

**If you could be present at any historical event, what would it be?** The Lewis & Clark Expedition

**What is your greatest accomplishment?** Passing the CPA exam

**What might people be surprised to learn about you?** I had 10 years of piano lessons

**Did you take any vacation over the summer?** I went to Monument Rocks, Kansas; Estes Park, Colorado; and Fayetteville, Arkansas, this summer. The scenery was beautiful at each place.

**Updating Your IIA member Information:**

IIA members can keep their personal information up to date by visiting the IIA's website at www.theiia.org. In the upper right hand corner click on "Sign In". Once you are signed in, click on "Profile" and then "My Profile". Here you will be able to see your information and you can edit your personal information, address, and contact information by clicking on the "Edit" button. You can also update your contact preferences by clicking "My Preferences". If you need additional assistance, please contact Julie Pennington at Julie.Pennington@mymlc.com

**Newsletter Articles:**

Please contact Crystal Perez at cperez@capfed.com with any articles or suggestions for the newsletter. You may also know of special events (weddings, promotions, certifications, etc.) that you would like to put in the newsletter.

**Volunteer Opportunities:**

The Topeka chapter has had proven success because of the people who volunteer their time. Volunteering takes leadership, dedication, and effort. There is currently an opening for the co-chair of the Hospitality committee position. If interested in serving in this role or as an officer or board member for 2017-2018 to continue to enhance the internal audit community, please contact Julie Pennington at Julie.Pennington@mymlc.com

**IIA Learning:**

IIA Learning delivers innovative, quality, and convenient internal audit training, development, and networking for all skill levels. The flexible platforms
focus on individual auditor training needs, as well as existing and emerging issues to ensure that internal auditors receive the knowledge and proficiency required to provide the highest level of auditing assurance, insight, and objectivity possible.

The IIA provides multiple learning opportunities:

1. **Seminars** are in-person and online; led by internal audit experts; cater to all auditor skill levels, topics, CPE needs and learning styles.
2. **Webinars** are short, live presentations, led by internal audit industry professionals; focused on trending topics and addressing challenges.
3. **OnDemand** are convenient, self-paced, and cost effective courses on existing and emerging internal audit topics; accessible online, anytime.
4. **On-site Training** provides an in-person, group training; focused on specific learning need and facilitated by internal audit leaders at your location.
5. **Exam Prep** is a self-study or facilitator-led programs; combines reading materials with online study tools to prepare participants for certification exams.
6. **Executive Development** is strategic guidance and mentoring for internal audit executives; facilitated by executive-level leadership in an elite setting.

Visit the IIA website for registration and more information: [www.theiia.org/training](http://www.theiia.org/training)

**The next Members Only webinar:**

**Ethics and Compliance Program Effectiveness**
*Date:* Tuesday, September 19, 2017
*Time:* 12:00 Noon – 1:00 P.M. CST

**Sustainability or Enterprise Risk Management? How Internal Audit and EHS Audit Can Support Both Sides of the Same Coin**
*Date:* Tuesday, October 10, 2017
*Time:* 1:00 P.M. – 2:00 P.M. CST

**The next eSeminars:**

**Assessing Risk: Ensuring Internal Audit**
*Date:* December 6 - 15, 2017
*Time:* 1:00 P.M. – 4:20 P.M. CST

**Audit Report Writing**
*Date:* September 26 – October 5, 2017
*Time:* 4:00 P.M. – 7:20 P.M. CST

**Cybersecurity Auditing in an Unsecure World**
*Date:* November 6 - 15, 2017
*Time:* 1:00 P.M. – 4:20 P.M. CST

**Data Analysis for Internal Auditors**
*Date:* September 19 - 20, 2017
*Time:* 1:00 P.M. – 4:20 P.M. CST

**Enterprise Risk Management: Elements of the Process**
*Date:* December 5 - 14, 2017
*Time:* 1:00 P.M. – 4:20 P.M. CST

**Fundamentals of Internal Auditing**
*Date:* September 21, 2017
*Time:* 4:00 P.M. – 8:00 P.M. CST

**Fundamentals of IT Auditing**
*Date:* October 2 - 11, 2017
*Time:* 1:00 P.M. – 4:20 P.M. CST

**IT General Controls**
*Date:* November 28 - 30, 2017
*Time:* 5:15 P.M. – 7:15 P.M. CST

**Lean Six Sigma Tools for Internal Audit Fieldwork**
*Date:* October 3 - 12, 2017
*Time:* 1:00 P.M. – 4:20 P.M. CST
Lean Six Sigma Tools for Internal Audit Planning  
**Date:** December 5 - 14, 2017  
**Time:** 1:00 P.M. – 4:20 P.M. CST

Operational Auditing: Influencing Positive Change  
**Date:** October 17 - 26, 2017  
**Time:** 1:00 P.M. – 4:20 P.M. CST

Performing an Effective Quality Assessment  
**Date:** November 7 - 16, 2017  
**Time:** 1:00 P.M. – 4:20 P.M. CST

Statistical Sampling for Internal Auditors  
**Date:** September 25 - 28, 2017  
**Time:** 1:00 P.M. – 4:20 P.M. CST

Succession Planning: Leveraging and Influencing Millennials and Other Generations  
**Date:** September 18 - 19, 2017  
**Time:** 1:00 P.M. – 4:20 P.M. CST

Bookstore:  
**THIS MONTH’S FEATURE:**  
*Adding Value with COSO: Beyond Compliance*

After a number of major corporate scandals involving companies such as Enron and WorldCom, publicly traded organizations became regulated with the passing of the U.S. Sarbanes-Oxley Act of 2002. Since then, the vast majority of organizations that comply with Sarbanes-Oxley have converted their control documentation to the updated Committee of Sponsoring Organizations of the Treadway Commission’s (COSO’s) Internal Control – Integrated Framework, which was revised in 2013.

While some organizations found implementing the COSO framework to be relatively easy, some found it rather burdensome. Others used it as an opportunity to take a fresh look at internal control over financial reporting and, as a result, gained added assurance. However, COSO did not intend the framework to be used solely for financial controls. It was designed to help organizations effectively develop a system of internal control that would meet the challenges of an ever-changing business and regulatory environment.

The research for this report focused on four organizations that are applying the framework to all three categories of business objectives—operations, reporting, and compliance—in different ways. Some key findings emerged from interviews conducted with the four organizations. Their examples may help readers implement COSO’s framework to improve risk management and control in their organizations.

As an added value to this report, several organizations have generously agreed to share samples of the documentation used in implementing the framework. The COSO Implementation Toolkit lets you customize the samples to meet your organization’s needs and is included in the purchase price of this report.

With hundreds of titles to choose from, including books, training materials, certification preparation, interactive programs, and more. The IIA Research foundation Bookstore is your one-stop shop for internal audit resources. All Bookstore products have been reviewed by internal auditors to guarantee a perfect fit. Check out the member’s only bookstore for resources for all your Internal Auditing needs.

IIA Bookstore.

**CONGRATULATION CORNER!**

The certified Internal Auditor (CIA) designation is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal auditing field. Please join us in congratulating the following chapter members who recently obtained their CIA certification:
ANNIVERSARIES

Join us in congratulating for following individuals celebrating significant milestones as members of the IIA:

(None to Report This Month)

CIA’s

Join us in congratulating the following individuals in obtaining their Certified Internal Auditor (CIA) certification. This is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal auditing field.

(None to Report This Month)

WELCOME NEW IIA CHAPTER MEMBERS

The Topeka Chapter would like to extend a warm welcome to our new members who recently joined our group.

(None to Report This Month)

Ia Magazine Website:

The digital edition of Internal Auditor is a full replicated version of the magazine that delivers internal audit insights and news in a visually enhanced, user-friendly design with new topic sections, enhanced search, and much more!

Visit the website at www.internalauditor.org and check out the August 2017 issue featuring “In Safe Hands” where Arthur Piper discusses how an organization should determine the best way to protect the data they can hold and how it can be used.

The IIA’s CIA Learning System:

Certified Internal Auditor (CIA): Strengthen Your Core

As The IIA’s premier designation for more than 40 years, the CIA sets the standard for excellence within the profession. The CIA journey begins with a focus on The IIA’s International Standards for the Professional Practice of Internal Auditing (Standards) and aspects of mandatory guidance under the IPPF. The journey continues with a focus on managing an internal audit project and culminates with concepts related to internal control, risk, governance, and technology. The CIA is a 3-part process for establishing your foundational core and starting point for career growth to:

- Distinguish you from your peers.
- Demonstrate your proficiency with internal staff and external clients.
- Develop your knowledge of best practices in the industry.
- Demonstrate your proficiency and professionalism.
- Lay a foundation for continued improvement and advancement.

The IIA offers eight different certifications or qualifications in internal audit-related fields. Why become certified? Well, there are a number of reasons. Earning a certification is a key that opens doors to opportunities in internal audit. Certifications help you earn credibility and respect in your field, increases the opportunity for advancement in your career (and therefore also your earning potential), demonstrates your commitment to the profession, improves your skills and knowledge, and builds confidence in your abilities.

If those reasons aren’t enough, here’s another. Every year, the IIA discounts the exam application fee for each credential for a full month. This month, the IIA is discounting the application fee for the Certified Professional Environmental Auditor designation. That’s a savings of $230.

So, if you have ever thought of becoming certified or qualified, ask one of our members who have been through the process to help guide you. You can get one of the competency certifications (CRMA, CCSA),
one of the industry certifications (CFSA, CGAP, CPEA, CPSA), or the qualification (QIAL) without having to first pass the CIA exam. These different certifications cover areas such as control self-assessment, risk management and assurance, financial services, government, environmental, safety, and internal audit leadership.

That’s not to minimize the value of the CIA designation. The CIA designation is the hallmark designation of internal audit, and is the only globally accepted designation for internal auditors and the standard by which individuals demonstrate their professionalism in internal auditing. The IIA offers 20% off of the CIA Learning System if you order through your local chapter, and the IIA extends this offer year-round.

**Gleim Discount:**

The Topeka Chapter has partnered with Gleim CIA Review to ensure your success on the CIA exam!

Gleim CIA Review is the most widely used CIA prep course. More CIAs have used Gleim to pass the CIA Exam than all other prep courses combined. The exceptional content now includes over 40 hours of in-depth video lectures and comprehensive question test banks that cover all of the topics tested and are presented in a format that replicates your exam-day experience. In addition, CIA candidates enjoy a no-hassle Access Until You Pass® Guarantee and customized support from a team of CIA exam prep experts.

As a member of the chapter, you are eligible for a 10-20% discount on Gleim CIA Review Systems. To take advantage of the reduced prices and to place your order, log into the Members Only section of the Chapter website.

Please contact our Gleim representative, Melissa Leonard, with any questions regarding the Gleim materials or discounts available. Call her at (800)874-5346 or via email IIAChapters@gleim.com.

**Chapter Achievement Program (CAP):**

Do any of your internal audit activities earn Chapter Achievement Program (CAP) points for your Topeka Chapter of the IIA? What is CAP, why is it important and what can you do to help?

The Chapter Achievement Program (CAP) is designed by the Institute of Internal Auditors to guide chapters for developing quality programs and completing administrative duties to ensure it is meeting its obligations to its members. Points are awarded for each activity in accordance with the IIA guidelines. The goal is to provide valuable professional activities and development opportunities to our members and earn CAP points.

Certain activities that are conducted by member may qualify. Points are reported to the IIA on the 10th of each month covering three areas:

- **Service to Members:** Attendance at monthly meetings, conferences and seminars, social events and new IIA certification.
- **Service to the Profession:** Academic Relations; Chapter Scholarship Program, Student events held by the chapter. Advocacy; Junior Achievement Involvement, Building Awareness Champion Program, funding support for District advisors and District Representatives, Internal Audit Awareness month events, Host an Internal Audit event at work, Audit Channel TV submissions, Write exam questions for IIA certifications, Submit or Publish an article in the Internal Auditor magazine, participate on a non IIA sponsored or an IIA sponsored Quality Review Team, Chapter recognizes the member company for completing their QA.
- **Chapter Administration:** Involves administrative reporting requirements to the IIA.
Chapter Objectives

Service to Members
- Education Objective: Offer over 20 hours per year of affordable CPE with more than 60% rated as excellent.
- Certification Objective: Two members become certified annually.
- Social Objective: Members get to know other members from different organizations.

Service to Profession
- Membership Objective: Increase active membership.
- Professional Development Objective: Continue to offer affordable and quality training for auditors
- Academic Relations Objective: Promote the profession at Washburn University

Chapter Administration
- Leadership Development Objective: Have 1 or 2 officers attend the Leadership Conference.
- Chapter Finance Objective: Have our lunch meeting fees cover the meeting expense; make additional income from the seminars to fund other activities (Leadership Conference, WU scholarship, WU student night).
- Communication and Participation Objective: Send out monthly newsletter and update website.
## CAP Summary

As of: 09/10/17

<table>
<thead>
<tr>
<th>Category</th>
<th>Minimum for Bronze</th>
<th>Chapter Points (to Date)</th>
<th>% of Minimum</th>
<th>Balance to Minimum</th>
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</thead>
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<tr>
<td>I. Service to Members</td>
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<td>0%</td>
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<td>II. Service to Profession</td>
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<td>19%</td>
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<tr>
<td>III. Chapter Administration</td>
<td>160.00</td>
<td>37.00</td>
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Total from Master Template: 74.81

Current CAP Status: Pending

### Targets:

- Bronze: 685.00
- Silver: 1,060.00
- Gold*: 1,560.00

*Platinum 10 consecutive years of Gold
*Ruby 10 consecutive years of Platinum
*Sapphire 15 consecutive years of Platinum
*Diamond 25 consecutive years of Platinum
# 2017 – 2018 Officers and Board of Governors

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
<th>Company/Institution</th>
<th>Phone Number</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>President</td>
<td>Julie Pennington</td>
<td>Mosaic Life Care</td>
<td>(816)271-8849</td>
<td><a href="mailto:Julie.pennington@mymlc.com">Julie.pennington@mymlc.com</a></td>
</tr>
<tr>
<td>1st VP, Seminars</td>
<td>Marie Winter-Zima</td>
<td>Capitol Federal</td>
<td>(785) 231-6394</td>
<td><a href="mailto:mwinter-zima@capfed.com">mwinter-zima@capfed.com</a></td>
</tr>
<tr>
<td>2nd VP, Programs</td>
<td>Brenda Kindle</td>
<td>FHLBank</td>
<td>(785) 438-6152</td>
<td><a href="mailto:brenda.kindle@fhltopeka.com">brenda.kindle@fhltopeka.com</a></td>
</tr>
<tr>
<td>Secretary</td>
<td>Elizabeth Salas</td>
<td>Westar Energy</td>
<td>(785) 575-8322</td>
<td><a href="mailto:elizabeth.salas@westarenergy.com">elizabeth.salas@westarenergy.com</a></td>
</tr>
<tr>
<td>Treasurer</td>
<td>Angie Drake</td>
<td>FHLBank</td>
<td>(785) 218-4481</td>
<td><a href="mailto:angie.drake@fhltopeka.com">angie.drake@fhltopeka.com</a></td>
</tr>
<tr>
<td>Board Member</td>
<td>Tony Risinger</td>
<td>Westar Energy</td>
<td>(785) 575-1968</td>
<td><a href="mailto:tony.risinger@westarenergy.com">tony.risinger@westarenergy.com</a></td>
</tr>
<tr>
<td>Board Member</td>
<td>Amelia Noe</td>
<td>Westar Energy</td>
<td>(785) 575-1871</td>
<td><a href="mailto:amelia.no@westarenergy.com">amelia.no@westarenergy.com</a></td>
</tr>
<tr>
<td>Board Member</td>
<td>Justin Stowe</td>
<td>LPA</td>
<td>(785) 296-7977</td>
<td><a href="mailto:justin.stowe@lpa.ks.gov">justin.stowe@lpa.ks.gov</a></td>
</tr>
<tr>
<td>Board Member</td>
<td>Andrea Robben</td>
<td>BCBS</td>
<td>(785) 291-8897</td>
<td><a href="mailto:andrea.robben@bcbsks.com">andrea.robben@bcbsks.com</a></td>
</tr>
<tr>
<td>Board Member</td>
<td>Robert Knott</td>
<td>Capitol Federal</td>
<td>(785) 231-6355</td>
<td><a href="mailto:rknot@capfed.com">rknot@capfed.com</a></td>
</tr>
<tr>
<td>Board Member</td>
<td>Shanae Gooden</td>
<td>Kansas Army National Guard</td>
<td>(785) 274-1265</td>
<td><a href="mailto:asgooden@gmail.com">asgooden@gmail.com</a> <a href="mailto:shanae.d.gooden.civ@mail.mil">shanae.d.gooden.civ@mail.mil</a></td>
</tr>
<tr>
<td>Board Member</td>
<td>Crystal Perez</td>
<td>Capitol Federal</td>
<td>(785) 231-6359</td>
<td><a href="mailto:cperez@capfed.com">cperez@capfed.com</a></td>
</tr>
<tr>
<td>Board Member</td>
<td>Lori Miller</td>
<td>Capitol Federal</td>
<td>(785) 231-6396</td>
<td><a href="mailto:lmiller@capfed.com">lmiller@capfed.com</a></td>
</tr>
<tr>
<td>Board Member</td>
<td>Jenny Tryon</td>
<td>Westar Energy</td>
<td>(785) 575-1652</td>
<td><a href="mailto:jenny.tryon@westarenergy.com">jenny.tryon@westarenergy.com</a></td>
</tr>
<tr>
<td>Audit Committee</td>
<td>Richard McMullen</td>
<td>Kansas Department of Transportation</td>
<td>(785) 296-5399</td>
<td><a href="mailto:mcmullen@ksdot.org">mcmullen@ksdot.org</a></td>
</tr>
<tr>
<td>Finance Committee</td>
<td>Angie Drake</td>
<td>FHLBank</td>
<td>(785) 218-4481</td>
<td><a href="mailto:angie.drake@fhltopeka.com">angie.drake@fhltopeka.com</a></td>
</tr>
<tr>
<td></td>
<td>Robert Knott</td>
<td>Capitol Federal</td>
<td>(785) 231-6355</td>
<td><a href="mailto:rknot@capfed.com">rknot@capfed.com</a></td>
</tr>
<tr>
<td>Nominating Committee</td>
<td>Julie Pennington</td>
<td>Legislative Post Audit</td>
<td>(785) 296-5817</td>
<td><a href="mailto:julie.pennington@lpa.ks.gov">julie.pennington@lpa.ks.gov</a></td>
</tr>
<tr>
<td>Social Media (Chapter Website and MMT Administrator)</td>
<td>Tony Risinger</td>
<td>Westar Energy</td>
<td>(785) 575-1968</td>
<td><a href="mailto:tony.risinger@westarenergy.com">tony.risinger@westarenergy.com</a></td>
</tr>
<tr>
<td>Academic Relations</td>
<td>Reza Espahbodi</td>
<td>Washburn University</td>
<td>(785) 670-1010</td>
<td><a href="mailto:reza.espahbodi@washburn.edu">reza.espahbodi@washburn.edu</a></td>
</tr>
<tr>
<td>Government Relations</td>
<td>Mary Hoover</td>
<td>DCF</td>
<td>(785) 296-2973</td>
<td><a href="mailto:mary.hoover@dcf.ks.gov">mary.hoover@dcf.ks.gov</a></td>
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<tr>
<td>Newsletter</td>
<td>Crystal Perez</td>
<td>Capitol Federal</td>
<td>(785) 231-6359</td>
<td><a href="mailto:cperez@capfed.com">cperez@capfed.com</a></td>
</tr>
<tr>
<td>Hospitality</td>
<td>Brenda Kindle (Chair)</td>
<td>FHLBank</td>
<td>(785) 438-6152</td>
<td><a href="mailto:brenda.kindle@fhltopeka.com">brenda.kindle@fhltopeka.com</a></td>
</tr>
<tr>
<td></td>
<td>Lori Miller (badges)</td>
<td>Capitol Federal</td>
<td>(785) 231-6396</td>
<td><a href="mailto:lori.miller@capfed.com">lori.miller@capfed.com</a></td>
</tr>
<tr>
<td>Certificates</td>
<td>Tony Risinger</td>
<td>Westar Energy</td>
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TOPEKA IIA 2017-2018 SCHEDULE AND LOCATIONS

<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
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| Tuesday, October 3, 2017 | Lunch Meeting (1 CPE)  
Suzanne Smith  
Data Analytics Certification | Washburn University |
| Tuesday, November 7, 2017 | Lunch Meeting (1 CPE)  
Mr. Jackie Williams 
Deputy Attorney General | KDOT         |
| Friday, November 17, 2017 | Fall Seminar  
John Gatto  
IT General Controls | KDOT         |
| Tuesday, December 5, 2017 | Lunch Meeting (1 CPE)  
Dr. Kuehl | FHLB          |
| Tuesday, January 9, 2018 | Lunch Meeting (1 CPE)  
Rebecca Collins Emotional Intelligence  
Bartlett and West | KDOT         |
| Tuesday, February 6, 2018 | Lunch Meeting (1 CPE)  | Westar       |
| Tuesday, March 6, 2018 | Lunch Meeting (1 CPE)  | Westar       |
| Tuesday, April 3, 2018 | Lunch Meeting (1 CPE)  | Cap Fed      |
| Thursday, April 12, 2018 | Spring Seminar  
John Hall | TBD          |
| Tuesday, May 1, 2018 | Lunch Meeting (2 CPE)  
Dr. Scofield  
Ethics | Westar       |

*Locations are subject to change*
Registration for IIA–St. Louis Chapter’s October 2017 Director’s Reception event, scheduled for 5:00 pm on Thursday, October 19, 2017, is now open. [Click here to register.]

**Location:** St. Louis Club, 7701 Forsyth Blvd, St. Louis, MO, 63105  
**Time(s):** 5:00 pm - 8:00 pm  
**Speaker(s):** Doug Anderson  
**Instructional delivery method:** Live Group  
**Field of Study:** Auditing  
**CPE credit(s):** 1 hour(s)  
**Advanced preparation:** None required  

**Program description:**  
Our featured speaker is Doug Anderson. Doug is the IIA’s Managing Director - CAE Solutions. In his current role, he primarily focuses on supporting CAEs through thought leadership discussions, presentations, roundtables, and consultations. Prior to the IIA, Doug spent 22 years at The Dow Chemical Company in internal audit (9 years as CAE) and as a Global Finance Director. Doug will present, "**Looking ahead in a time of disruption and change: How does the CAE ensure internal audit is invaluable?**" Doug will explore the current trends in governance, organizational challenges, technology, and similar disrupting events and how they impact the value internal audit brings to an organization. Doug will share perspectives on how CAEs across globe deal with and handle the current disruptive challenges. Doug will also address topics such as ERM, strategic auditing, artificial intelligence / automation, and block chain.

**Course registration requirements:** To register for this event, [click here.](#)  

**Schedule:**  
Registration and Networking: 5:00-6:00 pm  
Presentation: 6:00-7:00 pm  
Additional Networking: 7:00-8:00 pm  

**Cost:**  
Chapter members - $35.00  
Non-members - $40.00  

**Cancellation Policy:**  
The Chapter has a 48 hour cancellation rule. Written notice of cancellation must be sent to an Officer (which may be found on the chapter listing of the IIA website or in the newsletter) 48 hours prior to an event for a refund to be processed for those charged a fee for this event. Substitutes or transfers of registered or paid guests to an event are acceptable if an Officer is notified in writing prior to the event beginning.  
If you have any questions, please reply to [IIAStLouis@gmail.com](mailto:IIAStLouis@gmail.com).
Featured Article:

Publication: IIA

September 2017

5 Professional Development Options for Financial Staff

An accounting and finance department is only as productive and effective as the staff who comprise it. Good leaders understand this and therefore encourage their employees to continually develop new skills and knowledge — and polish the abilities they already possess.

In a Robert Half Management Resources survey, 31 percent of CFOs said that their companies planned to increase spending on professional development this year. It’s not difficult to understand the reason behind these budget boosts: Employees with solid technical skills typically work more efficiently — and are less likely to make mistakes on the job. Solid soft skills — written and verbal communication, leadership, collaboration, and more — are important to team success, as well.

Spending additional resources on staff training and skills enhancement can lead to invaluable ROI for your business. Strategies can often be easy to implement, and in many cases, cost little or nothing to put in motion. Here are six ideas

1. Have employees rotate jobs

One of the best ways to add to an employee’s skill set is through job rotation. Not only can such arrangements help workers to learn other roles, but it also can increase their business acumen — an essential ingredient for problem-solving and big-picture thinking on the job. Rotating positions can also keep accounting and finance employees from becoming bored with their duties, which helps to improve job satisfaction and retention rates.

2. Make mentorship a priority

Maximize the strength of your multigenerational workforce by asking senior-level employees to serve as mentors and guides to their junior colleagues. Keep in mind that mentoring relationships can work both ways: Those just starting their accounting careers also have unique knowledge and skill sets that more experienced accountants and auditors may not, such as creative ways to reach millennial clients. More than likely, you’ll find that every employee in your organization could benefit from taking part in a mentoring or coaching program.

3. Assign cross-departmental teams

Create project task forces that span two or more divisions so accounting and finance employees can get to know their colleagues from other parts of the business. Cross-departmental collaboration can boost both technical and interpersonal skills, as staff will have a better appreciation for the roles and workflow of coworkers across the company. Also, when a technological or marketing issue arises, for example, your team will know right away which colleagues to contact for help.

4. Bring in guest speakers
Consider hosting monthly lunch sessions led by industry experts. This is a quick, easy and cost-effective way to provide your staff with continuing education.

To ensure these events create value, present current topics that would interest staff from entry-level accountants to veteran budget analysts. Some ideas include the benefits of cloud technology, changing tax legislation, year-end audits, and the latest U.S. Securities and Exchange Commission (SEC) and Public Company Accounting Oversight Board (PCAOB) developments. Outside specialists not only provide additional knowledge, but also can introduce new ideas and spark innovation.

5. Encourage volunteering

Support employees’ efforts to donate their time and service to a worthy cause. While pro bono work may not seem like professional development, activities such as tutoring high school students in math or helping a nonprofit with year-end reporting are excellent opportunities to hone soft skills like communication, diversity and problem-solving — all while making a positive impact.

Volunteering can be good for your business, too. Sixty-one percent of U.S. workers interviewed for a Robert Half survey said that taking part in philanthropic activities outside of the office enhances their wellness. And a healthy staff will be a more productive staff.

Make sure employees have time for development

When your team members constantly face the challenge of lengthy to-do lists, they’ll be inclined to push professional development to the back burner. That is, unless you encourage them to make room in their schedule for these pursuits and help them find that time.

One way to ease the burden on your core staff, especially during intense work periods, is to hire consultants. These professionals complement your full-time team by providing skills, knowledge and support when, and for as long as, they are needed. Working with consultants helps to ensure your employees can stay on top of their current responsibilities, while also building the skills and knowledge they need to succeed in the future.

The initial time and effort to implement the professional development ideas listed above can lead to an increase in your staff’s knowledge base and their overall abilities. Participation in meaningful opportunities also can positively influence employees’ job satisfaction — and, in turn, boost your retention rates. That’s just one way that a thoughtful and proactive approach to professional development can translate to a win-win for your employees and your business.

This article is provided courtesy of Robert Half Management Resources, the premier provider of senior-level accounting, finance and business systems professionals to supplement companies’ project and interim staffing needs. The company has more than 140 locations worldwide and offers online job search services at www.roberthalfmr.com. Follow our blog at blog.roberthalfmr.com.