For the first time in 16 years, The IIA’s International Conference is being held in Canada. The 2015 International Conference, July 5–8, 2015 in Vancouver, promises to be another record-breaking success, and we're pleased to announce that IIA–Canada members have the flexibility to plan their conference experience to suit their needs. Select the option of attending only the educational portions of the conference, or add in one or both social events — the Sunday night Welcome Reception at the Vancouver Aquarium and the Tuesday evening Gala. Register for the conference now!
Paving a Pathway for Success: Managing Stakeholder Expectations

In every situation the interpretation of results is aligned to expectations. For example, a sporting team making the playoffs may be considered a great success but another team is underachieving unless they win the title. As a Chief Audit Executive (CAE) can you definitively state the expectations of your stakeholders? If not, how do you determine success?

The business is demanding guidance from internal audit in addressing critical business risks.

Is there Alignment?

The Board and executive management have been consistently challenging internal audit to provide meaningful value and justify their role as assurance providers. They want to broaden the role of the profession to incorporate risk management, business strategy and governance. It is all well and good for them to want this, but as a CAE your role is to carefully manage these expectations to align with your ability to deliver. Becoming a trusted advisor in business risk management strategies takes time.

How do you gain alignment? This often involves educating stakeholders and ensuring that your vision and timelines align with theirs.

Misalignment can occur for many reasons including the business having a very different risk appetite from audit or a lack of understanding of internal audit’s role. Should audit be involved in solving critical problems in the business? Should they be generating key insights in risk and controls? Should they be acting as a trusted advisor for the Chief Executive? These are the easier questions.

The tougher questions you should focus on at first are: Should internal audit be focusing on critical business risks? Should they be bringing foresight to the table to identify emerging risks? Are they equipped with the right resources to meet management and Board expectations?

Stakeholder Expectations

As CAE you have to engage senior management, continuously measure their expectations and adjust your execution to satisfy stakeholders. I am not saying that you should simply aim to meet their expectations. If your stakeholders have an expectation that undervalues the role of internal audit or changes the focus away from critical business issues then you have to change that.
Most executives will agree that the hardest part of making changes in an organization is to get the initial wins. Small incremental wins at the outset are often more difficult than huge changes once the business gains confidence. Start with small changes with a high probability of success with a subset of stakeholders. Then roll out the changes to larger groups and repeat the process.

For example, one of our customers started with performing simple duplicate invoice testing over a year ago. The results were great as they identified significant issues. This small win enrolled the AP department into using the audit analytics on an ongoing basis as an early warning system – a second win. After several small wins over time they have recently implemented continuous monitoring to examine AP risks and controls – big win. The organization now focuses on having a sustainable internal control environment after realizing the success from a simple process change.

Focusing on utilizing small wins and aligning stakeholder expectations and goals will increase the credibility of the Internal Audit vision and make it easier for them to participate in more strategic risk management in the company.

Andrew Simpson
Andrew Simpson has close to two decades of experience in the information systems audit and security business; specifically data analytics, interrogation and forensics. He is a regular contributor to various auditing conferences and is acknowledged as an expert on continuous controls monitoring and revenue assurance.

Connect: @CW_Simpson  Andrew Simpson

Our sponsors have been invited to share thought leadership articles for publication in our newsletter. In response, the above is the second of six in a series from Andrew Simpson, COO of CaseWare RCM Inc. We thank CaseWare for their contribution to our newsletter.

Contact our newsletter team if you have an article or idea to share

In past years, we have produced a newsletter 3 times a year, every four months. Recently we have been producing newsletters monthly. Our goal is likely to produce bi-monthly, or produce a smaller monthly newsletter. The contents of our newsletter has also been changing slightly over the past few months as we try new things and take away the things that members didn’t seem to like. What do you think?

https://www.surveymonkey.com/s/SVDZYZ9
“IDEA® is simply the best tool on the market to efficiently analyze data and deliver results to our clients in a cost effective manner. It’s crucial that we’re able to explain our work and ensure the integrity of our source data is never compromised. IDEA’s audit log and read-only access to source data eliminates this concern. With IDEA®, we can import data from virtually any source, and its robust functionality means that both broad and detailed data analysis is limited only by our imagination.”
The November Issue of @IIACanada is now available. Click here for instant access to the issue:

Keynote Speaker

Colonel Chris Hadfield
Astronaut / First Canadian Commander of the International Space Station (2013)
An astronaut of more than two decades with three spaceflights under his belt, Col. Chris Hadfield is a pioneer of many historic Canadian “firsts,” including having been selected as Canada’s first fully qualified Space Shuttle crew member.

Keynote Speaker

Theresa Payton
Founder, Fortalice, LLC
Former White House CIO
One of America’s most respected authorities on Internet security, net crime, fraud mitigation, and technology implementation. As White House Chief Information Officer from 2006 to 2008 — the first woman ever to hold that position — Theresa Payton administered the information technology expertise for the President and 3,000 staff members.

Keynote Speaker

Yuwa Hedrick-Wong, Ph.D.
Distinguished Visiting Professor, University of British Columbia
Global Economic Advisor, MasterCard Worldwide
Yuwa Hedrick-Wong is an economist and business strategist with 25 years of experience gained in more than 30 countries. Originally from Canada, he has spent the last 20 years working around the world, and has served as strategy advisor to more than 50 leading multinational companies.

Keynote Speaker

Lawrence J. Harrington, CIA, QIAL, CRMA
Vice President, Internal Audit
Raytheon Company
With more than 25 years of experience in auditing and finance, Larry Harrington started his career in public accounting and has served in a variety of Industries and in key leadership roles over finance, human resources, and operations, as well as chief audit executive for several Fortune 500 companies. He currently serves as senior vice chair of The IIA’s Global Board of Directors.
IIA Headquarter News and Announcements

21-April-2015, Pulse of Internal Audit. Register now for this free members only webinar from IIA.

23-April-2015, ACUA - Promoting the Value of Internal Audit Register now for this free members only webinar from IIA and the Association of College and University Auditors.

19-May-2015, Driving an Effective ERM Culture. Check this link during April to Register; registration is opening soon.

12-May-2015, Understanding Non-Verbal Cues During Audit Interviews and Investigations, Register now for this free members only webinar from IIA Canada.

9-June-2015 IIA's Internal Audit Capability Model - Technical and Practical Applications', Register now for this free members only webinar from IIA Canada.

Click on AUDIT CHANNEL.tv above, relax and enjoy some quality and empowering TV, including:

Closing the Accountability Loop
University of Victoria Director of Internal Audit Behram Dadachanji says, “If recommendations aren’t being implemented, there is an accountability issue.” There are ways to remedy that and Internal Audit is uniquely positioned to help close the accountability loop.

The Value of Problem Management in IT Auditing
In part 1 of this series from John Livingston, senior IT auditor for Medical Mutual of Ohio, he delves into two topics of prime importance to IT auditors: Incidents and Problem Management. Stay tuned to other series installments including Problem Management Processes, the Risk Control Matrix, Configuration Management, and more.

Internal Audit Priorities for 2015
IIA President and CEO Richard Chambers identifies and discusses five priorities for internal auditors in 2015. Whether auditors adopt all or just a few, now is a great time to step back and identify their personal and professional objectives.
This MONTH’S FEATURE:

Adapting Internal Audit to Organizational Governance

Many organizations have learned that to be successful, an appropriate governance and internal audit infrastructure must be established for each business unit. The main question is to determine how the integration of internal audit best occurs in each organization.

Each organization uniquely integrates internal audit into its business based on factors that include size, business diversification, level of international activity, and overall complexity of transactions. This study analyzes the strengths and weaknesses of different internal audit structures. Case studies were conducted at nine large multinational organizations in Europe and the United States to explore the factors that influence internal audit’s position in the governance structure, especially reporting paths, the governance system (one-tier vs. two-tier), and organizational structure (centralized vs. decentralized).

Based on these case studies, the researchers identified best practices for adapting internal audit to organizational governance structures, including:

Best Practices for Organizational Governance
Best Practices for Internal Audit Characteristics
Best Practices for Internal Audit Reporting

Download your free copy today.

Check out IA Magazine online and download the app. It’s available for Apple and Android. Current and back issues and more. FREE FOR IIA MEMBERS!

This month, we also wish to bring your attention to a thriller recommended by ISACA on the whole issue of Cybersecurity. See Page 19
The 2015 Annual Member and Non-Member Surveys

It’s the once-a-year time when we survey our members and non members who have agreed to be on our mailing lists. We review each response and look at how we might adjust our services, support and programs and to provide guidance for the direction the new board (elected every June) might take for the coming year.

We asked the same (or similar) five core questions we have asked in past years to see how we are tracking in the delivery of our support and services. This newsletter will focus heavily on what we asked, what you said, and how this has changed since last year. We will also share our respondents comments, both the good and not so good.

We have a lot of additional questions we would like to ask to gain more detail on our individual services but we didn’t want to burden you with a 200 questions annual survey (that you likely wouldn’t respond to). Throughout this article and the newsletter, you will see these black bordered invitations to focus surveys. These will remain open for the remainder of the year and you can use these to give us feedback on specific topics anytime you want, as often as you want.

1. Overall, what do you think of our Chapter’s services and support to you in the past year?

42 responses were received. We received a 98% approval rating where we exceeded or met your expectations, down slightly from previous years where we received 100% approval right back to 2010, except in 2011 where we only attained 96%.

• The high caliber of speakers and the lovely venue makes for a great combination of affordable PD.
• For a small chapter in a small city, the IIA Vancouver Island does an excellent job.
• Many professional development opportunities organized.
• As a member of a relatively small Chapter I consider myself extremely fortunate to be supported by a very dedicated team of volunteers who between them have a wealth of creative thinking.
• The group is doing an exceptional job.
• The enthusiasm of the current chapter board are much appreciated.
• New member’s view: For such a small IIA chapter, I think the amount of work and effort put in to delivering the newsletter, luncheons etc., to the members (and wider audience) is impressive! Well done!
• I feel that the chapter services have improved over the past year, but that some such as the website and social media use is still questionable.
• My perception is that the Chapter is active and doing a good job of providing interesting topics and presentations.
• I have been very impressed by the quality of the sessions I attended

While we recognize that we can’t always satisfy everyone all the time, we will be working at getting back to 100% approval.

Give us feedback (completely anonymous) on your thoughts on the Board’s performance at any time: https://www.surveymonkey.com/s/S7KHDK.
2. In the past year, how would you rate the following specific services?

For this set of questions we will show a tabular format of the service, the percentage of respondents who thought we performed at an Excellent or Above Average level, the percentage who thought we performed at a Below Average or Poor level, and the previous few years ratings. Not all respondents answered all questions and questions were not exactly the same from year to year so only percentages are shown. It’s quite a lot to analyze.

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<td></td>
<td>Excellent or Above Avg.</td>
<td>Below Avg. or Poor</td>
<td>Excellent or Above Avg.</td>
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<tr>
<td>Luncheons</td>
<td>98%</td>
<td>0%</td>
<td>97%</td>
</tr>
<tr>
<td>Workshops</td>
<td>94%</td>
<td>0%</td>
<td>80%</td>
</tr>
<tr>
<td>Annual Fraud Program</td>
<td>100%</td>
<td>0%</td>
<td>100%</td>
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It appears that the last two years have both improved since 2012-2013 in most areas. Luncheons and workshops appear to be working well, and our Fraud Conference continues to be our high point, although we had an all time low attendance this year. We will work to keep our professional development events at 90% or better.

Give us feedback (completely anonymous) on your thoughts on Luncheons anytime: https://www.surveymonkey.com/s/68ZXYFB.

We would also value your thoughts (completely anonymous) on our annual Fraud Awareness Program: https://www.surveymonkey.com/s/6LVPPDG.

We have some ideas and opportunities for workshops this year, but are not sure if this the best time with the International Conference perhaps using your training budget, What do you think? https://www.surveymonkey.com/s/6L9BRSS.

Communications breaks down into e-mail, our chapter website, our newsletters, and our use of social media.

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<td></td>
<td>Excellent or Above Avg.</td>
<td>Below Avg. or Poor</td>
<td>Excellent or Above Avg.</td>
</tr>
<tr>
<td>E-mail Communications</td>
<td>89%</td>
<td>0%</td>
<td>81%</td>
</tr>
<tr>
<td>Chapter Website</td>
<td>76%</td>
<td>4%</td>
<td>69%</td>
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<tr>
<td>Chapter Newsletter</td>
<td>83%</td>
<td>0%</td>
<td>88%</td>
</tr>
<tr>
<td>Use of Social Media</td>
<td>40%</td>
<td>0%</td>
<td>38%</td>
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• Newsletter is too long - keep it to 1-3 pages max.
It looks like we are NOT currently pestering you too badly with e-mail communications. We do try and keep it to about 3-4 a month unless we have something special to announce.

Give us feedback (completely anonymous) on your thoughts on our e-mail communications at any time: https://www.surveymonkey.com/s/WCFBCBB.

It looks as though our newsletter, although up slightly from 2012-2013, has dropped since last year. We have only been receiving positive responses since we started changing the format back in November, so please let us know where we are missing the mark.

In past years, we have produced a newsletter 3 times a year, every four months. Recently we have been producing newsletters monthly. Our goal is likely to produce bi monthly, or produce a smaller monthly newsletter. The contents of our newsletter has also been changing slightly over the past few months as we try new things and take away the things that members didn’t seem to like. What do you think? https://www.surveymonkey.com/s/SVDZYZ9

Our website appears to have improved since 2013-2014 but is still down from its 2012-2013 level. We also see that some of our members have become more dissatisfied with the site. Please tell us why.

Give us feedback (completely anonymous) on your thoughts on our website at any time: https://www.surveymonkey.com/s/PV3756F.

And lastly, it looks like we have slightly improved in our use of Social Media, but at a 40% approval rating, this would appear to be an area that either still needs a lot of attention, or is not something that our members have strong feelings (or interests) in.

Give us feedback (completely anonymous) on your thoughts on our use of social media, especially any media platforms or applications we should or should not use. https://www.surveymonkey.com/s/P7JDJ3L.

Let's look at our last two areas, certification support and membership support.

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<td>Certification Support</td>
<td>Excellent or Above Avg.</td>
<td>91%</td>
<td>89%</td>
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<tr>
<td></td>
<td>Below Avg. or Poor</td>
<td>0%</td>
<td>0%</td>
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In the areas of certification support we appear to have improved over the past two years. Remember, if you are seeking any of the certifications, information on the process or access to learning material discounts, just contact our certifications chair, or seek help through our certifications survey.

Give us feedback (completely anonymous) on your thoughts on certification at any time: https://www.surveymonkey.com/s/6888F7Z.
Lastly, we it appears we have improved our overall member services over the past two years, with a much appreciated 100% approval rating on membership support. While we like to see 100% approval and we will strive to see it again, we also realize that 90% or better year after year is really our benchmark for success.

• Idea: consider hosting an annual IIA Vancouver Island 'gala dinner / breakfast' event? Maybe use the space to have a 'fun' speaker on topical issues or motivational speaker like stress management for auditors?

We will investigate the interest in the above idea in our luncheons survey. Thanks for the suggestion!

3. Looking Back, how satisfied are you with our Luncheons?

For this set of questions we will also show a tabular format of the luncheons, the percentage of respondents who thought we performed at an Excellent or Above Average level, the percentage who thought we performed at a Below Average or Poor level, and the previous few years ratings. Not all respondents answered all questions and questions were not exactly the same from year to year (We didn’t ask about depth and detail, or duration in previous years) so only percentages are shown.

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<td>Membership Support</td>
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<tr>
<td>Excellent or Above Avg.</td>
<td>100%</td>
<td>90%</td>
<td>71%</td>
</tr>
<tr>
<td>Below Avg. or Poor</td>
<td>0%</td>
<td>0%</td>
<td>24%</td>
</tr>
<tr>
<td>Range/Variety of Topics</td>
<td>95%</td>
<td>95%</td>
<td>87%</td>
</tr>
<tr>
<td>Depth and Detail of Topics</td>
<td>90%</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Quality of Speakers</td>
<td>98%</td>
<td>95%</td>
<td>87%</td>
</tr>
<tr>
<td>Location/Venue</td>
<td>98%</td>
<td>92%</td>
<td>97%</td>
</tr>
<tr>
<td>Time</td>
<td>93%</td>
<td>92%</td>
<td>97%</td>
</tr>
<tr>
<td>Duration</td>
<td>88%</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Price</td>
<td>83%</td>
<td>85%</td>
<td>90%</td>
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Overall it looks like we are doing fairly well on our luncheons. We have improved in terms of the range and variety of topics, the quality of speakers, and the Union Club continues to be a popular venue. Our rating is down slightly on time and we need to improve on duration. We appreciate that for a few events this year, we went over the expected time. We have mixed feelings in cutting the speaker off (Realizing people have to get back to the office) or letting them continue if they appear to be holding the attendee’s interest.
Interestingly the price rating has dropped over the past two years. Unlike some other professional associations we have not increased our prices. What you may not be aware of is that we hold luncheon events at a loss, sometimes a large one if we have to pay speaker travel expenses. We use the successes of our annual fraud conference and any workshops we may hold to cover our luncheon losses.

Here are some of your comments on our luncheons:

• The speakers who read slides are not engaging, poor time management kills a presentation - nothing worse than rushing through the meat of the material unless they are really engaging speakers, having 1-2 speakers works.
• The only drawback for me about our luncheons is the awkwardness I experience when I'm eating while the speaker is presenting. He/she is deserving of my full attention but as a pragmatist I understand and appreciate that there simply is not enough time over a luncheon period to finish eating before the presentation begins. So, this is not a complaint but rather a sharing of how I feel.
• I have always felt the luncheons were too short to discuss and talk about topics in depth. I always come away with the feeling that I did not get as much valuable insights on the topics. I am aware of that luncheons take into consideration the time constraints due to people's work schedules, but I think luncheons should be an hour or two longer than usual and there should be an expectation that people who attend will make a time commitment to promote their professional development.
• Union club is an excellent location. food is good, room size is good, location great.

You can use the luncheons survey mentioned on previous pages to provide further feedback; one option might be to extend the event to have either two speakers or a longer and more in depth presentation (as per our members comments above). This would take up more of your time, but would probably not change the cost so you might get more 'bang for the buck'. As a counter though, we may have a harder time finding presenters who can spare the time to go into great depth on some topics or who can volunteer their time freely.

4. Based on Past Surveys and your suggestions/requests we are working on or planning on bring luncheons on these topics in the following months. Are you likely to attend...

Here we presented you with a variety of planned or potential topics., many of them were ideas from last years survey. Here’s what you had to say.

More than 50% of respondents indicated they would attend luncheons on the following topics:
• Business Continuity Management
• Enterprise Risk Management
• Ethics and Leadership
• 3CM / Continuous Monitoring / Continuous Auditing
• Contract Deliverables Management

We will move Contract Deliverables Management forward within our program planning. The other four topics are already in planning (or have just occurred).
Conversely, less than a third of you showed an interest in attending luncheons on the following topics:

- Running a Penetration Test Audit
- Assurance Planning
- Construction Auditing
- Case Management Systems

We will move these to the back burner or look to them as opportunity topics to merge or combine with other topics where applicable.

Interest is reasonable on the following topics with over 40% of you indicating you would most likely attend:

- Compliance in Tax and Health
- Auditor Access to E-Mail Records (Case Study)
- IA Capability Maturity Model
- Stress Management for Auditors

We will move these topics ahead and see where we can fit them into the coming year.

5. Based on E&Y’s imperatives for Internal Audit and hot topics recommended by the IIA, if we seek to bring presentations on the following topics in the following months. Are you likely to attend...

We also asked about the current list of hot topics that the IIA says we should all be concerned with. Interest in these ranged from 61% on Mobile Computing to 73% on Anti-Corruption.

- Risks in Social Media (66%)
- Mobile Computing (61%)
- Cloud Computing (70%)
- Cybersecurity (71%)
- Third Party Risk Management (72%)
- Anti-Corruption (73%)

And here are your comments:

- Audit of project management - dealing with action plans - giving guidance to the auditee, reviewing the action plan, follow-up, etc.
- Could we maybe expand on the Anti corruption topic, to include Know you Customer, Anti-money Laundering and Sanctions?
- From all my readings, it appears that the internal audit profession should be much more knowledgeable about computer technology, especially emerging technologies, due to the risks they pose to the business.
- Some of the above topics are really big. Not sure if we can get enough details within a few hours.
- Above items are marked unsure as ability to attend depends on scheduling. However, they are areas of interest.
- I am a Finance Manager in Local Government. I have internal audit and internal controls as part of my responsibility. However it is a small part and unfortunately unless the topic is very applicable to my job I will not attend due to other more applicable training opportunities.
We found these results interesting as past luncheons on IT have had mixed success. One avenue the programs team is pursuing is the idea of a full day ‘consultant all stars conference’ similar to one held by the Vancouver chapter in October 2014. We would invite the major consulting firms to give hour long presentations on one of the above topics and hit all 6 in a single day. We would hope to hold this at a very reasonable price perhaps running from 9:00 – 4:00. A more in depth presentation might then be arranged for the most popular topics or a mini workshop that hits several aspects of a topic (e.g. cloud computing). Anti-Corruption might be an expansion topic for our annual fraud conference. Cloud computing might be a mini workshop all to itself.

Use our workshops survey to tell us what you think of this idea, or contact any one of the programs team.

6. What can the Programs Team do to help you in achieving your professional development interests and requirements?

Here is your feedback to the Program team:

- Keep doing what you are doing - it makes up for a good portion of my annual PD and I find it very worthwhile.
- Keep putting on the luncheons - hopefully I can take some time to attend soon.
- Help find internal audit placement (experience) for certification. **
- Given the topics outlined in 4 & 5 above, the Program Team has done an excellent job in researching potential topic areas to support my professional development.
- Continue offering the variety of learning opportunities, i.e. luncheons half day and full day sessions.
- Achieve a balance in presentations on long-standing staples such as contract deliverables management and emerging topics such as icloud auditing.
- Keep up the great work ... 
- Keep doing what you are doing
- You're doing a great job. Keep it up.

Thanks from the Program team for all the positive feedback.

And thanks from your Chapter Board for continuing to support the chapter, attending our functions, and providing your valuable feedback. All of the above results will be shared at our next one (or two) Board meetings and we will start adjusting our services and approach accordingly. Remember you can provide further feedback at any time through the linked surveys within this and future newsletters.

And lastly, if you actually read this entire seven page article, thanks for persevering! 😊

Graham Tranter
Graham Tranter, CIA, CFE, CRMA, CISA, CCSA, PMP is currently a Senior IT/Internal Auditor, with a long history of risk management, project management and IT management, including the establishment of security and records management offices and programs. Graham is your Vice President, Newsletter Editor, and Programs Team chair.
IIA Canada signs Memorandum of Understanding with CPA Canada

IIA Canada recently signed a Memorandum of Understanding ("MOU") with CPA Canada. The purpose of this MOU is to create a formal basis for cooperation and collaboration between CPA Canada and the IIA Canada for the advancement of good governance, risk management, and control through the mutual sharing of knowledge, experience, and best practice. The collaboration between the two organizations will happen on many fronts, including, but not limited to: Research and Publications, Education, Marketing and Promotions, Commentary on Laws and Regulations, Conferences, and Jointly Sponsored Events.

Under this MOU, both bodies have established a Liaison Committee that includes two representatives from CPA Canada, and two representatives from IIA Canada. This Liaison Committee will plan the annual collaborative activities between the two organizations, and oversee the implementation of the planned activities.

There is tremendous excitement on both sides for the potential of great work together, in service of Public Interest. Under this MOU, both organizations will work towards enhancing transparency and accountability in the public and private sectors, which in turn is essential to maintaining public trust and for efficient capital markets, and global financial stability.

Joint IIA / CPA Canada Event – in Celebration of the Memorandum of Understanding between IIA Canada and CPA Canada

On January 27, 2015, members of the Ottawa chapters of IIA Canada and CPA Canada attended a reception in celebration of the Memorandum of Understanding between IIA Canada and CPA Canada. Members representing both internal audit and finance functions were present to network and obtain more information on the memorandum of understanding and the value that internal audit can bring to organizations.

The event featured IIA Canada’s Chairman providing an overview of the memorandum of understanding and plans for future collaboration between the two organizations. Guest speakers, including the Chief, Audit and Evaluation Executive of Transport Canada and the Chief Financial Officer of the Royal College of Physicians and Surgeons, were on hand to share their experience with internal audit from both the finance and internal audit function perspective. They provided context on how internal audit is used at their organizations to engage and empower management.

Look forward to more of these events in the future in support of this national initiative.

Would you like to provide feedback (completely anonymous) on your perceptions of the service being provided by IIA Canada? We will be combining these results with the same survey being used by other chapters across the country: https://www.surveymonkey.com/s/HX2D9SG.
Welcome New Members!

Our Chapter is pleased to welcome the following new or returning members.

Michael Villamiel
Therese Saunders
Steven Taylor
Christopher Linstead
Mark Castator
Gordon Gunn

Chapter Support For International Conference Attendance

On January 28, 2015, we sent out an e-mail to all of our chapter members identifying “Funding Support Available to IIA International Conference Registrants”. The text of the e-mail was repeated in our February newsletter.

We received and processed ten applications, exhausting the funds we initially put aside. We further reviewed our budget forecasts during our March board meeting and determined we could support five additional applicants (on our waitlist). We are pleased to announce the chapter will be supporting attendance at the international conference for:

- Tom Peerless
- Cindy Manhas
- Audra Leslie
- Behram Dadachanji
- Kelly Dorin
- Graham Tranter
- Amy Hoggard
- Keri Norrie
- Lawrence Puszka
- John Shenton
- Chris Brown
- Jane Bryant
- Alex Amaral
- Kelly Dong
- Theodora Borrisov

Our chapter board thanks all applicants and we hope you enjoy the International conference.
The IIA is implementing a new social badging tool that will allow holders of IIA professional credentials to digitally display their certifications and qualifications on social and professional networking sites. The program, being managed by a third-party vendor, is expected to be live in February, and those with IIA professional credentials will receive an email from The IIA with information on what to expect. Visit the Certifications social media page to learn more.

IIA Career Map™, a free online tool that will provide members with a powerful means of evaluating their professional competencies and creating a robust plan to reach their career goals.

The tool debuted at The IIA’s All Star Conference in Las Vegas, as well as online at www.theiia.org/careermap.

To learn more, go to www.theiia.org/careermap and access the following resources:

IIA Career Map tool
Competency Framework Alignment Overview
Featured article on the latest issue of Career Compass
Video tutorial
<table>
<thead>
<tr>
<th>Date</th>
<th>Event Type</th>
<th>Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>APRIL 9, 2015</td>
<td><strong>Luncheon (1.5 CPE)</strong>  (12:00-2:00)</td>
<td><strong>Enterprise Risk Management</strong></td>
</tr>
<tr>
<td>MAY 6, 2015</td>
<td><strong>Luncheon (1.5 CPE)</strong>  (12:00-2:00)</td>
<td><strong>3CM</strong></td>
</tr>
</tbody>
</table>
| JUNE 18, 2015   | **Luncheon (1.5 CPE)**  and Annual General Meeting (12:00 – 3:00) | **Auditor General of BC**  
**Ethics or Leadership** |
| JULY 10, 2015   | **Luncheon (1.5 CPE)**  (12:00-2:00)            | **The Integrated Assurance Grail**   |
| AUGUST 14, 2015 | **Luncheon (1.5 CPE)**  (12:00-2:00)            | **Compliance in Health and Tax**     |

All events are held at the Union Club of BC, 805 Gordon Street
For registration to any of the above, or more information on upcoming events
Go to Institute of Internal Auditors Vancouver Island Chapter.

Contact our programs team for further details on how you can become one of our future speakers, or on to nominate a speaker you know! Remember, developing and delivering a presentation also earns you CPE in support of your annual certification requirements.

Give us feedback (completely anonymous) on your thoughts on Luncheons anytime:
https://www.surveymonkey.com/s/68ZXYFB.

We would also value your thoughts (completely anonymous) on an our annual Fraud Awareness Program:
https://www.surveymonkey.com/s/6LVPPDG.

We have some ideas and opportunities for workshops this year, but are not sure if this the best time with the International Conference perhaps using your training budget, What do you think?
https://www.surveymonkey.com/s/6L9BR5S.
Are you a local expert in a given area? Have you just finished a project with lessons to share? Is there some area of Internal Audit you are passionate about sharing with your colleagues? Do you see trends heading our profession in new directions (at least locally)? Do you just have a great topic that you want to share with members of our profession, and with our affiliates who attend our events?

Do you know a speaker who could share impactful insights? Let us know and help establish contact and if they end up speaking, you will get a free attendance at their presentation, or one of your choice.

Contact our programs team for further details on how you can become one of our future speakers, or on to nominate a speaker you know!

Remember, developing and delivering a presentation also earns you CPE in support of your annual certification requirements.

The Connector

You might also be interested in: The Fifth Domain - Wake Up Neo

“How Real is Real?” Paul Watzlawich asked himself in a famous book. After reading the first part of this book, you will surely ask yourself, “How real is fiction?” And after reading the second part, you will probably end up wondering whether real life could be more threatening and complex than a thriller about cyber security.

A book written by two CIOs (Chief Information Officers): a novel about the breath-taking fight of Tommaso, Ned, Martin, Myriam and Diana against a destructive cyber-attack menacing the lives of thousands of patients, intertwined with real life experiences about building and managing healthcare information systems. A plot which takes place in the evocative scenarios of Rome, Florence, Malta, Canada and Odessa. As entertaining as a novel, as real as real life can be: a way to enter the world of information technology and to approach some of the big themes about security threats, IT governance, IT architectures, cloud computing and IT risks. A note of caution: the book is for many but not for all. We strongly suggest it should be read only by anyone who happens to be a technology or an Internet user. Moreover, the book should be read and used as a call to action only by CEOs and top executives who by chance use information technologies in their companies.
Mountains of Change,...
Oceans of Opportunities

Join us for the 74th annual IIA International Conference, July 5–8, 2015, in Vancouver, BC, Canada, as we embark on an educational journey rich with insights for internal auditors at every level.

Confirmed Keynote Speakers

Yuwa Hedrick-Wong, Ph.D.
Distinguished Visiting Professor, University of British Columbia
Global Economic Advisor, MasterCard Worldwide

Colonel Chris Hadfield
Astronaut
First Canadian Commander of the International Space Station (2013)

Expand your network with 2,000+ peers from more than 100 countries, deepen your knowledge of internal audit, and experience the sights and multicultural offerings of British Columbia.

Register early and save!
The IIA's Certified Internal Auditor® (CIA®) certification is the only globally accepted designation for internal auditors. It is a four-part exam that remains the standard by which individuals demonstrate their comprehensive competence and professionalism in the internal auditing field.

In response to market demand for premier quality global CIA exam preparation, The IIA has developed The IIA's CIA Learning System™.

The IIA's CIA Learning System is a comprehensive print and online CIA review program that will prepare you to pass the CIA exam.

A French version of the program is also available.

For more information on IIA's CIA Learning System click on the logo to the bottom left.

But before you purchase, read on...

Your IIA Vancouver Island Chapter is pleased to assist members interested in obtaining the globally recognized CIA designation through providing IIA's CIA Learning System at significantly lower prices:

- Full Kit: 3-Part Exam, CAD$650* (New!)
- Individual Part, CAD $285* (New!)

Note: * All inclusive. Subject to change without notice. Prices for non-members are also available.

This offer represents significant savings from the regular prices offered directly through the IIA.

For more information and to purchase your IIA's CIA Learning System, please go to the following link.

The IIA's CIA Learning System - Order/Payment Site

Thanks to Sonia Vicente for bringing an error to our attention. The four-part CIA exam is no longer being offered.
IIA Certification: Financial Support

To support our chapter members in their pursuit of IIA Certification(s), the chapter will provide financial support to the successful candidates who meet the following criteria.

1. All exam and application fees were personally funded.
2. All eligibility requirements were met and passed the exam(s).
3. Be a good standing member when the certification is awarded.

Each successful candidate will receive $100. A limit of 5 successful candidates has been set up for each fiscal year.

Gleim CIA Study Material Discount

In addition to the discounts on IIA’s CIA Learning System, we are proud to offer significant discounts on Gleim’s CIA Study Materials for our chapter members.

As a chapter member, you can get a 20% discount on Gleim’s CIA exam prep products. Please visit the Members Only section of the IIA Vancouver Island website.

We would like to hear from you. Any comment or suggestion on how we can support you for earning IIA Certifications?

Certification Spotlight

Tell us your story on your journey to certification and we will publish it in our next newsletter. Share your experience!

What certification did you achieve and when?
What prompted you to become certified?
How did you prepare for the exam?
How has certification helped your career?
What advice do you have for others seeking certification?

Answer these questions along with a professional bio and picture to The Connector editor at gtranter@uvic.ca to earn your page on out bi-monthly newsletter.

CIA Exam Review Program by Mount Royal University (Online Course)

Click here for more info.

► Certifications Questions? ◄

Ask our Certification Chair
Michael Zwicker
michael.zwicker7@gmail.com

Give us feedback (completely anonymous) on your thoughts on certification at any time:
https://www.surveymonkey.com/s/6888F7Z.
The IIA Vancouver Island Chapter has

**115 Members**

Sharing the following certifications

In 2013-2014 we achieved GOLD Status for the 7th consecutive year. We need to do this three more times in the next four years to attain PLATINUM status. Following is our 2014-2015 progress.

<table>
<thead>
<tr>
<th>Service Category</th>
<th>Required CAP Points</th>
<th>Achieved CAP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Service to Members</td>
<td>325</td>
<td>1,080.65</td>
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<tr>
<td>Service to the Profession</td>
<td>200</td>
<td>1,498.53</td>
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<tr>
<td>Chapter Administration</td>
<td>160</td>
<td>243.00</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>2,822.17</strong></td>
<td></td>
</tr>
</tbody>
</table>

As at the end of December, we achieved the minimum CAP requirements in Chapter administration. Thus, we are eligible for our 8th consecutive GOLD status.

Thank you to all our members who support the Chapter through attending events, suggesting topics and speakers, contributing newsletter articles, presenting to the chapter, answering our surveys, and supporting the Internal Audit Profession.
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<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
<th>Organization</th>
<th>Contact</th>
</tr>
</thead>
<tbody>
<tr>
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</tr>
<tr>
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<td>Department of National Defence</td>
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<tr>
<td>Programs</td>
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<td>HP Advanced Solutions</td>
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</tr>
<tr>
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<td>BC Investment Management Corporation</td>
<td><a href="mailto:teeravit.chintawongvanich@bcimc.com">teeravit.chintawongvanich@bcimc.com</a> (778) 410-7107</td>
</tr>
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</tr>
</tbody>
</table>

Give us feedback (completely anonymous) on your thoughts on the Board’s performance at any time: [https://www.surveymonkey.com/s/S7KHDK](https://www.surveymonkey.com/s/S7KHDK).
The Truth About Daleks