Inside This Issue...

2  Annual Survey Results
9  International IA Awareness Month
11 IIA Canada IIA HQ News
13 IA Scholarships
14 Membership
16 Professional Development
20 IIA Certifications
23 Chapter Progress Report
24 Chapter Board / Board Nominations
The 2016 Annual Member and Non-Member Surveys

It’s the once-a-year time when we survey our members and non members who have agreed to be on our mailing lists. We review each response and look at how we might adjust our services, support and programs and to provide guidance for the direction the new board (elected every June) might take for the coming year.

We asked the same (or similar) five core questions we have asked in past years to see how we are tracking in the delivery of our support and services. This newsletter will focus heavily on what we asked, what you said, and how this has changed since last year. We will also share our respondents comments, both the good and not so good.

1. Overall, what do you think of our Chapter's services and support to you in the past year?

33 responses were received. We received a 100% approval rating where we exceeded or met your expectations, back up from last year where we had dropped down to 98% in our 2015 survey. For previous years we had received 100% approval right back to 2010, except in 2011 where we only attained 96%.

Your Comments:

• A lot of hard work from a volunteer board. Great work everyone.
• I appreciate the time and efforts put by all the volunteers throughout the year to make chapter events successful.
• My expectation is getting higher over time….as the chapter keeps raising its own standard.
• The communications and care provided by Chapter leadership has truly kept me connected to the internal auditors community in Victoria. I'm very grateful for this.
• A fine example of a dedicated team of volunteers constantly seeking input and in touch with members' expectations. Great job.
• Not sure if I've attended in the last 12 months, but always enjoy events I do attend, and did not want it to appear that the survey was ignored :)
• Some of the luncheon speakers were quite good.
• I'm from the Interior but enjoy receiving notifications about the excellent chapter courses and events.
• Attended some of IIA luncheon where the topics were relevant for my work. Materials and presenters were excellent..

Our Board very much appreciates all of the complementary comments. It's great to be back at 100% approval, but we do recognize the comment that we will have to keep working hard to meet your rising expectations. We have no one to blame but ourselves as we keep striving to push the bar higher.

Remember that you can give us feedback at any time by contacting any of the board members identified within this (and all) newsletters. In addition, we have created a completely anonymous (no IP tracking, no identification required) survey consisting a single question allowing you to give us feedback whenever you want.

IIA Vancouver Island Anonymous Feedback : https://www.surveymonkey.com/r/ZVNND73
2. In the past year, how would you rate the following specific services?

For this set of questions we will show a tabular format of the service, the percentage of respondents who thought we performed at an Excellent or Above Average level, the percentage who thought we performed at a Below Average or Poor level, and the previous few years ratings. Not all respondents answered all questions and questions were not exactly the same from year to year so only percentages are shown. It’s quite a lot to analyze.

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Excellent or Above Average</td>
<td>Below Average or Poor</td>
<td>Excellent or Above Average</td>
<td>Below Average or Poor</td>
</tr>
<tr>
<td>Luncheons</td>
<td>97% 3%</td>
<td>98% 0%</td>
<td>97% 3%</td>
<td>90% 0%</td>
</tr>
<tr>
<td>Workshops</td>
<td>87% 0%</td>
<td>94% 0%</td>
<td>80% 0%</td>
<td>88% 0%</td>
</tr>
<tr>
<td>Annual Fraud Program</td>
<td>89% 0%</td>
<td>100% 0%</td>
<td>100% 0%</td>
<td>79% 9%</td>
</tr>
</tbody>
</table>

It appears that satisfaction has dropped just slightly in luncheons, but is still consistent with the past two years. Satisfaction has dropped with workshops, but then we also haven’t really managed to hold one in the past year. Hopefully the upcoming April event and the plans we have brewing for the subsequent workshops will bring this back up with next year’s survey. In working with the new IIA Canada brokering framework, it looks like we will be able to bring seminars and workshops that previously would have been cost prohibitive. It also looks like we missed the mark a little with our Annual Fraud conference with it dropping from two years of excellent results. We will continue to work to keep our professional development events at 90% or better, and bring this year’s fraud conference back up to the 100% mark.

Your Comments:
• (Hold) Free events when budget is allowed.
• (Hold) Informal networking events (e.g. an annual evening reception with a no-host bar) where other stakeholders such as audit committee members, CEOs, VPs, comptrollers, etc. (who are not IIA members) are also invited.
• Not sure if I’ve attended in the last 12 months, but love the fraud event
• More luncheon speaker events which can be attended from a distance

In the past, we haven’t been very successful at holding social engagements, but your comments will be a call to action for us. Our Membership and Marketing chair will be tasked with looking into working with our affiliated professional organizations and seeing if we can’t make an evening social work.

In terms of speaker events which can be attended from a distance, we considered webinars several years ago, but didn’t have an affordable technological platform that we could use. This will be a good idea for us to follow-up on at the next district leaders meetings and national education committee meetings where we can see what other small sized chapters have been able to accomplish (and how).
Communications breaks down into e-mail, our chapter website, ours newsletters, and our use of social media.

It looks like we are NOT currently pestering you too badly with e-mail communications. We do try and keep it to about 3-4 a month unless we have something special to announce.

It's taken three years, but our attempts to keep our website more current an to expand it as a communications tool seems to have brought satisfaction back to a pretty decent level. Its not the 90% we strive for, but its getting closer. We definitely think one key is currency. The other may be relevancy as we try and post career opportunities, academic engagement opportunities, and other things related to our chapter and the profession.

As the editor and primary author, I’m personally pleased that the newsletter has edged up again. We have been publishing monthly for the past year now and have been trying to keep the content relevant. We have also been trying to keep the overall length down, a goal we will sadly miss this issue as we speak about the annual survey results, upcoming elections for the 2016 board, and all of our standard news - upcoming webinars (free for members and good for CPE credits), hot releases from the IIARF (Free or discounted for members), and general professional community events.

And lastly, it looks like we have significantly improved in our use of Social Media. Our linked in professional group membership is still pretty small (22 members), but we try to use it to advertise our programs and any special events.

Let's look at our last two areas, certification support and membership support.

In the area of certification support we seem to be holding the course fairly consistently over the past three years. Remember, if you are seeking any of the certifications, information on the process or access to learning material discounts, just contact our certifications chair.
Satisfaction with our membership support has dropped alarmingly, down to 86% (due to an average rating from one respondent). Unfortunately, we have no idea why. We hope that some of our efforts to better explain our services and how they benefit our members may help with this. We think the work on establishing a government group, which may result in US$40 savings in annual membership dues for members in the group is an example of where we are trying to make your $ go further, or stay in your pockets.

Your Comments
• Friendly board members
• I’m making a note to myself that I haven’t probably explored the full spectrum of support provided by the Chapter.
• Keep up the good work!

Remember, that if you have any specific thoughts on where we can provide better or additional services, we have setup an anonymous feedback mechanism as shown on Page 2 of this newsletter.

3. Looking Back, how satisfied are you with our Luncheons?

For this set of questions we will also show a tabular format of the luncheons, the percentage of respondents who thought we performed at an Excellent or Above Average level, the percentage who thought we performed at a Below Average or Poor level, and the previous few years ratings. Not all respondents answered all questions and questions were not exactly the same from year to year (We didn’t ask about depth and detail, or duration in previous years) so only percentages are shown.

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Range/Variety of Topics</td>
<td>94% 3%</td>
<td>95% 0%</td>
<td>95% 0%</td>
<td>87% 0%</td>
</tr>
<tr>
<td>Depth and Detail of Topics</td>
<td>90% 0%</td>
<td>90% 3%</td>
<td>N/A N/A</td>
<td>N/A N/A</td>
</tr>
<tr>
<td>Quality of Speakers</td>
<td>90% 0%</td>
<td>98% 3%</td>
<td>95% 0%</td>
<td>87% 0%</td>
</tr>
<tr>
<td>Location/Venue</td>
<td>97% 0%</td>
<td>98% 0%</td>
<td>92% 0%</td>
<td>97% 0%</td>
</tr>
<tr>
<td>Time</td>
<td>97% 0%</td>
<td>93% 3%</td>
<td>92% 0%</td>
<td>97% 0%</td>
</tr>
<tr>
<td>Duration</td>
<td>97% 0%</td>
<td>88% 3%</td>
<td>N/A N/A</td>
<td>N/A N/A</td>
</tr>
<tr>
<td>Price</td>
<td>100% 0%</td>
<td>83% 3%</td>
<td>85% 3%</td>
<td>90% 3%</td>
</tr>
</tbody>
</table>

Overall it looks like we are remaining fairly consistent on our luncheons. We have improved in terms of the time, duration and price, but have flagged a bit on the quality of our speakers. Everything we hear suggests that the Union Club continues to be a popular venue but we seem to have at least one event each year where there is a room or a food issue. We are trying to be more descriptive of the topics including any expectations we have that an event may run long (e.g. we advertise as a 2 CPE event instead of a 1 or 1.5) and we feel this have helped with our time and duration ratings.
Our focus will be on the quality of the speakers and continuing to strive to bring higher end presenters to the island where possible. We will also strive to maintain our current pricing structure (no planned increases). What you may not be aware of is that we hold luncheon events at a loss, sometimes a large one if we have to pay speaker travel expenses. We use the successes of our annual fraud conference and any workshops we may hold to cover our luncheon losses.

Here are some of your comments on our luncheons:
• Too much government orientation.
• I work in Langford, so a luncheon event is too short for me to justify attending.
• Particularly enjoyed the fellow who spoke about email. It is good to have theory, but stories provide context.
• Good value.
• Offer access to speakers through Skype for those who are not local.
• For the luncheon attended, the topics and speakers were excellent.

We often see comments on depth and detail versus time. A two hour presentation can obviously go into much more detail than a one hour one. But it also requires that attendee’s can take additional time away from the office to attend. This year we may give thought to less frequent events, but make each one longer, perhaps extending the event to have either two speakers or a longer and more in depth presentation. As a counter though, we may have a harder time finding presenters who can spare the time to go into great depth on some topics or who can volunteer their time freely. We will also talk with our colleagues at ISACA who just recently were able to offer a workshop with remote attendance options. Technologically, we may not be in the same position capability wise as they offered this event from their offices using readily available conferencing tools.

4. Based on Past Surveys and your suggestions/requests we are working on or planning on bring luncheons on these topics in the following months. Are you likely to attend...

Here we presented you with a variety of planned or potential topics, some from last years survey, and some new. Here’s what you had to say.

More than 50% of respondents indicated they would attend luncheons on the following topics:
• IA’s role in Cybersecurity
• Contract Deliverables Management
• Personal Effectiveness

We already have two of the above planned, so these are encouraging results.

Conversely, interest was much lower in:
• Advanced Excel for Auditors
• Stress Management for Auditors
• IT Penetration Testing

We will abandon two of these.
Interest is reasonable on the following topics with over 40% of you indicating you would most likely attend:

- Auditing Through Health Checks

We will move this topic ahead and see where we can fit them into the coming year.

Your comments:

- In depth IT courses are not as desirable to me as the more general ones. Leave the detailed ones to ISACA? Self-improvement courses are not of interest -- I have options for those at my office.
- Program looks good, just not sure about timing
- A brief description of planned content would have been helpful in responding to these items.
- If the contract deliverables one focused on auditing, it would be relevant.

From reviewing these survey results, we have established contact with ISACA and will be taking the presentation on IT Penetration Testing to their membership, but making sure you know about it in case this is an interest. We are however proceeding with the two day workshop which has had very positive take-up on registration.

5. Based on E&Y's imperatives for Internal Audit and hot topics recommended by the IIA, if we seek to bring presentations on the following topics in the following months. Are you likely to attend...

We also asked about the current list of hot topics that the IIA says we should all be concerned with. Interest in these ranged from 62% on Social Media to 76% on Cybersecurity.

- Risks in Social Media (62%)
- Mobile Computing (65%)
- Cloud Computing (68%)
- Cybersecurity (76%)
- Third Party Risk Management (72%)

And here are your comments:

- As per above, it depends on the specific depth/breadth of the topic being discussed.
- Unsure if this is for a full day event or a luncheon
- Program looks good, just not sure about timing
- A brief description of planned content would have been helpful in responding to these items.

As with last year, we found these results interesting as past luncheons on IT have had mixed success. Cybersecurity was the topics that got the most results from your write in suggestions. The challenge for the board will be in getting a speaker on the above that can get into sufficient detail, but not the technical aspects, and in making it relevant to the Internal Audit profession.

To that end, we already plan to have Peter Guo from MNP come to speak to the chapter in September about the Expectations of Internal Audit in Cybersecurity (the top ranked topic from above). Peter is a very popular past speaker who came to our chapter in February of 2014 with our joint IIA/ISACA presentation of Fulfilling on the Promise of Large IT Projects.
In terms of your myriad suggestions for event topics, thanks and keep them coming. In addition to cybersecurity, it looks like you want us to continue to strive for a great annual fraud program and place additional focus on 3rd party risk management as well as more details on Enterprise Risk Management and Internal Audit. There are also a few votes for Health Checks and the QA Assessment process. On the latter, there may be a workshop available through IIA Canada that we can look into.

6. What can the Programs Team do to help you in achieving your professional development interests and requirements?

Here is your feedback to the Program team:
• You do a great job. Best events in town.
• Keep Organizing Lunch events every month, conference and workshops.
• I think the programs team is doing a great job.
• Already doing a good job.
• Continue the impressive job they already do.
• Strive to get quality speakers/workshops. Be creative in program delivery and membership services to keep members engaged.
• I don’t think there is much more that can be done as opportunities are made available from my workplace.
• This is becoming a hard question as the course offering, paid or free webinars are in multitude, so it’s becoming more and more difficult for a professional with limited time and budget resources to stay focused on professional development and make the right choices. I think continuing with reminders to members of what opportunities are upcoming and probably highlighting the high value ones would help me in better charting my professional development path.
• Team is high performing already and fully meeting my expectations and needs.
• I think the programs team is doing a great job of bringing in a variety of topics!

Thanks from the Program team for all the positive feedback. We will do our best to keep it up!

Thanks from your Chapter Board for continuing to support the chapter, attending our functions, and providing your valuable feedback. All of the above results will be shared at our next one (or two) Board meetings and we will start adjusting our services and approach accordingly. Remember you can provide further feedback at any time through the linked survey on page 2 or through direct contact with any board member.

And lastly, if you actually read this entire seven page article, thanks for persevering! 😊

Graham Tranter
Graham Tranter, CIA, CFE, CRMA, CISA, CCSA, PMP is currently a Senior IT/Internal Auditor, with a long history of risk management, project management and IT management, including the establishment of security and records management offices and programs. Graham is your Vice President, Communications Chair, and Programs Team member.
Did You Know! May Is International Internal Audit Awareness Month!

As an internal audit professional, you play an important role in raising awareness and elevating the profession. Whether you are an active IIA member or new to internal auditing, there’s something you can do to raise awareness.

You can customize your email signature and social media accounts with the International Internal Audit Awareness Month digital icon. You can hold a lunch-and-learn in your workplace to dispel myths about internal auditing and explain the value that a well-resourced, independent internal audit function can provide. You can take them to a chapter event, or bring a chapter speaker to you.

Don't let the opportunity pass to advocate the importance of internal auditing to your coworkers and other stakeholders. For additional ideas, sample programs, tips, and templates, download the free Building Awareness Toolkit from The IIA's website.
“The Managers in the Finance department were pleasantly surprised at the power and capacity of IDEA®, so much so that they explored using the tool. The software has created a reputation for itself within our organization and helped me to become more of a trusted advisor to the business, which is always my ultimate goal.”
IIA Headquarter News and Announcements

IIA HQ and IIAC provide free monthly webinars. Click here for upcoming sessions or follow the links below to register for IIA HQ or IIA Canada free webinars (many are Members Only).

19-April-2016 The Evolution of Internal Auditing: Assure, Advise, Anticipate

10-May-2016 Risk Culture (IIA Canada)

12-May-2016 EHS Auditing: Addressing Risks in a Changing World (EH&S Audit Centre)

17-May-2016 Preparing for a Successful External Quality Assessment

21-June-2016 The Uncharted Territory of Auditing an Organizations Culture

12-July-2016 Maximizing the Value of the Three Lines of Defense

Don’t Forget! One benefit of being an IIA member is having access to an extensive library of archived webinars. NOTE: On-demand webinars do not qualify for NASBA CPEs.

Click on AUDIT CHANNEL.tv above, relax and enjoy some quality and empowering TV, including:

Getting to Know the Proposed Standard Changes
These proposed changes to the Standards are geared to help you meet the challenges of today’s business environment, so your input is valuable. Get to know more about these key changes and how you can get involved.

CBOK: Spotlight on Management and Discovering Internal Audits Value
Thought the CBOK reports were through? We’re only half way to the finish line! Now come the reports from Phase 2: The Stakeholder Study. Find out what executive management, audit committees, board, members, and C-suite execs think about internal audit.

Auditing Third Party Risk, Part 1
The IIA’s Bill Michalisin recently sat down with Stacy Juchno, general auditor at PNC Bank, and Brian Portman, principal at EY, to talk about why auditing third-party risk is such a hot-button topic in the marketplace today.
THIS MONTH’S FEATURE:
**Data Analytics: Elevating Internal Audit’s Value**

Today’s audit leader struggles with creating an integrated, efficient approach to data mining that maximizes the impact and value the audit department delivers. The objective of the project is to research and design a data analytics framework ranging across a wide spectrum of concepts (such as financial risk, compliance, and fraud) to help internal audit functions to broaden risk coverage and to enhance audit efficiency.

You will learn how to:
- Develop a data analytics framework and use it to accomplish multiple audit objectives.
- Enhance internal audit efficiency through the use of data mining and analytics.
- Eliminate duplicated data mining and analysis efforts across audit and other functions.
- Determine the optimal effort needed to maximize the framework.

This partnership project between The IIA Research Foundation and Grant Thornton aided in conducting research, providing subject matter experts, and editorial resources to produce the report.

---

**The IIA's 2016 International Conference**

Join us as we celebrate **The IIA’s 75th anniversary** at the 2016 International Conference, July 17–20, in New York, NY. You’ll embark on an educational journey rich with insights for internal auditors at every level.

Expand your network with 2,000+ peers from more than 100 countries, deepen your knowledge of internal auditing, and experience the sights and multicultural offerings of New York City as we celebrate “Internal Audit Rising...75 Years of Progress Through Sharing.”

Additional details will be available soon. In the meantime you are invited to contact us with any questions at international.conference@theiia.org.
Internal Auditor will offer six, $1,000 essay scholarships throughout 2016. Essay questions will be based on the current Internal Auditor issue, and winners will be chosen by a volunteer subcommittee from the Publications Advisory Committee. The students who write the most informative and intuitive essays on internal audit subjects will be selected as the winner. Winning essays will be published on InternalAuditor.org and reference both the chapter and university with which the winner is associated. In addition, the three finalists for each scholarship will be given a free, one-year student membership to The Institute of Internal Auditors. Scholarship winners will be notified within the month following the application period.

Students will download the scholarship application form to get started. Once they have completed their essay, they will submit both the completed application form and their essay to Scholarship@theiia.org.

The scholarship essay topics and submission periods are as follows:

**April 2016 – 31 May 2016:** What are the most important soft skills internal auditors need to develop to be successful in today's business environment and why?

**June 2016 – 31 July 2016:** How can internal audit functions use analytics to improve efficiency?

**August 2016 – 30 September 2016:** What is the Internet of Things, and what are the risks/opportunities it presents to organizations?

**October 2016 – 30 November 2016:** How can internal auditors work with the second line of defense when conducting compliance risk assessments?

**December 2016 – 31 January 2017:** How can internal auditors best communicate difficult findings/messages to their customers and stakeholders?

**The IIA's Academic Relations Program**

For decades, The IIA has been committed to Academic Relations by providing dedicated staffing and volunteer support. As the profession evolved, so did the needs of the academic community for “internal audit-ready” graduates to enter the business arena and meet the demand for graduates with a reduced learning curve.
Welcome New Members!

Our Chapter is pleased to welcome the following new or returning members.

IIA VI Chapter Provincial Government Group Membership

Are you a provincial government employee but not part of a group at renewals time (i.e. renewals are not managed by your office - you renew individually and claim for reimbursement, or not)? If so, you may wish to consider joining the IIA Vancouver Island Government Group.

We will ask you to fill out the membership form, but will not submit the form until close to your renewal time (about a month in advance), Then when we get invoiced, we will be able to let you know how much of a saving has been achieved.

Save Money?

Yes, there is a form to complete and an alignment of your renewal to November 30th each year, but you benefit from discounted membership fees. Right now, the discount is $15USD per year; once we get to and maintain 25 members in the group (currently at 22), your membership will be reduced by $40USD per year, renewal through our registration site every November.

We will also reach out to you closer to your renewal time if we determine that you might be interested in this group. Note that in most cases we don’t know how your membership is paid for so feel free to reach out to us first.

For more details, please contact our Membership & Marketing Chair at Shaun.Price@forces.gc.ca.
New Industry Report from TeamMate®

Who owns responsibility for the technology tools used by your audit department?

If you can't answer that, you may be falling behind. The latest research survey from TeamMate focuses on Technology Champions and the significant positive impact they can have on audit departments.

80% of those surveyed view Technology Champions as a key success factor for their organization. 36% of CAEs see Technology Champions as a Key Strategic Player in Audit Management.

View a copy of our latest report at: TeamMateSolutions.com/TechChamp

copyright © 2016 Wolters Kluwer Financial Services, Inc.
## Professional Development

### APRIL 2016 – JULY 2016

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Type</th>
<th>Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>April 21</td>
<td>Luncheon (1.5 CPE) (12:00-2:00)</td>
<td>Achieving Success Today, Enabling Success Tomorrow</td>
</tr>
<tr>
<td>April 26-27</td>
<td>2 Day Workshop</td>
<td>Fundamentals of IT Auditing</td>
</tr>
<tr>
<td>May 19</td>
<td>Luncheon 1.5 CPE) (12:00-2:00)</td>
<td>Mental Toughness</td>
</tr>
<tr>
<td>June 16</td>
<td>Luncheon and AGM (1 CPE) (12:00-2:00)</td>
<td>TBD</td>
</tr>
<tr>
<td>Week of June 20th</td>
<td>Workshop</td>
<td>TeamMate Analytics (In development with Vendor)</td>
</tr>
</tbody>
</table>

All events are held at the Union Club of BC, 805 Gordon Street
For registration to any of the above, or more information on upcoming events
Go to [Institute of Internal Auditors Vancouver Island Chapter](https://www.iiavancouverisland.com).

---

**Can You Help?**

If you have come to one of our events, you may have noticed that we use a rather large and sometimes quite slow and stubborn laptop. This reflects the aging of our chapters technology. In fact that laptop doesn’t even belong to the chapter, it’s an old one that UVic allows us to use for presentation purposes. The chapter’s laptop is an even older model that the Treasurer uses for financial reporting and record keeping.

While we always appreciate the goodwill of the board members employer’s in letting us use organizational resources in support of our chapter involvement, it’s nearing the time for a technology refresh. Rather than our board spending chapter funds on new equipment, do you have a slightly less old laptop (We use a Thinkpad T Series with Windows Vista), capable of running Adobe reader and Office (for Power Point) that you or your organization would be comfortable donating to the chapter.

If so, please contact [gtranter@uvic.ca](mailto:gtranter@uvic.ca).
Achieving Success Today, Enabling Success Tomorrow

Presenter: Rear Admiral Gilles Couturier, OMM, CD, Commander, Maritime Forces Pacific, Joint Task Force (Pacific)

When: Thursday, April 21, 2016 from 12:00 to 2:00 (1.5 CPE hours)

Where: Begbie Lounge, The Union Club of BC, 805 Gordon Street, Victoria

Price: $30 for IIA members and Professional Colleagues / $35 for non-members

Agenda:
- 12:00-12:30 Lunch and networking (registration starts at 11:45)
- 12:30-1:45 Presentations with Q&A Session

Registration for this event is open until Sunday, April 17th
https://www.gifttool.com/registrar/ShowEventDetails?ID=1902&EID=21923

Presentation Overview:

Rear-Admiral Couturier, Commander of the Pacific Fleet, will speak to the challenges of leading a 6,000-person-strong fighting organization in today’s information and technology age, as it negotiates the most intensive period of modernization ever in its peacetime history while implementing the most comprehensive institutional renewal of the last 50 years to prepare for the arrival of tomorrow’s fleet. For Rear-Admiral Couturier, it’s all about achieving excellence at sea today and enabling excellence at sea tomorrow.

Speaker:

Rear Admiral Gilles Couturier, OMM, CD joined the Navy in 1983 from Septiles, Québec. He is currently the Commander of the West Coast Navy and the most senior officer of the Canadian Armed Forces in British Columbia.

Highlights of Rear Admiral Couturier’s operational tours include deploying onboard the US carrier ABRAHAM LINCOLN, Commanding Officer of Her Majesty Canadian Ship FREDERICTON where amongst other tasks he deployed to the coast of Africa for an RCMP lead operation that resulted in the seizure of 22.5 tons of hashish bound for the East Coast of Canada.

He was also the Royal Canadian Navy lead for Maritime Security for the Vancouver 2010 Olympic and the Maritime Component Commander for RIMPAC 2014, the largest maritime exercise in the world that takes place off the coast of Hawaii and includes over 49 ships and 20,000 sailors.

RAdm Couturier’s senior appointments have included Executive Assistant to the Chief of Defence Staff, Deputy Director for Military to Military capacity building at US Central Command in Tampa, Florida; and back in Ottawa as the Lead planner for the Canadian Armed Forces and finally as the Director General International Security Policy for National Defence.
Fundamentals of IT Auditing

Presenters: Michael Malcolm, Senior Auditor, Systems at the University of Guelph
When: Tuesday April 26, 2016 and Wednesday, April 27, 2016 (16 CPE hours)
Where: Begbie Lounge, The Union Club of BC, 805 Gordon Street, Victoria
Price: Early Bird $475 for IIA members and Affiliates; $575 for non-members
       Regular $575 for IIA members and Affiliates; $675 for non-members
Agenda: Workshops start at 8:30 each day and end at 4:30
        Lunch and Coffee Breaks included, Breakfast NOT included

Extended Early Bird
Registration for this event is open until Monday, April 18th–
https://www.gifttool.com/registrar/ShowEventDetails?ID=1902&EID=21541

Presentation Overview:
This course will provide attendees with an introduction to IT auditing, emphasizing the concepts through exercises and case studies. Internal audit professionals will develop knowledge of basic IT audit concepts that can be used to facilitate integrated audit efforts within their organization. The course will also provide attendees the opportunity to perform an audit of IT applications supporting key business processes, coordinate the assessment of IT risk with the evaluation of IT general controls, and perform a risk assessment and evaluation of controls over end user computer applications.

This course will cover the following topics:
- Overview of IT Auditing, Concepts and Controls: Why IT Auditing and What Is It?
- Case Study
- General Control: Logical Security
- General Control: Business Continuity Planning (BCP), Disaster Recovery (DR), and Backup Processing
- Application Controls
- General Control: Change and Patch Management
- Cloud Computing and Service Organization Control (SOC) Reports
- General Control: System Development Lifecycle (SDLC)
- End User Computing
Mental Toughness

Presenter: Steven Falk, President, Switchback Systems Corp.
When: Thursday, May 19, 2016 from 12:00 to 2:00 (1.5 CPE hours)
Where: Begbie Lounge, The Union Club of BC, 805 Gordon Street, Victoria
Price: $30 for IIA members and Professional Colleagues / $35 for non-members
Agenda: 12:00-12:30 Lunch and networking (registration starts at 11:45)
12:30-1:45 Presentations with Q&A Session

(Advance) Registration for this event is now until Sunday, May 15th
https://www.gifttool.com/registrar/ShowEventDetails?ID=1902&EID=21992

Presentation Overview:

This session explores the science of neuroplasticity and metacognition. How does this relate to Professional Auditors? Have you ever sat perplexed at your desk and smacked your forehead with one of these thoughts:

- What was I thinking, why did I do that?
- What was my team thinking, why did they do that?
- Why does my company behave like that?

Switchback delivers powerful tools for your brain so that this happens less.

What will you learn and how will it benefit you? We believe people can change and the power of success is in teamwork. From this foundation we teach mental toughness, self and situational awareness and neuroplasticity in clear, simple to integrate, common sense language. As professionals you are either building team or causing teams to scatter. This refreshing session will entertain, instruct and promises to be 100% applicable for professional development, corporate team building and even transferable towards your personal success.

Speaker:

**Steven Falk** founded Switchback in 2009 after 22 years of successful private practice in the fields of family therapy and business consulting. He is also an international speaker, most recently in 2015 as keynote speaker at four national conferences in New Zealand and Australia. Steven and his partner Karen raised 4 children in Black Creek Vancouver Island and are now enjoying being grandparents, business developers, empty nesters and life on an acreage. Steven earned a Master of Arts Degree in Marriage and Family Therapy from Fresno Pacific University (formerly the Mennonite Brethren Biblical Seminary) in 1990.
The IIA's CIA Learning System is a comprehensive print and online CIA review program that will prepare you to pass the CIA exam.

A French version of the program is also available.

For more information on IIA's CIA Learning System click on the logo to the bottom left.

But before you purchase, read on...

Your IIA Vancouver Island Chapter is pleased to assist members interested in obtaining the globally recognized CIA designation through providing IIA's CIA Learning System at significantly lower prices:

- Full Kit: 3-Part Exam, CAD$925* (New!)
- Individual Part, CAD $400* (New!)

Note: * All inclusive. Subject to change without notice. Prices for non-members are also available.

This offer represents significant savings from the regular prices offered directly through the IIA.

For more information and to purchase your IIA's CIA Learning System, please go to the following link.

The IIA's CIA Learning System - Order/Payment Site

Certifications Questions? Ask our Certification Chair Tony Pollard at tpollard@parkerjohnston.com
IIA Certification: Financial Support

To support our chapter members in their pursuit of IIA Certification(s), the chapter will provide financial support to the successful candidates who meet the following criteria.

1. All exam and application fees were personally funded.
2. All eligibility requirements were met and passed the exam(s).
3. Be a good standing member when the certification is awarded.

Each successful candidate will receive $100. A limit of 5 successful candidates has been set up for each fiscal year.

Gleim CIA Study Material Discount

In addition to the discounts on IIA’s CIA Learning System, we are proud to offer significant discounts on Gleim’s CIA Study Materials for our chapter members.

As a chapter member, you can get a 20% discount on Gleim’s CIA exam prep products. Please visit the Members Only section of the IIA Vancouver Island website.

We would like to hear from you. Any comment or suggestion on how we can support you for earning IIA Certifications?

Certification Spotlight

Tell us your story on your journey to certification and we will publish it in our next newsletter. Share your experience!

What certification did you achieve and when?
What prompted you to become certified?
How did you prepare for the exam?
How has certification helped your career?
What advice do you have for others seeking certification?

Answer these questions along with a professional bio and picture to The Connector editor at gtranter@uvic.ca to earn your page on our bi-monthly newsletter.

This year, your Vancouver Island Chapter was awarded the 2015 IIA Chapter of Excellence, through the chapter’s support of the CIA designation, including access to reduced costs for the IIA’s CIA Learning System program.

Congratulations to Michael Stanton on attaining his CIA designation!
Does this sound familiar?

- You spend most of your day managing spreadsheets, shared drives and email.
- You’d rather add value for your organization by showcasing material improvement and risk mitigation opportunities – not chasing after tick marks in e-documents.
- You’d love to easily report on strategic risks, recommendations, and remediation statuses – anytime senior management or the board asks.
- You’d feel much more confident if your recommendations and findings can be backed by quantifiable, data-based evidence.

Your audit management tool should do much more than manage workpapers

ACL GRC is the only solution that integrates robust data analytics with easy-to-use, cloud-based audit management software.

Turn Analysis into Actionable Results - Anytime, Anywhere.
Watch the video on acl.com/a-better-way to see how it works in less than 2 minutes.
The IIA Vancouver Island Chapter has

105 Members

Sharing the following certifications

In 2014-2015 we achieved GOLD Status for the 8th consecutive year. We need to do this two more times in the next three years to attain PLATINUM status. Following is our 2015-2016 progress.

<table>
<thead>
<tr>
<th>Service Category</th>
<th>Required CAP Points</th>
<th>Achieved CAP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Service to Members</td>
<td>325</td>
<td>589.65</td>
</tr>
<tr>
<td>Service to the Profession</td>
<td>200</td>
<td>1,452.32</td>
</tr>
<tr>
<td>Chapter Administration</td>
<td>160</td>
<td>273.00</td>
</tr>
<tr>
<td>Total</td>
<td>(Gold at) 1,560</td>
<td>2,314.97</td>
</tr>
</tbody>
</table>

As at the end of November, we passed the 1,560 point target for GOLD status. Additionally, we attained the minimum required CAP points in each service category. We must attain both the minimums in each service category and an overall point total. We were only able to do this by continuously striving to bring you events, information, and the support you need or want for your professional growth.

Thank you to all our members who support the Chapter through attending events, suggesting topics and speakers, contributing newsletter articles, presenting to the chapter, answering our surveys, and supporting the Internal Audit Profession.
**Chapter Board for 2015-2016**

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
<th>Organization</th>
<th>Contact</th>
</tr>
</thead>
<tbody>
<tr>
<td>President</td>
<td>Kelly Dorin, CFE, CPA, CA, CIA, CCSA, CRMA</td>
<td>University of Victoria</td>
<td><a href="mailto:kdorin@uvic.ca">kdorin@uvic.ca</a> (250) 853-3184</td>
</tr>
<tr>
<td>Vice President, Communications, Programs</td>
<td>Graham Tranter, CIA, CFE, CRMA, CISA, CCSA, PMP</td>
<td>University of Victoria</td>
<td><a href="mailto:gtranter@uvic.ca">gtranter@uvic.ca</a> (250) 853-3185</td>
</tr>
<tr>
<td>Treasurer</td>
<td>Robert Bowness, CPA, CA</td>
<td>BC Pension Corporation</td>
<td><a href="mailto:robert.bowness@pensionsbc.ca">robert.bowness@pensionsbc.ca</a> (250) 387-4280</td>
</tr>
<tr>
<td>Secretary, Programs</td>
<td>Lisa deWit, CPA, CGA, CIA, HBCom</td>
<td>Department of National Defence</td>
<td><a href="mailto:Lisa.deWit@forces.gc.ca">Lisa.deWit@forces.gc.ca</a> (250) 363-5631</td>
</tr>
<tr>
<td>Programs Chair</td>
<td>Sonia Vicente</td>
<td>Ministry of Finance – IAAS</td>
<td><a href="mailto:Sonia.Vicente@gov.bc.ca">Sonia.Vicente@gov.bc.ca</a> (250) 356-5765</td>
</tr>
<tr>
<td>Programs</td>
<td>Lyndsay Green, CPA, CA, PMP, CISA</td>
<td>HP Advanced Solutions</td>
<td></td>
</tr>
<tr>
<td>Membership &amp; Marketing,</td>
<td>Shaun Price, CPA, CA, CIA, CGAP, CRMA</td>
<td>Department of National Defence</td>
<td><a href="mailto:Shaun.Price@forces.gc.ca">Shaun.Price@forces.gc.ca</a></td>
</tr>
<tr>
<td>Certifications</td>
<td>Tony Pollard, CPA, CA, CFP</td>
<td>Parker Johnson</td>
<td><a href="mailto:tpollard@parkerjohnston.com">tpollard@parkerjohnston.com</a> (250) 888-6571</td>
</tr>
<tr>
<td>Member at Large</td>
<td>Georg Woernle, CPA, CA, CIA</td>
<td>PRAGroup</td>
<td><a href="mailto:GWoernle@pragroup.ca">GWoernle@pragroup.ca</a> (250) 802-5646</td>
</tr>
<tr>
<td>Member at Large</td>
<td>Jackie Bruneau, CPA, CA, CIA</td>
<td>BC Ministry of Finance</td>
<td><a href="mailto:Jackie.bruneau@gov.bc.ca">Jackie.bruneau@gov.bc.ca</a> (250) 356-2522</td>
</tr>
<tr>
<td>Member at Large</td>
<td>Jenny Yue-Detterer,</td>
<td>KMPG</td>
<td><a href="mailto:jyuedetterer@kpmg.ca">jyuedetterer@kpmg.ca</a></td>
</tr>
<tr>
<td>Past President</td>
<td>Teeravit Chintawongvanich, CPA, CMA, CIA, CFE, CRMA</td>
<td>BC Investment Management Corporation</td>
<td><a href="mailto:weeravit.chintawongvanich@bcimc.com">weeravit.chintawongvanich@bcimc.com</a> (778) 410-7107</td>
</tr>
<tr>
<td>Governor</td>
<td>Stephen Ward, CPA, CA, CIA</td>
<td>Ministry of Finance – IAAS</td>
<td><a href="mailto:Stephen.Ward@gov.bc.ca">Stephen.Ward@gov.bc.ca</a> (250) 387-0283</td>
</tr>
</tbody>
</table>

Welcome Jenny Yue-Detterer to the Board. Jenny spent a number of years in Australia with KPMG and has now returned to Victoria. Jenny approached the Board regarding volunteer opportunities and officially joined the Board on March 23rd.

Please feel free to contact our Board members with your questions, concerns, opinions, or even kudo’s at any time. We welcome your feedback in improving our service to you and the profession.
Nominations for the 2016-2017 Board

In preparing for our AGM in June, we are seeking nominations for positions on the board for the 2016/2017 chapter year starting on June 1st, 2016. All positions (excepting Governors and Past president) are up for election this year. Please note that board members within these roles are eligible and welcome to stand for re-election.

This is your opportunity to get involved with the Institute of Internal Auditors Vancouver Island (IIA VI) Chapter Board! Benefits of volunteering on the Board include:

• Influencing the local direction of the IIA VI chapter
• Enhancing your career through serving and connecting with peers in your industry
• Opportunities to participate in national leadership workshops
• Collaborating with IIA Canada and IIA North America International
• Planning and input on local IIA VI events
• Earning up to 15 CPE hours annually

If you are interested in running for a position on the IIA VI for the 2016/2017 term, please submit
a) your intention to do so,
b) the position or positions you are interested in standing for, and
c) a short biography

...to any member of the nominations committee (Graham Tranter, Kelly Dorin, Teeravit Chintawongvanich) by Friday, May 13th, 2016 so that we can prepare the roster of nominee’s for presentation and election at the AGM on June 16th, 2016.

Note that in addition to being willing to volunteer your time, you must be an active member in good standing with the IIA VI Chapter.

The bylaws of the chapter and details on the various roles and responsibilities of Board members can be found within the chapter document 2014-2015 IIA VI Governing Documents.pdf on the Members Only page of the Chapter website. https://chapters.theiia.org/vancouver-island/Members/Pages/default.aspx

While we are seeking specific nominations for the above positions, we will also welcome nominations from the floor during the AGM itself.

Progress Through Sharing

Becoming a board member is a great way to share your experience and expertise with the profession. We will also continue to welcome your contributions through offers of articles for our newsletter or presentations to our membership. Remember, like becoming a Board volunteer, developing and publishing an article also earns you CPE in support of your annual certification requirements.