August 2016

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IIA Vancouver Island Chapter – "We are blogging too".
7 Deadly Internal Audit Sins

The vast majority of my blogs are focused on the strategies and practices that internal auditors can deploy to generate value and succeed as professionals. However, it is important to occasionally step back and discuss actions or mistakes that can prevent or derail success. Everyone makes mistakes, but some should be avoided at all costs.

Success in internal auditing rarely happens overnight, and it's never guaranteed. It can take years to develop the requisite knowledge, skills, and expertise, and even then the process of learning and improving never really ends. But the most carefully planned internal audit career can come crashing down in a moment over a serious mistake. Get lazy, careless, or worse, fail to live up to the core principles for the professional practice of internal auditing, and an internal auditor may never recover.

I've compiled a number of what I call "internal audit sins" that have the potential to ruin an otherwise bright career. They are:

1. Publishing an erroneous report. It's simply incomprehensible to me for anyone to intentionally allow an error – big or small – in an internal audit report. But a mistake can be equally devastating. A single, incorrect observation can forever haunt, not only because of the error itself, but also because a retracted report is rarely forgotten. A retracted or amended report lingers, serving as a stark reminder of not just the one failure, but the prospect that it may not be unique. What's more, chances are good that the erroneous report will embarrass both your client and your boss, and take it from me, one or both will have a long memory.

2. Submitting incomplete/false work papers. You may not get caught if you "cheat" on your working papers, but if – when – you are, the outcome will not be good. Purposely submitting incomplete or false work papers is unethical, plain and simple, and there is no place for it in internal auditing. You can assume your supervisor will react by poring through your previous work papers in search of similar issues. Regardless of what might be found, your job – and perhaps your career – is clearly at risk.

3. Losing your temper with a client. When internal audit clients are in distress, they sometimes strike out unfairly. It might seem natural to fight back, even if you feel you are in the right, but it's never appropriate to act out unprofessionally and certainly never productive to lose your cool. Keep in mind that, if you ever raise your voice during a client meeting, everyone will probably remember the shouting match long after they have forgotten the reason for the disagreement. That's not the sort of lasting impression you want to make. And before you respond to an incendiary email from an unhappy client, it is best to wait an appropriate interval or to seek council from your boss.

4. Auditing with an "agenda." It's a huge ethics breach to undertake an audit with a conflict of interest. Your reputation for fair, unbiased auditing will be lost forever if it appears even for a moment that you might be out to "get someone" or to exonerate a personal friend, regardless of guilt or innocence. It really doesn't matter whether the conflict of interest is real or perceived. It can be nearly impossible to recover – even if you are allowed to stay in the internal audit department.
5. Betraying a bond of confidentiality. There are certain ways that information gained during an internal audit should never be used. If an auditor inappropriately shares information about a client, for example, trust between the two parties will be destroyed and you can expect word to get around quickly. And once an internal auditor develops a reputation as a gossip monger, any ability to have a candid conversation with management will be greatly, if not completely, diminished. Nobody invites an indiscreet internal auditor for a return visit.

6. Violating company policies. If a client believes that an internal auditor is flouting company policies, then the auditor shouldn't be surprised if he or she lacks persuasiveness when making a recommendation regarding the client's conformance to policies. If a client learns that you are not flying on a company-approved air carrier, or walks past you in the first class section of the plane to get to their coach seat, you can assume they won't forget. I don't mean to imply that internal auditors should never make an exception to policies under any circumstance. But any exception should be well-justified, and it should be rare. Just as police officers should never be "above the law," it's never okay for auditors to act as if company policies don't apply to them.

7. Issuing internal audit reports that are petty or don't add value. We all know that internal auditing is not just about pointing out what's wrong; it's about helping management to accomplish their objectives and to take advantage of opportunities that otherwise might have been missed. No one benefits if internal audit has a reputation for wasting time on unimportant details. Internal audit reports that contain inconsequential findings or recommendations that are not cost effective will earn you a reputation as a "bean counter," or worse. It also might be tempting to re-use a previous audit program that found several insignificant errors, but re-performing last year's audit is not necessarily the best way to add value.

Any of these seven "sins" can deeply undermine the role and reputation of internal audit, and wield a fatal blow to the perpetrator's career. But I believe there also are ways to overcome blunders that aren't intentional or malicious. In an upcoming blog, I'll talk about seven cardinal virtues that can fuel an internal audit career to soar from the ordinary to the extraordinary.

The opinions expressed by Internal Auditor's bloggers may differ from policies and official statements of The Institute of Internal Auditors and its committees and from opinions endorsed by the bloggers' employers or the editors of Internal Auditor. The magazine is pleased to provide you an opportunity to share your thoughts about these blog posts. Some comments may be reprinted elsewhere, online or offline.

Reproduced from Internal Auditor, August 01, 2016

Richard Chambers
Richard F. Chambers, CIA, QIAL, CGAP, CCSA, CRMA, is president and CEO of The IIA. In Chambers on the Profession, he shares his personal reflections and insights based on his 40 years of experience in the internal audit profession.
IIA HQ and IIAC provide free monthly webinars. Click here for upcoming sessions or follow the links below to register for IIA HQ or IIA Canada free webinars (many are Members Only).

16-August-2016 Data Analytics – Maximizing Value for Internal Audit
18-August-2016 When Culture is the Culprit
20-September-2016 Technology Champions: Key Enablers for Internal Audit
18-October-2016 Leveraging Data to Manage Your Fraud Risk
20-October-2016 EHS and Internal Audit: A Natural Partnership
15-November-2016 Auditing at the Speed of Risk

Don’t Forget! One benefit of being an IIA member is having access to an extensive library of archived webinars. NOTE: On-demand webinars do not qualify for NASBA CPEs.

Click on AUDIT CHANNEL.tv above, relax and enjoy some quality and empowering TV, including:

**Risk Management: Everyone’s Responsibility, Pt 1**
Vincent Tophoff turns the concept of risk management on its head as an offensive measure rather than one of defense. Can this philosophy work in your organization?

**Internal Audit and Environmental, Health and Safety**
Environmental, health and safety auditing can exist both inside and outside the traditional paradigm for internal auditing. Doug Anderson, Managing Director of CAE Solutions at The IIA, offers some insight on the role of EHS as a mitigator of risk within an organization.

**2016–17 Chairman’s Video: “Audit Never Sleeps”**
Angela Witzany is the 2016–17 IIA Global Chairman of the Board. Her theme, “Audit Never Sleeps,” highlights internal auditors’ role as trusted advisers, whose work offers round-the-clock assurance to stakeholders that their organizations are well-positioned to address current and emerging risks in a dynamic business environment.
THIS MONTH’S FEATURE:


Not new, or on sale, but linked to a potential April workshop!


In this valuable resource, authors Raaum and Morgan present:
• Original performance audit concepts.
• Original practice aids.
• Audit concepts and practice aids being applied in leading audit organizations.

Developed for the practitioner and trainer, this unique publication provides:
• In-depth guidance that applies to practitioners at every level.
• Practical guidance for planning and conducting performance audit assignments.
• Advice for doing fieldwork.
• Direction for writing reports.
• Steps for adhering to the Government Auditing Standards.
• Guidance for passing the Certified Government Auditing Professional® (CGAP®) exam.

While the discussion is focused on performance audits in governmental environments, the content is applicable to auditing in any private sector organization or public agency.

Presented in an easy-to-follow structure, the individual chapters make this book ready for use in a training course or as reference material.

Download your copy at reduced member rates now
Internal Auditor will offer six, $1,000 essay scholarships throughout 2016. Essay questions will be based on the current Internal Auditor issue, and winners will be chosen by a volunteer subcommittee from the Publications Advisory Committee. The students who write the most informative and intuitive essays on internal audit subjects will be selected as the winner. Winning essays will be published on InternalAuditor.org and reference both the chapter and university with which the winner is associated. In addition, the three finalists for each scholarship will be given a free, one-year student membership to The Institute of Internal Auditors. Scholarship winners will be notified within the month following the application period.

Students will download the scholarship application form to get started. Once they have completed their essay, they will submit both the completed application form and their essay to Scholarship@theiia.org.

The scholarship essay topics and submission periods are as follows:

**August 2016 – 30 September 2016:**
What is the Internet of Things, and what are the risks/opportunities it presents to organizations?

**October 2016 – 30 November 2016:**
How can internal auditors work with the second line of defense when conducting compliance risk assessments?

**December 2016 – 31 January 2017:**
How can internal auditors best communicate difficult findings/messages to their customers and stakeholders?

**The IIA’s Academic Relations Program**

For decades, The IIA has been committed to Academic Relations by providing dedicated staffing and volunteer support. As the profession evolved, so did the needs of the academic community for “internal audit-ready” graduates to enter the business arena and meet the demand for graduates with a reduced learning curve.
REGISTER NOW
LIMITED SPACE AVAILABLE

In a time of rapid change, where trust in corporate and public leadership is faltering and new and complex risks are emerging, Internal Audit can add exceptional value.

Embark on an educational journey rich with insights for internal auditors at every level.

Expand your network, deepen your knowledge of internal audit and experience the sights and multicultural offerings of Nova Scotia!

The 8th Annual Canadian National Conference of The Institute of Internal Auditors will help you and your team “Navigate the Future” by connecting you with exceptional key note speakers, panelists and thought leaders who will share insights on issues impacting the profession across four streams of learning:

- Risk Management and Fraud Detection - All Hands on Deck
- Setting Sail to Inspiring Leadership and Leading Practices
- Perspectives in Public Sector Auditing
- Steering Toward Secure Information Technology and Management
NAVIGATING THE FUTURE

The IIAC’s National Conference remains Canada’s only dedicated conference for internal audit, risk, control and governance-related professionals.

Delegates will receive knowledge and insight from professionals involved in every aspect of the profession.

High profile thought leaders will come together on emerging issues of relevance to both public and private sectors.

Not only will the focus be on audit leaders and practitioners, it will also engage audit committees and other leaders in strategic discussions on oversight, leadership, strategy and organizational performance.

INSIGHTFUL KEYNOTES

BRUCE KIRKBY
Wilderness writer and adventure photographer, The Globe and Mail Columnist, author of two bestselling books, and a multi-National Magazine Award winner, Bruce Kirkby is recognized for connecting wild places with contemporary issues. Encouraging audiences to get out of their comfort zones, think creatively, and take calculated risks, his belief in human potential is contagious.

TODD HIRSCH
ATB Financial’s Chief Economist Todd Hirsch is one of the country’s most sought-after speakers on the economy, with over two decades of experience as an economist, professor and commentator. In clear-eyed, energetic talks, he demystifies wealth and the economy and answers vital questions. He provides economic commentary for CBC, CTV and the BBC, among others, and is a regular columnist for The Globe and Mail.

NATIONAL CONFERENCE CONFÉRENCE NATIONALE
HALIFAX Marriott Harbourfront
September 25 – 28, 2016

REGISTER NOW AT
www.iiacanadianationalconference.com
“The Managers in the Finance department were pleasantly surprised at the power and capacity of IDEA®, so much so that they explored using the tool. The software has created a reputation for itself within our organization and helped me to become more of a trusted advisor to the business, which is always my ultimate goal.”

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IDEA is a registered trademark of CaseWare International Inc.
Welcome New Members!

Our Chapter is pleased to welcome the following new or returning members.

- Ovidiu Pescaru

IIA VI Chapter Provincial Government Group Membership

Are you a provincial government employee but not part of a group at renewals time (i.e. renewals are not managed by your office - you renew individually and claim for reimbursement, or not)? If so, you may wish to consider joining the IIA Vancouver Island Government Group.

We will ask you to fill out the membership form, but will not submit the form until close to your renewal time (a few weeks in advance). Then when we get invoiced, we will be able to let you know how much of a saving has been achieved. Hopefully you won't be renewed by your office in the middle of the process, but then there's always next year.

Save Money?

Yes, there is a form to complete and an alignment of your renewal to November 30th each year, but you benefit from discounted membership fees. Right now, the discount has increased from $15USD to $40USD per year; and will stay that way if we can maintain a minimum of 25 members in the group (reached this month). Your membership will be pro-rated for renewal through our registration site every November.

We will also reach out to you closer to your renewal time if we determine that you might be interested in this group. Note that in most cases we don’t know how your membership is paid for so feel free to reach out to us first.

For more details, please contact our Membership & Marketing Chair at Shaun.Price@forces.gc.ca.
## Professional Development

### SEPTEMBER 2016 – January 2017

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<tr>
<th>Date</th>
<th>Event Type</th>
<th>Topic</th>
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| August 18     | Luncheon (1.5 CPE)  
                 (12:00-2:00)         | It’s easy to be busy, it’s hard to be productive – Plan, Organize, Execute |
| September 15  | Luncheon (1.5 CPE)  
                 (12:00-2:00)         | How can Cyber Security Professionals and IA work closer               |
| October 21    | Full Day (8 CPE)  
                 Conference             | Annual Fraud Program                                                  |

All events are held at the Union Club of BC, 805 Gordon Street
For registration to any of the above, or more information on upcoming events  
Go to [Institute of Internal Auditors Vancouver Island Chapter](https://www.instituteofinternalauditors.org/)

### Performance Auditing

We understand that a co-author of the IIARF publication Performance Auditing: A Measurement Approach (Stephen Morgan) may be presenting on this subject to the Toronto chapter in April of 2017. In collaboration with the Vancouver chapter, we are going to see if it is possible to capitalize on Stephen’s presence here in Canada to potentially bring you a two day workshop on Performance Auditing at affordable rates.

We will send more information as it becomes available and in future Newsletter.

### Progress Through Sharing

We always welcome your contributions through offers of articles for our newsletter or presentations to our membership. Remember, developing and publishing an article or preparing and delivering a presentation also earns you CPE in support of your annual certification requirements.

We are looking for both a topic and a suggested speaker for our November event. Do you have a topic you would like to bring to our membership. Contact gtranter@uvic.ca to volunteer and schedule your presentation.
It’s easy to be busy, It’s hard to be productive – Plan, Organize, Execute

Speaker: Paul Stokes, Executive Partner, Gartner.
When: Thursday, August 18, 2016 from 12:00 to 2:00 (1.5 CPE hours)
Where: Begbie Lounge, The Union Club of BC, 805 Gordon Street, Victoria
Price: $30 for IIA, ICD, ISACA, CPA, FMI, ACFE members / $35 for non-members
Agenda: 11:45-12:00 Registration
12:00-12:30 Lunch and networking
12:30-1:45 Presentations with Q&A Session

Registration for this event is now open until Sunday, August 14th
https://www.gifttool.com/registrar/ShowEventDetails?ID=1902&EID=22610

Overview

We all struggle with managing the firehouse of activities in work and life. The struggle often leads to a lack of fulfillment and continual overload. It’s time to step back from it all, refocus, develop a plan and use simple techniques that will help you manage your workload and inbox, maximize your results and increase enjoyment in your life.

This session will step you through a set of proven approaches that will allow you to take control, manage your time and maximize your productivity. Learn how to keep it simple and manageable.

Who Should Attend

This topic would be of interest to anybody who is interested in personal/professional development. In a work environment where the mantra appears to be do more with less, time and task management will affect us all, especially those of us with 1000’s of emails in our in box all clamoring for attention.

About The Speaker

Paul Stokes is a Gartner Executive Partner based in Victoria, British Columbia. He works closely with Gartner Executive Programs clients, providing executive-level advice, guidance, counsel, direction and assistance to support the CIO role and helping members to use Gartner resources to succeed in their organizations.

Before joining Gartner, Mr. Stokes was Chief Information Officer at the University of Victoria (22,000 students and 5,500 employees), responsible for the information technology services for the University, including academic, administrative and research computing. Mr. Stokes has over 23 years of experience in the IT industry, including as CIO of two BC Universities and has both Bachelors and Masters of Science degrees in Computer Science.
How can Cyber Security Professionals and IA work closer?

Speaker: Danny Timmins CISSP, National Lead, Cyber Security, MNP.
When: Thursday, September 15, 2016 from 12:00 to 2:00 (1.5 CPE hours)
Where: Begbie Lounge, The Union Club of BC, 805 Gordon Street, Victoria
Price: $30 for IIA, ICD, ISACA, CPA, FMI, ACFE members / $35 for non-members
Agenda: 11:45-12:00 Registration
12:00-12:30 Lunch and networking
12:30-1:45 Presentations with Q&A Session

Registration for this event is now open until Sunday, September 11th
https://www.gifttool.com/registrar/ShowEventDetails?ID=1902&EID=22760

Overview

Cyber security breaches, threats and attacks are becoming commonplace in today’s world and have proven to be huge disruptors to the most savvy organizations. Almost every business, no matter what size, is increasingly vulnerable and being prepared for potential cyber security disasters must be addressed.

Over time, Internal Audit, audit committees and boards are now expected to understand, assess, explain and monitor an organization’s security posture and capabilities in managing cyber risks. In order to understand and meet expectations of so many stakeholders, internal auditors need to educate themselves to better assess cyber risks and plan and execute relevant and appropriate audit responses.

MNP’s National Cyber Security Leader, Danny Timmins, will explore the following:
• relationship of IA, management and boards on the topic of cyber security
• how to understand and identify cyber risks
• how to plan, assess and test controls
• when and how to tap into cyber security expertise
• real world, practical recommendations for remediation

Who Should Attend

This topic is appropriate for Project Managers, Risk Managers, IT Professionals, Audit Committee Board Members and Internal Auditors alike.

About The Speaker

Danny Timmins is the Partner that leads MNP LLP’s Cyber Security Services across Canada. He has over 20 years of professional experience providing IT, Cyber Security, risk management and consulting services. Danny has delivered assignments involving IT & Cyber Security governance, risk management, people, systems and processes.
2016 IIA Annual Fraud Awareness Program

When:   Friday, October 21, 2016, 08:15 – 16:30 (full day event) - 8 CPE credits
Where:  The Union Club of BC, 805 Gordon, Victoria
Price:  Early Bird Prices ($75 discount) available until September 18th.

IIA and Professional Colleagues (ISACA, CPA, FMI, ACFE, PMI) and guests $250 Early Bird / $325 Regular Non-members $325 Early Bird / $400 Regular.

Event Agenda

08:15-08:30 Registration (No continental breakfast provided)
08:30-08:45 Opening Remarks
08:45-10:15 Session 1 – How to Conduct an Effective Fraud Risk Assessment
Speaker: Shawn Hendry, CIA, CISA, CGEIT, CRMA, Managing Partner, Canada for Sunera LLC.
10:15-10:30 Coffee
10:30-12:00 Session 1 (Cont’d) - How to Conduct an Effective Fraud Risk Assessment
Speaker: Shawn Hendry, CIA, CISA, CGEIT, CRMA, Managing Partner, Canada for Sunera LLC.
12:00-13:00 Lunch Break / Networking
13:00-14:30 Session 2 - An Introduction to Proactive Fraud Risk and Investigation Modeling
Speaker: Steven Wilson, Vancouver Association of Certified Fraud Examiners
14:30-14:45 Coffee
14:45-16:15 Session 3 – Investigating Means More than Just Searching the ‘Net – Open Source Searching
Speaker: Mark Fenton, Cyber Training International / Detective Constable, Vancouver Police Department
16:15-16:30 Thanks and Closing Remarks

Note: It is possible, although rare, that we may need to change a topic/speaker without advance notice.

Registration deadline: Friday, October 14. Registration details can be found at:
https://www.gifttool.com/registrar/ShowEventDetails?ID=1902&EID=22638
SESSION AND SPEAKER INFORMATION

Session 1 How to Conduct an Effective Fraud Risk Assessment
Shawn Hendry, CIA, CISA, CGEIT, CRMA, Managing Partner, Canada for Sunera LLC.

With the introduction of the new COSO 2013 Control Framework, many organizations have taken the opportunity to improve their processes surrounding the assessment of fraud risk factors. In this two session workshop Shawn will discuss the importance of conducting a Fraud Risk Assessment (FRA) and how to make it meaningful to the organization rather than just an exercise to be completed. Shawn will discuss some of the recent fraud trends identified in the Report to the Nations on Occupational Fraud and Abuse and what that can mean to your organization. Shawn will discuss Sunera’s typical approach and identify some of the common pitfalls in conducting an FRA. After a mid-morning break, Shawn will lead a practical workshop session to discuss common fraud scenarios that he has identified with his clients and facilitate a discussion with participants on how to develop a FRA.

About the speaker: Shawn Hendry, CIA, CISA, CGEIT, CRMA is the Managing Partner, Canada for Sunera LLC a division of Cyber Risk Management LLC. Shawn has led Sunera’s services in Canada for over 10 years. Shawn has assisted our Sunera clients across many industries with their Internal Audit, IT Audit, corporate governance and cyber risk requirements. Shawn and Sunera are seen to be leaders in these fields. Prior to joining Sunera, Shawn was a Senior Manager with KPMG in their Risk Advisory Service practice in Victoria, and the Director of Audit and Risk Assessment for CanWest Global Communications which was previously Canada’s largest media group. With CanWest, Shawn initiated and was responsible for developing and building the Internal Audit and Risk function and leading CanWest to be one of the first organizations in Canada to comply with the requirements of the Sarbanes Oxley Act. Earlier in his career Shawn also initiated the Canadian Audit Department for UtiliCorp Networks Canada (Aquila) and started his career at Arthur Anderson for six years working both in the Audit and Assurance and Advisory practices.

Session 2 An Introduction to Proactive Fraud Risk and Investigation Modeling
Steven Wilson, Vancouver Association of Certified Fraud Examiners

With organizations losing an estimated 5 percent of their annual revenues to fraud, the need for a strong anti-fraud stance and proactive, comprehensive approach to combating fraud is required. It has also been estimated that less than 48 percent of organizations currently have any formal fraud risk management program in place. This presentation will be a brief introduction to current fraud risk management strategies including:

- Defining fraud risk management
- Identifying and assessing fraud risks using the Fraud Triangle
- Review fraud prevention, detection, investigation and remediation strategies
- Current fraud risk and investigation models – “where we can improve”
- The role of the Internal Audit in designing and implementing an anti-fraud program
- The future of proactive fraud risk management – “team and technology”
About the speaker: Steven Wilson is currently a Board Member of the Vancouver Chapter of the Association of Certified Fraud Examiners Association and has conducted fraud and technical crime investigations for both public and private organizations. Steven has also completed the Forensic and Technical Crime Degree Program at the British Columbia Institute of Technology and is currently enrolled in the MBA – Economic Crime Management Program at Utica College. Through his various presentations, training sessions and literature Steven continues to provide local, provincial, national and international communities with current information regarding trends in cybercrime, fraud awareness and prevention.

Session 3 Investigating Means More than Just Searching the ‘Net – Open Source Searching
Mark Fenton, Cyber Training International / Detective Constable, Vancouver Police Department

Using the internet to aid in internal audit fraud investigations, whilst maintaining confidentiality, privacy, and the integrity of your findings, is a multi-day course all on its own. Most of us know how to use google and linked in, but few of us are aware that the people we are looking at may see us looking and may learn more about us than we do about them.

This informative session will introduce the various tools available for the auditor to use in gathering information from the web as we try and do unobtrusive internal investigations and hopefully keep the alleged fraudulent perpetrator unaware of our investigation. The programs team has worked with Mark to identify some top tips on internet investigating.

• Search Image Everywhere. Is this a real image or is it a stock photo being used by many...
• Search Engines and how they may report your interest...
• Learn about using tools to mask who you are as you investigate...
• How businesses are being targeted...
• And much more as time permits

About the speaker: In the previous 12 years as a full time member of the Technological Crimes Unit, and a 28 year veteran of the VPD, Mark has recovered approximately $600 million in compromised financial data. He has provided crucial intelligence in relation to a number of high profile data breaches across North America which resulted in the successful arrests of a number of global suspects. As well as assisting municipal law enforcement agencies across Canada and the United States Mark has also worked closely with the: RCMP, FBI, USSS, United States Postal Inspectors and the Economic and Financial Crimes Commission (Nigeria). Mark has also assisted the top 7 financial institutions in Canada, a number of large U.S banks and the 4 main North American credit card companies in recovering millions of dollars’ worth of compromised data. Mark is considered the departmental in-house expert regarding the Internet and is the only departmental trainer for Internet Investigations. He is responsible for the implementation and training of all investigative sections in how to use the Internet as both a research and investigative tool. This includes both overt, and covert, investigations. Mark is also a sessional instructor at the Justice Institute of BC where he teaches Internet Investigative courses.

Mark has been recognized at the B.C. Provincial Court level as an expert on social media. Mark is a recipient of the Canadian Bankers Medal, the only civilian medal awarded to Canadian police officers for outstanding investigative work related to financial crime. Mark has also received an Investigative Commendation from the US Secret Service, their highest external award for investigative excellence.
ACCT 385 Internal Auditing

Effective Date: September 2016

Students will be introduced to internal auditing and its role in business and government for risk management, control, and governance. Planning and conducting internal audit engagements, and effectively communicating the results, will be emphasized. This course is accredited with the Institute of Internal Auditors (IIA). Students who have successfully completed this course will be able to write the IIA’s examinations for the Certified Internal Auditor (CIA) designation.

Sep.12.2016 - Dec.05.2016 Lecture M 05:30PM - 08:20PM, Centre Business & Access Bldg., Room 282

Registrations are strong, there are only 12 spots left for this course!

Welcome to Camosun College’s Audit Option

If you are interested in taking an academic course at Camosun for your own personal development without obtaining credits for a diploma or university degree, consider the AUDIT option. When you audit an academic course you:

- will not be required to pay an application fee or show proof of prerequisites;
- are expected to attend classes and read the assignments;
- will not be required to hand in course work or write exams;
- will not receive a grade for the course, but you will receive enjoyment and intellectual stimulation!

In addition you will only pay half the published regular tuition fees, plus CCSS fees.
The IIA's Certified Internal Auditor® (CIA®) certification is the only globally accepted designation for internal auditors. It is a four-part exam that remains the standard by which individuals demonstrate their comprehensive competence and professionalism in the internal auditing field.

In response to market demand for premier quality global CIA exam preparation, The IIA has developed The IIA's CIA Learning System™.

IIA CIA Learning System

The IIA’s CIA Learning System is a comprehensive print and online CIA review program that will prepare you to pass the CIA exam.

A French version of the program is also available.

For more information on IIA’s CIA Learning System click on the logo to the bottom left.

But before you purchase, read on…

Your IIA Vancouver Island Chapter is pleased to assist members interested in obtaining the globally recognized CIA designation through providing IIA’s CIA Learning System at significantly lower prices:

- Full Kit: 3-Part Exam, CAD$925* (New!)
- Individual Part, CAD $400* (New!)

Note: * All inclusive. Subject to change without notice. Prices for non-members are also available.

This offer represents significant savings from the regular prices offered directly through the IIA.

For more information and to purchase your IIA’s CIA Learning System, please go to the following link.

The IIA's CIA Learning System - Order/Payment Site

Certifications Questions? Ask our Certification Chair Graham Tranter at gtranter@uvic.ca
IIA Certification: Financial Support

To support our chapter members in their pursuit of IIA Certification(s), the chapter will provide financial support to the successful candidates who meet the following criteria.

1. All exam and application fees were personally funded.
2. All eligibility requirements were met and passed the exam(s).
3. Be a good standing member when the certification is awarded.

Each successful candidate will receive $100. A limit of 5 successful candidates has been set up for each fiscal year.

Gleim CIA Study Material Discount

In addition to the discounts on IIA’s CIA Learning System, we are proud to offer significant discounts on Gleim’s CIA Study Materials for our chapter members.

As a chapter member, you can get a 20% discount on Gleim’s CIA exam prep products. Please visit the Members Only section of the IIA Vancouver Island website.

We would like to hear from you. Any comment or suggestion on how we can support you for earning IIA Certifications?

Certification Spotlight

Tell us your story on your journey to certification and we will publish it in our next newsletter. Share your experience!

What certification did you achieve and when?
What prompted you to become certified?
How did you prepare for the exam?
How has certification helped your career?
What advice do you have for others seeking certification?

Answer these questions along with a professional bio and picture to The Connector editor at gtranter@uvic.ca to earn your page on our bi-monthly newsletter.

Through Aug. 31, The IIA is waiving the application fee for the CIA credential – the only globally recognized internal audit certification. This is a great way to improve your competency and demonstrate your commitment to internal auditing.

Apply now and save up to US$200 per certification.
New Industry Report from TeamMate®

Who owns responsibility for the technology tools used by your audit department?

If you can't answer that, you may be falling behind. The latest research survey from TeamMate focuses on Technology Champions and the significant positive impact they can have on audit departments.

80% of those surveyed view Technology Champions as a key success factor for their organization.

36% of CAEs see Technology Champions as a Key Strategic Player in Audit Management.

View a copy of our latest report at: TeamMateSolutions.com/TechChamp
Your Chapter Report

The IIA Vancouver Island Chapter has

**103 Members**

Sharing the following certifications

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<thead>
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<th>Certification</th>
<th>Number</th>
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<td>CIA</td>
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<tr>
<td>CCSA</td>
<td>3</td>
</tr>
<tr>
<td>CRMA</td>
<td>8</td>
</tr>
<tr>
<td>QIAL</td>
<td>0</td>
</tr>
</tbody>
</table>

In 2015-2016 we achieved GOLD Status for the 9th consecutive year. We need to do this one more time in the next two years to attain PLATINUM status. Following is our 2016-2017 status as at July 31st, 2016.

<table>
<thead>
<tr>
<th>Service Category</th>
<th>Required CAP Points</th>
<th>Achieved CAP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Service to Members</td>
<td>325</td>
<td>48.42</td>
</tr>
<tr>
<td>Service to the Profession</td>
<td>200</td>
<td>313.92</td>
</tr>
<tr>
<td>Chapter Administration</td>
<td>160</td>
<td>78.00</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>(Bronze at) 685</strong></td>
<td><strong>440.34</strong></td>
</tr>
</tbody>
</table>

We need to pass a 1,560 point target for GOLD status. Additionally, we need to attain the minimum required CAP points in each service category. We must attain both the minimums in each service category and an overall point total. We are only able to do this by continuously striving to bring you events, information, and the support you need or want for your professional growth.

Thank you to all our members who support the Chapter through attending events, suggesting topics and speakers, contributing newsletter articles, presenting to the chapter, answering our surveys, and supporting the Internal Audit Profession.
## Chapter Board for 2016-2017

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
<th>Organization</th>
<th>Contact</th>
</tr>
</thead>
<tbody>
<tr>
<td>President</td>
<td>Graham Tranter, CIA, CFE, CRMA, CISA, CCSA</td>
<td>University of Victoria</td>
<td><a href="mailto:gtranter@uvic.ca">gtranter@uvic.ca</a> (250) 853-3185</td>
</tr>
<tr>
<td>Vice President</td>
<td>Lisa deWit, CPA, CGA, CIA, HBCom</td>
<td>Department of National Defence</td>
<td><a href="mailto:Lisa.deWit@forces.gc.ca">Lisa.deWit@forces.gc.ca</a> (250) 363-5631</td>
</tr>
<tr>
<td>Treasurer</td>
<td>Tony Pollard, CPA, CA, CFP</td>
<td>Parker Johnson</td>
<td><a href="mailto:tpollard@parkerjohnston.com">tpollard@parkerjohnston.com</a> (250) 888-6571</td>
</tr>
<tr>
<td>Secretary</td>
<td>Jenny Yue-Detterer, CPA, CA</td>
<td>KPMG</td>
<td><a href="mailto:jyuedetterer@kpmg.ca">jyuedetterer@kpmg.ca</a></td>
</tr>
<tr>
<td>Programs Chair</td>
<td>Sonia Vicente, Bcom</td>
<td>Ministry of Finance – IAAS</td>
<td>On Leave</td>
</tr>
<tr>
<td>Programs Chair (Acting)</td>
<td>Graham Tranter, CIA, CFE, CRMA, CISA, CCSA</td>
<td>University of Victoria</td>
<td><a href="mailto:gtranter@uvic.ca">gtranter@uvic.ca</a> (250) 853-3185</td>
</tr>
<tr>
<td>Programs</td>
<td>Vacant</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Membership &amp; Marketing</td>
<td>Shaun Price, CPA, CA, CIA, CGAP, CRMA, BCom</td>
<td>Department of National Defence</td>
<td><a href="mailto:Shaun.Price@forces.gc.ca">Shaun.Price@forces.gc.ca</a></td>
</tr>
<tr>
<td>Certifications</td>
<td>Graham Tranter, CIA, CFE, CRMA, CISA, CCSA</td>
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</tr>
<tr>
<td>Communications</td>
<td>Graham Tranter, CIA, CFE, CRMA, CISA, CCSA</td>
<td>University of Victoria</td>
<td><a href="mailto:gtranter@uvic.ca">gtranter@uvic.ca</a> (250) 853-3185</td>
</tr>
<tr>
<td>Past President</td>
<td>Kelly Dorin, CFE, CPA, CA, CIA, CCSA, CRMA</td>
<td>University of Victoria</td>
<td><a href="mailto:kdorin@uvic.ca">kdorin@uvic.ca</a> (250) 853-3184</td>
</tr>
<tr>
<td>Governor</td>
<td>Teeravit Chintawongvanich, CPA, CMA, CIA, CFE, CRMA</td>
<td>BC Investment Management Corporation</td>
<td><a href="mailto:teeravit.chintawongvanich@bcimc.com">teeravit.chintawongvanich@bcimc.com</a> (778) 410-7107</td>
</tr>
</tbody>
</table>

Please feel free to contact our Board members with your questions, concerns, opinions, or even kudo’s at any time. We welcome your feedback in improving our service to you and the profession.

Remember that you can give us feedback at any time by contacting any of the board members identified within this (and all) newsletters. In addition, we have created a completely anonymous (no IP tracking, no identification required) survey consisting a single question allowing you to give us feedback whenever you want.

IIA Vancouver Island Anonymous Feedback: [https://www.surveymonkey.com/r/ZVNND73](https://www.surveymonkey.com/r/ZVNND73)