Audit Focus

March 2015

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IIA Vancouver Chapter

The Vancouver Chapter of the IIA was formed in the spring of 1948, and a Charter was issued to the Chapter on September 23, 1948.

Established in 1941, The Institute of Internal Auditors (IIA) is an international professional association with global headquarters in Altamonte Springs, Florida, USA. The IIA is the internal audit profession's global voice, recognized authority, acknowledged leader, chief advocate, and principal educator. The IIA has over 170,000 members worldwide working in internal audit, risk management, governance, internal control, IT audit, education and security.

Established in 2006, The Institute of Internal Auditors of Canada is a professional association with headquarters in Ottawa, Canada. IIA Canada has over 7500 members within Canada and is a part of IIA Global. IIA Canada works in partnership with 12 Chapters across the nation to provide training, publications and services to members, and advocating for the profession to key government and regulatory bodies and related organizations.

Paulman Hui, CPA, CA, CFE - Director & Newsletter Chair/Editor

On behalf of the Board of Directors - We are pleased to present to you our March 2015 newsletter. Whether you are currently a member or curious to know more about the IA profession, we appreciate your interest in our chapter. I hope you enjoy the latest edition of our newsletter.

Do you have an article/topic for the newsletter that you feel might be of interest to our over 500 members? If yes, we’d love to hear from you. Please contact us at newsletter@iia-vancouver.org
Getting to Know Your Representatives

CINDY KAILLY-SMITH, CIA, CRISC, CRMA, CPA, CMA
Governor of the Vancouver Chapter, Volunteer Co-Chair for the 2015 International Conference Organizing Committee, District Representative for Western Canada

Cindy Kailly-Smith started her professional career in Accounting before switching to a career in Internal Audit and hasn’t looked back. She now has over 10 years of internal audit experience in Public Sector Organizations including BCIT, ICBC and BCLC where she is currently Manager of Audit Services. Cindy has her Certified Management Accountant (CMA), Certified Internal Auditor (CIA), Certified Risk and Information Systems Control (CRISC) and Certification in Risk Management Assurance (CRMA) designations.

Cindy has been an active member of the IIA since 2006. She has served as president of the Vancouver Chapter, authored IIA Certification exam questions, taught CIA exam preparation courses and presented at the IIA & ISACA GRC Conference. Her current IIA roles include Governor of the Vancouver Chapter, Volunteer Co-Chair for the 2015 International Conference Organizing Committee and District Representative for Western Canada. As IIA District Representative for Western Canada Cindy provides an oversight role for the Calgary, Edmonton, Saskatchewan, Vancouver, Vancouver Island, and Winnipeg Chapters, is a member of the Chapter Relations Committee North America and serves on the IIA Canada Board.

Cindy is also scheduled to present at the IIA International Conference to be held in Vancouver this July 5-8, 2015. She invites you to attend this not-to-be missed event.
Certification Corner

Our Chapter would like to congratulate the following members on achieving the following IIA certifications:

Carrolyn Lok, CIA
Catherine Salhus, CIA
Harbinder Mangat, CIA
Marina Makhnach, CIA, CRMA
Megan Clair, CIA
Ramzi Hobeika, CIA
Rupinder Chhina, CIA

Helen Lin, CRMA
Mitra Chirkootsingh, CIA, CRMA

Christopher Gleimius, CIA, CFSA

CIA Information Session Recap

An online webinar was held on January 29, 2015. Chapter members and CIA candidates received valuable information regarding the CIA designation requirements, exam format and topic areas, study resources and exam tips.

If you have missed this information session, you can either request a copy of the presentation slides or register for the next scheduled information session on April 30, 2015. Please email our Certifications Chair, Kelvin Goh at certification@iia-vancouver.org

Are you planning to write the CIA exam?

As a benefit to our members, our Chapter is offering a discount on full kits.

**Chapter Price: $619 + GST only**

**Regular Price: $795 + GST + shipping**

Visit [www.LearnCIA.com](http://www.LearnCIA.com) for a free demo or email certification@iia-vancouver.org to place your order.
Certification Spotlight

Getting to know the Profession’s Leaders of Today & Tomorrow.
By Kelvin Goh, CIA, CRMA, CISA, CFE, CGA – Certifications Chair

<table>
<thead>
<tr>
<th>Name</th>
<th>Garry Yuill, BA, MBA, CPA, CMA, CIA</th>
</tr>
</thead>
<tbody>
<tr>
<td>Position and Employer</td>
<td>Instructor, School of Business, Kwantlen Polytechnic University and Owner, Yuk Yuk's Comedy Club Vancouver</td>
</tr>
<tr>
<td>Year CIA achieved:</td>
<td>2006</td>
</tr>
<tr>
<td>What prompted you to become certified?</td>
<td>At the time, I was Manager, Internal Audit at Great Canadian Gaming Corporation (GCGC). GCGC is a large organization and a publicly traded company. Sarbanes Oxley (and the Canadian equivalent C-SOX) compliance was the focus so having a more thorough understanding of internal audit by pursuing the CIA certification was important to me both personally and professionally.</td>
</tr>
<tr>
<td>How did you prepare for the exam?</td>
<td>My prior work experience in internal audit with the City of Vancouver and Telus helped, but really, there is no substitute for being well prepared for the exam. You've just got to roll up your sleeves, read the books, and do the practice exam questions.</td>
</tr>
<tr>
<td>How has your certification helped your career?</td>
<td>The CIA gave me knowledge and more confidence in some areas of internal audit. It allowed me to break out of my comfort zone of financial and operational audits and get involved with IT audits and CAATs. I was eventually promoted to Director, Internal Audit and I had the opportunity to co-present at The IIA’s Gaming Conference. I feel that obtaining the CIA certification contributed to my professional development and career advancement. Having a great team and mentor were also significant contributors (you know who you are!).</td>
</tr>
<tr>
<td>What advice do you have for others who are seeking certification?</td>
<td>If you plan to pursue internal audit as a career, the CIA is a great certification to include in your professional tool kit. It demonstrates you've earned a high level of knowledge and skills, as well as showing dedication to internal audit as your chosen career path. As an employer, candidates with the CIA certification certainly caught my attention when making hiring decisions. So go get it!</td>
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NEW! Qualification in Internal Audit Leadership (QIAL)

If you are aspiring to be a leader in your organization or looking to add credibility to your current leadership role with stakeholders and peers, the Qualification in Internal Audit Leadership™ (QIAL™) will help you build and enhance skills to further establish your credibility as a leader of the future.

To learn more, please click on this link: [QIAL](#) or email QIAL@theiia.org
## IIA Vancouver Chapter Event Calendar

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<thead>
<tr>
<th>Upcoming Event</th>
<th>Date</th>
<th>Venue</th>
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<tbody>
<tr>
<td><strong>April 2015</strong></td>
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<tr>
<td><strong>CIA INFORMATION SESSION (FREE)</strong></td>
<td>April 30, 2015</td>
<td>TBD (in person)</td>
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<tr>
<td>Please join us for the Certified Internal Auditor (CIA) information session. This information session aims to provide information to our Chapter members who are interested in pursuing the designation or are already preparing for the examination. Our presentation will cover CIA designation requirements, exam format and topic areas. It will highlight available study resources and tips on preparing for the exam. To register, please email <a href="mailto:certification@iia-vancouver.org">certification@iia-vancouver.org</a></td>
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<tr>
<td><strong>COBIT 5 ASSESSOR COURSE</strong></td>
<td>April 20-22, 2015</td>
<td>KPMG, 6th Floor - 750 West Pender Street, Vancouver, BC V6C 2T7</td>
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<tr>
<td>(Limited space available)</td>
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<tr>
<td><strong>COURSE OUTLINE:</strong></td>
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<tr>
<td>'COBIT 5 Assessor' highly sought after course is being offered for the first time in BC. Looking to improve your organization's effectiveness and process capabilities? Join others to take the COBIT 5 Assessor 3-day course offered by ISACA/IIA Vancouver and Victoria Chapters. The course provides a basis for assessing an enterprise's process capabilities against the COBIT 5 Process Assessment Model (PAM). Evidence-based to enable a reliable, consistent and repeatable way to assess IT process capabilities, this model helps IT leaders gain C-level and board member buy-in for change and improvement initiatives. See course description below for more details. <strong>PREREQUISITE:</strong> COBIT 5 Foundation certification/course attendance. Target Audience: Senior IT Management, Seniors Business Managers, IT Auditors, IT Managers, IT Quality Professionals, IT Process Owners &amp; Process Managers, Governance Managers, Internal and external auditors, IT consultants, Audit Managers / Directors / Chiefs <strong>REGISTRATION FEES:</strong> Cost: $750 for ISACA/IIA members; $950 for future members; plus $250 exam if planning to write the exam to become certified COBIT 5 Assessor <strong>REGISTRATION INFORMATION:</strong> Space is limited and will be based on first come first serve. (Registration Deadline: Apr 3, 2015). Register Online. To register, click here <a href="http://www.isaca.org/chapters2/Vancouver/events/Pages/default.aspx?chapterid=025">http://www.isaca.org/chapters2/Vancouver/events/Pages/default.aspx?chapterid=025</a>.</td>
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Upcoming Webinars

**IIA Canada – Free-to-members webinar series**

**Cybersecurity: Data Breaches, Greater Frequency, Greater Cost**
March 17, 2015, 1:00–2:00 p.m. ET

**Pulse of Internal Audit**
April 21, 2015, 1:00–2:00 p.m. ET

**Driving an Effective ERM Culture**
May 19, 2015, 1:00-2:00 p.m. ET

**Guide to Managing the Work-Life Balance of an Audit Team**
June 16, 2015, 1:00-2:00 p.m. ET

**Data Privacy: A High-Stakes Issue**
July 21, 2015, 1:00-2:00 p.m. ET

**Emerging Technology Audit Hot Topics**
August 18, 2015, 1:00-2:00 p.m. ET

**Financial Statement Fraud**
October 20, 2015, 1:00-2:00 p.m. ET

**Measuring IA Value and Performance (CBOK Report)**
November 17, 2015, 1:00-2:00 p.m. ET

Please visit the **IIA Canada website** to register for these free-to-members webinar
Join us for the 74th annual IIA International Conference, July 5-8, 2015, in Vancouver, BC, Canada, as we embark on an educational journey rich with insights for internal auditors at every level.

Confirmed Keynote Speakers

Yuwa Hedrick-Wong, Ph.D.
Distinguished Visiting Professor, University of British Columbia
Global Economic Advisor, MasterCard Worldwide

Colonel Chris Hadfield
Astronaut
First Canadian Commander of the International Space Station (2013)

Expand your network with 2,000+ peers from more than 100 countries, deepen your knowledge of internal audit, and experience the sights and multicultural offerings of British Columbia.

Register early and save!
Visit www.ic.globalia.org for details.
The IIA's 2015 International Conference: The World in Our Backyard

If you haven’t already, make plans to attend The IIA’s 2015 International Conference, “Mountains of Change…Oceans of Opportunities, July 5–8, right here in Vancouver at the beautiful Vancouver Convention Centre, co-hosted by IIA–Canada.

More than 2,000 conference delegates from six of the seven continents and more than 100 countries are expected to attend. Learn more about your peers and how they practice internal auditing around the world within the 10 educational tracks being offered. Attendees can earn up to 18 CPEs and more with pre- and post-conference sessions.

World-renowned speakers, including Canada’s own Colonel Chris Hadfield, will be headlining keynote sessions. And while the conference will be a short drive for many in our chapter, The IIA is offering a $200 discount which will be applied to your registration if you choose to book a stay at one of the official conference hotel properties.

REGISTER EARLY TO SAVE – BY MARCH 15, 2015!

To register or for more information about the conference please visit the conference website. We hope to see you at the Vancouver Convention Centre in July.

Volunteer Opportunities Available!

The IIA International Conference is the premier global event for internal auditors to gather, network, and exchange information on the practice of internal auditing. The next international conference will be held in Vancouver BC on July 5-8, 2015.

At this time, we would like to advise our members that there are a limited volunteer opportunities available. If you are interested, please contact the IIA 2105 International Conference Hosting Committee Volunteer Co-chairs Cindy Kailly-Smith (ckaillysmith@bclc.com) and Elaine Dianko (elaine.dianko@worksafebc.com).
The IIA COSO Resource Center

As one of the five sponsoring organizations for COSO, The IIA provides its members with exclusive access and discounts through its COSO Resource Center. The IIA COSO Resource Center provides the most comprehensive and up-to-date list of resources, tools, and training to support implementation of the COSO Internal Control-Integrated Framework. The Framework is the most widely recognized guidance on what constitutes effective internal control, which is vital for the success of any organization. It is broad-based and can be applied by organizations, businesses, governmental agencies, and not-for-profit enterprises of all sizes.

IIA Canada - Quality Assessment

Get an early start on the road to your Quality Assessment and Improvement Plan (QAIP) — IIA–Canada partnering with IIA Quality Services, LLC provides the resources and direction to assist you in mapping the way.

IIA–Canada Quality Services is your route to resources in establishing a strong and effective QAIP as well as an avenue for accomplishing an independent external quality assessment (EQA) at least once every five years as required by the International Standards for the Professional Practice of Internal Auditing (Standards).

Please visit the IIA Canada website to learn more.
Quick Links

For your convenience, here are some useful IIA links. For quick access to these sites, click on the links below:

**Vancouver Chapter**

**Vancouver Island Chapter**

**IIA Vancouver LinkedIn Page**

**IIA Vancouver Facebook Page**

**IIA Canada**

**CIA Certification**

**IIA Global**

**Member Exchange** (login required)

IIA Research Foundation Bookstore

**eBooks**

In keeping with the spirit of innovation and commitment to providing the highest level of service to members, The IIARF Bookstore has been working to deliver its vast expanse of resources through a digital platform. Over the next year, the Bookstore will transition its best-selling publications to an e-format. - See more at: [http://www.theiia.org/bookstore/department/ebooks-10232.cfm?#sthash.B0deqYnC.dpuf](http://www.theiia.org/bookstore/department/ebooks-10232.cfm?#sthash.B0deqYnC.dpuf)

To view an eBook on a PC, you will need to download an eReader program such as Adobe Digital Editions to your computer. The IIA Research Foundation holds security settings on our eBooks and PDFs that prevent printing and copying.

For more information regarding eBooks, please visit the eBook FAQ page.


Coso internal control integrated framework - ebook
Chapter of Excellence

The IIA has recognized the Vancouver Chapter as a 2014 Chapter of Excellence.

This award is in recognition of the Chapter’s efforts to assist members in their path to certification by promoting The IIA's CIA Learning System Self-Study Materials and offering these materials to our members at a special discounted price.

For any questions relating to Certification or The IIA’s CIA Learning System, please contact certification@iia-vancouver.org.

IIA Platinum Chapter Status

We thank you for helping the Vancouver Chapter in attaining the “Platinum” Chapter achievement designation for the 6th year in a row (since 2009).

We are always calculating our Chapter Achievement Program points and we need to know when our members participate in any of the following activities:

• Speaking on an internal audit topic at a meeting, seminar, or CPE class. (1 CAP point per 50 minutes)
• Submitting and/or publishing an article to a trade publication such as The Internal Auditor, CAE Bulletin, IIA Educator, and other specialty newsletters. (5 to 20 CAP points)
• Writing exam questions for the CIA, CCSA, CFSA, or CGAP exams. (10 CAP points)
• Participating on a Quality Assessment Review team (non-compensated). (10 CAP points per review)
• Advocacy efforts to actively and publicly communicating to clearly articulate and reinforce internal auditing’s role, position, and value; so as to influence key stakeholders regarding the necessity of internal auditing for good governance and organizational success. (2-10 CAP points)

If you have participated in one or more of these activities, please e-mail the details to our Vice President, Sohaib Muneer at Vice-President@iia-vancouver.org.

IIA Building Awareness Champion

The IIA has also recognized the Vancouver Chapter as an IIA Building Awareness Champion.

Each May, The IIA and internal auditors around the world celebrate International Internal Audit Awareness Month.

This year, The IIA added a Building Awareness Champion program to recognize those chapters that exhibit outstanding commitment to advancing the profession by accomplishing a set of established initiatives.
Immigrant Services Society of BC Partnership

ISS of BC (Immigrant Services Society of BC), a non-profit organization, offers a wide variety of career mentoring services through a variety of our programs. Career mentors are connected with internationally trained professionals, and they provide industry information, job search advice, and other important information to help the newcomers successfully bridge back to their career in Canada.

The IIA Vancouver Chapter has been closely working with ISS of BC over the last few years. Internal auditors involved in the program have found their experiences very rewarding. If you are an internal audit professional with over 3 years of local experience, we encourage you to become a career mentor and make a difference in the life of a newcomer.

For more information, please visit http://issbc.org/prim-nav/programs/career-services/career-mentoring

Posting Job Opportunities and Advertising

“The cost to post a job opportunity is $125 plus tax. Please submit the posting by email in word or pdf format to Employment@iia-vancouver.org, IIA Vancouver Chapter, along with your billing information (contact name, email address and phone number) and confirmation as to your acknowledgement of cost. Subsequently, you will be electronically invoiced.”

Advertising Fee Information

<table>
<thead>
<tr>
<th>Job Postings</th>
<th>Rate</th>
</tr>
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<tbody>
<tr>
<td>Email and Website for 2 months.</td>
<td>$125 + tax</td>
</tr>
<tr>
<td>(Submissions must be in a MS Word text format. Only simple Graphics used for corporate logos in a GIF or JPG format are accepted).</td>
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</tr>
</tbody>
</table>

| General / Business Advertisements                 | $50 + tax    |
| Newsletter and Website                           |              |
| (Submissions must be in a MS Word text format. Only simple Graphics used for corporate logos in a GIF or JPG format are accepted). |
| (We reserve the right to refuse advertisements that the Chapter Board deem unsuitable for our members.) |

To place an ad, please contact Zoilo Herico at Employment@iia-vancouver.org

Marketing - Sponsorship Opportunities

The Chapter welcomes corporate sponsors interested in supporting our various professional education programs and luncheons. Support can either be financial or donations-in-kind.

To find out more about how your organization can become a sponsor, please contact our Marketing Chair Hik Park at marketing@iia-vancouver.org.