Paulman Hui, CPA, CA, CFE - Director & Newsletter Chair/Editor

On behalf of the Board of Directors - We are pleased to present to you our March 2016 newsletter. Whether you are currently a member or curious to know more about the IA profession, we appreciate your interest in our chapter. I hope you enjoy the latest edition of our newsletter.

Do you have an article/topic for the newsletter that you feel might be of interest to our over 550 members? If yes, we’d love to hear from you. Please contact us at newsletter@iia-vancouver.org
A BIG Thank You to all our volunteers!

The 2015 IIA International Conference held in Vancouver, BC, Canada on July 5-8, 2015 was a resounding success! Thanks to all our volunteers, who played a key role in this success! We only received very positive feedback from the attendees, event coordinators, speakers, and the IIA staff and organizers. One of the IIA staff even said “if we could only ship all of these volunteers to the 75th Anniversary conference in NYC!”.

Some of our volunteers were in the Editor’s Note in the 75th Anniversary Issue of the Internal Auditor magazine. We included the extract of that write-up below.

Elaine Dianko and Cindy Kailly-Smith
2015 IIA International Volunteer Co-chairs
Celebrate 75 & Save $75 – Join the IIA in March!

We are 75 years strong! The IIA is the profession’s global voice, offering dynamic leadership to 180,000+ members. If you’ve considered a membership, or let your membership expire, now is the time to join The IIA and save US$75! Sign up and the additional application fee will be waived (a US$25 value). This limited-time savings of US$100 on an annual IIA membership is only available during March 2016 for new and lapsed professional or government members. Sign up, use source code CHAP75 during checkout, and take advantage of top-rated member benefits.

JOIN THE IIA

WorkSafeBC & FortisBC Receive 2015 Mastering Risk Awards

And the Winner is…

Crowe Risk Consulting, in collaboration with IIA–Canada, hosted the inaugural Mastering Risk Awards on Oct. 15, 2015 in Toronto. A thought leadership program culminating in the release of an IIA–Canada white paper and presentation of Canada’s first Mastering Risk Awards for achievement in internal audit and risk management framework and practices. We thank the nearly 120 participants and celebrate the winners!

Public Sector – Risk Management and Internal Audit – WorkSafeBC, accepted by Suzanne Dunn
Private Sector – Internal Audit – FortisBC, accepted by Edward Olsen
Private Sector – Risk Management – Cameco, accepted by David Helberg
Private Sector – Risk Management and Internal Audit – Wajax Corporation, accepted by Mitzie Cameron

Congratulations to our Vancouver Chapter members Elaine Dianko from WorkSafeBC and Edward Olsen from FortisBC, along with their respective teams, for the awards!
Our Chapter would like to congratulate the following members on achieving the following IIA certifications:

Bradley Wilson, CIA
Caroline Vermunt Gorrée, CIA
Carrolyn Lok, CIA
Catherine Salhus, CIA
Harbinder Mangat, CIA
Jasdeep Basra, CIA
Karin Singbell, CIA
Marina Makhnach, CIA, CRMA
Megan Clair, CIA
Ramzi Hobeika, CIA
Rupinder Chhina, CIA
Timothy Storms, CIA

Aaron Antifaeff, CIA, CGAP, CRMA
Helen Lin, CRMA
Mitra Chirkoostsingh, CIA, CRMA
Mohammad Pahrbd, CRMA
Trevor Sanderson, CIA, CRMA

Christopher Gleimius, CIA, CFSA
# IIA Service Awards

The Vancouver Chapter would also like to recognize the contribution of the following IIA members to the profession:

### 45 Years:
- James Bordian

### 35 Years:
- Michael Hartwick

### 25 Years:
- Jerry Mañ

### 20 Years:
- Ann Christiansen
- Jeff Erdman
- Martin Pow
- Rita Bittel
- Jane Butterfield

### 15 Years:
- Brian Hanna
- Corazon Del Pilar
- Ewan Wilding
- Gary Chan
- Bulvant Singh
- Kwong Yee
- Sharon Walker
- Wayne Thompson

### 10 Years:
- Aaron Bastien
- Abelardo Pablo
- Allan Chee
- Andrew Abel
- Aaron Law
- David Crumpton
- Elizabeth Gorrie
- Farzin Ismail
- Fred Chan
- Ganesh Sharma
- Greg Jung
- Hanling Huang
- Inderjit Moore
- Jacqueline Gorton
- Jeffrey Denissen
- Johann Boulter
- Karen Kraan
- Kelvin Goh
- Krista Besner
- Liz Fawcett
- Lynn Lewicki
- Ni Li
- Remo Ferraro
- Rolando Ygbuhay
- Rolly Dioquino
- Shane Troyer
- Shishir Gupta
- Sohel Merchant
- Stewart Liang
- Terry Hrischuk
- Vinay Sathi

### 5 Years:
- Agathe Chu
- Chris Mehnert
- Derrick Li
- Dickson Lin
- Eva Lau
- Jasper Aniamaka
- John Dumfries
- Kathleen Wong
- Maria Di Marco
- Marina Mkhnach
- Michael Pretorious
- Muhammad Qadir
- Nahla Palmer
- Neil Watson
- Patricia Dickens
- Phil Hughes
- Rahil Wahab
- Raveen Saran
- Raymond Lam
- Richard Lee
- Ritesh Desai
- Sanaz Ali Akbari
- Tamara Sorescu
- Trevor Sanderson
- Vera Wen
- Wayne Overton
- Wayne Skeet
Members in the News

Congratulations to IIA Vancouver Chapter member Peter Guo on his recent Chartered Professional Accountants of British Columbia (CPABC) Community Service Award! The below article is courtesy of CPABC.

CPABC Community Service Award Winners

Peter Guo, CPA, CA

Peter is a partner with MNP LLP in Vancouver. His passion for diversity, inclusion, and creating opportunities for people is evident in his many contributions to his community. With a focus on identifying and empowering talent, he tirelessly invests in people, both locally and abroad.

Peter has shared his knowledge, energy, and leadership with many organizations over the past 30 years. Most notably, he is currently in his fifth year on the board of S.U.C.C.E.S.S., one of BC’s largest social services agencies, and chair of its advocacy and community relations committee.

As a mentor with the Forum of Women Entrepreneurs, Peter's experience assists women in successfully growing their businesses. As a founding member of UBC Sauder School’s management information system advisory board, he is helping to integrate business and technology curricula to assist graduates in their pursuit of meaningful careers, and mentors students to better meet the demands of employers.

A creative and energetic fundraiser, Peter, with the dedication and support of his family, friends, and colleagues, has raised over $40,000 for the preschool of the Chinese Presbyterian Church in Vancouver, and more than $150,000 for the Walk with the Dragon event, benefitting the S.U.C.C.E.S.S. Foundation.

Over the years, Peter also combined his love of soccer and his commitment to youth development by coaching and managing several teams with the Vancouver United Football Club.
**Upcoming Event**

<table>
<thead>
<tr>
<th>Date</th>
<th>Venue</th>
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<tbody>
<tr>
<td>Mar. 17, 2016</td>
<td>Downtown Vancouver (TBD)</td>
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**March 2016**

**Introduction to Supply Chain Management**

The field of Supply Chain Management (SCM) is very diverse and often proves to be a most challenging and complex field to audit. In this half day course, CM2 Ventures Inc. will present a high level understanding of SCM, best practices, and topics of focus, where Supply Chain leaders would like to see internal audits assistance and guidance for improving performance of the SCM role within organizations.

**HIGH LEVEL COURSE OUTLINE:**

1. **GOVERNANCE**
   - Policy governing SCM in organizations
   - Strategic direction alignment of business units including SCM
   - Tone at the top regarding SCM
   - Requirement for compliance documented
   - Integration within the organization
   - Segregation of duties
   - Conflict of interest policy
   - Sustainability/ethical procurement policy

2. **ASSETS**
   - Mandate of SCM within the organization
   - Procedures documented and published
   - Organizational structure
   - Roles and responsibilities of SCM clearly identified and understood within the organization
   - Skill level and Experience appropriate to SCM roles
   - Information/Data available and used appropriately
   - Use of technology for SCM processes

3. **OPERATIONS**
   - Risk assessments performed appropriately
   - Process Toolkit used appropriately for maximized benefit of opportunity
   - Sourcing strategy and value for money optimization
   - Continuous improvement of documents/processes
   - Opportunities for knowledge growth implemented
   - Process optimization and systems thinking
   - Terms and conditions of contracts legally reviewed as changes merit

4. **COMPLIANCE**
   - Legal counsel review of documents
   - Risk assessments on compliance performed
   - Contract type appropriate to need
   - Compliance with trade agreements (public sector only)
   - Compliance with competitive bid laws

5. **SUPPLIER MANAGEMENT (UPSTREAM)**
   - Key supplier relationship reviewed and managed
   - Monitoring and oversight process for suppliers
   - Dispute resolution process
   - Sustainability/Ethical Procurement review (if applicable)
   - Category management optimized
   - Evaluation of suppliers
   - Negotiations managed appropriately
   - Reporting internally and externally on suppliers

6. **SUPPLIER MANAGEMENT (DOWNSTREAM)**
   - Relationship of SCM and suppliers with internal clients
   - Integration of SCM with internal clients
- Vendor supply issues resolution process
- Shipping/receiving process review and reporting
- Involvement of SCM in SOW/translation services
- Payment processes clearly outlined
- Payment Cycle times reasonable

**INSTRUCTOR:**
CM2 Ventures Inc. has been providing courses and workshops on topics of interest in Supply Chain Management for over twenty years. Having been a party to internal audits in the public sector, CM2 Ventures Inc. will assist internal audit members with a high-level understanding of SCM, best practices, and topics of focus that will assist in improving performance of the SCM role within organizations.

**REGISTRATION FEES:** Members $150
**CPEs:** 3.5 CPE Credits

**REGISTRATION INFORMATION:**
To register, click link:
https://www.gifftool.com/registrar/ShowEventDetails?ID=1913&EID=21842

**JOINT IIA ISACA SOCIAL EVENT**
(SOLD OUT)

The IIA and ISACA Vancouver Chapters will be hosting a complimentary social event for our members at Steamworks on Wednesday, April 20th, 2016 from 5:00 pm to 8:00 pm.
Come and join us for good food, drinks, and wonderful company. It’s a great opportunity to catch up with your fellow IIA and ISACA members, increase your professional network, and make new friends!

**REGISTRATION INFORMATION:**
Seating is limited, so please register online at https://www.eventbrite.com/e/isaca-iiia-vancouver-social-mixer-tickets-21465592164
We are looking forward to see you there!

**The event is brought to you by**

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Are you planning to write the CIA exam?

Visit [www.LearnCIA.com](http://www.LearnCIA.com) for a free demo or to place your order.

US$605 (all inclusive)
Upcoming Webinars

IIA Canada – Free-to-members webinar series

Pulse of Internal Audit
April 19, 2016, 1:00–2:00 p.m. ET

Preparing for a Successful External Quality Assessment
May 17, 2016, 1:00-2:00 p.m. ET

The Uncharted Territory of Auditing an Organization’s Culture
June 21, 2016, 1:00-2:00 p.m. ET

Maximizing the Value of the “Three Lines of Defense”
July 12, 2016, 1:00-2:00 p.m. ET

Data Analytics – Maximizing Value for Internal Audit
August 16, 2016, 1:00-2:00 p.m. ET

Technology Champions: Key Enablers for Internal Audit
September 20, 2016, 1:00-2:00 p.m. ET

Leveraging Data to Manager Your Fraud Risk
October 18, 2016, 1:00-2:00 p.m. ET

Auditing at the Speed of Risk
November 15, 2016, 1:00-2:00 p.m. ET

Please visit the IIA website to register for these free-to-members webinar.

Qualification in Internal Audit Leadership (QIAL)

If you are aspiring to be a leader in your organization or looking to add credibility to your current leadership role with stakeholders and peers, the Qualification in Internal Audit Leadership™ (QIAL™) will help you build and enhance skills to further establish your credibility as a leader of the future.

To learn more, please click on this link: QIAL or email QIAL@theiia.org
The IIA COSO Resource Center

As one of the five sponsoring organizations for COSO, The IIA provides its members with exclusive access and discounts through its COSO Resource Center. The IIA COSO Resource Center provides the most comprehensive and up-to-date list of resources, tools, and training to support implementation of the COSO Internal Control-Integrated Framework.

The Framework is the most widely recognized guidance on what constitutes effective internal control, which is vital for the success of any organization. It is broad-based and can be applied by organizations, businesses, governmental agencies, and not-for-profit enterprises of all sizes.

IIA Canada - Quality Assessment

Get an early start on the road to your Quality Assessment and Improvement Plan (QAIP) — IIA–Canada partnering with IIA Quality Services, LLC provides the resources and direction to assist you in mapping the way.

IIA–Canada Quality Services is your route to resources in establishing a strong and effective QAIP as well as an avenue for accomplishing an independent external quality assessment (EQA) at least once every five years as required by the International Standards for the Professional Practice of Internal Auditing (Standards).

Please visit the IIA Canada website to learn more.
Quick Links

For your convenience, here are some useful IIA links. For quick access to these sites, click on the links below:

**Vancouver Chapter**

**Vancouver Island Chapter**

**IIA Vancouver LinkedIn Page**

**IIA Vancouver Facebook Page**

**IIA Canada**

**CIA Certification**

**IIA Global**

**Member Exchange** (login required)

IIA Research Foundation Bookstore

**eBooks**

In keeping with the spirit of innovation and commitment to providing the highest level of service to members, The IIARF Bookstore has been working to deliver its vast expanse of resources through a digital platform. Over the next year, the Bookstore will transition its best-selling publications to an e-format. See more at: http://www.theiia.org/bookstore/department/ebooks-10232.cfm?#sthash.B0deqYnC.dpuf

To view an eBook on a PC, you will need to download an eReader program such as Adobe Digital Editions to your computer. The IIA Research Foundation holds security settings on our eBooks and PDFs that prevent printing and copying.

For more information regarding eBooks, please visit the eBook FAQ page.

**Sawyers guide for internal auditors 6th edition – e-book**

**Coso internal control integrated framework - ebook**
**Chapter of Excellence**

The IIA has recognized the Vancouver Chapter as a 2015 Chapter of Excellence.

This award is in recognition of the Chapter’s efforts to assist members in their path to certification by promoting The IIA’s CIA Learning System Self-Study Materials and offering these materials to our members at a special discounted price.

For any questions relating to Certification or The IIA’s CIA Learning System, please contact certification@iia-vancouver.org.

**IIA Platinum Chapter Status**

We thank you for helping the Vancouver Chapter in attaining the “Platinum” Chapter achievement designation for the 6th year in a row (since 2009).

We are always calculating our Chapter Achievement Program points and we need to know when our members participate in any of the following activities:

- Speaking on an internal audit topic at a meeting, seminar, or CPE class. (1 CAP point per 50 minutes)
- Submitting and/or publishing an article to a trade publication such as The Internal Auditor, CAE Bulletin, IIA Educator, and other specialty newsletters. (5 to 20 CAP points)
- Writing exam questions for the CIA, CCSA, CFSA, or CGAP exams. (10 CAP points)
- Participating on a Quality Assessment Review team (non-compensated). (10 CAP points per review)
- Advocacy efforts to actively and publicly communicating to clearly articulate and reinforce internal auditing’s role, position, and value; so as to influence key stakeholders regarding the necessity of internal auditing for good governance and organizational success. (2-10 CAP points)

If you have participated in one or more of these activities, please e-mail the details to our Vice President, Sohaib Muneer at Vice-President@iia-vancouver.org.
Posting Job Opportunities and Advertising

“The cost to post a job opportunity is $125 plus tax. Please submit the posting by email in word or pdf format to Employment@iia-vancouver.org, IIA Vancouver Chapter, along with your billing information (contact name, email address and phone number) and confirmation as to your acknowledgement of cost. Subsequently, you will be electronically invoiced.”

Advertising Fee Information

<table>
<thead>
<tr>
<th>Job Postings:</th>
<th>Rate</th>
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<tbody>
<tr>
<td>Email and Website for 2 months.</td>
<td>$ 125 + tax</td>
</tr>
<tr>
<td>(Submissions must be in a MS Word text format. Only simple Graphics used for corporate logos in a GIF or JPG format are accepted).</td>
<td></td>
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<tr>
<th>General / Business Advertisements</th>
<th></th>
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<tbody>
<tr>
<td>Newsletter and Website</td>
<td>$ 50 + tax</td>
</tr>
<tr>
<td>(Submissions must be in a MS Word text format. Only simple Graphics used for corporate logos in a GIF or JPG format are accepted).</td>
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<tr>
<td>(We reserve the right to refuse advertisements that the Chapter Board deem unsuitable for our members.)</td>
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To place an ad, please contact Tracy Swain at Employment@iia-vancouver.org

Marketing - Sponsorship Opportunities

The Chapter welcomes corporate sponsors interested in supporting our various professional education programs and luncheons. Support can either be financial or donations-in-kind.

Sponsorship opportunities are available for every budget, from an event to the entire Chapter.

**Event Sponsor**
- Lunch / breakfast - $300
- Half-day session - $500
- Full-day session - $800

**Chapter Sponsor** - $1,000

**Premium Sponsor** - $2,500

To find out more about how your organization can become a sponsor, please contact our Marketing Chair Alac Cosgrave at marketing@iia-vancouver.org.