On behalf of the Board of Directors:

We are pleased to present to you our October 2016 newsletter.

We trust everyone had an enjoyable summer and are well entrenched in everything that the fall season has to offer both in our professional and personal lives. In this newsletter, we highlight the success of a past president of the IIA Vancouver Chapter, an event with the Simon Fraser University’s Accounting Student Association, profile a report on corporate culture and audit, new guidance issued by IIA as well as upcoming chapter events and webinars.

Whether you are currently a member or curious to know more about the IA profession, we appreciate your interest in our chapter. We hope you enjoy the latest edition of our newsletter.

Do you have an article/topic for the newsletter that you feel might be of interest to our over 570 members? If yes, we’d love to hear from you. Please contact us at newsletter@iia-vancouver.org
Looking forward to another exciting year for the Vancouver Chapter. As our outgoing president Warren Newton noted during the AGM, we had a successful 2015-16 year which kicked off with the IIA International Conference being hosted in Vancouver. It was great to see our chapter members taking a very active role and participating both as volunteers and attendees with over 170 members attending the conference in addition to a number of other events and socials that were held throughout the year. We also retained our “Platinum” status which reflects the hard work and commitment of the 2015-16 Board in delivering the best possible services to our members.

With the international conference at the start of the year our programs team was challenged to re-think our program approach and managed to deliver a number of well received training sessions. I want to congratulate the entire programs team for their tireless efforts in coming up with new ideas and continuing to re-think event formats to provide members with a unique experience and learning opportunities. A big thanks to Yvette Tecson who stepped down from the Board this year for a well-deserved break after her tireless efforts as our programs chair over the last few years. With that said, I am looking forward to working with our new programs team as they look to build on the past success and continue to bring our members another great year of events. Watch out for event updates in social media, our website and as always our weekly email blasts. Your feedback is very important in helping us provide you with programs and topics that meet your needs, so I would encourage each of you to reach out to me or the programs team directly with your ideas and training needs.

Under the leadership of Wayne Skeet we had one of our most active years in advocacy which included a number of events that helped raise the profile of our Chapter. Wayne did a fantastic job in bringing the chapter’s advocacy efforts to light since taking over and he will be missed as he steps down from the Board. However, he leaves us in good hands with Amanda Terpenkas taking over as the advocacy chair with a focus on building on our efforts in this area.

As we start our new chapter year, I want to firstly thank all Board members who stepped down this year for all their efforts and contributions to the chapter. It was an absolute pleasure working with you over the years and I have learned a lot from each of you. I also want to take this opportunity to welcome and thank our 2016-17 Board members for volunteering their time and efforts to the success of the chapter in the coming year. We have been very fortunate as a chapter to have a very strong and committed volunteers and your new Board will look to continue this tradition.

I am privileged to be working with very enthusiastic and committed professionals with guidance and support from our governors Warren Newton and Elaine Dianko as I take on my new role as chapter president.

On behalf of your 2016-17 Board I wanted to thank the membership for allowing us the opportunity to serve, and I look forward to meeting all of you at various events throughout the year and would love to hear any ideas you may have to help make our service offerings even better.

If you have any questions or feedback, please feel free to contact me at President@iia-vancouver.org

Thank you for your continued support.
Sincerely,
Sohaib Muneer, CPA.CA, FCA (UK), CRMA, CISA, CRISC
IIA Vancouver Chapter President
Congratulations to Jeff Erdman – IIA Canada Board Chairman

On behalf of the 2016-2017 IIA Vancouver Chapter Board Members, we would like to extend our congratulations to a past president of the IIA Vancouver Chapter, Jeff Erdman, on his appointment as Chairman of the IIA Canada Chapter Board.
Over the summer, this year’s SFU Accounting Student Association (ASA) Banquet was held on June 28, 2016 at the Coast Coal Harbour Hotel in Vancouver. The event brought together sponsors, students, industry professionals, and faculty members for a memorable evening filled with engaging conversations and a delicious dinner. Students learned about professional designations and industry sectors they are interested in pursuing, and networked with accounting and audit professionals and various firms, including representatives from The IIA Vancouver Chapter.
IAF & PwC Report – Audit Committees Must Focus On Culture

In September 2016, a new paper released by the Internal Audit Foundation and PwC noted audit committees should aggressively assess corporate culture to detect and deter unethical behavior in their organizations.

The report, "Banking on Change: How to Respond to New Expectations for Audit Committees" examines the challenges that audit committees in the banking and capital markets (BCM) sector face in overseeing growing threats to their organizations, including the critical role culture plays in preventing unethical and fraudulent behavior.

The paper is part of a new collaboration on thought leadership by the Internal Audit Foundation, formerly known as the IIA Research Foundation, and PwC.

Read the news release
Download the report

Newly Released IPPF Guidance

The following guidance was recently released by The IIA:

Implementation Guides
NEW! Implementation Guide 2600: Communicating the Acceptance of Risks, July 2016
NEW! Implementation Guide 1110: Organizational Independence, August 2016
NEW! Implementation Guide 1111: Direct Interaction with the Board, August 2016
NEW! Implementation Guide 1130: Impairment to Independence and Objectivity, August 2016

Supplemental Guidance

Implementation Guidance and Supplemental Guidance are restricted to IIA members only.

Non-members may purchase Implementation Guidance and Supplemental Guidance from the IIA Bookstore.

Are you planning to write the CIA exam?
Visit www.LearnCIA.com for a free demo or to place your order.

US$605 (all inclusive)

IIA Vancouver Chapter Events Calendar
<table>
<thead>
<tr>
<th>Event</th>
<th>Type</th>
<th>Audience</th>
<th>CPE Credits</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>SFU Accounting Student Associations - expert panel</td>
<td>Evening Networking Event</td>
<td>Students</td>
<td>Not Eligible</td>
<td>October 27th, 2016</td>
</tr>
<tr>
<td>Transforming your Internal Audit function through Quality Assurance</td>
<td>Lunch and Learn</td>
<td>All members</td>
<td>Eligible</td>
<td>November 18th, 2016</td>
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<tr>
<td>Review - Options for QAR - Elaine Dianko</td>
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<td>CRMA Information Session</td>
<td>Information Session</td>
<td>All members</td>
<td>Not Eligible</td>
<td>November 14th, 2016</td>
</tr>
<tr>
<td>CAE Roundtable - BCPSIAL</td>
<td>One Day Training and</td>
<td>CAEs Public</td>
<td>Eligible</td>
<td>November 25, 2016</td>
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<tr>
<td>Networking Event</td>
<td></td>
<td>Sector</td>
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<tr>
<td>IIA/CPA Breakfast Topic: Collaborating with risk management and</td>
<td>Training and Networking</td>
<td>All members</td>
<td>Eligible</td>
<td>December 6, 2016</td>
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<tr>
<td>internal audit to strengthen governance and risk oversight</td>
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<td>Insights Driven Auditing - Transforming your Internal Audit Function</td>
<td>Half Day Training Event</td>
<td>All members</td>
<td>Eligible</td>
<td>December 9th, 2016</td>
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<td>through Data Analytics</td>
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<tr>
<td>Interviewing Skills for Internal Auditors</td>
<td>Half Day Training Event</td>
<td>All members</td>
<td>Eligible</td>
<td>January 13th, 2017</td>
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<tr>
<td>CIA Information Session</td>
<td>Information Session</td>
<td>All members</td>
<td>Not Eligible</td>
<td>January 23th, 2017</td>
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<tr>
<td>CAEs Day - Topics*: - How leading CAEs build quality and value from</td>
<td>One Day Training and</td>
<td>All members/CAEs</td>
<td>Eligible</td>
<td>February 6th, 2017</td>
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<tr>
<td>the top - Strong risk culture for informed decision making - Using</td>
<td>Networking Event</td>
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<td>soft skills (communication and people skills) to build relationships</td>
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<td>with various stakeholders and influence for success - Involving the</td>
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<td>Board in managing emerging risks - Critical risks during organizational</td>
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<td>change and how to address them *Topics are still being finalized and</td>
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<td>and might change</td>
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<tr>
<td>Audit, Fraud and Investigation - joint event with ACFE</td>
<td>Half Day Training and</td>
<td>All members</td>
<td>Eligible</td>
<td>March 10th, 2017</td>
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<tr>
<td>QUAL Information Session</td>
<td>Information Session</td>
<td>All members</td>
<td>Not Eligible</td>
<td>March 20th, 2016</td>
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<tr>
<td>Report Writing Skills for Internal Auditors</td>
<td>Half Day Training Session</td>
<td>All members</td>
<td>Eligible</td>
<td>April 7th, 2017</td>
</tr>
<tr>
<td>IIA/ISACA Joint Social Event</td>
<td>Evening Networking Event</td>
<td>All members</td>
<td>Not Eligible</td>
<td>April 20th, 2017</td>
</tr>
<tr>
<td>CAE Roundtable - BCPSIAL</td>
<td>One Day Training and</td>
<td>CAEs Public</td>
<td>Eligible</td>
<td>April TBD</td>
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<tr>
<td>Networking Event</td>
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<td>Sector</td>
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<tr>
<td>CAE Roundtable - Private sector/ public company CAEs</td>
<td>Half Day Networking Event</td>
<td>CAEs Private</td>
<td>Eligible</td>
<td>TBD April/May</td>
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<tr>
<td>IIA Canada 4-Day Seminar - topics to include*: - Navigating the new</td>
<td>Four Day Seminar</td>
<td>All members</td>
<td>Eligible</td>
<td>May 8-11, 2017</td>
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<td>IIA Professional Practices Framework - Balanced Score Card for</td>
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<td>Internal Auditors</td>
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<td>Performance Auditing - How to incorporate fraud risk and forensic</td>
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<td>data analytics in the Internal Audit Program - Managing evolving</td>
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<td>cyber security threats - Helping the PM team *Topics are still</td>
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<td>being finalized and might change</td>
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<tr>
<td>IIA Vancouver Chapter Annual General Meeting</td>
<td>Half Day Event</td>
<td>All members</td>
<td>Eligible</td>
<td>May 31, 2017</td>
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<tr>
<td>Tentative - Event in Interior</td>
<td>Training and Networking</td>
<td>All members</td>
<td>Eligible</td>
<td>Spring 2017</td>
</tr>
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Upcoming Webinars

IIA Canada – Free-to-members webinar series

The Future of Internal Audit
October 18, 2016, 1:30-2:30 p.m. ET

Auditing Through Organizational Change
October 25, 2016, 1:00-2:30 p.m. ET

Internal Audit of the 21st Century – IA Analytics
November 8, 2016, 1:00-2:30 p.m. ET

Ontario Securities Commission’s Whistleblower Program Launched
December 5, 2016, 1:00-2:30 p.m. ET

Developing Your Creative Auditing Side
January 10, 2017, 12:00-2:30 p.m. ET

Cyber Security – Are you questioning yourself?
February 14, 2017, 1:00-2:30 p.m. ET

Please visit the IIA website to register for these free-to-members webinar.

IIA Vancouver Roundtable Group of Financial Services CAEs

The Chapter has established a roundtable group of Financial Services CAE’s. In the spirit of “Progress Through Sharing” this group is structured as a roundtable meeting of Chief Audit Executives, held quarterly to share ideas and experience around internal auditing in the financial services sector.

The agenda and topics of each meeting are entirely decided by the group and as necessary others are invited to provide insight on specific topics of interest to the group.

We are inviting those in charge of the internal audit function at Banks, Credit Unions, Insurance Companies and Investment firms to join us.

If you are interested please contact Warren Newton at wnewton@central1.com
Certified Government Auditing Professional (CGAP)

Save in October!

For a limited time, Oct. 1‒31, 2016, The IIA is waiving the application fee (up to a US$200 value) for the Certified Government Auditing Professional® (CGAP®) designation.*

Save 15% On CGAP Resources at the Bookstore! Use promo code GAPMAIL16 through Oct. 31, 2016**

*This offer applies only to the CGAP application fee. This offer may not apply in countries where exams are administered through Institutes with certain agreements. Please contact your local Institute to verify if the offer is valid in your country. Excludes exam registrations.

**Offers cannot be combined.

The IIA COSO Resource Center

As one of the five sponsoring organizations for COSO, The IIA provides its members with exclusive access and discounts through its COSO Resource Center. The IIA COSO Resource Center provides the most comprehensive and up-to-date list of resources, tools, and training to support implementation of the COSO Internal Control-Integrated Framework.

The Framework is the most widely recognized guidance on what constitutes effective internal control, which is vital for the success of any organization. It is broad-based and can be applied by organizations, businesses, governmental agencies, and not-for-profit enterprises of all sizes.

IIA Canada - Quality Assessment

Get an early start on the road to your Quality Assessment and Improvement Plan (QAIP) — IIA–Canada partnering with IIA Quality Services, LLC provides the resources and direction to assist you in mapping the way.

IIA–Canada Quality Services is your route to resources in establishing a strong and effective QAIP as well as an avenue for accomplishing an independent external quality assessment (EQA) at least once every five years as required by the International Standards for the Professional Practice of Internal Auditing (Standards).

Please visit the IIA Canada website to learn more.

Qualification in Internal Audit Leadership (QIAL)

If you are aspiring to be a leader in your organization or looking to add credibility to your current leadership role with stakeholders and peers, the Qualification in Internal Audit Leadership™ (QIAL™) will help you build and enhance skills to further establish your credibility as a leader of the future.

To learn more, please click on this link: QIAL or email QIAL@theiia.org
Quick Links

For your convenience, here are some useful IIA links. For quick access to these sites, click on the links below:

**Vancouver Chapter**

[IIA Vancouver LinkedIn Page](#)

[IIA Vancouver Facebook Page](#)

**Vancouver Island Chapter**

**IIA Canada**

**CIA Certification**

**IIA Global**

**Member Exchange** (login required)

IIA Research Foundation Bookstore

With hundreds of titles to choose from, including books, training materials, certification preparation, interactive programs, and more, the IIA Bookstore (powered by the Internal Audit Foundation) is your one-stop shop for internal audit resources. All IIA Bookstore products have been reviewed by internal auditors to guarantee a perfect fit.
Building Awareness Champion

The IIA Vancouver Chapter has received the prestigious 2016 Building Awareness Champion award, in appreciation for our efforts to promote the profession during International Internal Audit Awareness Month, in May.

Chapter of Excellence

The IIA has recognized the Vancouver Chapter as a 2015 Chapter of Excellence.

This award is in recognition of the Chapter’s efforts to assist members in their path to certification by promoting The IIA's CIA Learning System Self-Study Materials and offering these materials to our members at a special discounted price.

For any questions relating to Certification or The IIA's CIA Learning System, please contact certification@iia-vancouver.org.

IIA Platinum Chapter Status

We thank you for helping the Vancouver Chapter in attaining the "Platinum" Chapter achievement designation for the 6th year in a row (since 2009).

We are always calculating our Chapter Achievement Program points and we need to know when our members participate in any of the following activities:

• Speaking on an internal audit topic at a meeting, seminar, or CPE class. (1 CAP point per 50 minutes)

• Submitting and/or publishing an article to a trade publication such as The Internal Auditor, CAE Bulletin, IIA Educator, and other specialty newsletters. (5 to 20 CAP points)

• Writing exam questions for the CIA, CCSA, CFSA, or CGAP exams. (10 CAP points)

• Participating on a Quality Assessment Review team (non-compensated). (10 CAP points per review)

• Advocacy efforts to actively and publicly communicating to clearly articulate and reinforce internal auditing's role, position, and value; so as to influence key stakeholders regarding the necessity of internal auditing for good governance and organizational success. (2-10 CAP points)

If you have participated in one or more of these activities, please e-mail the details to our Vice President, Pat Howard at Vice-President@iia-vancouver.org.
Posting Job Opportunities and Advertising

“The cost to post a job opportunity is $125 plus tax. Please submit the posting by email in word or pdf format to Employment@iia-vancouver.org, IIA Vancouver Chapter, along with your billing information (contact name, email address and phone number) and confirmation as to your acknowledgement of cost. Subsequently, you will be electronically invoiced.”

Advertising Fee Information

<table>
<thead>
<tr>
<th>Job Postings:</th>
<th>Rate</th>
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<tbody>
<tr>
<td>Email and Website for 2 months.</td>
<td>$125 + tax</td>
</tr>
<tr>
<td>(Submissions must be in a MS Word text format. Only simple Graphics used for corporate logos in a GIF or JPG format are accepted).</td>
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<tr>
<th>General / Business Advertisements</th>
<th>Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Newsletter and Website</td>
<td>$50 + tax</td>
</tr>
<tr>
<td>(Submissions must be in a MS Word text format. Only simple Graphics used for corporate logos in a GIF or JPG format are accepted).</td>
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</tr>
<tr>
<td>(We reserve the right to refuse advertisements that the Chapter Board deem unsuitable for our members.)</td>
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</tbody>
</table>

To place an ad, please contact Tracy Swain at Employment@iia-vancouver.org

Marketing - Sponsorship Opportunities

The Chapter welcomes corporate sponsors interested in supporting our various professional education programs and luncheons. Support can either be financial or donations-in-kind.

Sponsorship opportunities are available for every budget, from an event to the entire Chapter.

**Event Sponsor**
Lunch / breakfast - $300  
Half-day session - $500  
Full-day session - $800

**Chapter Sponsor** - $1,000

**Premium Sponsor** - $2,500

To find out more about how your organization can become a sponsor, please contact our Marketing Chair Alan Cosgrave at marketing@iia-vancouver.org.