PRESIDENTS MESSAGE

Welcome to another IIA year! I am happy to share that based on your responses from the surveys that we did earlier this year, the IIA Education team has been busy planning luncheons, seminars and an awesome Fraud conference for you! We just had our first luncheon and thank you for the great attendance! Please see the education section of this newsletter to see the topics, speakers and events we have planned for you.

The membership team has been active over the summer as well. We have noted that there are a handful of people who work in internal audit, however are not part of our membership. I would like to encourage each of you to reach out to your peers and tell them the value of having an IIA membership.

Our advocacy team also has been planning ways in which we can engage newer members to our profession and let other organizations/associations know what we do. There are many efforts that go behind having a great chapter such as ours and I would like to thank each of our board members who go over and beyond! The chapter is always looking for volunteers and speakers for events. Please reach out and let me know if you would like to help out in any way!

This year I would like to ask one thing of each of our members, if you haven’t come out or do not come out to IIA events regularly please try to make the time to do so. I want to assure you that you will not only learn many things from the events but will get to know your fellow peers better! Sharing of information at these events is great!

Have a great year!

Jaswinder Roopra
President, IIA Winnipeg Chapter
president@iiawinnipeg.ca
The Risk Register – A New Look for the Winnipeg IIA Newsletter

As you’ve no doubt noticed the Winnipeg Chapter IIA Newsletter has a new look and a new name. In the middle of 2019, members of the Membership area of the Winnipeg IIA Board, in coordination with the President, decided to make some changes to ‘freshen up’ the look of our Winnipeg Newsletter.

We started with a new name ‘The Risk Register’ and also by changing the overall colors which we have used for many years. But those aren’t the only changes. We’ve done a considerable re-vamp of the educational and CPE areas of the Newsletter and also added a new area – which premiered in the Spring in the last issue still called Inside Look. This is the ‘Knowledge Library’ where we will bring together a group of links, usually around six – to timely articles on a topic related to our various Auditing areas of influence. This might be Change Management, Project Management and auditing, ideas on communications or ethics, or even Information Technology related audits.

Feedback and commentary on the new layout and areas is very welcome. We hope you will like the new approach, but we know that there is always room for improvement, so if you’ve any thoughts or ideas, please drop us a line!

*Michael E. Major*
*Director of Membership – Winnipeg IIA Chapter*

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**EDUCATION UPDATE & UPCOMING EVENTS**

**Upcoming IIA Winnipeg Events**

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Type</th>
<th>Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Tuesday October 22</strong></td>
<td>Luncheon</td>
<td>Cybersecurity</td>
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<tr>
<td><strong>Thursday January 23</strong></td>
<td>Seminar</td>
<td>Audit Report Writing</td>
</tr>
<tr>
<td><strong>Wednesday March 11th</strong></td>
<td>Full Day Event</td>
<td>2020 Annual Fraud Summit</td>
</tr>
<tr>
<td><strong>Tuesday April 14</strong></td>
<td>Luncheon</td>
<td>Red Book Risk Assessment in the Public Sector</td>
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Chapter Programming

**Programming in a Box:** The IIA has a variety of presentations available for chapter use, including a new ethics presentation and “About The IIA”. Follow the link for more information (Requires IIA Membership Login).

**MEMBER NEWS**

**IIA Appoints New Executive Director of IIA-Canada**

OTTAWA, Ontario (Sept. 9, 2019) The IIA announces the appointment of Paul Forgues as Executive Director of IIA-Canada. Forgues comes to IIA-Canada following a 12-year career with The Conference Board of Canada, where he was a member of its senior leadership team and most recently Director of Governance, Ethics, Sustainability, Compliance and Risk. He brings to IIA-Canada strong leadership skills and extensive professional expertise in the areas of integrated strategic program development and implementation, business development, corporate branding, reputation management, and stakeholder relations.

You can read more [here](#).

**IG Wealth Management has a new CAE**

In June of 2019, Aileen Madden – formerly the CAE of Air Canada assumed the role of Chief Audit Executive for IG Wealth Management. Aileen is a Chartered Professional Accountant (CPA, CA), a Certified Internal Auditor (CIA) and has her Certification in Risk Management Assurance (CRMA). Prior to joining Air Canada in 2009, Aileen’s career spanned 17 years with Deloitte in three different service lines (audit and assurance, consulting and enterprise risk services) and two different countries (Canada and England).

**KNOWLEDGE LIBRARY**

This issue’s Knowledge Library focuses on Change Management Resources. The following six linked articles are some of the top selected current Change Management practice articles which relate to the Internal Audit field. These tips were assembled and rated by Winnipeg IIA Member Dan Swanson of Dan Swanson and Associates.

1. The Standard for Change Management®
   [The Standard - The Association of Change Management Professionals](#)

2. The consequences of change saturation
   [Change Saturation](#)

3. Why Project Management is Important
**Why Project Management is Important**

4. Why Change Is So Difficult

**Why Change Is So Difficult**

5. The ADKAR Model

6. Introverts are Natural Disruptors—Here’s Why

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**CERTIFICATION NEWS**

The IIA Winnipeg Chapter would like to congratulate the following members who successfully completed an IIA certification:

<table>
<thead>
<tr>
<th>Name</th>
<th>Certification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alvin Bolok</td>
<td>Certified Internal Auditor</td>
</tr>
<tr>
<td>Kim Ly</td>
<td>Certified Internal Auditor</td>
</tr>
<tr>
<td>Fehintoluwa Oladele</td>
<td>Certified Internal Auditor</td>
</tr>
<tr>
<td>Ye Tu</td>
<td>Certified Internal Auditor</td>
</tr>
</tbody>
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**Reporting CPE Credits**

Every year, individuals who hold active-status certifications or qualifications earned from The IIA must report CPE/CPD credits earned by Dec. 31 of that year. If you haven’t reported your 2018 hours, please do so as soon as possible. Certification Candidate Management System (CCMS). You will not be asked to provide an itemized list of your CPE hours and you do not need to submit supporting documentation of hours completed. However, you should maintain a copy of the CPE reporting form, along with all supporting documentation for at least three years in the event you are selected for a CPE audit.

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**Internal Audit Magazine Quizzes to Earn CPE Credits**

The Internal Auditor Magazine each month features quizzes to gain CPE credits, often including the annual required Ethics CPE requirements. For more details go [here](#).
Changes to the CIA Certification

CIA Changes were Effective as of September 1, 2019:

- The exam waiting period (formerly 90 days) will now be 60 days. This applies to all IIA programs.

- The Certified Internal Auditor® (CIA®) program window (formerly 4 years) will now be 3 years.

- The Internal Audit Practitioner program will no longer require work experience (six months of experience was required previously).

Newly Released Guidance: The IIA has released two new practice guides available members

1. Demonstrating the Core Principles for the Professional Practice of Internal Auditing
2. Foundations of Internal Auditing in Financial Services Firms.

To learn more about IIA certification write to us at certifications@iiawinnipeg.ca

More information regarding study and exam preparation for your CIA designation can be found [at the IIA's website](https://www.iia.org).

IIA CIA Learning System

Did you know that you can purchase the IIA CIA Learning System through the Winnipeg Chapter at a discount from the member pricing in the IIA Bookstore? You have the option to purchase each exam part individually or the full kit for all three exams.

GLEIM - Chapter Discount Program

The Winnipeg Chapter has again partnered with Gleim CIA Review to ensure your success on the CIA exam!

Gleim now offers a truly adaptive CIA Review! The 2019 Gleim CIA Review with SmartAdapt™ targets weaknesses and eliminates guesswork, streamlining and optimizing your study time so you don’t waste time while preparing for your exam. This system includes our Access Until You Pass® guarantee, 45+ hours of video lectures, and the best bank of multiple-choice questions available. We’ve trained more CIAs than any other review course and have the experience to guide you to exam success!

As a member of the chapter, you are eligible for up to a 20% discount on Gleim CIA Review Systems. To take advantage of the reduced prices and to place your order, log into the Members Only section of the Chapter website.
Please contact our Gleim representative, Ryan Bergh with any questions regarding the Gleim materials or discounts available. Call him at 800.874.5346 Ext. 438 or via email IIAChapters@gleim.com

For more information on either the CIA Learning System or Gleim study material, contact Kim Ly at certifications@iiawinnipeg.ca

COMMUNICATIONS PAGE

Volunteer Opportunities

Interested in helping your IIA Winnipeg Chapter? Be a volunteer! Our chapter is always on the look-out for volunteers for our events such as luncheons, seminars, conference and other activities. Please send an email to Jas Roopra at president@iiawinnipeg.ca to have your name added to our volunteer database.

IIA Chapter Email Addresses

<table>
<thead>
<tr>
<th>Email Address</th>
<th>Purpose</th>
</tr>
</thead>
<tbody>
<tr>
<td><a href="mailto:memberservice@iiawinnipeg.ca">memberservice@iiawinnipeg.ca</a></td>
<td>Website queries, job postings, certifications, newsletters, general membership inquiries</td>
</tr>
<tr>
<td><a href="mailto:education@iiawinnipeg.ca">education@iiawinnipeg.ca</a></td>
<td>Member training queries including luncheons, seminars, and the Fraud Summit</td>
</tr>
<tr>
<td><a href="mailto:treasurer@iiawinnipeg.ca">treasurer@iiawinnipeg.ca</a></td>
<td>Payments for member events or PayPal queries</td>
</tr>
<tr>
<td><a href="mailto:president@iiawinnipeg.ca">president@iiawinnipeg.ca</a></td>
<td>Any queries about the chapter or volunteers</td>
</tr>
</tbody>
</table>