PRESIDENT’S MESSAGE

Dear Members,

I hope that you are keeping healthy and safe! The past few months have been challenging as all of us are getting used to a new way of life, as well as a new way to work. There have been constant changes that we have had to adapt to. As auditors, this also has meant that we have had to change the way in which we work. For some of us this has meant changing our audit plan, changing roles to be advisors and perhaps changing the way we perform audits. I hope that you have been able to leverage the resources that have been put out by the IIA. I also hope that many of you were able to attend the IIA Canada National Conference that was virtual this year.

For the upcoming year until December, we have planned to offer all our members webinars that will be done virtually and will be free. We hope that you will take advantage of these and we are offering CPE. We have topics lined up that are relevant and will be of great value. Currently, we are not planning to have our joint event with ISACA in December but are assessing if this is something that we may be able of have next year. For any inquiries or suggestions for our Winnipeg Chapter, please reach out and we will try our best to help you out.

I would also like to ask you all for a favour. For many people, life can be very challenging as they may not have friends or family around to support them. I hope that you can take the time to support them. Stay safe and I hope to see you at our virtual events!

Jaswinder Roopra
President, IIA Winnipeg Chapter

president@iiawinnipeg.ca
FEATURED ARTICLE

**Data Privacy (excerpt from IIA Bulletin, “International Data Privacy Day”)**

Internal audit leaders must be aware of and understand privacy-related regulations applicable to their organization, as well as their organizations’ posture on data privacy. The growing list of regulations from jurisdictions around the world is making data privacy increasingly complex and dynamic. These regulations cover a wide range of issues including specific requirements related to data collection, management, storage, and usage.

Since 2001, the *Personal Information Protection and Electronic Documents Act* (PIPEDA) sets out the ground rules for how organizations engaged in commercial activities must handle personal information in Canada. However, change is on the horizon. Nearly 20 years later, Innovation, Science and Economic Development Canada is undertaking a review of PIPEDA as part of its digital and data transformation vision. Similarly, implementation of the European Union’s Global Data Protection Regulations (GDPR) since its start date in May 2018 has played out somewhat differently than originally anticipated, leaving some organizations with more questions than answers.

Internal audit leaders should stay current on this volatile risk area (see resources on page 2) and incorporate audits focusing on data governance, data ethics, data management, and data privacy practices. Compiled below is a list of general questions designed to assist organizations who have yet to conduct an assessment on data privacy.
FEATURED ARTICLE (cont’d)

General questions to assess your organization’s data privacy

The following are some general questions your internal audit department should ask to determine if your organization is properly addressing data privacy:

❖ How was data privacy identified in the most recent risk assessment?
❖ Who is designated as the organization’s Data Protection Officer, or person responsible for data privacy and compliance?
❖ Who owns the organization’s customer data privacy policy (policies)?
❖ Where does management maintain an inventory of the regulations its organization is subject to, and how is it kept up to date?
❖ Where does management maintain an inventory of all third parties who accept, process, or house data on the organization’s behalf, and how is it kept up to date?
❖ Which programs and applications house sensitive data? Are there sufficient IT general controls, application controls, and network controls over them? Are these controls operating effectively?
❖ What other relevant controls (preventive and detective) are in place regarding data privacy? Are they operating effectively?

Who is accountable for ensuring there is a documented process detailing what to do in the event of a data breach, and where does this documentation reside?
## EDUCATION UPDATE & UPCOMING EVENTS

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Type</th>
<th>Topic</th>
</tr>
</thead>
</table>
| October 8, 2020    | Virtual Presentation: Free to Members | Cloud Risk Landscape – An Auditor’s view. [Click here to register](#). Arani Adhikari and Vishal Patel of KPMG will lead attendees through a discussion of:  
- Regulatory landscape for cloud environments  
- Notable cloud security incidents  
- Guiding principles for cloud security  
- Key threats and mitigations  
- Key controls to secure the cloud |
| October 13-15, 2020 | Virtual Symposium: Data & Internal Audit Practical Application (Pricing shown in the registration link) | As the technology landscape changes like the seasons, internal audit must understand the current trends in evolving information governance, data privacy and protection, regulatory compliance, and analytics to stay ahead with the latest best practices and practical application. [Click here to register](#). |
| November 2-4, 2020 | IIA International Conference Virtual Event (Pricing shown in the registration link) | The IIA’s 2020 virtual International Conference is the premier event for internal auditors from around the globe. This event allows internal auditors from all industries and career levels to gain new insights, network with peers, and hear industry leaders as they address current challenges and emerging issues. Ready to register? To learn more, [click here](#). |
KNOWLEDGE LIBRARY

Technology has become more integrated with our lives than ever before. The pandemic rages on. So we gathered some information you may find useful in light of the ongoing, uncertain times. Stay in the know!

1. Beware the Coronavirus Scams
2. Update: Recovery Through Digitization
3. Beazley Breach Insight: Middle-market cyber attacks rise during the pandemic
   Global breach data shows rise in social engineering scams
4. Return-to-workplace Risks: Is Internal Audit Stepping Up?
5. Internal Audit, COVID-19 Risks, and the Year Ahead
6. Six Recommendations for Audit Committees Operating in the "New Normal"
7. IIA Insights & Advice: Ensuring Quality and Ethics During a Crisis (Video)

UPDATES TO SUPPLEMENTAL GUIDANCE

The International Professional Practices Framework (IPPF)® is the conceptual framework that organizes authoritative guidance promulgated by The Institute of Internal Auditors. A trustworthy, global, guidance-setting body, The IIA provides internal audit professionals worldwide with authoritative guidance organized in the IPPF as mandatory guidance and recommended guidance.

Supplemental Guidance provides detailed guidance for conducting internal audit activities. These include topical areas, sector-specific issues, as well as processes and procedures, tools and techniques, programs, step-by-step approaches, and examples of deliverables. New supplemental guidance is as follows:

- Developing a Risk-based Internal Audit Plan
- IT Change Management: Critical for Organizational Success, 3rd Edition
- IT Essentials for Internal Auditors
- Auditing Conduct Risk

Supplemental Guidance is restricted to IIA members only.
Today’s chief audit executives (CAEs) must lead a comprehensive internal audit activity, and the Audit Executive Center can ensure their needs are met by enabling insightful, proactive, and future-focused outcomes.

Audit Intelligence Suite | Benchmarking Report | Skills Assessment | Stakeholder Survey | Employee Compensation Report | Research Request

As an exclusive product developed by The IIA, the Audit Intelligence Suite was designed with internal auditors in mind. The suite of services features stakeholder surveys, individual and team skills assessments, and benchmarking capabilities — offered a la carte or bundled.

When a team of internal audit business intelligence experts set out to create the Audit Intelligence Suite, Powered by the AEC, they wanted to provide a premium solution that is:

1. Smart – provide valuable information.
2. Sensible – ensure information is actionable.
3. Simple – package the suite of products in one place.

Benchmark your audit activity, assess your team, and survey your key stakeholders. Once you know the results, you will be in a better position to improve your audit activity.

The 2019 Benchmarking Questionnaire is now open! Learn more.
CONGRATULATIONS!

MEMBERSHIP MILESTONES

The IIA Winnipeg Chapter would like to congratulate the following members who have been with the Chapter as members for 20+ years.

Douglas Cobb  Sandra Wuttunee  Christal DeBaets
Frederick Arnold Beckwith  Patricia McAdam  Patrick R. Mulaire
Brian G. Brown  Lorna Angela Smith  Brian Richard Whiteside
Dianne Ramm  Wayne Friesen  Angela Iacobciuc
Dina Long  Sandra Cohen  Wendy Crandall
 Bernie Lyndon Robert Lodge  Robin J. Myers-Keller  Heather Kolisnyk
Kevin E. Milne  Dan M. Swanson  Signy Shaw
Barbara R. Lillie  David Hammerback  David E. Sachvie
Rob Swain  Richard Derksen  Laureen DeVlieger

We thank you for your years of membership. Cheers to many more!

CERTIFICATION NEWS

The IIA Winnipeg Chapter would like to congratulate the following members who successfully completed an IIA certification:

Certified Internal Auditor  Certification in Risk Management Assurance
Shaun McKee  Corey Charman
CPE NEWS

Reporting CPE Credits

Every year, individuals who hold active-status certifications or qualifications earned from The IIA must report CPE/CPD credits earned by Dec. 31 of each year.

NEW! Annual CPE reporting is now open all year. Log in to the Certification Candidate Management System (CCMS) to begin CPE reporting.

If you haven’t reported your hours, please do so as soon as possible in the Certification Candidate Management System (CCMS). You will not be asked to provide an itemized list of your CPE hours and you do not need to submit supporting documentation of hours completed. However, you should maintain a copy of the CPE reporting form, along with all supporting documentation for at least three years in the event you are selected for a CPE audit.

Get more information on CPE reporting steps for current and expired certificate holders.

Internal Audit Magazine Quizzes to Earn CPE Credits

The Internal Auditor Magazine each month features quizzes to gain CPE credits, often including the annual required Ethics CPE requirements. For more details, please click here.

Need more CPEs? Don’t worry. We have you covered.

It’s more important than ever to gain critical skills to overcome today’s challenges and prepare for tomorrow’s opportunities. Check out the IIA North America’s wide array of courses and seminars.

IIA Canada also offers a wide variety of virtual professional development opportunities. Click here to find out more!
These are challenging times, but your professional development does not need to be put on hold. We are adding to our portfolio of courses to provide many virtual training options. Browse our various online offerings through our eSeminar Library.

**Build Your Own Bundle:**
You can choose courses and assign them to your team. Training options include:

**Online Seminars:** These are real-time, facilitator-led courses offered online in short sessions over multiple days.

**New Additions:**

- **The Leadership Series I-III,** – Opt to take one or all three at our Introductory Pricing. Space is limited!
  - Leadership Series I: Accelerate Change
  - Leadership Series II: Peak Performance
  - Leadership Series III: Achieving Optimal Culture

- **Confronting Fraud in the Construction Industry**
  - Learn how to identify areas of vulnerability and mitigate the risk with our latest 4 CPE hour offering.

- **Virtual Symposium:** The IIA’s new series of virtual symposiums is designed to help internal auditors stay ahead of critical issues and be more responsive in their work.  
  - **Data & Internal Audit: Practical Applications:** October 13 – 15, 2020

- **Self-Study Online:** Learn online anywhere, anytime, and at your own pace. These convenient, 1-3 hour long courses are a cost-effective and comprehensive look into internal audit training.
New Additions: Ethics Bundles for specific Industries
- Ethical Scenarios for Financial Services
- Ethical Scenarios for Internal Auditors
- Ethical Scenarios for Technology
- Ethical Scenarios for the Public Sector

Private Online Seminars. Social distancing doesn’t mean you have to give up one-on-one training. We will send our training to you via a virtual delivery platform. Contact the training team for your complimentary consultation. Group discounts are available. Try one of our most requested courses from this summer: Tools for New Auditors or Agile Auditing.

For more information about any of these training options, contact IIA Sales at 407-937-1388 or GetTraining@theiia.org.
CERTIFICATION CORNER

Don’t Miss Out on Being Included in the IIA Certification Registry

And remember, all individuals who have earned an IIA certification and maintain it by reporting CPE are eligible to opt in to The IIA Certification Registry. This up-to-date record provides an opportunity for employers and recruiters to verify your certification.

CIA Certification – the IIA’s Flagship Professional Certification

To learn more about IIA certification write to us at certifications@iiawinnipeg.ca

More information regarding study and exam preparation for your CIA designation can be found at the IIA’s website.

CRMA Program Update to Previous Newsletter: Change is coming! The new program requirements, format, window, and pricing changes will officially take effect as of mid-2021.

The Certification in Risk Management Assurance® (CRMA®) focuses on the key elements to unlocking internal audit’s full potential, and validates one’s ability to provide advice and assurance on risk management to audit committees and executive management.

Earning the CRMA helps address the impact of risk and demonstrates you have the ability to:

- Provide assurance on core business processes in risk management and governance.
- Educate management and the audit committee on risk and risk management concepts.
- Offer quality assurance and control self-assessment.
- Focus on strategic organizational risks.
- Add value for your organization as a trusted advisor.
- Increase earning potential by up to 51 percent.*

Click here to learn more.
IIA CIA Learning System

The IIA announced that an all-new IIA CIA Learning System Version 7.0 is coming in November. The new version has been updated to focus on passing the CIA exam parts with speed. It will be tailored to the candidate’s knowledge gaps, learning style, and schedule. We will be hosting a webinar for chapter leaders on October 22nd to share more about the upcoming changes.

Spook-tacular deal: Pre-order by October 31 and save $100! Be one of the first to access this all-new CIA study system. More information can be found at: https://www.learncia.com/v7-prerelease/.

GLEIM - Chapter Discount Program

The Winnipeg Chapter has again partnered with Gleim CIA Review to ensure your success on the CIA exam!

Gleim now offers a truly adaptive CIA Review! The 2019 Gleim CIA Review with SmartAdapt™ targets weaknesses and eliminates guesswork, streamlining and optimizing your study time so you don’t waste time while preparing for your exam. This system includes our Access Until You Pass® guarantee, 45+ hours of video lectures, and the best bank of multiple-choice questions available. We’ve trained more CIAs than any other review course and have the experience to guide you to exam success!

As a member of the chapter, you are eligible for up to a 20% discount on Gleim CIA Review Systems. To take advantage of the reduced prices and to place your order, log into the Members Only section of the Chapter website.

Please contact our Gleim representative, Ryan Bergh with any questions regarding the Gleim materials or discounts available. Call him at 800.874.5346 Ext. 438 or via email IIAChapters@gleim.com

For more information on either the CIA Learning System or Gleim study material, contact our Certifications Director at certifications@iawinnipeg.ca
COMMUNICATIONS PAGE

Volunteer Opportunities

Interested in helping your IIA Winnipeg Chapter? Be a volunteer! Our chapter is always on the look-out for volunteers for our events such as luncheons, seminars, conference and other activities. Please send an email to Jas Roopra at president@iiawinnipeg.ca to have your name added to our volunteer database.

---

<table>
<thead>
<tr>
<th>Name</th>
<th>Position</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jas Roopra</td>
<td>President</td>
</tr>
<tr>
<td>Janet Hnytka CIA, CRMA</td>
<td>Past-President</td>
</tr>
<tr>
<td>Kim Ly CIA</td>
<td>Treasurer</td>
</tr>
<tr>
<td>Kym Shwaluke</td>
<td>Vice-President Education</td>
</tr>
<tr>
<td>Monica Maslanka</td>
<td>Vice-President Advocacy</td>
</tr>
<tr>
<td>Corey Charman CIA, CRMA, CGAP</td>
<td>Vice-President Member Services</td>
</tr>
<tr>
<td>Michael Major</td>
<td>Board Secretary</td>
</tr>
<tr>
<td>Vivek Sharma</td>
<td>Director of Certifications</td>
</tr>
<tr>
<td>Joshua Villena</td>
<td>Director of Membership</td>
</tr>
<tr>
<td>Saurabh Kumar</td>
<td>Director of Communications</td>
</tr>
<tr>
<td>Joan Presto</td>
<td>Director of Seminar Programs</td>
</tr>
<tr>
<td>Nicole Graham-Tetrault</td>
<td>Director of Marketing and Advocacy</td>
</tr>
<tr>
<td>Bunthan Prak</td>
<td>CAP Coordinator</td>
</tr>
<tr>
<td>Keith Kaderle CIA, CRMA</td>
<td>Director of Luncheon Programs</td>
</tr>
<tr>
<td>Mostapha Osman CIA, CCSA, CFSA</td>
<td>Member-at-Large</td>
</tr>
<tr>
<td>Ganesh Sharma CIA</td>
<td>Member-at-Large</td>
</tr>
<tr>
<td>Rita Kimery CIA, CRMA</td>
<td>Registrar</td>
</tr>
<tr>
<td>Catarina Sousa-Machado</td>
<td>Director of Academic Relations</td>
</tr>
<tr>
<td>Marcus Kirouac</td>
<td>Director of Business Relations</td>
</tr>
<tr>
<td>Nkemdiilim Oguchi</td>
<td>Member-at-Large</td>
</tr>
</tbody>
</table>
## IIA Chapter Email Addresses

For other inquiries, please reach out to your friendly Board executives through the emails listed below and on our social media account. Click on the LinkedIn icon below. We look forward to hearing from you!

<table>
<thead>
<tr>
<th>Email Address</th>
<th>Purpose</th>
</tr>
</thead>
<tbody>
<tr>
<td><a href="mailto:memberservice@iiawinnipeg.ca">memberservice@iiawinnipeg.ca</a></td>
<td>Website queries, job postings, certifications, newsletters, general membership inquiries</td>
</tr>
<tr>
<td><a href="mailto:education@iiawinnipeg.ca">education@iiawinnipeg.ca</a></td>
<td>Member training queries including luncheons, seminars, and the Fraud Summit</td>
</tr>
<tr>
<td><a href="mailto:treasurer@iiawinnipeg.ca">treasurer@iiawinnipeg.ca</a></td>
<td>Payments for member events or PayPal queries</td>
</tr>
<tr>
<td><a href="mailto:president@iiawinnipeg.ca">president@iiawinnipeg.ca</a></td>
<td>Any queries about the chapter or volunteers</td>
</tr>
</tbody>
</table>