Dear IIA Members,

I hope that you are keeping well and safe during this time!

It was great to see so many of you come out to our Fraud Summit that was held in early March. We unfortunately had to cancel our April luncheon. We will be having our Annual General Meeting on May 26 that will be followed by a presentation on ‘The Role of Internal Audit in Business Continuity Management.’ If you have not already registered for this event, please do so as our Presenters will talk about navigating through these tough times. You will receive professional development credit for this event.

As I reflect back as this past year, I want to say how proud I am to have had the pleasure of working with so many great people on our Board as well as our volunteers. I want to thank them for the time and dedication that they have provided to making this past year so successful. We have a few members leaving the Board this year, I’d like to say a special thank you to Larissa Klimchak, Ann Kim, Arlene Nebrida, Justin Chatrand, Cesar Gudino and Omo Fabarebo. Thank you for everything you have done! You will be missed!

We are always looking for your input for Luncheon topics or seminars you would like us to put on. The Education committee starts to plan for these in early summer so if you have anything in particular you would like the Chapter to have for the upcoming year, please reach out and let me know. As well, we are always looking for volunteers, please let me know if you are interested.

Stay safe! I hope you have a great summer!

Jaswinder Roopra
President, IIA Winnipeg Chapter
president@iiawinnipeg.ca
UPCOMING EVENTS

Upcoming IIA Winnipeg Events

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Type</th>
<th>Topic</th>
</tr>
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<tbody>
<tr>
<td>Tuesday May 26th</td>
<td>Virtual Session</td>
<td>Winnipeg Chapter Annual General Meeting</td>
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<td></td>
<td>Free to Members</td>
<td>Registration is now open</td>
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Join us and your fellow chapter members for the IIA Winnipeg Chapter 2020 Annual General Meeting to be followed by presentation on:

The Role of Internal Audit in Business Continuity Management

Business disruption is becoming increasingly common. From the current COVID-19 situation to the ever-increasing threat of climate change, the prevalence of cyber-attacks, and the growing complexity of supply chains, operational processes are facing increased risk of failure. And the cost of those failures is increasing exponentially. Well managed business continuity programs are a pervasive mitigating control for operational risk. No matter how robust an operational control environment is put in place, every organization needs to know what it will do if those controls fail.

The objectives of this session will be to discuss what Internal Auditors should look at when performing a value-added audit of a business continuity program, and to discuss some possible roles that an Internal Audit team can perform in a program outside of the more traditional periodic review.

This session will cover:

- A brief overview of a typical business continuity framework;
- How best to audit the main components of the framework, including some common pitfalls to avoid;
- A spotlight on what to consider from an audit standpoint as we respond to and recover from the impacts of COVID-19; and
- Potential roles that IA can play in enhancing and sustaining a pragmatic program.

SPEAKERS

Ed Matley, Partner, PwC and Sarah Vakil, Senior Manager, PwC

Chapter Programming

Of course, due to the Covid-19 Pandemic, most Chapter Programming is on hold or cancelled. We’ll keep everyone updated through the Website and Newsletter as things start to re-open, but the recently announced phase one re-opening of Manitoba starting May 4th will not
change much from a Chapter Programming perspective. In the interim though we do have the ‘Programming in a Box’ option below!

**Programming in a Box:** The IIA has a variety of presentations available for member use, including a new ethics presentation and “About The IIA”. Follow the link for more information (Requires IIA Membership Login).

**Canada's National IIA Summit is still being held**

Click here for more information!

**6 Ways Auditors can Cope with the Covid-19 Crisis**
*from the Canadian Audit & Accountability Website*

Good practice information to be considered by audit professionals when working from home under the current circumstances:

1. Keep the health of auditors top of mind
2. Be flexible with Auditees
3. Know that technology is the auditor’s ally – with some caveats
4. Expect delays
5. Mitigate the risk to audit quality
6. Seize the opportunity to improve your understanding of the audited entities

Each of these are addressed in detail in the CA&A’s [website article](#). It’s very well-reasoned and thought out advice.
Good Practices for Working from Home
Catarina Machado

Good practice information to be considered by audit professionals when working from home under the current circumstances:

1. Ensure proprietary information is not disclosed to unauthorized parties;
2. Prepare yourself for virtual meetings and/or telephone calls to ensure that the time scheduled for the relevant meeting or call is used efficiently and effectively;
3. Maintain professional etiquette in your emails and during virtual meetings and/or telephone calls;
4. Communicate deadlines to individuals when requesting information. Consider whether you are providing enough time for the individual to gather the information you are requesting;
5. Set reminders in your calendar to follow up on outstanding items as required;
6. Regularly update your Management on the status of the projects you are assigned on and information outstanding;
7. Track the time you are working to nearest 30 minutes or hour, to ensure that you are working the appropriate number of hours. If an unexpected personal matter arises, ensure that this time is not included in the number of hours worked;
8. Plan your days in advance as much as possible;
9. Set some time aside daily to review the status of your projects and/or action plans; and
10. If you are working on multiple projects, ensure that you are tracking the progress of each project and prioritizing the tasks required to be completed consistent with project deadlines.
Audit in a Dangerous Time…

Michael E. Major

The global pandemic triggered by the onset of the Novel Coronavirus is clearly the most relevant truly ‘global’ crisis that has transpired during this generation. Other significant and sometimes terrible events have come and gone, but in the last half century this is the first event that can truly have been said to be global in the way that the World Wars or Spanish Flu of 1918 were. It’s a significant event, and we will be remembered by how our generation responded to it, both the good and the ill.

Like so many others, we in the Internal Audit community have been impacted in many different ways depending on our circumstances. Some are continuing their normal efforts, restricted by either work from home regimes or social distancing efforts in their workspaces. Others have been laid off and may be concerned about their futures. Still others who are in between engagements find their ability to seek new endeavors reduced to virtually nothing. Each of us, and our families and colleagues, have to deal with these different situations in our own way and chart our courses as best we can for all concerned. This is true for everyone, virtually universally, all around the globe.

So – what makes us different? As Internal Auditors, regardless of our situation, what have we to offer that sets us apart? How can we use those characteristics to improve not just our own personal situations, but also that of those around us, not just our families and fellow workers, but also our communities and the world?

The answer, which I’m sure some of you have already guessed, is our unique skillsets and expertise. Internal Auditors are, by our very designations and career paths, particularly well-suited to contribute to the global situation in many ways. We are managers of risk and assessment! That is the very core of what we do as Auditors. What is the management of a pandemic if not the evaluation and mitigation of Risk? Social distancing? Risk management. Hand washing? The logistics of vaccine development and delivery? Proper supply of PPE for our medical staff? All of it – Risk Management.

I’m sure that many of you, sometimes probably without even realizing it, are already applying these talents to your organizations, your families, your friends and those you come into contact with. It’s who we are as Risk Managers.

My challenge to you, as fellow Internal Auditors, is to look for additional ways to apply these skills outside of your own circles. We can bring our evaluation and evidence assessment skills into the forefront, engaging with organizational or governmental decision makers to ensure that they properly manage risk and do not make rash decisions, putting people’s safety and lives in jeopardy. We can speak out against false news, educate people on actual facts through social media, speak earnestly to those within your own circles – your family, your colleagues, your friends and neighbors and others you encounter in the community. In this time we, of all people have the skills and ability to really make a difference. To save lives. To keep others healthy and safe. I encourage you all to take part!
In other related news…

Due to COVID-19, Pearson VUE testing centres have been temporarily closed until further notice. Candidates who have a scheduled exam will receive an email cancellation notice. A refund will be processed if paid to Pearson VUE or an extension may be granted as determined by your exam sponsor. Refer to the Pearson VUE website for further details.

To support the continued professional development of certification candidates around the world and in response to mass Pearson VUE test center closures, The IIA has adopted online proctoring to enable candidates to take the Certified Internal Auditor® (CIA®), Certification in Risk Management Assurance® (CRMA®), and CIA Challenge exams from home. The IIA is partnering with Pearson VUE to implement this interim solution for a period of 3 months starting April 29, 2020. Please visit the IIA website for more information on this exam alternative.

KNOWLEDGE LIBRARY

This issue’s Knowledge Library focuses on Covid-19 information. These tips were taken from the Canadian Audit and Accountability website and other sources.


ISACA’s Covid-19 resource page: https://www.isaca.org/go/covid19


https://www.forbes.com/sites/josephliu/2020/03/17/virtual-meeting-tips/#4fefe17b7208
Letter from Richard Chambers – President and CEO of the IIA

During these challenging times, it may feel as if the world has been put on hold. But your professional development doesn’t have to be. As we adapt and move forward in this rapidly changing environment, our commitment to our members remains steadfast, as IIA staff works to provide you with up-to-date information, guidance, and training related not only to the coronavirus, but to other important issues and topics as well.

New this week:

- For members prepared to take IIA certification exams, we have been working with Pearson VUE to develop a convenient, safe solution in response to test center closures. At the end of April or early in May, online testing will be available for all three parts of the Certified Internal Auditor® (CIA®) exam and the Certification in Risk Management Assurance® (CRMA®) exam so you can take your test from home.
- If you are an IIA member not currently pursuing your CIA or CRMA, this is a perfect time to do so, as we are offering 20% off CIA and CRMA application and exam registration fees through the end of May.
- Our team is working diligently to continue expanding our virtual training portfolio, including instructor-led, online courses (eSeminars) such as Critical Thinking in the Audit Process and Root Cause Analysis for Internal Auditors, self-study OnDemand training options, CIA exam preparation, and virtual group training opportunities. In addition to substantial discounts on course registration and exam preparation fees, members enjoy complimentary members-only webinars with CPE and access to the archives library.
- Our Standards and Professional Knowledge team continues to work with thought leaders around the world to develop content to help you meet the evolving demands of this swiftly changing landscape. In our COVID-19 Resource Exchange, you will find a wide array of resources, including newly released knowledge briefs such as Remote Auditing for COVID-19 and Beyond and EHS Planning for COVID-19 and Beyond, a current newsfeed on the coronavirus, and articles and blogs from Internal Auditor magazine. You may wish to bookmark the Resource Exchange, as the resources are updated frequently, including details on our members-only webinars.
- Our Financial Services, Public Sector, and Environmental, Health & Safety Audit Centers — now included with your IIA membership at no additional charge — are continually adding timely and targeted webinars, knowledge briefs, and more to further expand your expertise and skills.

As you and the organizations you serve confront evolving pressures related to the coronavirus, we will be here for you, with the support and resources you need. If you have any questions or specific requests, please contact Customer Relations.
Reporting CPE Credits

Every year, individuals who hold active-status certifications or qualifications earned from The IIA must report CPE/CPD credits earned by Dec. 31 of that year. If you haven’t reported your 2019 hours, please do so as soon as possible. Certification Candidate Management System (CCMS). You will not be asked to provide an itemized list of your CPE hours and you do not need to submit supporting documentation of hours completed. However, you should maintain a copy of the CPE reporting form, along with all supporting documentation for at least three years in the event you are selected for a CPE audit.

Internal Audit Magazine Quizzes to Earn CPE Credits

The Internal Auditor Magazine each month features quizzes to gain CPE credits, often including the annual required Ethics CPE requirements. For more details go here.

CIA Certification – the IIA’s Flagship Professional Certification

To learn more about IIA certification write to us at certifications@iiawinnipeg.ca

More information regarding study and exam preparation for your CIA designation can be found at the IIA’s website.

CIA Learning System

Did you know that you can purchase the IIA CIA Learning System through the Winnipeg Chapter at a discount from the member pricing in the IIA Bookstore? You have the option to purchase each exam part individually or the full kit for all three exams.

GLEIM - Chapter Discount Program

The Winnipeg Chapter has again partnered with Gleim CIA Review to ensure your success on the CIA exam!

Gleim now offers a truly adaptive CIA Review! The 2019 Gleim CIA Review with SmartAdapt™ targets weaknesses and eliminates guesswork, streamlining and optimizing your study time so you don’t waste time while preparing for your exam. This system includes our Access Until You Pass® guarantee, 45+ hours of video lectures, and the best bank of multiple-choice questions available. We’ve trained more CIAs than any other review course and have the experience to guide you to exam success!

As a member of the chapter, you are eligible for up to a 20% discount on Gleim CIA Review Systems. To take advantage of the reduced prices and to place your order, log into the Members Only section of the Chapter website.
Please contact our Gleim representative, Ryan Bergh with any questions regarding the Gleim materials or discounts available. Call him at 800.874.5346 Ext. 438 or via email IIAChapters@gleim.com.

For more information on either the CIA Learning System or Gleim study material, contact our Certifications Director at certifications@iiawinnipeg.ca.

COMMUNICATIONS PAGE

Volunteer Opportunities

Interested in helping your IIA Winnipeg Chapter? Be a volunteer! Our chapter is always on the look-out for volunteers for our events such as luncheons, seminars, conference and other activities. Please send an email to Jas Roopra at president@iiawinnipeg.ca to have your name added to our volunteer database.

IIA Chapter Email Addresses

<table>
<thead>
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<th>Email Address</th>
<th>Purpose</th>
</tr>
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<tbody>
<tr>
<td><a href="mailto:memberservice@iiawinnipeg.ca">memberservice@iiawinnipeg.ca</a></td>
<td>Website queries, job postings, certifications, newsletters, general membership inquiries</td>
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<td><a href="mailto:education@iiawinnipeg.ca">education@iiawinnipeg.ca</a></td>
<td>Member training queries including luncheons, seminars, and the Fraud Summit</td>
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<tr>
<td><a href="mailto:president@iiawinnipeg.ca">president@iiawinnipeg.ca</a></td>
<td>Any queries about the chapter or volunteers</td>
</tr>
</tbody>
</table>