We have started 2020 with some very exciting educational/PD opportunities for you. On January 23 we had a one-day seminar called ‘Communicating Results Effectively’ that was very well attended as well as on February 4, we had a panel discussion on ‘Maximizing Internal Audit’s Impact on Governance Effectiveness’. Thank you to all of you who came and attended these sessions!

Our next coming event is the 2020 IIA Fraud Summit which will feature five presentations on fraud and ethics. If you have not registered for the summit, I want to highly encourage you to do so, as this year we have aimed to have interesting and relevant topics that will be presented. (More details starting on Pg. 2)

Our Member Services team continues to do a great job in keeping everyone informed about their membership, keeping our website current, helping members become certified and putting together this newsletter! Our Advocacy team has also been busy this year with making presentations at various Universities and Colleges and building relationships with businesses to enhance their knowledge about Internal Audit. I want to thank all our Board members who continue to do an amazing job at all that they do! We are always looking for volunteers or members who may want to join our Board. If you are interested, please reach out and let me know.

Have a great February!

Jaswinder Roopra
President, IIA Winnipeg Chapter
president@iiawinnipeg.ca
EDUCATION UPDATE & UPCOMING EVENTS

Upcoming IIA Winnipeg Events

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Type</th>
<th>Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wednesday March 11th</td>
<td>Full Day Event</td>
<td>2020 Annual Fraud Summit</td>
</tr>
<tr>
<td>Tuesday April 14</td>
<td>Luncheon</td>
<td>Red Book Risk Assessment in the Public Sector</td>
</tr>
</tbody>
</table>

The 2020 IIA Winnipeg Fraud Summit

The Institute of Internal Auditors (IIA) Winnipeg is hosting the 7th annual Fraud Summit on Wednesday, March 11th, 2020.

What is the IIA Fraud Summit?

The one-day conference sponsored by the IIA Winnipeg Chapter supports the community of Internal Auditors, Fraud Examiners and other affiliated professionals in achieving their required Professional Development objective. The 2020 Fraud Summit to be held at the Fort Garry Hotel, Spa and Conference Centre will include the following topics:

- Fraud investigations
- Profiling Forensic tools and Technology
- Fraud awareness, Assessment and Response
- Reputation management

Contact us at education@iiawinnipeg.ca
• Ethical Dilemmas

CPE Credits Offered: 7 CPE credits, including 4 hours of Ethics.

Registration Link: [http://www.eiseverywhere.com/505915](http://www.eiseverywhere.com/505915)

Registration Deadline: Monday, March 2nd, 2020

**Pricing:** *Early Bird Registration Deadline Notice: Friday, February 14, 2020 - applies to all individuals and groups who register before that date.*

- IIA Members: prior to February 14, 2020: $375, full price $425
- Non-members: prior to February 14, 2020: $425, full price $475
- Group (3 or more): prior to February 14, 2020: $350, full price $400
- CUCM (Credit Union Employees): prior to February 14, 2020: $375.00, full price $425

*Please note there will be no refunds after registration deadline date i.e. Monday, March 2nd, 2020.*

**Hotel Room Rates:**
Conference rates of $149 plus taxes are available at the Fort Garry Hotel. Please mention the Institute of Internal Auditors group or quote Registration ID 115866 to receive the conference rate. *Reservations can only be made over phone to Fort Garry hotel front desk at 1-204-942-8251.*

**Agenda:**

7:30 am - 8:00 am: Registration
8:00 am - 9:30 am: Opening Remarks & Presentation: Fraud Investigation: An Interactive Case Study (Speakers: Jason Armstrong and Casey McInally)
9:30 am - 10 am: Break
10:00 am – 11:00 am: Profiling Forensic tools and Technology (Speakers: Casey McInally & Jennifer Gray)
11:00 am - 12:00 noon: Fraud Awareness, Assessment and Response (Speaker: Kelly Dorin)
12:00 noon – 1:00 pm: Lunch
1:00 pm - 2:30 pm: Reputation Management (Speaker: Jane Shapiro)
2:30 pm - 3:00 pm: Break
3:00 pm - 4:00 pm: 30 Years of Ethical Dilemmas: Lessons learned (Speaker: Brian Brown)
4:00 pm - 4:15 pm Closing Remarks

**Full Details on Speakers and presentations are [HERE](http://www.eiseverywhere.com/505915).**
Chapter Programming

Programming in a Box: The IIA has a variety of presentations available for chapter use, including a new ethics presentation and “About The IIA”. Follow the link for more information (Requires IIA Membership Login).

MEMBER NEWS

Getting to Know our Members...

Keith Kaderle CIA, CRMA, CPA, CA – IIA Member since 2006 and Director of Internal Audit at Credit Union Central of Manitoba (CUCM)

Keith reports directly to the Audit Committee and administratively to the CEO and works closely with Senior Management. CUCM is the service organization and trade association for Manitoba’s Credit Unions. Keith as a department of one, has the opportunity to be involved in a multitude of risks including cybersecurity, privacy, treasury, compliance and enterprise risk management where he’s able to add value and demonstrate his CRMA.

CUCM has 73 employees but assists in managing over 5 billion dollars in assets. Everyone, including internal audit, multitask. It’s a vibrant and challenging place to work where the culture supports a process improvement mindset and people are motivated by change and look forward to improvement suggestions.

Keith has been at CUCM for the last four years. Before that he worked for Manitoba Telecom Services (“MTS”) for eleven years – before they were purchased by Bell. In his free time, he is a busy family man with three children and an active coach for his kid’s soccer teams.

Dilbert on Audit...
We recently had our annual ‘Holiday mixer’ for 2019 which we share with the Winnipeg ISACA Chapter. It was a great time!

**KNOWLEDGE LIBRARY**

This issue’s Knowledge Library focuses on Audit Manuals. The following six linked articles are some of the top selected practice articles which relate to Manuals in the Internal Audit field. These tips were assembled and rated by Winnipeg IIA Member Dan Swanson of Dan Swanson and Associates.

1. **The Internal Audit Function**
   Have you ever been asked to set up a new internal audit function? These suggestions and resources can help you get started.
   [https://na.theiia.org/standards-guidance/topics/Pages/The-Internal-Audit-Function.aspx](https://na.theiia.org/standards-guidance/topics/Pages/The-Internal-Audit-Function.aspx)

2. **What do you mean we need an Internal Audit Manual?**

3. **Internal Audit Manual (example)**
   [https://www.aadnc-aandc.gc.ca/eng/1100100011298/1100100011305](https://www.aadnc-aandc.gc.ca/eng/1100100011298/1100100011305)
4. Model Internal Audit Activity Charter
https://na.theiia.org/standards-guidance/Public%20Documents/Model%20Internal%20Activity%20Charter.pdf

5. U.N. Audit Manual


CERTIFICATION NEWS

The IIA Winnipeg Chapter would like to congratulate the following members who successfully completed an IIA certification:

<table>
<thead>
<tr>
<th>Name</th>
<th>Certification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Audrey Yao</td>
<td>Certified Internal Auditor</td>
</tr>
<tr>
<td>Eleni Zhrul</td>
<td>Certified Internal Auditor</td>
</tr>
</tbody>
</table>

Reporting CPE Credits

Every year, individuals who hold active-status certifications or qualifications earned from The IIA must report CPE/CPD credits earned by Dec. 31 of that year. If you haven’t reported your 2019 hours, please do so as soon as possible. Certification Candidate Management System (CCMS). You will not be asked to provide an itemized list of your CPE hours and you do not need to submit supporting documentation of hours completed. However, you should maintain a copy of the CPE reporting form, along with all supporting documentation for at least three years in the event you are selected for a CPE audit.

Internal Audit Magazine Quizzes to Earn CPE Credits

The Internal Auditor Magazine each month features quizzes to gain CPE credits, often including the annual required Ethics CPE requirements. For more details go here.
Certification in Risk Management (CRMA) Program Updates:

Risk management assurance is one of internal audit key responsibilities. The IIA conducted two market studies and confirmed that more business experience and a deeper level of risk management knowledge are required in order to provide holistic and effective risk management assurance. As such, the IIA made significant changes to the CRMA Program. The changes are:

<table>
<thead>
<tr>
<th></th>
<th>Current CRMA</th>
<th>Revised CRMA</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Prerequisite</strong></td>
<td>CIA part 1 exam</td>
<td>Active CIA designation (all three parts of the CIA exams completed successfully)</td>
</tr>
<tr>
<td><strong>CRMA exam</strong></td>
<td>100 multiple-choice questions</td>
<td>125 questions (multiple choice, up to 50% advanced format)</td>
</tr>
<tr>
<td><strong>Competency level</strong></td>
<td>Basic and proficient</td>
<td>Proficient</td>
</tr>
<tr>
<td><strong>Seat time</strong></td>
<td>120 minutes</td>
<td>150 minutes</td>
</tr>
<tr>
<td><strong>Work experience</strong></td>
<td>2 years of audit or controls-related business experience</td>
<td>5 years of business experience, with a minimum of 2 years in internal auditing or its equivalent</td>
</tr>
<tr>
<td><strong>Program window</strong></td>
<td>4 years from the date when candidates are approved into the CRMA program</td>
<td>2 years from the date when candidates are approved into the CRMA program</td>
</tr>
<tr>
<td><strong>Application fee (in USD)</strong></td>
<td>Members: $115&lt;br&gt;Non-Members: $230</td>
<td>Members: $95&lt;br&gt;Non-Members: $210</td>
</tr>
<tr>
<td><strong>Exam registration fee (in USD)</strong></td>
<td>Members: $380&lt;br&gt;Non-Members: $495</td>
<td>Members: $445 (limited time, introductory rate)&lt;br&gt;Non-Members: $580 (limited time, introductory rate)</td>
</tr>
</tbody>
</table>

The revised CRMA program, including pricing, will be in effect on October 1, 2020.

For more information on the CRMA program changes, please visit the North American [IIA website](https://www.theiia.org).

**FAQs:**

Individuals with an active CRMA designation earned by June 30, 2020 are eligible to take a CIA Challenge Exam Application, which will be available on July 1, 2020.

For individuals who applied into the CRMA program before October 1, 2020 and have not successfully completed the CRMA exam, their program window expires on the earlier of the current CRMA program expiration date or December 31, 2022.
CIA Certification – the IIA’s Flagship Professional Certification

**Updated Guidance:** In the fall, the IIA has released two new practice guides available to members

1. **Auditing Culture**
2. **Unique Aspects of Internal Auditing in the Public Sector**

To learn more about IIA certification write to us at certifications@iiawinnipeg.ca

More information regarding study and exam preparation for your CIA designation can be found at the IIA's website.

IIA CIA Learning System

Did you know that you can purchase the IIA CIA Learning System through the Winnipeg Chapter at a discount from the member pricing in the IIA Bookstore? You have the option to purchase each exam part individually or the full kit for all three exams.

**GLEIM - Chapter Discount Program**

The Winnipeg Chapter has again partnered with Gleim CIA Review to ensure your success on the CIA exam!

Gleim now offers a truly adaptive CIA Review! The 2019 Gleim CIA Review with SmartAdapt™ targets weaknesses and eliminates guesswork, streamlining and optimizing your study time so you don’t waste time while preparing for your exam. This system includes our Access Until You Pass® guarantee, 45+ hours of video lectures, and the best bank of multiple-choice questions available. We’ve trained more CIAs than any other review course and have the experience to guide you to exam success!

As a member of the chapter, you are eligible for up to a 20% discount on Gleim CIA Review Systems. To take advantage of the reduced prices and to place your order, log into the Members Only section of the Chapter's website.

Please contact our Gleim representative, Ryan Bergh with any questions regarding the Gleim materials or discounts available. Call him at 800.874.5346 Ext. 438 or via email IIACapters@gleim.com

For more information on either the CIA Learning System or Gleim study material, contact Kim Ly at certifications@iiawinnipeg.ca
Volunteer Opportunities

Interested in helping your IIA Winnipeg Chapter? Be a volunteer! Our chapter is always on the look-out for volunteers for our events such as luncheons, seminars, conference and other activities. Please send an email to Jas Roopra at president@iiawinnipeg.ca to have your name added to our volunteer database.

IIA Chapter Email Addresses

<table>
<thead>
<tr>
<th>Email Address</th>
<th>Purpose</th>
</tr>
</thead>
<tbody>
<tr>
<td><a href="mailto:memberservice@iiawinnipeg.ca">memberservice@iiawinnipeg.ca</a></td>
<td>Website queries, job postings, certifications, newsletters, general membership inquiries</td>
</tr>
<tr>
<td><a href="mailto:education@iiawinnipeg.ca">education@iiawinnipeg.ca</a></td>
<td>Member training queries including luncheons, seminars, and the Fraud Summit</td>
</tr>
<tr>
<td><a href="mailto:treasurer@iiawinnipeg.ca">treasurer@iiawinnipeg.ca</a></td>
<td>Payments for member events or PayPal queries</td>
</tr>
<tr>
<td><a href="mailto:president@iiawinnipeg.ca">president@iiawinnipeg.ca</a></td>
<td>Any queries about the chapter or volunteers</td>
</tr>
</tbody>
</table>