PRESIDENTS MESSAGE

It always amazes me how fast the month of January files by…

The Board members have been busy over the last few months planning for some great events & initiatives for this year. We have our annual Fraud Summit coming up on March 12, 2019. The registration for this event is open. Please review the details for the event as we have some great topics and excellent speakers lined up, including ethics PD hours you can earn. You don’t want to miss it! Other events are also posted on the IIA Winnipeg website and LinkedIn- please look at these.

The CIA exam has changed this year. We have details about the changes on the website. When you have a chance please review the changes. Also, if you haven’t reviewed your membership details in a while, please review them on the IIA website so that we have the most current information to send to you.

Lastly, the Board is always looking for new members and volunteers. Please reach out to me and let me know if you would like to know about these opportunities. I am always looking for feedback as to how the chapter can do things better for our members. Please reach out and let me know about suggestions or things you would like the chapter to do.

Thank you and stay warm!

Jas Roopra
President, IIA Winnipeg Chapter
president@iiawinnipeg.ca
The Institute of Internal Auditors (IIA) Winnipeg is hosting the 6th annual Fraud Summit on Tuesday, March 12th, 2019.

What is the IIA Fraud Summit?

The one-day conference sponsored by the IIA Winnipeg Chapter supports the community of Internal Auditors, Fraud Examiners and other affiliated professionals in achieving their required Professional Development objectives. In the past, the Fraud Summit has included topics such as:

- Anti-Fraud Programs
- Cyber Security
- Fraud Risk Assessment
- Forensic Nonverbal Behavior
- Blockchain Trends
- Fraud Analytics
- Fraudster Profiles
- Fraud Schemes
- Anti-Money Laundering Compliance

The 2019 Fraud Summit to be held at the Fort Garry Hotel, Spa and Conference Centre will include the following topics:

- Ethics
- Whistleblowers: real life case studies; tip lines-good practices; investigatory process
- Fraud Risk Assessment
- Fraud Data Analytics
- Data Fraud
- Financial Crimes
Who is represented by the IIA Winnipeg Chapter?

There are over 270 members of the IIA Winnipeg Chapter, a not-for-profit organization which supports the goals and educational objectives of the Internal Audit profession. As well, the IIA Winnipeg Chapter is part of the Global IIA network of over 190,000 Internal Audit professionals.

Who should attend?

The Fraud Summit attracts professionals, both IIA members and non-members, from a broad cross-section of industries, including the government and the public sector, financial services, transportation, education and entertainment, who are interested in keeping current on the latest fraud prevention, detection and remediation strategies.

As well, offering the opportunity to further network, to enhance their understanding of fraud risks and mitigation strategies, is a valued experience for the delegates to the Fraud Summit.

CPE Credits Offered: 7 CPE credits are available including 4 credits for Ethics.

Registration Link: [http://www.eiseverywhere.com/395056](http://www.eiseverywhere.com/395056)

Registration Deadline: Thursday February 28, 2019

Pricing: Early Bird Registration Deadline Notice: Friday February 15, 2019 - applies to all individuals and groups who register before that date.

- IIA Members: prior to February 15, 2019: $375, full price $425
- Non-members: prior to February 15, 2019: $425, full price $475
- Group (3 or more): prior to February 15, 2019: $350, full price $400
- CUCM (Credit Union Employees): prior to February 15, 2019: $375.00, full price $475

Hotel Room Rates:

Conference rates of $139 plus taxes are available at the Fort Garry Hotel. Please mention the Institute of Internal Auditors Registration ID 11232X to receive the conference rate.

Agenda:

- 7:30 am - 8:00: Registration/Continental Breakfast – Provencher Ballroom Main Floor
- 8:00 am - 8:15 am: Opening Remarks
- 8:15 am - 9:15 am: Ethics – Part 1: Professor Arthur Schafer, Founding Director, Centre for Professional and Applied Ethics
- 9:15 am - 9:35 am: Break
- 9:35 am - 10:05 am: Ethics – Part 2: Professor Arthur Schafer
- 10:05 am – 10:35 am: Whistleblowers: real life case studies – Part 1: Jeff Gilbert, Principal, Office of the Auditor General
- 10:35 am - 10:50 am: Break
• 10:50 am - 11:50 am: Whistleblowers: tip lines - good practices; investigatory process - Part 2: Jeff Gilbert
• 11:50 am - 12:50 pm: Networking Lunch
• 12:50 pm – 1:35 pm: Fraud Risk Assessment: Arshad Majeed, Senior Manager, Risk Advisory, Deloitte
• 1:35 pm - 2:20 pm: Fraud Data Analytics: Arshad Majeed, Senior Manager, Risk Advisory, Deloitte
• 2:20 pm - 2:40 pm: Refreshment Break
• 2:40 pm - 3:25 pm: Data Fraud: Steve Croucher, Online Business Systems
• 3:25 pm - 4:10 pm: Financial Crimes: Detective Sergeant Trevor Thompson, Winnipeg Police Service
• 4:10 pm - 4:20 pm: Closing Remarks
• 4:30 pm - 6:00 pm: Reception – Broadway Room Main Floor

The 2019 Fraud Summit is proudly sponsored by Deloitte and Touche; Online Business Systems and the Better Business Bureau

Keynote Speaker to be announced shortly.

Other Upcoming IIA Events (Winnipeg)

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<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
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<tbody>
<tr>
<td>12 February 2019</td>
<td>Luncheon – Artificial Intelligence</td>
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<tr>
<td>12 March 2019</td>
<td>Conference – 2019 IIA Winnipeg Fraud Summit</td>
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<tr>
<td>09 April 2019</td>
<td>Luncheon – Ethics</td>
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<tr>
<td>May 2019</td>
<td>CAE Event (Date TBD)</td>
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<td>May 2019</td>
<td>IIA Winnipeg AGM (Date TBD)</td>
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Upcoming IIA Events (Canada)

IIA Canada Upcoming Events

Reporting CPE Credits

Every year, individuals who hold active-status certifications or qualifications earned from The IIA must report CPE/CPD credits earned by Dec. 31 of that year. If you haven’t reported your 2018 hours, please do so as soon as possible. Certification Candidate Management System (CCMS).

You will not be asked to provide an itemized list of your CPE hours and you do not need to submit supporting documentation of hours completed. However, you should maintain a copy of the CPE reporting form, along with all supporting documentation for at least three years in the event you are selected for a CPE audit.
Earn CPE Reading IA Magazine

For 70 years, Internal Auditor magazine has shared leading practices with internal auditors around the globe. Its timely, practical, thought-provoking articles have earned it a reputation as the authoritative voice on the profession. Now in addition to supporting you in your career with print and online news and information, Internal Auditor is helping you earn CPE credit as well. With the release of each bi-monthly edition of the magazine, you have the chance to earn one IIA CPE per issue to support your IIA certifications.

Available to members for free, the online quiz tests your internal audit knowledge and provides valuable CPE credits. Upon successful completion of the quiz (80% or higher), be sure to print/save your transcript for CPE reporting purposes. The maximum number of CPEs you can report for magazine quizzes is 6.

**Remember - Ethics CPE Requirement:** Beginning in 2018, two of every IIA-certified individual’s CPE/CPD credits must be earned in Ethics. A 3 CPE Ethics exam is available to members in the August 2018 edition of the IIA Magazine – click here to take it.

IIA-Canada

**About IIA Canada**

The Institute of Internal Auditors Canada (IIA Canada) is affiliated with The Institute of Internal Auditors (IIA), serving the needs of more than 7,100 members in Canada. With its headquarters in Ottawa, IIA Canada works with its 12 chapters to provide training, publications, and services to its members.

IIA Canada is guided by the Canadian Board with support and many services provided by its larger North American body. Responsibilities include: advocacy efforts, programs, services and budget relating to Canadian members. These close ties ensure that Canadian members receive all the benefits from the North American organization while receiving enhanced benefits from a Canadian perspective.

**Why Take Training from IIA-Canada?**

By registering for training offered by IIA-Canada, you can expect:
- Lower course fees for events conducted by IIA-Canada and in Canadian dollars (e.g. $900 CDN versus $950 USD).
- Courses presented by Canadian facilitators, and course content is related to the Canadian Internal Auditing Experience with recent case studies.
- Fresh ideas and insights gained from networking with other internal auditors from across Canada.
- Even if you are a seasoned, long-time internal auditor and have significant expertise, there is always room to expand your knowledge.

For more details regarding courses and training by IIA-Canada, please visit IIA Canada > Training and Events.
Certification Corner

Significant changes are coming in 2019 to the CIA exam!

There are three main parts to the CIA exam – and the test has been modified and modernized, starting in 2019, to streamline, modernize and to make each section more relevant to the actual practice of modern internal audit.

**Part 1** will now focus on the *Excellence* Standards of the IIA.

**Part 2** will have greater focus on the actual Audit Engagement process and

**Part 3** will have the most significant changes – going from a ‘catch all’ approach to a high level focus on Managerial Accounting Audit, Financial Systems Audit, Information Technology Audit and all the other major areas that an Internal Auditor will be called upon to deal with in their career.

More information on the changes to the CIA exam can be found here:

IIA Video on Changes to the CIA exam for 2019

**NEW! CCMS Launch Preparation** - The new candidate-certification management system (CCMS) is scheduled to be released the first half of 2019:

- **New CCMS Login Process** - The current CCMS requires all certified individuals and in-process candidates to log into the system using their ID number. In the new CCMS, individuals will be required to use their primary email address. The IIA asks that you update your primary email in your CCMS profile. This will ease the process for all certified individuals and in-process candidates when they enter into the new CCMS.

- **CCMS Web Link** - The current CCMS web links directly to the PearsonVue site and will not work after the IIA cuts over to the new CCMS. After the cut over, please use the following URL: [https://www.theiia.org/ccms](https://www.theiia.org/ccms)

Unlock Your Door to Opportunity with IIA Certifications

An IIA Professional Credential can move your career in the right direction, whether you’re just starting down the audit path or taking your career to new heights.

By earning your qualification or certification, your clients and employer know that you are a valuable team asset who is highly motivated, knowledgeable, and committed to ensuring quality is part of everything you do.

Whether you are seeking a promotion, exploring a position outside your organization, or simply pursuing professional development opportunities to help you tackle increasingly complex responsibilities while preparing for future challenges, an IIA certification can:

- Increase your earning potential by up to 30 percent.
• Help you gain credibility and respect in the field.
• Enhance your skills and knowledge.
• Demonstrate your commitment to the profession.

To learn more about IIA certification write to us at certifications@iiawinnipeg.ca

IIA CIA Learning System

Did you know that you can purchase the IIA CIA Learning System through the Winnipeg Chapter at a discount from the member pricing in the IIA Bookstore? You have the option to purchase each exam part individually or the full kit for all three exams.

GLEIM - Chapter Discount Program

The Winnipeg Chapter has again partnered with Gleim CIA Review to ensure your success on the CIA exam!

Gleim now offers a truly adaptive CIA Review! The 2019 Gleim CIA Review with SmartAdapt™ targets weaknesses and eliminates guesswork, streamlining and optimizing your study time so you don’t waste time while preparing for your exam. This system includes our Access Until You Pass® guarantee, 45+ hours of video lectures, and the best bank of multiple-choice questions available. We’ve trained more CIAs than any other review course and have the experience to guide you to exam success!

As a member of the chapter, you are eligible for up to a 20% discount on Gleim CIA Review Systems. To take advantage of the reduced prices and to place your order, log into the Members Only section of the Chapter website.

Please contact our Gleim representative, Ryan Bergh with any questions regarding the Gleim materials or discounts available. Call him at 800.874.5346 Ext. 438 or via email IIAChapters@gleim.com

For more information on either the CIA Learning System or Gleim study material, contact Marisol Gil Reyes at certifications@iiawinnipeg.ca
COMMUNICATION PAGE

Chapter Achievement Program (CAP points)

The CAP program is the IIA’s scorecard to measure the value added to you, the members. Chapters must earn a minimum number of CAP points in three service categories to qualify for a performance level. The Winnipeg Chapter achieved ruby level in 2018. Thank you for supporting us towards achieving the Ruby level!!

Chapter Achievement points are awarded to each chapter for activities it performs in these areas:

- Service to Members
- Service to Profession
- Chapter Administration

Points are obtained by offering members social events, luncheons and seminars, speaking engagements completed by our membership, articles published by our membership, members volunteering on national and international committees, maintaining a web presence, and many more areas.

Remember, the more you participate in chapter events and other internal audit related activities, the closer we are to maintaining our Ruby status!

Volunteer Opportunities

Interested in helping your IIA Winnipeg Chapter? Be a volunteer! Our chapter is always on the look-out for volunteers for our events such as luncheons, seminars, conference and other activities. Please send an email to Jas Roopra at president@iiawinnipeg.ca to have your name added to our volunteer database.

IIA Chapter Email Addresses

The Winnipeg Chapter has implemented standardized email addresses to make it easier for you to contact us. The addresses will transition between volunteers from year to year so you can always reach the right person.

<table>
<thead>
<tr>
<th>Email Address</th>
<th>Purpose</th>
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</thead>
<tbody>
<tr>
<td><a href="mailto:memberservice@iiawinnipeg.ca">memberservice@iiawinnipeg.ca</a></td>
<td>Website queries, job postings, certifications, newsletters, general membership inquiries</td>
</tr>
<tr>
<td><a href="mailto:education@iiawinnipeg.ca">education@iiawinnipeg.ca</a></td>
<td>Member training queries including luncheons, seminars, and the Fraud Summit</td>
</tr>
<tr>
<td><a href="mailto:treasurer@iiawinnipeg.ca">treasurer@iiawinnipeg.ca</a></td>
<td>Payments for member events or PayPal queries</td>
</tr>
<tr>
<td><a href="mailto:president@iiawinnipeg.ca">president@iiawinnipeg.ca</a></td>
<td>Any queries about the chapter or volunteers</td>
</tr>
</tbody>
</table>